## The New Encyclopædia Britannica

PROPÆDIA

Outline of Knowledge and Guide to the Britannica

#### How to use the PROPAEDIA

As its title indicates, the PROPAEDIA, or Outline of Knowledge, is intended to serve as a topical guide to the contents of the *Encyclopædia Britannica*, enabling the reader to carry out an orderly plan of reading in any field of knowledge or learning chosen for study in some depth. The PROPAEDIA's table of contents gives the reader an overview of the Outline of Knowledge as a whole; the introductory essays for each of the ten parts illuminate the major concerns of that part of human knowledge; the headnotes that are affixed to parts and divisions prepare the reader for examination of the subjects being covered there; and the outlined presentations of these subjects, with their lists of related article titles, enable the reader to carry on a course of study that may be more or less extensive and detailed in accordance with individual interests and desires.

Structure. Each of the 10 parts, 41 divisions, and 177 sections that make up the PROPAEDIA is marked in the table of contents by a heading, which is followed by the number of the page on which that unit of the PROPAEDIA begins. This structure provides three ways to utilize the outline: (1) one may turn to any of the parts as a whole and examine the contents of that part; (2) one may select a particular division of a part and examine the contents of that division; or (3) one may focus on a single section or several sections of such a division and examine the contents of that section or those sections.

Sectional outlines. The sectional outlines present, in an orderly arrangement of topics, subjects that are treated in articles in the MACROPAEDIA and MICROPAEDIA. Each section number incorporates the numbers of the part and division to which it belongs. For example, Section 725 is the fifth section in Part Seven, Division II; Section 96/10 is the tenth section in Part Nine, Division VI. In each sectional outline the major subjects are indicated by capital letters ("A," "B," etc.). There are always at least two major subjects, but there may be many more in a given section. When it is necessary to subdivide a major subject, up to three additional levels may appear in the outline; the first is indicated by Arabic numerals, the second by lowercase letters, and the third by Roman numerals, as shown below:

- B. Metallurgy
  - 1. Mineral processing: crushing and grinding of ores, concentration of metallic minerals
  - 2. Extractive metallurgy: separation of metallic elements from mineral form
    - a. Pyrometallurgy: processes that involve the use of heat
      - i. Roasting: oxidizing, reducing reactions
      - ii. Smelting: processes for removing molten metal from molten slag

The INDEX, with its alphabetically arranged subject headings, is indispensable in finding where a given subject appears in the Outline of Knowledge. These headings, where appropriate, carry specific citations pointing to the part, division, or section of the PROPAEDIA that covers the subject in question. A subject referred to in a sectional outline is, in many cases, treated fully in an article of the same title in the MACROPAEDIA or MICROPAEDIA, each such title being included in the list of suggested reading at the end of the section. These titles, as well as significant references to the subjects in other contexts, are cited in the INDEX. It may be helpful to compare the functions of the PROPAEDIA and the INDEX: Both are guides to the contents of the Encyclopædia Britannica, but the PROPAEDIA's primary purpose is to indicate what subjects are covered, while the INDEX's primary purpose is to indicate where they are covered.

### THE CIRCLE OF LEARNING

"The alphabetical system of arrangement," observed the Editors of the Eleventh Edition of the Encyclopædia Britannica (1910-11), "with its obvious advantages, necessarily results in the separation from one another of articles dealing with any particular subject." Consequently, "the student who desires to make a complete study of a given topic must exercise his imagination if he seeks to exhaust the articles in which that topic is treated." This result is certainly a serious defect in the system for anyone who feels-as did the Editors of the Eleventh Edition-that an encyclopaedia should not be merely a "storehouse of facts," but should also be "a systematic survey of all departments of knowledge." To remedy this defect, the Editors constructed a "Classified Table of Contents," which they believed to be "the first attempt in any general work of reference at a systematic subject catalogue or analysis of the material contained in it."

Remarkable as it was at the time, that Table of Contents did not fully succeed in achieving its objective of overcoming the defects of an alphabetical organization of encyclopaedic articles by means of a topical presentation of their content. A quick glance at the 24 major categories into which the Table of Contents was divided will reveal that the alphabet was still the thread on which the parts were strung: I. Anthropology and Ethnology; II. Archaeology and Antiquities; III. Art; IV. Astronomy; V. Biology; VI. Chemistry; VII. Economics and Social Science; VIII. Education; IX. Engineering; X. Geography; XI. Geology; XII. History; XIII. Industries, Manufactures and Occupations; XIV. Language and Writing; XV. Law and Political Science; XVI. Literature; XVII. Mathematics; XVIII. Medical Science; XIX. Military and Naval; XX. Philosophy and Psychology; XXI. Physics; XXII. Religion and Theology; XXIII. Sports and Pastimes; XXIV. Miscellaneous. In each of these categories, the only further subdivisions involved the distinction of general from particular subjects, and the distinction of both of these from biographical entries. Under each of these headings, titles of the encyclopaedia's articles were listed in strictly alphabetical order.

In planning this Fifteenth Edition of *Encyclopædia Britannica*, the Editors, while deciding to retain the alphabetical ordering of the articles in the set, sought to improve upon the effort that their predecessors had made to overcome the defects of an alphabetical organization by giving the reader a truly topical, and totally nonalphabetical, Table of Contents. It would serve the purpose that the Editors of the Eleventh Edition had in mind, which was to enable the reader to "make a complete study of a given topic"—that is, a department of knowledge or field of learning.

It may be asked why it was not thought better to abandon the alphabetical principle entirely and construct a purely topical encyclopaedia, in which all the articles would be assembled, volume after volume, according to some general schema for the organization of human knowledge. The answer is twofold. First, a purely topical organization of the articles themselves cannot avoid the appearance of a certain tendentiousness or arbitrariness in the editorial commitment to one rather than another organizing schema or set of principles. The reader is, therefore, provoked to ask: Does this order, volume by volume and article by article, reflect the only right or proper exposition of the whole of human knowledge?

Second, a purely topical encyclopaedia provides its readers with only one mode of access to its contents. This may be alleviated somewhat, perhaps, by the addition of an alphabetical index; but an index, by its very nature, serves the purpose of enabling the reader to look up *particular* items of information; it does not provide a general and systematic mode of access to the contents of the encyclopaedia.

The basic plan of the new *Britannica*, therefore, aims to give its readers access to its contents by both the topical and the alphabetical modes. General and systematic topical access is provided by the Outline of Knowledge contained in this volume, called the "Propædia" because it is a kind of preamble or antechamber to the world of learning that the rest of the encyclopaedia aims to encompass. Alphabetical access is provided not only by the two-volume Index but also by the alphabetical ordering of the short articles in the Micropædia.

Unlike the Classified Table of Contents in the Eleventh Edition, which was alphabetically organized by categories and subjects, the Outline of Knowledge in this Fifteenth Edition is a purely topical presentation of the subjects covered in the articles to be found in both the Macropædia and the Micropædia. It is, therefore, reasonable to ask how such a purely topical outline of encyclopaedic content avoids the tendentiousness or arbitrariness that is attributable to an encyclopaedia in which the articles themselves are topically rather than alphabetically arranged. Does not the Outline of Knowledge here presented reflect, perhaps even conceal, a commitment to one set of organizing principles rather than another? Does it not embody biases or preconceptions that are not universally acceptable?

It is hardly possible to say "No, not at all" to these questions. Two points, however, can be made affirmatively that tend to reduce or alleviate whatever degree of arbitrariness remains unavoidable in a topical outline of the whole of human knowledge. One is that the Outline of Knowledge, while conceived by the Editors, was constructed and corrected in the light of detailed recommendations, directions, and analytical contributions from scholars and experts in all the fields of knowledge represented. A list that includes the advisers who worked with the Editors in the construction of the Outline of Knowledge follows Part Ten of the Propædia.

The second point is that the Outline of Knowledge is conceived as a circle of learning. To say that the contents of an en-cyclo-paedia form a circle of learning is more than a literal transliteration from Greek to English. In Greek or English, reference to the circle introduces a powerful metaphor, the understanding of which should help the reader to overcome whatever arbitrariness still resides in the Outline of Knowledge in spite of determined efforts on the part of all concerned to minimize this defect. A circle is a figure in which no point on the circumference is a beginning, none is a middle, none is an end. It is also a figure in which one can go from any point, in either direction, around the circumference; in addition, one can go across the circle from any point to any other; or, by any number of transecting lines, starting from a given point, one can go to any number of other points on the circumference, near or far.

The 10 parts into which the Outline of Knowledge is divided are disposed not along a finite straight line beginning at this point and ending at that; they are disposed rather as segments of the circle. While it is true that, in this arrangement, one part may lie next to another and at some distance from still another, it is also true that, since the circle can rotate around its axis, any one of the 10 parts may be regarded as standing at the top of the circle, or at the left or right side of it, or at the bottom. In other words, with the circular arrangement of the parts, and with the rotation of the circle, the reader can begin anywhere in the circle of learning and go to adjacent parts around the circle; or, moving along interior transecting lines, the reader can go from any part across the circle to parts that are not adjacent on the circumference. This view of the Outline of Knowledge can be represented in a number of diagrams.

For a synopsis of the subject matter covered in each of the 10 parts of the outline, the reader is referred to that part of the Table of Contents set forth on pages 9–15 of this volume. The titles of the individual parts are given in the following list:

Part One.	Matter and Energy
Part Two.	The Earth
Part Three.	Life on Earth
Part Four.	Human Life
Part Five.	Human Society
Part Six.	Art
Part Seven.	Technology
Part Eight.	Religion
Part Nine.	The History of Mankind
Part Ten.	The Branches of Knowledge

The pair of diagrams below shows the 10 parts as segments of a circle. Part One is placed at the top of the diagram to the left, and Part Nine is at the top of the diagram to the right, to illustrate the effect achieved by rotating the circle.



The second pair of diagrams, following, places one of the 10 parts at the centre of the circle with the remaining nine parts as segments of the circle formed by lines radiating from the centre. The point being made here is that any part can occupy the central position the place in the circle of learning at which one begins, going thence in all directions to the remaining nine parts. To illustrate this, Part Five occupies the centre in the diagram to the left; Part Three, the centre in the diagram to the right.



The final diagram offers still another approach to the circle of learning. In this diagram, Part Ten occupies the central position; and here there is only one diagram rather than a pair because the reason for placing Part Ten in the central position applies to it alone and to none of the other nine parts.



The reason for this special placement of Part Ten stems from the one organizing principle to which the Editors were explicitly committed in planning and producing this new Britannica. Briefly stated, that principle involves a distinction between (a) what we know about the world of nature, of man and society, and of human institutions by means of the various branches of learning or departments of scholarship; and (b) what we know about the branches of learning or departments of scholarship-the various academic disciplines themselves. For the most part-there are a few exceptions-Parts One through Nine represent the knowledge of nature, of human society, of human institutions, and their history. In clear contradistinction, Part Ten mainly covers the disciplines themselvesthe branches of knowledge or fields of scholarshipby which one inquires into, thinks about, or comes to have knowledge of the world in which he lives. Part Ten examines the nature, methods, problems, and history of the various branches of knowledge or scholarly disciplines, the actual content of which is set forth in Parts One through Nine.

Thus, for example, Section 10/34 in Division III of Part Ten examines the nature, methods, problems, and history of the biological sciences; but the knowledge of life that the biological sciences afford is outlined in Part Three. Or, to take another example, Section 10/ 41 in Division IV of Part Ten examines historiography and the study of history; but the actual history of mankind is outlined in Part Nine.

There are, however, three departments of learning that are exclusively treated in Part Ten—both with regard to the nature and history of the disciplines themselves and also with regard to the knowledge or understanding afforded by these disciplines. They are logic (in Division I of Part Ten), mathematics (in Division II), and philosophy (in Division V). The reason for this exceptional treatment of these three disciplines is given in the Introductory Essay to Part Ten.

The special character of Part Ten thus explains the diagram in which it occupies the centre of the circle of learning, but that must not be interpreted as attributing prime importance to it. This diagram simply indicates the special function Part Ten performs in relation to the other parts. It alone stands in close relation to all the rest; there are varying degrees of relatedness among the other parts. For example, Parts Three and Four,

dealing with Life on Earth and with Human Life, are closely related; Parts Four and Five, dealing with Human Life and with Human Society, are also closely related; but Part Four has a different relatedness to Part Three, on the one hand, and to Part Five, on the other. In the presentation of the Outline of Knowledge, the headnotes and the cross-references give the reader an indication of these interrelationships.

Anyone who is in a position to compare the classified list of articles in the Eleventh or even the Fourteenth Edition with the Outline of Knowledge will be persuaded, the Editors think, that whereas the immediately preceding editions of *Britannica* represented a 19th- and early 20th-century view of the state of human knowledge, the new *Britannica*, in its Fifteenth Edition, is an encyclopaedia that reflects the many changes and innovations in man's knowledge and understanding that are emerging at the end of this century and will continue into the next.

The reader's attention should be called to the following features of the Propædia, or Outline of Knowledge:

- 1. It serves as a Table of Contents for the long articles in the Macropædia and also for the tens of thousands of shorter articles in the Micropædia.
- 2. Each of the 10 Parts of the Outline and the several Divisions of each of those Parts is prefaced by a brief summary of the topics covered.
- 3. The Divisions of each Part are followed by a number of Sections in which each of the topics covered is outlined.
- 4. At the end of each sectional outline, there is a list of Suggested Readings, first in the Macropædia, second in the Micropædia, which is followed by a list of the biographical articles that are relevant to the subjects covered in the outline of that Section.
- 5. In the topical outline of each Section, crossreferences are made, when relevant, to other Sections in the Propædia on which related subjects are treated.

Because it is constructed in this manner, the Propædia provides the reader who wishes to pursue the study of a whole field of knowledge with an easily used guide. The Propædia thus offers readers a more comprehensive and detailed study guide for the use of the *Encyclopædia Britannica* than has ever been furnished before.

To facilitate their use of the Propædia as a study guide, readers should turn to pages 9–15, which follow. Here they will find a synoptic Table of Contents of the Propædia itself, set forth in the order of the 10 Parts, under each of which the component Divisions are listed, and under each Division, the component Sections.

This synoptic Table of Contents gives readers an overview of the Outline of Knowledge as a whole. The introductory essays for each of the 10 Parts, each written by an authority in that field, illuminate the major concerns of that area of human knowledge.

The Propædia, or Outline of Knowledge, helps readers answer for themselves the question that, in its most general form, is as follows: What can I learn from the Britannica concerning one or another area of human knowledge? More specifically, the question might be: What can I learn about the Earth? or What can I learn about art? The reader's interest may be even more specific. In the field of the Earth sciences, the question might be: What can I learn about the Earth's constituent minerals and rocks? or What can I learn about weather and climate? In the field of art, the question might be: What can I learn about the theory and classification of the arts? or What can I learn about music?

Another point should be mentioned because, in the view of the Editors, it distinguishes the Fifteenth Edition from all preceding editions.

The Outline of Knowledge presented in this Propædia volume was constructed *before* those articles themselves were named, outlined, commissioned, written, and edited. The outline served as the basis for determining what articles should be written, what their scope should be, how they should be related to other articles, and so on. It was, therefore, in origin a table of *intents* rather than a table of *contents*. It represented the intentions of the Editors in laying down a comprehensive plan for producing a new encyclopaedia, appropriate to the state of human knowledge and learning at the end of the 20th century and looking forward to emergent developments in the century to follow. What was originally, or in the planning stage of the work, a Table of Intents, then subsequently became, after the writing and editing of the articles was completed, a Table of Contents that tries to reflect accurately and faithfully the actual content of the articles.

All preceding editions of *Britannica*, as most other encyclopaedias, have been constructed from classified lists of articles. Such classified lists may vary from one edition to another, as they have from the First Edition of *Britannica* through the Fourteenth, but the variations are relatively minor as compared with the fact that they are all the same in form—nothing but classified lists of articles, as exemplified by the one presented in the Eleventh Edition, already referred to. In sharp contrast to such editorial procedures, the Fifteenth Edition has the distinction of being planned not in accordance with a classified list of articles, but rather in the light of an orderly topical outline of the whole of human knowledge, in the form of the circle of learning that is an *en-cyclo-paedia*.

> MORTIMER J. ADLER Director of Planning

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### Introduction to Part One: The Universe of the Physicist, the Chemist, and the Astronomer

by Nigel Calder

"Give me matter and I will build a world from it." For 200 years since the philosopher Immanuel Kant uttered it, physicists, chemists, and astronomers have striven to make good that boast. That they can now tell an almost unbroken story of events from the birth of the universe to the origin of life on Earth is the cumulative result of many lifetimes spent in careful observation and experiment. Yet even amid this success in updating the first verses of Genesis, new questions nag. Why does familiar matter adopt the forms it does? Are the laws of nature that are known to us enforced throughout the vast, tumultuous universe? What unimaginable worlds of fire or blackness can nature conjure up, quite different from our own?

When men presume to take the fire of the Sun and put it experimentally in a bottle, they forfeit all hope of certainty and repose. Yet the great quest for control over nature starts gently enough. A child at play with building blocks or sand or a rubber ball is a human mind engaged in discovering how matter behaves. Experiments with the rubber ball, for example, reveal laws of reflection. The child finds that the ball will come back to him only if he projects it accurately at a right angle to a flat surface (wall or floor); otherwise it bounces away from him and another child may grab it and interrupt the research program.

If all grown-up children had abandoned this kind of play, the human species would still believe that the Earth was at the centre of the universe, that the planets were propelled by angel-power, and that thunder was the voice of God. But some adults retained the boundless inquisitiveness of the young. Isaac Newton, not the most modest of discoverers, likened himself to a child playing on the seashore. Critics nowadays refer scathingly to the "expensive toys" of the physicists who want many millions of dollars to build a particle accelerator. Not unfairly—a particle accelerator, for all its awesome complexity and cost, is simply a modern way of continuing the experiments with the rubber ball, to see what happens when the ball is very small and travels almost at the speed of light.

By strange paths, play leads to far-reaching results. After the discovery that an electric current creates magnetism, Michael Faraday made a note to look for electricity from magnetism. He played repeatedly with magnets and wires until, ten years later, he discovered electromagnetic induction. Today, giant turbogenerators confirm his discovery 60 times a second, as they feed electric power to our factories and kitchens. In James Clerk Maxwell's hands, Faraday's ever-changing electric currents transformed themselves into mathematical equations predicting the existence of waves that traveled at the speed of light indeed were light and invisible radiations of a similar kind, including radio waves. Other researchers who were unwittingly taking atoms to pieces came up with a beam of electrons, which inventors turned into a magic pencil; today those waves and electrons enable lesser men to preen themselves on television screens in 260,000,000 homes.

In this latter part of the 20th century, a word-association test for *physicist* may very well evoke *bomb*. By coincidence, investigators of the nature of matter and energy stumbled upon a way of breaking open the storehouse of energy in the nucleus of the atom just at the time the human species was entering a period of unprecedented warfare. The swarms of nuclear-powered submarines that cruise with nuclear-tipped, city-killing missiles are a grim enough outcome of the "game." The fact remains that the heart of physics itself is not directed to any such purpose but is an open, cooperative effort by scientists of all nations to understand the material universe we live in.

We inhabit an electric world. It is true that gravity stops us from falling headfirst into the abyss of space; true also that the daylight that powers all life comes from the nuclear reactor that we call the Sun. But of the great set of natural forces known to the physicist—gravitational, nuclear, and electromagnetic—the last, electromagnetism, is the chief governor of events on Earth.

It operates so discreetly, though, that when men started rubbing amber on their sleeves and found it attracted dust, or considered the seeming magic of the north-pointing lodestone, nothing suggested that these were more than curiosities. There was laughter when Benjamin Franklin said that lightning was electric—until he proved it. Nothing suggested that the colour, quality, and chemical behaviour of all familiar matter would be explained by research in electricity and magnetism. But that is in the nature of physics: you ponder the falling of an apple and realize what holds the planets in their courses; you look to see what happens when you pass electric currents through a gas and, in due course, you find out what holds a stone together and why grass is green.

A series of discoveries in the late 19th and early 20th centuries illuminated the hidden mechanisms of our electric world like star shells on a dark night. Diligent work by chemists had shown that all matter was composed of vast numbers of atoms, different for each chemical element and capable of combining in predictable ways to make molecules and crystals. Indeed there was a remarkable pattern in the so-called "periodic table": when the chemical elements were listed by weight, it turned out that elements 3, 11, and 19... all had similar properties; 4, 12, and 20... were also very much alike, and so on.

This pattern made sense only when the physicists discovered the construction of atomic matter. An atom consists of a heavy nucleus surrounded by a number of lightweight electrons exactly neutralizing the electric charge on the nucleus. The electrons group themselves around the nucleus in "shells," like the layers of an onion, each shell being capable of accommodating a definite number of electrons. The outward face of the atom, its outermost shell of electrons, is crucial in determining its chemical behaviour. The number of electrons to be fitted in depends on the charge on the nucleus. In some elements, the metals, there are only one or two easily detachable electrons in the outermost shell. Other elements, the most reactive nonmetals, fall short by only one or two electrons in having a complete outermost shell. These "surplus" and "missing" electrons create a supply-and-demand situation in which atoms combine chemically by exchanging or sharing electrons. The repetition of chemical properties throughout the periodic table arises as one shell of electrons is completed and the next one begins to fill.

The mechanisms sketched in these last few sentences account for almost all the chemical behaviour of all the matter on Earth. The electrical and magnetic behaviour of materials also depends on the arrangements of electrons in their atoms and, in some cases, on the combined effects of many atoms packed together in a crystal. The strength of the chemical bonds formed by electrons, and the related forces between molecules, determine whether materials are solids, liquids, or gases; and they help to fix the strength and flexibility of solids, but in this case the explanations are complicated by the invisible flaws that exist in all materials. The colour of materials is explicable by the "jumps," from one position to another in the vicinity of an atom, which the rules allow an electron to make as the atom, molecule, or crystal absorbs or emits light of particular energy, or colour. Make the same electrons in vast numbers of atoms "jump" the same way simultaneously and you have a very intense laser beam.

Light and its invisible counterparts—radio waves, infrared, ultraviolet, and X-rays—are the purest form of energy. These "electromagnetic radiations" are created by the jerking of electrons, sometimes quite gently, as in a radio antenna, and sometimes very fiercely, as when a beam of fast-moving electrons is suddenly halted by the target in an X-ray tube. The normal "jumps" of electrons in atoms are of intermediate intensity. All these radiant forms of energy can travel through empty space, for example from the Sun to the Earth.

But energy can readily change from one form to another. Sunlight captured by green leaves is converted into the chemical energy of plant-stuff. Coal is plant-stuff buried millions of years ago when continents collided, and a boiler can convert the chemical energy of coal into a scalding jet of steam that turns the blades of a turbine—these are forms of kinetic energy, the energy of directed movement. Using Faraday's trick, the turbine can generate electrical energy. At the end of this chain of transformations, you can switch on the electrical energy and reconvert it to light energy, thereby enjoying the benefits of sunlight after the Sun has set.

The vibrations of sound and the gravitational energy of water about to cascade down a mountainside are other forms of energy. Sooner or later, though, a shout dies away, water comes to rest, the light from your electric bulb is absorbed in the wallpaper. Where has the energy gone? It has been taken up in those random motions of atoms and molecules that we call heat. All energy degrades to meaningless heat eventually.

Unless there were continuous supplies of new energy,

life and indeed all interesting activity in the universe would quickly cease. For example, your brain is kept functioning by food—chemical energy produced by sunlight just in the past few months. Those new supplies of energy come from the transformation of matter into energy.

The Sun is a very ordinary star, lying in the suburbs of a galaxy consisting of about 100,000,000,000 stars; we see the rather flat cross section of the galaxy as the Milky Way, a brushstroke of light across the night sky. There is nothing special, even, about our Galaxy; it is just one of vast numbers of galaxies scattered like ships in a great ocean of space.

The universe is a battleground between gravity and nuclear forces. To make a star, gravity sweeps together a mass of hydrogen gas; it becomes hot and nuclear reactions begin. The nuclei of hydrogen atoms combine together to make heavier elements almost, but not quite, as heavy as the sum of the hydrogen nuclei that went into them. The little bit of matter that is lost is converted into a relatively immense amount of energy. It would blow the star apart but for the strenuous restraint of gravity. A balance is struck, and the size and brightness of a star depends on its mass and on how much of its nuclear fuel has been burned. Fortunately, our star, the Sun, is a slow-burner; nevertheless, inexorable physical changes billions of years from now will make the Sun grow to fill the whole of our sky and swallow the Earth.

In a star more massive than the Sun, this "burning" of nuclear fuel proceeds faster and culminates in a vast explosion called a supernova. In the explosion, nuclear reactions proceed apace and make all the different chemical elements. The diverse atoms, heavier than hydrogen, of which our own bodies are constructed, were made in stars that exploded before the Sun was formed. Some of the heavy material was left swirling around the newborn Sun and made the Earth. Radioactive energy stored in some of the elements provided an internal source of heat for the Earth that accounts for volcanoes, earthquakes, and the slow movements of continents. Sunlight stirred the materials on the surface of the Earth into chemical activity. Eventually this activity became organized in peculiar ways, and life began.

So far, so good. But there are new mysteries that are "out of this world," in the sense that matter and energy are involved in events far more violent than anything normally encountered on the Earth or even in the Sun. The paramount questions with which physicists are now wrestling can be paraphrased as follows: Why is hydrogen the raw material of the universe? Experiments with the nucleus of the hydrogen atom-the protonare undertaken in the big accelerators that transform the stuff of the atomic nucleus into bizarre, short-lived particles. These particles have properties, similar to electric charge, called the hypercharge and the baryon number. For example, the proton itself has, besides an electric charge of +1, a hypercharge of +1 and a baryon number of 1. However the particles may transform themselves in violent interactions, the totals of charge, hypercharge, and baryon number do not change.

Attempting to find out why this partial order remains amid the confused varieties of nuclear matter, theorists are led to the idea that the particles we see consist of combinations of other, quite different particles that they have named quarks. An early success of this theory was the prediction of the existence of a new combination, a particle called the omega minus that eventually turned up in 1964 during an experiment with the big machine at the Brookhaven National Laboratory, Long Island, N.Y. The quarks themselves have not been discovered at the time of writing.

The next big leap in understanding may well come when the theory of how small pieces of matter behave is blended with the theory of gravity, which at present concerns the huge pieces of matter that make up our universe of galaxies, stars, and planets. With such a "unified" theory physicists may at last be able to answer that question about the raw material of the universe—why hydrogen? At the same time, we shall perhaps come to understand why matter was formed in the "big bang," with which (as many astronomers now suppose) the universe came into existence some 10,000,000,000 years ago, or why the "big bang" was not merely a "big flash."

Even so fundamental an advance would not exhaust the opportunity for fresh discovery in the physical sciences. Another set of pregnant problems results from very strange objects recently discovered in the sky, namely "hot" galaxies, quasars and pulsars. The quasars, in particular, are compact objects of such extraordinary energy that existing laws of physics seem scarcely able to account for them. The pulsars, which flash many times a minute, are also very odd, but less baffling. They are evidently remnants of exploded stars that have collapsed to the enormous density of the material of the atomic nucleus. If an ocean liner were compressed to the density of a pulsar, it would be no bigger than a grain of sand.

The evidence of the pulsars encourages a further idea one of the strangest in the whole history of man's study of matter and energy. In a pulsar, nuclear forces prevent collapse to even greater densities. But if the collapsed star were even more massive, gravity would be stronger and it would overwhelm even the nuclear forces. Then there would be nothing to stop the process until the whole star had collapsed to smaller than a peanut. Through the intense gravitational field thus set up, no light could escape, and the star would in effect disappear from the universe. Only its gravity would remain, like the grin of the Cheshire Cat in *Alice in Wonderland*, and, if a space traveler ran into one of these "black holes," he too would be drawn to the same invisible kernel, there to disappear forever—or at least until the laws of physics change.

The possibility that such black holes exist holds out a hope of explaining the quasars as objects of this kind from which material somehow "bounces" out. But that is only a little comfort when scientists have now to reexamine the theory of gravity, which they thought Einstein had cleared up 60 years ago, and to work out the implications of a universe peppered with black holes where the familiar laws of nature are unlikely to apply. There is even the uncomfortable suggestion that our whole universe may be just a big black hole in someone else's universe! Physics, the master science, cannot evade these new battles of the mind.

### Part One. Matter and Energy

Three points should be noted about the scope of Part One and its relations to other parts.

The sciences of physics, chemistry, and astronomy have themselves been the object of historical and analytical studies regarding their nature, scope, methods, and interrelations. Part Ten, on the branches of knowledge, is concerned with such studies. The outline in Section 10/32 of Part Ten deals with the sciences of physics, chemistry, and astronomy and treats their history, their nature and scope, and their principal problems and interrelations.

The design and operation of observational and experimental instruments are important in the development of the physical sciences. The treatment of scientific instrumentation is placed in Section 723 of Part Seven, on technology.

Accounts of the several kinds of mathematics used in observation and experiments, and in the derivation and application of physical theories, are set forth in Division II of Part Ten.

The three increasingly complementary physical sciences of physics, chemistry, and astronomy house the knowledge and the organizing theories about matter in all its dimensions, from subatomic particles to the cosmos, about all the states of matter, all the forms of energy, and all the interrelations of matter and energy.

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#### Division I. Atoms: Atomic Nuclei and Elementary Particles

The outlines in the two sections of Division I deal with subatomic and atomic physics.

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#### Section 111. The Structure and Properties of Atoms

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  - 2. Early philosophical speculations on the possible atomic nature of matter
  - 3. The scientific evidence for the existence and the nature of atoms
    - a. Developments in chemistry
    - b. The development of spectroscopy and the discovery of atomic spectra
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    - d. The discovery of X rays
    - e. The discovery of the radioactive transformation of one element into another
    - f. The Brownian movement of suspended particles
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  - 3. Detection and measurement of X rays
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  - 2. Interrelationships among the constants
  - 3. Standards of measurement

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the structure and properties of atoms

Analysis and Measurement, Physical and Chemical Atoms: Their Structure, Properties, and Component Particles Physical Science, Principles of Physical Sciences, The

#### MICROPAEDIA: Selected entries of reference information

#### General subjects

atom models:	Franck–Hertz
Aufbau principle	experiment
Bohr atomic model	Fraunhofer lines
electronic	ionization
configuration	potential
octet	magnetic
Rutherford atomic	resonance
model	spectral line series
shell atomic model	Stark effect
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results:	experiment
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Brownian motion	fundamental
electron	constants:
paramagnetic	molar gas constant
resonance	Planck's constant

laws and principles: complementarity principle Pauli exclusion principle quantum mechanics Schrödinger equation selection rule uncertainty principle wave-particle duality other: antimatter atom atomic mass atomic radius energy state excitation matter orbital positronium quantum quantum quantum x ray zero-point energy

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  - 7. Rates of radioactive transitions
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  - 8. Applications of radioactivity [see 723.G.8.]
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- G. The fusion of atomic nuclei
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  - a. Mechanisms of detection systems: ionization and charge collection, conversion of the distributed energy of the primary ionizing particle into light
  - b. Properties of ionization media
  - c. Major types of radiation detectors: scintillation counters, ionization detectors, spark chambers, cloud chambers, bubble chambers [see 723.F.7.]
  - d. Applications of radiation detectors in science, technology, and industry [see 723.G.8.]

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the atomic nucleus and elementary particles

Analysis and Measurement, Physical and Chemical	Radiation
Atoms: Their Structure, Properties, and Component Particles	Subatomic
Physical Sciences, The	Particles

MICROPAEDIA: Selected entries of reference information

#### General subjects

conservation laws and	liquid-drop model	radioactive isotope	Cherenkov
symmetry:	magic number	radioactive series	radiation
charge conjugation	magnetic	radioactivity	Compton effect
charge	resonance	subatomic particles:	de Broglie wave
conservation	nuclear magnetic	alpha particle	electron diffraction
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Eightfold Way	nuclear model	antiproton	electroweak theory
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#### Division II. Energy, Radiation, and the States and Transformation of Matter

[For Part One headnote see page 21.]

Division I deals with modern advances in subatomic and atomic physics.

The outlines in the first three sections of Division II treat, respectively, chemical elements, chemical compounds, and chemical reactions. The last five sections of this division are concerned with heat, thermodynamics, and the nonsolid states of matter; with the solid state of matter; with the mechanics of particles, rigid bodies, and deformable bodies; with electricity and magnetism; and with waves and wave motion.

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#### Section 121. Chemical Elements: Periodic Variation in Their Properties

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- B. The groups of the chemical elements in the long form of the periodic table: their occurrence, history, physical and chemical properties, principal compounds, production, and uses
  - 1. Hydrogen, its forms, isotopes, and compounds: water, its structure, forms, and physical and chemical properties
  - 2. The alkali metals, or the Group Ia elements of the periodic table: lithium, sodium, potassium, rubidium, cesium, francium
  - 3. The alkaline-earth metals, or the Group IIa elements of the periodic table: beryllium, magnesium, calcium, strontium, barium, radium
  - 4. The boron group of the elements, or the Group IIIa elements of the periodic table: boron, aluminum, gallium, indium, thallium
  - 5. The carbon group of the elements, or the Group IVa elements of the periodic table: carbon, silicon, germanium, tin, lead
  - 6. The nitrogen group of the elements, or the Group Va elements of the periodic table: nitrogen, phosphorus, arsenic, antimony, bismuth
  - 7. The oxygen group of the elements, or the Group VIa elements of the periodic table: oxygen, sulfur, selenium, tellurium, polonium
  - 8. The halogen elements, or the Group VIIa elements of the periodic table: fluorine, chlorine, bromine, iodine, astatine
  - 9. The noble gases, or the Group 0 elements of the periodic table, formerly called the inert gases: helium, neon, argon, krypton, xenon, radon
  - 10. The zinc group elements, or the Group IIb elements of the periodic table: zinc, cadmium, mercury
  - 11. The transition elements: elements with partly filled d or f orbitals occupying the middle portion of the periodic table
    - a. Individual elements of the first transition series: titanium, vanadium, chromium, manganese, iron, cobalt, nickel, copper
    - b. Individual elements of the second and third transition series: zirconium and hafnium, niobium and tantalum, molybdenum and tungsten, technetium and rhenium, ruthenium and osmium, rhodium and iridium, palladium and platinum, silver and gold

- c. The lanthanide elements [see B.12., below]
- d. The actinide elements [see B.13., below]
- 12. The rare-earth, or lanthanide. elements of the periodic table: scandium, yttrium, lanthanum, cerium, praseodymium, neodymium, promethium, samarium, europium, gadolinium, terbium, dysprosium, holmium, erbium, thulium, ytterbium, lutetium [see also 724.C.3.u.]
- 13. The actinide elements of the periodic table: actinium, thorium, protactinium, uranium, neptunium, plutonium, americium, curium, berkelium, californium, einsteinium, fermium, mendelevium, nobelium, lawrencium
- 14. The transactinide elements of the periodic table: unnilquadium (or rutherfordium), unnilpentium (or hahnium), unnilhexium, unnilseptium, unniloctium, unnilennium; heavier elements which have yet to be discovered but whose existence is extrapolated based on the periodic law
- C. Other classifications of the elements or groups of them
  - 1. Metals; semimetals, or metalloids; nonmetals
  - 2. Stable and radioactive elements
  - 3. Native and combined elements
  - 4. Noble metals, including the platinum group of metals
  - 5. Synthetic elements: transuranium elements
  - 6. Biologically active or essential elements [see 335.A.3.]
  - 7. Technologically significant elements [see 724.C.3.]
- D. The origin of the elements and their relative abundances in nature
  - 1. On Earth
    - a. In the crust [see also 214.C.]
    - b. In the hydrosphere [see also 222.B. and C.]
    - c. In the atmosphere [see also 221.A.1.]
    - d. In the biosphere
  - 2. In the solar system [see also 133.A.]
  - 3. In the stars [see also 132.D.7.b.]
  - 4. In the rest of the universe [see also 131.A.1.a.]

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with chemical elements: periodic variation in their properties

Chemical Compounds

#### Chemical Elements

#### MICROPAEDIA: Selected entries of reference information

#### General subjects

actinide elements:	fermium	thorium	rubidium
actinide	lawrencium	uranium	sodium
actinium	mendelevium	alkali metals:	alkaline-earth metals:
americium	neptunium	alkali metal	alkaline-earth
berkelium	nobelium	cesium	metal
californium	plutonium	francium	barium
cerium	protactinium	lithium	beryllium
einsteinium		potassium	calcium

magnesium radium strontium boron group: aluminum boron boron group element gallium indium thallium carbon group: carbon carbon group element germanium lead silicon tin halogen elements: astatine bromine chlorine fluorine halogen iodine hydrogen and its isotopes: deuterium hydrogen tritium nitrogen group: antimony arsenic bismuth nitrogen

nitrogen group element phosphorus noble gases: argon helium krypton neon noble gas radon xenon oxygen group: oxygen oxygen group element polonium selenium sulfur tellurium rare-earth elements: cerium dysprosium erbium europium gadolinium holmium lanthanum lutetium neodymium praseodymium promethium rare-earth metal samarium scandium terbium thulium

ytterbium vttrium synthetic elements. including the transuranium elements: americium berkelium californium curium einsteinium fermium lawrencium mendelevium neptunium nobelium plutonium promethium technetium transuranium element unnilennium unnilhexium unniloctium unnilpentium unnilguadium unnilseptium transition elements: chromium cobalt copper gold hafnium iridium iron manganese molybdenum

nickel niobium osmium palladium platinum rhenium rhodium ruthenium silver tantalum technetium titanium transition element tungsten vanadium zirconium zinc group: cadmium mercury zinc zinc group element other: allotropy Aufbau principle chemical element chemical symbol group lanthanide contraction metal metalloid nonmetal nucleosynthesis periodic law

#### Biographies

See Section 10/32 of Part Ten

INDEX: See entries under all of the terms above

#### Section 122. Chemical Compounds: Molecular Structure and Chemical Bonding

- A. The theory of molecular structure: its history and development
  - 1. Early concepts of molecular structure
  - 2. Quantum mechanical and electrostatic approaches to the theory of molecular structure
  - 3. Molecular bonds and shapes
    - a. Spatial arrangement of atoms: chains, rings, chelates, polymers
    - b. Isomers: structural isomers, stereoisomers
  - 4. Time-dependency properties of molecules
  - 5. Molecular structure and its relation to the properties of bulk matter
    - a. The physical properties of matter as affected by molecular size, shape, and interactions, and interactions of molecules with radiations and fields
    - b. The chemical behaviour of matter as determined by the nature of molecular bonds
    - c. The chemical, physical, and biochemical properties of a substance inferred from its known or postulated molecular structure

- B. Experimental and theoretical procedures for the determination of molecular structures
  - 1. The separation, isolation, and purification of chemical substances based on chemical equilibria and rate phenomena
    - a. By volatility differences: distillation, sublimation, evaporization
    - b. By chromatography: liquid phase, gas phase, thin layer
    - c. By solubility differences: precipitation, crystallization, zone melting, solvent extraction
    - d. By ion-exchange reactions
    - e. By electrophoresis and electrolytic methods
    - f. By mechanical methods: filtration, sedimentation, sieving, flotation, centrifugation
  - 2. Classical methods of qualitative and quantitative analysis
  - 3. Instrumental methods used to identify functional groups, molecular sub-units, and structural features
    - a. Spectrochemical methods: microwave, infrared, ultraviolet, Raman spectroscopy, colorimetry, atomic absorption spectroscopy
    - b. Mass spectrometry
    - c. Magnetic resonance spectrometry
    - d. Thermometric methods: thermogravimetry, calorimetry, cryoscopy
    - e. Radiochemical methods: radiometric analysis, activation analysis, isotopic dilution
    - f. Electrochemical methods: potentiometry, polarography, electrodeposition, oscillometry
  - 4. Diffraction methods for determining molecular structures: electron, X-ray, and neutron beam diffraction
  - 5. Physical methods used to determine optical activity, magnetic susceptibility, calorific values, heat of combustion, activation energy, and reaction rates
  - 6. The synthesis and characterization of derivatives, or specifically modified molecules
  - 7. The determination of molecular weight based on thermodynamic theory, on transport phenomena, and on known spatial arrangements of atoms in the solid state
  - 8. The principles of conformational analysis as related to molecular structure
  - 9. The scattering of molecular beams and its usefulness in the study of molecular interactions
- C. Spectra of molecules
  - 1. The theory of molecular spectra
  - 2. Types of molecular spectra: microwave, infrared, Raman, visible, and ultraviolet spectra
  - 3. The interpretation of molecular band spectra in determining molecular structure
- D. The theory of chemical bonding: its development and experimental bases
  - 1. Nonquantum treatments of chemical bonding
    - a. Early ideas and concepts of chemical bonding: valence
    - b. The early electronic theory of bonding
      - i. The nature of ionic bonds: shell theory, ion pairs
      - ii. The nature of covalent and coordinate bonds: the octet
    - c. Application of the quantum theory to atomic structure
  - 2. Quantum-mechanical treatment of chemical bonding
    - a. Atomic and molecular orbital concepts
    - b. Bonding in the hydrogen molecule
    - c. Bonding in simple polyatomic molecules
    - d. Quantum-mechanical calculations
  - 3. Other bonding effects: hydrogen bonding; metallic bonds in metals, intermetallic compounds, and coordination compounds; bonds in crystals, in weak associations, and in electron-deficient compounds
  - 4. Experimental observation of chemical bonding

- 5. Anomalous molecular structures, or molecular fragments with apparently anomalous valences: free radicals, carbenes, carbonium ions
- E. Systems of classification of chemical compounds or substances
  - 1. By their elemental composition or molecular structure: organic, inorganic, organometallic, and nonstoichiometric compounds
  - 2. By their bond type: ionic, covalent, and coordination compounds
  - 3. By their chemical reactivity: acids, bases, and salts; oxidizing and reducing agents
  - 4. By their physical state: gas, liquid, and solid
  - 5. By their origin: natural and synthetic

#### F. Inorganic compounds

- 1. Nomenclature of binary, ternary, and coordination compounds
- 2. Structural classification of inorganic compounds
  - a. Salts
  - b. Oxides, anhydrides, acids, and bases
  - c. Coordination compounds
  - d. Organometallic compounds [see G.1.c., below]
  - e. Catenates
  - f. Inorganic polymers
  - g. Special nonmetallic derivatives
- 3. Methods of preparation of inorganic compounds
- 4. Reactions of inorganic compounds; *e.g.*, acid-base, substitution, isomerization, oxidation-reduction, addition
- G. Organic compounds
  - 1. The major groups of organic compounds: their nomenclature, chemical and physical properties, synthesis, occurrence, reactions, and analysis
    - a. Hydrocarbons: aliphatic and aromatic
    - b. Organic halogen compounds: alkyl, alkenyl, and alkynyl halides; aryl halides
    - c. Organometallic compounds
    - d. Alcohols, phenols, and ethers
    - e. Carboxylic acids and their derivatives
    - f. Aldehydes, ketones, and their derivatives
    - g. Organic nitrogen compounds
    - h. Organic sulfur compounds
    - i. Organic phosphorus compounds
    - j. Organic silicon compounds
    - k. Heterocyclic compounds
    - 1. Oils, fats, and waxes
    - m. Carbohydrates
    - n. Amino acids, proteins, and peptides
    - o. Isoprenoids and terpenes
    - p. Steroids and their derivatives
    - q. Nucleotides and nucleosides
    - r. Nucleic acids: DNA and RNA
    - s. Alkaloids
    - t. Dyestuffs and pigments
    - u. Organic polymers

- 2. Preparation and purification of organic compounds
- 3. Physical properties of organic compounds
- 4. Reactions of organic compounds: addition, substitution, displacement, hydrolysis, pyrolysis, condensation, polymerization, molecular rearrangement

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with chemical compounds: molecular structure and chemical bonding

Biochemical Components of Organisms Chemical Compounds

MICROPAEDIA: Selected entries of reference information

#### General subjects

alcohols and phenols:	amino acids, proteins,	gallic acid	esters:
alcohol	and peptides:	lactic acid	ester
amyl alcohol	amino acid	maleic acid	ethyl acetoacetate
butyl alcohol	collagen	malonic acid	lactone
cetyl alcohol	glutamic acid	oxalic acid	polyester ethers:
chlorophenol	glutamine	peroxy acid	ether
cresol	gluten	salicylic acid	ethylether
ethyl alcohol	histidine	soap	polyether
fusel oil	histone	stearic acid	heterocyclic
glycerol	hydroxyproline	succinic acid	compounds:
glycol	insulin	tartaric acid	coumarin
methanol	keratin	coordination	furan
naphthol	myoglobin	compounds:	imidazole
phenol	pepsin	chelate	indole
phytol	peptide	coordination	lactone
picric acid	prolamin	compound	melamine
propyl alcohol	protein	coordination	purine
pyrogallol	proteolytic enzyme	number	pyran
resorcinol	renin	effective atomic	pyrazine
aldehydes and	scleroprotein	number	pyrazole
ketones:	serotonin	ligand	pyridine
acetone	transaminase	ligand field theory	pyrimidine
aldehyde	carbides.	metal carbonyl	pyrrole
benzaldehyde	carbide	dyes and pigments:	quinoline
ethyl acetoacetate	silicon carbide	alizarin	thiazine
formaldehyde	tungsten carbide	anthraquinone	thiazole
ketone	carbohydrates:	anthraquinone dye	thiophene
alkaloids:	carbohydrate	auxochrome	hydrocarbons:
alkaloid	cellulose	azo dye	acetylene
atropine	disaccharide	carmine	benzene
caffeine	glucose	chlorophyll	biphenyl
cocaine	glycoside	chromophore	butadiene
codeine	monosaccharide	cochineal	butane
curare	pectin	dye	butene
ephedrine	polysaccharide	flavonoid	ethane
heroin	starch	indigo	ethylene
ibogaine	sugar	lake	hydrocarbon
mescaline	carboxylic acids:	melanin	methane
morphine	acetic acid	porphyrin	naphthalene
nicotine	benzoic acid	Prussian blue	olefin
piperine	butyric acid	quercitron bark	paraffin
quinidine	carboxylic acid	triphenylmethane	hydrocarbon
quinine	citric acid	dye	propane
scopolamine	fatty acid	ultramarine	styrene
theophylline	formic acid		

toluene xylene inorganic acids and oxides: acid carbon dioxide carbon monoxide Drv Ice hydrogen chloride hydrogen cyanide hydrogen ion nitric acid nitric oxide nitrous acid nitrous oxide oxide phosphoric acid phosphorous acid rare-earth metal silica gel silicic acid sulfur oxide sulfuric acid water glass inorganic nitrogen compounds: ammonia ammonium hydroxide azide hydrazine hydroxylamine isoprenoids and terpenes: abietic acid camphor carotene isoprene limonene menthol pinene terpene methods of chemical analysis: assaving chemical precipitation chromatography colorimetry countercurrent distribution differential thermal analysis electrophoresis gas chromatography gel chromatography gravimetric analysis iodine value

nephelometry and turbidimetry paper chromatography polarimetry polarography qualitative chemical analysis quantitative chemical analysis spectrochemical analysis thin-layer chromatography titration volumetric analysis molecular bonds and shapes: configuration conformation diastereoisomer enantiomorph isomerism optical activity racemate resolution strain theory tautomerism nucleic acids and their components: adenine adenosine triphosphate cytosine DNA guanine nuclease nucleic acid nucleoside nucleotide **RNA** thymine uracil oils, fats, and waxes: babassu palm castor oil Chinese wax cod-liver oil cohune oil copra cottonseed essential oil fat fish oil grease lard linseed lipid oil

phospholipid

pine oil sperm oil spermaceti tallow triglyceride wax whale oil organic halogen compounds: acid halide aldrin benzene hexachloride carbon tetrachloride chloral hydrate chlordane chlorobenzene chloroform chlorotrifluoroethylene cyanogen halide DDT dichlorobenzene ethyl chloride ethylene bromide ethylene chloride Freon halocarbon halon iodoform methyl bromide methyl chloride methylene chloride phosgene polychlorinated biphenyl tear gas tetrachloroethane tetrachloroethylene tetrafluoroethylene toxaphene trichloroethane trichloroethylene vinyl chloride vinylidene chloride organic nitrogen, sulfur, or phosphorus compounds: amide amine aniline azo compound benzidine biotin choline diazonium salt dimethoate ethanolamine

isocyanide nitrile nitro compound nitrobenzene nitroglycerin nitroso compound oxime parathion PETN phorate picric acid polysulfide sulfide sulfonamide sulfonic acid sulfoxide thiol thiourea urea xanthate organometallic compounds: carborane ferrocene Grignard reagent metal carbonyl tetraethyl lead peroxy compounds: hydrogen peroxide peroxide peroxy acid petroleum, gasoline. oil, and coal: gasoline kerosine microcrystalline wax napalm naphtha paraffin wax petrochemical petroleum polymers and resins; balsam copal copolymer dammar dragon's blood elastomer frankincense gamboge initiator latex Lucite macromolecule mastic monomer neoprene polyacrylonitrile polychlorotrifluoroethylene

polyester silver nitrate polyether polyolefin polystyrene polysulfide polysulfone polytetrafluoroethylene polyurethane polyvinyl alcohol polyvinyl chloride resin rubber silicone turpentine urea-formaldehyde resin vinyl compound salts: alum ammonium chloride ammonium nitrate lithium carbonate Rochelle salt saltpetre silane

soap steroids and their derivatives. aldosterone cholesterol corticoid cortisol cortisone ergosterol sapogenin saponin steroid steroid hormone testosterone theory of chemical bonding: chemical association chemical bonding covalent bond electronegativity ion ion pair ionic bond metallic bond orbital

resonance. theory of valence van der Waals forces water: anomalous water deliquescence efflorescence hard water heavy water hydrate ice steam water other: alicyclic compound alkali anhydride base carbanion carbene carbon disulfide carbonate carbonium ion carbonyl group chemical compound

chemical formula chemical indicator definite proportions. law of excitation functional group homologous series ion-exchange resin ketene lecithin litmus molecular sieve molecule multiple proportions, law of nonstoichiometric compound phenolphthalein phosphine quinone radical

#### Biographies

See Section 10/32 of Part Ten

INDEX: See entries under all of the terms above

#### Section 123. **Chemical Reactions**

A. General considerations of chemical reactions

- 1. Basic concepts involved in the study of chemical reactions: transformation, conservation of mass and energy, law of simple multiple proportions in compounds
- 2. Growth of major theories concerning chemical reactions
- 3. Classification and nomenclature of the principal kinds of chemical reactions
  - a. According to the relationship involved between the starting materials and the final products
    - i. Decomposition reactions
    - ii. Polymerization reactions
    - iii. Chain reactions
    - iv. Substitution reactions
    - v. Addition and elimination reactions
    - vi. Oxidation-reduction reactions [see F., below]
    - vii. Acid-base reactions [see E., below]
  - b. According to the energy changes involved [see B.1., below]
  - c. According to the reaction rates or chemical kinetics involved [see also C., below]
  - d. According to the reaction mechanism involved [see D.4., below]

- B. Energy changes in chemical reactions
  - 1. The classification of chemical reactions according to energy changes involved: exothermic and endothermic
  - 2. The significance of activation energy in chemical reactions
  - 3. Thermodynamic relations in chemical reactions: chemical equilibrium, free energy and entropy changes
- C. Rates of chemical reactions
  - 1. Factors that affect the rate or direction of chemical reactions
    - a. Solvents
    - b. Temperature
    - c. Pressure
    - d. Catalysts
    - e. Collisions
    - f. Light
    - g. Isotopic substitution
    - h. Molecular structure
  - 2. Factors that affect the kinetic order of chemical reactions: concentration of reactants, mechanism of reaction, conditions of the reaction
  - 3. Factors that affect the extent of chemical reactions: equilibrium constant
  - 4. Complex reactions: reactions governed by more than one reaction rate
  - 5. Experimental methods for studying chemical kinetics
    - a. Measurement of reaction rates
    - b. Determination of the order of reactions
    - c. Relaxation methods
  - 6. Kinetic studies as a means of elucidating reaction mechanisms
- D. Mechanisms of chemical reactions
  - 1. Factors influencing the course of a reaction: reactants, transition state, solvent, catalysts, products, reaction conditions
  - 2. Energy changes through single-stage and multi-stage processes
  - 3. Factors that reveal the mechanisms of a reaction: chemical and stereochemical nature of the reactants, intermediates, and products; kinetics of the reaction
  - 4. Classification of reaction mechanisms based on the nature of electron pairing in the transition state, on the nature of the attacking species, on the nature of catalysis, on the number of components of the transition state
  - 5. Mechanisms of the principal types of reactions: nucleophilic and electrophilic substitution, addition and elimination reactions
- E. Acid-base reactions and equilibria
  - 1. General properties of acids and bases
  - 2. Theoretical approaches to acid-base concepts
    - a. The definition of an acid as a substance that gives rise to hydrogen ions and of a base as a substance that gives rise to hydroxyl ions in aqueous solutions
    - b. The Brønsted-Lowry concept defining an acid as a proton donor and a base as a proton acceptor
    - c. The Lewis electronic theory defining an acid as an electron acceptor and a base as an electron donor
  - 3. Acid-base reactions
    - a. Proton-transfer reactions
    - b. Lewis acid reactions
    - c. Acid-base catalysis

- 4. Quantitative aspects of acid-base equilibria
  - a. Equilibria in aqueous solutions
  - b. Equilibria in nonaqueous solvents
  - c. Equilibria involving Lewis acids
  - d. The effect of molecular structure on acid-base equilibria
- 5. The experimental study of acid-base reactions and equilibria
- F. Oxidation-reduction reactions
  - 1. Major classes of oxidation-reduction reactions: oxygen atom transfer, hydrogen atom transfer, electron transfer
  - 2. Definitions of oxidation and reduction based on the reaction's stoichiometry
  - 3. Theoretical aspects of oxidation-reduction processes
    - a. The concept of oxidation state
    - b. Half reactions and the determination of redox potentials
    - c. Oxidation-reduction equilibria and reaction rates
    - d. Mechanisms of redox reactions
  - 4. Electrochemical reactions: chemical changes associated with the passage of an electrical current
    - a. The electrochemical process: types of reactions
    - b. Complex electrochemical reactions
    - c. The Nernst and Butler-Volmer equations
  - 5. Oxidation-reduction reactions in biological systems
  - 6. Oxidation-reduction reactions in combustion and flames
- G. Photochemical reactions
  - 1. The photochemical process
  - 2. Experimental methods used in the study of the photochemical process and photochemical reactions
  - 3. The application of photochemical processes
- H. Chemical reactions and chemical theory in the synthesis of chemical compounds
  - 1. Factors that affect the choice of a specific synthetic path
  - 2. Factors that affect the choice of reaction conditions
  - 3. The separation and purification of reaction products [see 122.B.1.]
  - 4. The identification, characterization, and analysis of reaction products [see 122.B.2. through 9.]

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with chemical reactions

Chemical Reactions Physical Sciences, The

#### MICROPAEDIA: Selected entries of reference information

#### General subjects

acid-base reactions and equilibria: acid-base reaction	Lewis theory pH catalysis of reactions:	electrochemistry: anodizing electrical double	electromotive series Faraday's laws of
Brønsted-Lowry	acid-base catalysis	layer	electrolysis
theory	catalysis	electrochemical	kinetics and
buffer	catalyst	reaction	mechanism:
hydrogen ion	catalyst poison	electrochemistry	activation energy
hydroxide	Ziegler–Natta	electrolysis	Arrhenius equation
	catalyst	electrolytic cell	chain reaction

chemical	oxidation and	preparative	others:
equilibrium	reduction:	procedures:	chemical reaction
chemical	antioxidant	alkylation	equivalent weight
intermediate	combustion	asymmetric	Hess's law of heat
collision theory	oxidation-reduction	synthesis	summation
initiator	reaction	chemical synthesis	heterogeneous
inversion	spontaneous	condensation	reaction
isotopic	combustion	reaction	homogeneous
fractionation	photochemistry:	hydrogenation	reaction
Markovnikov rule	actinometer	hydrolysis	reaction, heat of
mass action, law of	photochemical	ion-exchange	
microscopic	equivalence law	reaction	
reversibility,	photochemical	isomerization	
principle of	reaction	polymerization	
reaction rate	photolysis	sulfation	
relaxation	photosensitization		
phenomenon			
transition-state			
theory			
Biographies			

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#### Section 124. Heat, Thermodynamics, and the Nonsolid States of Matter

- A. The principles of thermodynamics
  - 1. The description of physical phenomena based on the concepts of system, state of a system, and changes of state
  - 2. The first law of thermodynamics
  - 3. The second law of thermodynamics
  - 4. Stable equilibrium
    - a. Equations relating properties of systems that are in, or are passing through, stable equilibrium states
    - b. Temperature considered as the potential governing the flow of energy between systems
    - c. Heat
      - i. The definition of heat as a form of energy transferred from one body to another under the influence of a difference in temperature
      - ii. Theories of heat: the phlogiston theory, the caloric theory, the kinetic molecular theory
      - iii. Heat transfer in matter: heat conductivity in solids, convection in liquids and gases, heat transfer in boiling liquids, evaporation and condensation
      - iv. Technical applications of heat energy [see 721.B.7. and 725.A.5.a.]
      - v. Heat and its relation to entropy, work, and change of energy
  - 5. Thermodynamic relations in simple systems
    - a. The Carnot cycle
    - b. Maxwell's equations relating entropy to pressure, volume, and temperature for closed systems that assume only stable equilibrium states
    - c. Phase changes and equilibria
    - d. Simple one-component systems: processes at constant volume and at constant pressure; the equation of state, which relates pressure, volume, and temperature for stable equilibrium states
    - e. Simple multicomponent systems: the Maxwell relations, Dalton's law for mixture of gases, Raoult's law and Henry's law for ideal solutions

- f. Bulk flow
- g. Equilibrium in chemical reactions [see 123.B.3.]
- 6. The third law of thermodynamics
- 7. The effects of applied force fields on simple systems
- 8. Steady rate processes; *e.g.*, systems approaching stable equilibrium, flow of a substance through a barrier
- 9. Statistical thermodynamics
  - a. The laws of thermodynamics that consider the detailed microscopic structure of physical systems and the states of such systems
  - b. Statistics of grand systems
- B. The gaseous state of matter
  - 1. The nature and properties of a gas
  - 2. The thermodynamic approach to gases: the macroscopic view that deals with bulk measurable properties
    - a. The simple gas laws
    - b. The thermal equation of state for perfect gases
    - c. Empirical equations of state for real gases
  - 3. The particle-description approach to gases
    - a. The distribution function
    - b. The Boltzmann transport equation and the single-particle distribution function
    - c. The N-particle distribution function and the thermodynamic-equilibrium properties and transport properties of dense gases
    - d. The behaviour of a gas at the hydrodynamic and thermal relaxation stages
- C. The liquid state of matter
  - 1. The behaviour and properties of liquids at equilibrium
  - 2. The molecular structure of liquids based on distribution functions, which measure the probable distribution of some property of molecules through the liquid
  - 3. Properties of liquids
    - a. Transport properties
    - b. Acoustic properties: propagation of sound waves
    - c. Electrical and magnetic properties
    - d. Thermodynamic properties
    - e. Optical properties
    - f. Surface tension
- D. Solutions and solubility
  - 1. General classes of solutions: electrolytes and nonelectrolytes, solutions of weak electrolytes, endothermic and exothermic solutions
  - 2. Properties of solutions
    - a. Composition ratios: molarity, molality, mole fraction
    - b. Equilibrium properties: correlation of the vapour pressure of a solution to its composition
    - c. Colligative properties: rise in boiling point, decrease in freezing point, osmotic pressure
    - d. Transport properties: viscosity, thermal conductivity, diffusivity
  - 3. Thermodynamic and molecular aspects of solvent and solute interactions
    - a. Energy considerations: entropy, enthalpy, Gibbs free energy
    - b. Effects of molecular structure and weak intermolecular forces
    - c. Effects of chemical interactions; e.g., hydrogen bonding, chemical combinations
  - 4. General theories of solution: the prediction of solubility and solution properties

- a. Solutions of nonelectrolytes: Raoult's law and Henry's law for ideal solutions; theoretical expressions for the excess properties of regular athermal, associated, and solvated solutions
- b. Solutions of electrolytes: Debye-Hückel theory and modifications, Arrhenius dissociation theory
- 5. Effects of temperature and pressure on the solubility of solids and gases
- E. Physical effects at surfaces
  - 1. Surface tension and surface energy: cohesion and adhesion
  - 2. Adsorption on liquid and solid surfaces
  - 3. Tribological phenomena, the mechanical and physical effects at interfaces: friction, wear, lubrication
  - 4. Colloids: the kinds of dispersions and their properties and preparation
    - a. Irreversible colloidal systems: lyophobic sols, emulsions, foams, pastes, gels
    - b. Reversible colloidal systems: solutions of polymers and proteins, solutions of soaps and dyes
- F. The plasma state of matter: completely ionized gases interacting with magnetic and electric fields
  - 1. Basic plasma properties and parameters: electrical quasineutrality, electron density, kinetic temperature, particle velocities, magnetic and electric field strengths
  - 2. Elastic and inelastic collisions of plasma particles
  - 3. Radiation from plasmas; e.g., X rays, synchrotron radiation, excitation radiation
  - 4. The formation of plasmas
  - 5. The behaviour of plasmas in magnetic and electric fields
  - 6. The determination of plasma variables
  - 7. Fluidlike behaviour in plasmas
  - 8. Applications of plasmas; *e.g.*, power production, jet propulsion [see 112.G.4., 721.B.8.a., and 721.C.3.]
  - 9. The existence of plasmas in nature: in the extraterrestrial medium, in the Sun and stars, on Earth
- G. The properties of matter at extreme conditions
  - 1. Properties of matter at low temperatures
    - a. Effects of low temperature on entropy, heat capacity, magnetic properties, and conductivity
    - b. Special physical phenomena at very low temperatures: superconductivity, superfluidity
    - c. Special methods for obtaining and characterizing low temperatures: adiabatic cooling, adiabatic dilution
  - 2. Special properties of matter at high temperatures
  - 3. Effects of high pressure on the physical, chemical, electronic, and magnetic properties of matter
- H. Transport phenomena
  - 1. The kinetic molecular theory of the transport properties of gases, liquids, suspensions, and polymers
  - 2. Phenomenological expressions of transport
  - 3. Hydrodynamic aspects of transport phenomena
  - 4. Transport phenomena in macrosystems

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with heat, thermodynamics, and the nonsolid states of matter

Matter: Its Properties, States, Varieties, and Behaviour Physical Sciences, The Thermodynamics, Principles of
MICROPAEDIA: Selected entries of reference information

General subjects caloric theory phase rule colloids: convection thermal fusion aerosol colloid heat transfer vaporization solutions and dialysis thermal emulsion conduction solubility: foam liquid state of matter: amalgam capillarity Arrhenius theory gel gaseous state of detergent exsolution diffusion Henry's law matter: fluid ideal solution Avogadro's law Boyle's law glass saturation liquid solid solution Dalton's law solution degenerate gas osmosis diffusion superfluidity thermodynamics fluid surface-active and statistical gas agent mechanics: kinetic theory of surface tension absolute zero gases phase changes and canonical Maxwellequilibria: ensemble Boltzmann boiling point carnot cycle condensation distribution law energy, mean free path critical point equipartition of distillation perfect gas enthalpy van der Waals eutectic entropy forces freezing point free energy heat transfer in latent heat freedom, degree of melting point Hamiltonian matter: phase function adiabatic demagnetization phase diagram heat

#### heat capacity internal energy Lagrangian function Maxwell's demon Rankine cycle reversibility specific heat temperature thermodynamics other: adsorption cohesion friction liquid crystal plasma Stefan-Boltzmann law thermal expansion transport phenomenon tribology wear

#### **Biographies**

See Section 10/32 of Part Ten

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#### Section 125. The Solid State of Matter

- A. Crystals and crystallography
  - 1. Patterns of atoms in crystals
    - a. The three-dimensional periodic arrangement of atoms in crystals: crystal planes and their notation
    - b. Symmetry considerations in the classification of crystal systems
  - 2. Diffraction of X rays, electrons, and neutrons by crystal structures
  - 3. Processes of crystal growth
    - a. Theoretical aspects of crystal growth: energy considerations, growth of eutectics, constitutional supercooling, nucleation
    - b. Preparation of crystals: monocomponent and polycomponent crystal growth
  - 4. Imperfections and dislocations in crystalline materials and their effects on the properties of the crystals
  - 5. Effects of temperature, pressure, and alloying on the strength and hardness of crystals
- B. The theory of the crystalline solid state
  - 1. The classification of solids according to their electronic structure and bonding: ionic solids, covalent solids, metallic solids, molecular solids, hydrogen-bonded solids

- 2. The arrangement of atoms in crystalline solids [see A.1.a., above]
- 3. The elastic and plastic properties of solids
- 4. The thermal and thermodynamic properties of solids: specific heat, thermal conductivity
- 5. The electronic structure of solids
  - a. The nature and mobility of electrons in conductors, insulators, and semiconductors
  - b. Electron emission: thermionic emission, photoelectric emission, field emission
  - c. The nearly free electron approximation
  - d. The energy-band theory of the solid state
- 6. The principal types of magnetic behaviour exhibited by solids: paramagnetism, diamagnetism, ferromagnetism
- 7. The interaction of light with solids
  - a. The behaviour of solids illuminated with radiation: reflection, absorption, or transmission of photons
  - b. The generation of electromagnetic radiation from the energy supplied to the solid
  - c. The photoelectric effect
- C. Ionic crystals
  - 1. Bonding in ionic crystals
  - 2. The structure of ionic crystals
    - a. Perfect ionic crystals
    - b. Defects in ionic crystals: Frenkel defect, Schottky defect, colour centres
  - 3. Properties of ionic crystals
    - a. Vibrational and electronic properties
    - b. Thermal properties
    - c. Polarizing and diffusion properties and the nature of ionic conduction
    - d. Optical properties
- D. Metals
  - 1. Structural aspects of metals and alloys
  - 2. Elementary description of metals: the use of the free electron model to explain thermal and electrical conductivity of metals
  - 3. The electronic structure of metals and related effects
    - a. The interaction between the periodic lattice and the conduction electrons: the weak pseudopotential
    - b. Electron motion in a magnetic field and conduction-related effects
  - 4. Band structure and properties of metal groups: alkali metals, semimetals, noble metals, transition metals
  - 5. Lattice vibrations: interaction between ions; interaction between electrons, phonons, and dispersion
  - 6. Metal surface phenomena: thermionic and field emission of electrons, electron tunnelling, photoemission, free carrier absorption and interband transitions
  - 7. Many-body effects: plasma oscillations, spin waves, Fermi liquid theory, dynamic effects and shake-off electrons
  - 8. Superconductivity in metals
    - a. Thermal properties of superconductors: transition temperature, specific heat and thermal conductivity, energy gaps
    - b. Magnetic and electromagnetic properties of superconductors: critical field, Meissner effect, phase coherence effects
  - 9. Magnetic phenomena in metals: diamagnetism, paramagnetism, ferromagnetism, antiferromagnetism, nuclear magnetic resonance

- E. Semiconductors and insulators
  - 1. General properties of semiconductors and insulators
  - 2. Mechanisms of conduction: mobility of charged particles and electrons in solids
  - 3. Electrical conduction in semiconductors
    - a. Chemical approach: impurity conduction, hopping process
    - b. Physical approach: energy band and gaps, lattice vibrations, statistical properties
    - c. Extrinsic and intrinsic semiconductors
    - d. Measurement of conductivity and of energy gaps
  - 4. Principles involved in semiconductor applications
    - a. Optical effects: photoelectric effect, photovoltaic effect, luminescence
    - b. Electrical and related effects: hot electron effects, thermoelectric effects
    - c. Junction effects
    - d. Pressure and stress effects
- F. The glassy or amorphous state of matter
  - 1. Effects of temperature and composition on glass properties
  - 2. The structure of glass
  - 3. General properties of glasses: mechanical, chemical, optical, and electrical properties

MACROPAEDIA: Major articles dealing with the solid state of matter

Matter: Its Properties, States, Varieties, and Behaviour Minerals and Rocks

#### MICROPAEDIA: Selected entries of reference information

#### General subjects

crystal systems:	polycrystal	photovoltaic effect	Bragg law
hexagonal system isometric system	single crystal electric currents and	semiconductor superconductivity	electronic work function
monoclinic system	related effects:	structural features of	exciton
orthorhombic	avalanche effect	crystals:	Fermi level
system	band theory	axis	Fermi surface
point group	BCS theory	Bravais lattice	free-electron model
space group	dopant	colour centre	of metals
tetragonal system	Gunn effect	Miller indices	Laue diffraction
triclinic system	Hall effect	polymorphism	pattern
trigonal system	hole	slip	liquid crystal
crystals:	insulator	Steno's law	magnon
crystal	Josephson effect	symmetry	metal
crystalline rock	Meissner effect	trap	metallography
crystallite	minority carrier	twinning	phonon
epitaxy	injection	vacancy	polaron
nucleation	mobility	other:	quasicrystal
optical	photoconductivity	allotropy	reststrahlen
crystallography	photoelectric effect	anisotropy	

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## Section 126. Mechanics of Particles, Rigid Bodies, and Deformable Bodies: Elasticity, Vibrations, and Flow

- A. The principles of classical mechanics
  - 1. The fundamental parameters and concepts of classical mechanics: matter, space, motion, time
  - 2. Statics, the equilibrium of systems at rest: force, friction
  - 3. Dynamics: motion of systems
    - a. Kinematics: motion of particles and rigid bodies without consideration of the forces producing the motion
      - i. Velocity and acceleration
      - ii. Rotation about a fixed axis
      - iii. Motion in a circular path
      - iv. Simple harmonic motion
      - v. Relative motion
    - b. Kinetics: motion of bodies under the action of forces upon them
      - i. Newton's laws of motion: the law of inertia, the law of force, the law of action and reaction
      - ii. Motion under a constant force
      - iii. Ballistics: phenomena and laws of projectiles and their propulsion, flight, and impact
      - iv. The motion of the pendulum
      - v. Newton's law of universal gravitation
      - vi. Kepler's laws of planetary motion
    - c. Impulse and momentum
    - d. Work and power
    - e. Energy
      - i. The concepts of energy and energy conservation
      - ii. Forms of energy and examples of energy transformations associated with each energy form
      - iii. The equivalence of mass and energy
    - f. The conservation of momentum
  - 4. Mechanics of nonrigid bodies
    - a. The collision of bodies or particles: centre of mass system, elastic collisions, inelastic collisions
    - b. Stiffness in mechanical vibrations
  - 5. Motion in a rotating frame of reference: inertia forces and Coriolis forces
  - 6. Mechanics of complex systems
    - a. The principle of virtual work
    - b. The rotation of spinning tops and gyroscopes
    - c. The precession and nutation of rotating bodies
    - d. Lagrange's and Hamilton's equations of motion
- B. Celestial mechanics
  - 1. The scope and history of celestial mechanics
  - 2. The two-body problem and perturbations that cause the orbits of planets and satellites to deviate from ellipses
  - 3. The three-body problem
  - 4. The general n-body problem

- C. Relativistic mechanics in inertial systems of reference
  - 1. Mechanical foundations of special relativity
  - 2. Relativistic kinematics
  - 3. The relationship between gravitational mass and inertial mass
- D. The stress dynamics of elastic materials
  - 1. The phenomenon of elasticity: stress-strain relationships
  - 2. Elasticity in viscous and crystalline bodies
  - 3. Elastic constants
  - 4. The theory of elasticity: mathematical expressions defining elastic properties
- E. Vibrations of elastic bodies
  - 1. The nature of vibrations: natural or free vibrations, damped and forced vibrations
  - 2. Vibrators and their sources of energy
  - 3. Types of vibrational waves: their properties and modes of propagation
  - 4. The behaviour of materials undergoing vibration
  - 5. Detection and utilization of vibrations [see 723.F.6. and 735.K.2.]
- F. Fluid mechanics, including gas dynamics
  - 1. General properties of fluids, ideal and actual: mechanical and thermodynamic properties
  - 2. Fluid statics and equilibrium
    - a. The basic equation of fluid statics
    - b. Fluid forces on plane and curved surfaces: analysis of forces, buoyancy, stability of floating and submerged bodies
  - 3. Fluids in motion: hydrodynamics and aerodynamics
    - a. Frictionless one-dimensional fluid flow
    - b. Flow in pipes and channels: laminar flow, turbulent flow, special types of flow
    - c. General two- and three-dimensional flow: mathematical conditions, vorticity, boundary layers, drag
    - d. Compressible fluid flow: isentropic flow, shock waves

G. Rheological phenomena: deformation and flow

- 1. Continuum mechanics
  - a. Kinematics of deformation and flow: strain, shear, compression, elongation
  - b. Dynamics: balance of forces and torques
- 2. Constitutive equations: stress-deformation relations in different media
- 3. Yield strength of materials: fracture and fatigue
- 4. The application of molecular theories to explain rheological phenomena

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the mechanics of particles, rigid bodies, and deformable bodies: elasticity, vibrations, and flow

Energy Conversion Matter: Its Properties, States, Varieties, and Behaviour Mechanics: Energy, Forces, and Their Effects

MICROPAEDIA: Selected entries of reference information

#### General subjects

deformation and	deformation and	Hooke's law	slip
elasticity:	flow	plasticity	strain
bulk modulus	elasticity	shear modulus	stress

tensile strength statics yield point velocity Young's modulus energy: elementary classical energy mechanics: kinetic energy acceleration mechanical energy collision potential energy power d'Alembert's work principle fluid mechanics: dynamics force Archimedes' principle gravity, centre of austausch inertia kinematics coefficient Bernoulli's kinetics theorem mass boundary layer mechanics capillarity momentum motion cavitation convergence and motion. equation of divergence Newton's law of eddy gravitation fluid Newton's laws of fluid mechanics motion Froude number position vector hydraulics

laminar flow Mach number Magnus effect Pascal's principle Revnolds number terminal velocity Torricelli's theorem turbulent flow viscosity rotary motion: angular momentum angular velocity centrifugal force Coriolis force couple inertia, moment of precession reduced mass torque uniform circular motion vibrations: damping pendulum

periodic motion reduced mass resonance simple harmonic motion vibration others: action ballistics celestial mechanics chaos density equilibrium equivalence principle escape velocity Kepler's laws of planetary motion pressure reference frame specific gravity statistical mechanics

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#### Section 127. Electricity and Magnetism

- A. The static electric charge
  - 1. General phenomena of static electricity
    - a. The basic laws of electrostatics that relate the interaction of charged bodies at rest
    - b. The electrostatic field
    - c. The electric dipole
    - d. Electrostatic energy and force
    - e. Electricity in the atmosphere [see also 212.C., 221.A.3.b., and 223.B.2.]
  - 2. Electrostatics of dielectrics and capacitors: polarization
  - 3. Electrostatic potential
    - a. High-voltage phenomena
    - b. Electric fields and potential distributions in two and three dimensions
  - 4. Measurement of electrostatic forces and fields [see 723.D.1.e.]

#### B. Moving charges and electric currents

- 1. Direct electric current: current that flows in one direction
  - a. General phenomena of moving electric charges: definitions of electric quantities and their units
  - b. Electromotive force
  - c. Behaviour of direct currents in electric circuits: Ohm's law; Kirchhoff's laws; the principles of devices that measure or indicate the presence of current, potential difference, and resistance

- 2. The conduction of electricity
  - a. The motion of charged particles in an electric field
  - b. The mechanisms of the conduction of electricity: in a vacuum, in gases, in liquids and solids, in metals and semiconductors
  - c. Thermoelectric effects: phenomena in which electric energy is transformed into thermal energy or vice versa
  - d. Electron emission: thermionic emission, secondary emission, photoelectric emission
- 3. Alternating electric currents: current that reverses itself with uniform frequency
  - a. Faraday's law of electromagnetic induction
  - b. The mathematical and graphical representation of alternating currents
  - c. Basic laws of alternating current circuits
  - d. The detection and measurement of alternating currents and voltages [see 723.D.1.c.]
  - e. Parallel resonant circuits
  - f. Alternating current bridges for determining impedance
  - g. Propagation of electric waves in cables
  - h. Filters that select signals
  - i. Transient phenomena of alternating circuits
  - j. Eddy currents and skin, or surface, effects
  - k. Principles of generation and transmission of ac single- and multiphase power
- 4. Primary effects and properties of electric fields and currents
  - a. Magnetic effects of steady electric currents [see C.2., below]
  - b. Magnetic effects of changing currents [see C.4., below]
  - c. Force, energy, and power associated with electromagnetic fields
  - d. The generation of electromagnetic radiation by the changing of currents in circuits
- 5. Effects of electricity on matter
  - a. Piezoelectricity and applications of the phenomenon
  - b. Optical effects: electroluminescence, Kerr effect, Stark effect
  - c. Thermal effect: resistance heating
  - d. Chemical effects: electrolysis, electro-osmosis, electrophoresis
  - e. Bioelectric effects: effects associated with nerve, brain, and muscle action in which potential differences occur and can be influenced by applied potential
- C. Magnetism
  - 1. General phenomena of magnetic systems
  - 2. Magnetic effects of steady electric currents
    - a. The magnetic field of steady currents: Ampère's law, the law of Biot and Savart
    - b. The magnetic moment of a current loop
    - c. The magnetic field of a solenoid
  - 3. Motion of charged particles in magnetic and electric fields
    - a. The force on a moving charge
    - b. Motion of charges in uniform flux density
    - c. Motion of charges in combined electric and magnetic fields
    - d. Magnetic dipole moments: atomic moments, nuclear moments, magnetic resonance
  - 4. Magnetic effects of varying currents
    - a. The laws of electromagnetic induction
    - b. Inductance and magnetic energy

- 5. Properties of magnetic materials
  - a. The classification of magnetic substances
  - b. Induced and permanent atomic magnetic dipoles
  - c. Magnetism of matter
    - i. Diamagnetism
    - ii. Paramagnetism
    - iii. Ferromagnetism
    - iv. Antiferromagnetism
    - v. Ferrimagnetism
    - vi. Terrestrial magnetism [see also 212.B.]
  - d. Atomic structure and magnetism
- D. The theory of fields in physics
  - 1. The definition of a field in physics: the scope of field theory
  - 2. Mathematical treatment of fields
  - 3. Classification of fields: material and nonmaterial fields; scalar, vector, and tensor fields
  - 4. Examples of scalar, vector, and tensor fields in ordinary space
  - 5. Fields with distributions in more than three dimensions
- E. The electromagnetic field and the theory of electromagnetic radiation
  - 1. The classical theory of radiation
    - a. The development of concepts and theories concerning the nature of light
    - b. Semiquantitative treatment of electromagnetic radiation: Maxwell's equations for the electromagnetic nature of light
    - c. The electromagnetic spectrum
  - 2. The quantum theory of radiation
    - a. Evidences of the particle nature of electromagnetic radiation: Compton effect, photoelectric effect, Raman effect
    - b. The wave-particle duality of the photon
    - c. The interaction of electromagnetic radiation with atomic and molecular structures: absorption, emission, and scattering processes
    - d. The relation of electromagnetic radiation to quantum theory and relativity
  - 3. The mathematical formulation of electromagnetic theory
    - a. Maxwell's equations for electromagnetic fields and radiation
    - b. Transmission of radiation in free space
    - c. Wave equations in space bounded by conductors
    - d. Scattering of electromagnetic waves
    - e. Electromagnetic waves in material media
    - f. The functions of antennas
- F. Relativistic electrodynamics
  - 1. Electrodynamics in four-dimensional notation
  - 2. Applications of relativistic principles in the treatment of electromagnetic and nuclear force fields of relativistic particles

MACROPAEDIA: Major articles dealing with electricity and magnetism

Electricity and Magnetism Electromagnetic Radiation

MICROPAEDIA: Selected entries of reference information

#### General subjects

stationary electric charges and related phenomena: capacitance Coulomb force dielectric dielectric constant electret electric charge electric dipole electric displacement electric field electric polarization electric potential electric susceptibility electrostatic induction Stark effect electric currents and related phenomena: alternating current cathode ray charge carrier direct current electric current electrical impedance

electricity electromotive force Faraday's law of induction inductance Joule's law Kirchhoff's circuit rules Lenz's law Ohm's law Peltier effect reactance resistance resistivity Seebeck effect Thomson effect electricity in the atmosphere: ball lightning lightning Saint Elmo's fire electromagnetic fields and the theory of electromagnetic radiation: electromagnetic field electromagnetic radiation

ether infrared radiation Maxwell's equations Michelson-Morley experiment Planck's radiation law polarization Poynting vector radiation Raman effect Stefan-Boltzmann law thermal radiation ultraviolet radiation magnetic effects of electric currents: Ampère's law Biot-Savart law displacement current magnetic circuit magnetic field magnetic force magnetism magnetometer

magnetism of matter: antiferromagnetism Barkhausen effect Curie point diamagnetism ferrimagnetism ferromagnetism hysteresis magnet magnetic dipole magnetic permeability magnetic pole magnetic susceptibility magnetostriction paramagnetism other: electrostriction ferroelectricity Levden jar permittivity piezoelectricity Zeeman effect

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#### Section 128. Waves and Wave Motion

- A. General wave phenomena and the theory of wave motion
  - 1. General properties of waves: frequency, amplitude, wavelength, phase
  - 2. Classification of waves
    - a. Waves classified by the medium supporting the transmission of wave motion: water waves, sound waves, electromagnetic waves
    - b. Waves classified by the motion of particles in a wave: transverse, longitudinal, torsional, and cylindrical waves
    - c. Other classifications: bow waves and shock waves
  - 3. The theory of waves
    - a. General characteristics of vibratory motion: periodicity, group velocity, energy content
    - b. The velocity of waves
    - c. The wave equation: the space-time description of wave motion
    - d. Transport of energy and momentum
  - 4. The principle of superposition of waves
    - a. Standing waves: waves with stationary nodes

- b. Modulation of waves
- c. Pulse and wave trains
- 5. The behaviour of waves at boundaries or interfaces: reflection, transmission, refraction
- 6. The diffraction and interference of waves
- 7. The interaction of waves with matter: absorption, dispersion
- B. Electromagnetic waves
  - 1. Properties and behaviour of electromagnetic waves
  - 2. Waves of the electromagnetic spectrum and their properties
    - a. Radio waves
    - b. Microwaves
    - c. Infrared radiation
    - d. Visible light [see C., below]
    - e. Ultraviolet waves
    - f. X rays [see 111.D.]
    - g. Gamma rays
  - 3. Sources of incoherent electromagnetic waves
    - a. Sources of radio waves: oscillators, antennas, cosmic objects
    - b. Sources of microwaves: klystrons, magnetrons, Gunn diodes, tunnel diodes, cosmic sources
    - c. Sources of infrared, visible, and ultraviolet waves
      - i. Blackbody radiation
      - ii. Luminescence, fluorescence, phosphorescence
      - iii. The passage of electrical current through a resisting medium
    - d. Sources of X rays: X-ray tubes (bremsstrahlung), synchrotron radiation
    - e. Sources of gamma rays: radioactive nuclei
  - 4. Sources of coherent electromagnetic waves: lasers and masers
  - 5. The transmission of electromagnetic waves: through matter, through space, by wave guides and transmission lines
- C. Light waves
  - 1. Light as a wave motion: the wave theory of light
    - a. The properties of light consistent with the wave theory: diffraction, interference, polarization, dispersion
    - b. The spectrum of light: the description of colour in terms of wavelengths
  - 2. The velocity of light and its measurement
  - 3. Interference of light
  - 4. Diffraction phenomena
  - 5. Polarization
    - a. Superposition of polarized beams: plane, circularly, or elliptically polarized light
    - b. Double refraction: waves in anisotropic media
    - c. Characterization of polarized light by Stokes's parameters and Poincaré sphere
  - 6. Properties and behaviour of light waves based on Maxwell's equations of electromagnetic theory
  - 7. The interaction of light with matter
    - a. Reflection and refraction

- b. Dispersion and scattering
- c. Absorption: mechanical and chemical effects of light
- 8. The quantum theory of light: the photon
  - a. Observed photon phenomena: photoelectric effect, Compton scattering, Rayleigh scattering
  - b. The uncertainty principle in relation to the study of the phenomena of light
  - c. The detection and counting of photons
- 9. The separation of light into its constituent wavelengths, the analysis of light spectra
- 10. Sources of light
- 11. The biological effects of light, including photosynthesis [see 322.A. and 335.B.]
- D. The focusing and imaging of light waves
  - 1. Geometrical optics: the geometry of light rays and their image-forming properties through optical systems
    - a. Theoretical considerations: law of reflection, law of refraction, Lagrange theorem, Gauss theory of lenses
    - b. Optical systems: components, applications, lens aberrations, brightness of image formed
  - 2. Optics and information theory
    - a. Optical data processing
    - b. Holography: a two-step image-forming process using coherent light
- E. Sound waves
  - 1. The nature and properties of sound waves
  - 2. Shock waves and their characteristics
  - 3. Sources of sound waves
  - 4. The reception of sound
  - 5. Applications of acoustics
    - a. Recording and reproduction [see 735.F.]
    - b. Architectural and acoustical design [see 733.A.8.]
    - c. Speech and music [see 514.D.1. and 624.B.]
    - d. Military acoustical detectors [see 735.J.2.]
    - e. Noise control [see 733.A.8.]
  - 6. Physical aspects of musical sound
    - a. The special properties of musical sound: pitch, timbre, loudness; fundamentals and overtones
    - b. The production of sound waves by musical instruments

MACROPAEDIA: Major articles dealing with waves and wave motion

ColourOptics, Principles ofElectromagnetic RadiationSoundLightLight

MICROPAEDIA: Selected entries of reference information

#### General subjects

behaviour and	
properties of waves:	
absorption	

amplitude beat Brewster's law diffraction dispersion Doppler effect double refraction Faraday effect Fermat's principle

frequency	infrared radiation	lens	whistler
Huygens' principle	light	light modulator	white noise
interference	luminescence	magnification	other:
longitudinal wave	phosphor	mirror	aureole
moiré pattern	phosphorescence	optical image	Cellini's halo
Newton's rings	radiation	optics	halo
phase	rainbow	periscope	Michelson-Morley
Rayleigh scattering	spectrum	prism	experiment
reflection	thermoluminescence	projection screen	mirage
refraction	ultraviolet	projector	Mössbauer effect
Snell's law	radiation	pupil	Munsell colour
standing wave	X ray	relative aperture	system
transverse wave	lasers and masers:	spectroscopy	photoelasticity
wave front	laser	stereoscopy	pleochroism
wave motion	maser	sound waves:	Poynting vector
wave number	optical pumping	combination tone	Stokes lines
wave velocity	stimulated	loudness	wave-particle
wavelength	emission	overtone	duality
Young's	manipulation of light	pitch	
experiment	waves:	resonance	
electromagnetic	aberration	resonator	
waves:	aperture	shock wave	
chemiluminescence	collimator	siren	
colour	critical angle	sound	
electroluminescence	diffraction grating	sound barrier	
electromagnetic	diopter	sound intensity	
radiation	fibre optics	timbre	
ether	Fresnel lens	tone	
gamma ray	holography		
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#### **Division III.** The Universe: Galaxies, Stars, the Solar System

[For Part One headnote see page 21.]

The outlines in the three sections of Division III deal with the subject matter of cosmology and cosmogony, of astronomy, and of astrophysics.

Accounts of the complex instrumentation involved in these disciplines are set forth in Section 723 of Part Seven. Historical and analytical studies of the nature and scope of astronomy and astrophysics are set forth in Section 10/32 of Part Ten.

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133. The Solar System 56

#### Section 131. The Cosmos

- A. The structure and properties of the universe
  - 1. Basic data for the universe
    - a. The estimated chemical composition of the universe [see also 121.D.]
    - b. The large-scale structure and behaviour of the universe: evidence that the universe is expanding, Hubble's law and the theory of the red shift
    - c. The age of the universe
    - d. The clustering of galaxies
    - e. Cosmic microwave background radiation

- f. The missing mass problem
- g. Space-time: a four-dimensional continuum used to describe the universe
- 2. Cosmological models: theoretical representations of the original behaviour of the universe [see E.1., below]
- 3. The known and postulated components of the universe
  - a. Distant galaxies [see 132.A.]
  - b. The Local Group of galaxies [see 132.A.1.c.]
  - c. Quasars and related objects, including such hypothetical phenomena as supermassive black holes at the centres of galaxies
  - d. Nebulae
  - e. Stars and stellar groups [see 132.C. and 132.D.]
  - f. Planetary systems: solar and extrasolar systems [see also 133.A.]
- B. Gravitation: a universal force of mutual attraction that is postulated as acting between all matter
  - 1. Development of gravitational theory
    - a. Early concepts: the Aristotelian viewpoint, contributions of Kepler and Galileo
    - b. Newton's law of gravity [see also 126.A.3.b.v.]
  - 2. Interpretation of gravity measurements
    - Potential theory: mathematical representation of the gravitational fields of irregular mass distributions [see also 10/22.D.2.c.]
    - b. Effects of local mass differences: measurement of small gravity anomalies
  - 3. Modern gravitational theory and its relation to other aspects of physical theory
    - a. Field theories of gravity and their general properties and predictions
    - b. Gravitational fields and the general theory of relativity: principles and consequences [see D.2., below]
  - 4. Acceleration of gravity on the Earth's surface [see 212.A.]
  - 5. The gravitational constant, G: methods of measurement, possible variation in time and space
- C. Celestial mechanics [see 126.B.]
- D. Properties of the space-time continuum: the astronomical implications of relativity theory
  - 1. The special theory of relativity
    - a. Historical background: the search for the ether
    - b. Relativity of space and time
    - c. Consequences of the special theory
  - 2. The general theory of relativity
    - a. Use of relativity to interpret gravitational phenomena
    - b. Experimental confirmation of the theory
    - c. Implications of general relativity
- E. The origin and development of the universe
  - 1. The development of the universe as a whole
    - a. Big-bang versus steady-state models of the universe

- b. Primordial nucleosynthesis
- c. The early universe: extrapolations backward in time to the beginning of the universe
- 2. The formation and development of components of the universe: galaxies, stars, the solar system [see also 132.B., 132.D., and 133.A.]
  - a. The origin and development of galaxies: protogalaxies
  - b. The formation and development of stars
  - c. The origin of the solar system
- 3. Time scale of the universe: dating of significant events in the history of the universe
- 4. Theories of the possible fate of the universe

MACROPAEDIA: Major articles dealing with the cosmos

Analysis and Measurement, Physical and Chemical Cosmos, The Gravitation Physical Sciences, The Relativity

MICROPAEDIA: Selected entries of reference information

#### General subjects

cosmology: big-bang model cosmology cosmos expanding universe Great Attractor Hubble's constant Mach's principle Olbers' paradox quasar steady-state theory	element synthesis: carbon cycle nucleosynthesis proton-proton cycle extraterrestrial life: Green Bank equation Ozma, Project gravitation: Cavendish experiment	free-fall gravitation gravitational radius Newton's law of gravitation weight weightlessness <i>relativity:</i> Einstein's mass- energy relation equivalence principle	Lorentz- FitzGerald contraction relativistic mass relativity time dilation other: cosmic ray ephemeris Scorpius X-1 supernova
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#### Section 132. Galaxies and Stars

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  - 2. Stellar velocities: the motions of stars with respect to the Sun, the motion of the Sun with respect to the Local Standard of Rest (LSR)
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    - c. The magnetic field of the Galaxy: its origin and its effects on cosmic rays, radio waves, and light
    - d. The rotation of the Galaxy: the differential rotation of stars, gas about the galactic centre
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  - iii. Evolution away from the main sequence
  - iv. Estimates of stellar ages
- b. Formation of chemical elements in stars
- c. Probable fates of stars: white dwarfs, neutron stars, black holes

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with galaxies and stars

Cosmos, The Galaxies Nebula Physical Sciences, The Stars and Star Clusters

#### MICROPAEDIA: Selected entries of reference information

#### General subjects

astronomical catalogs and instruments: AG catalog Almagest armillary sphere astronomical map Carte du ciel celestial globe Henry Draper Catalogue Hertzsprung-Russell diagram Messier catalog New General Catalogue of Nebulae and Clusters of Stars star catalog constellations: Aquarius Aries Cancer Capricornus constellation Crux Gemini Leo Orion Pisces Sagittarius Scorpius Taurus Ursa Major Virgo

galaxies: Andromeda Galaxy Cygnus A galaxy Maffei I and II Magellanic Cloud Milky Way Galaxy Seyfert galaxy Virgo A nebulae Crab Nebula Cygnus Loop Horsehead Nebula Lagoon Nebula nebula North American Nebula Orion Nebula Ring Nebula Strömgren sphere 30 Doradus Trifid Nebula radio and X-rav emission: cosmic rav forbidden lines pulsar radio source red shift Sagittarius A Scorpius X-1 synchrotron radiation

21-centimetre radiation star pairs and groups: binary star eclipsing variable star Pleiades star cluster stellar association stars: Algol Alpha Centauri Barnard's star Betelgeuse Bethlehem, Star of colour index Eta Carinae Fomalhaut Harvard classification system Kepler's Nova magnitude Mira Ceti Sirius star Sun Tycho's Nova stellar evolution: black hole carbon cvcle Chandrasekhar limit giant star neutron star

nucleosynthesis **Populations** I and II proton-proton cvcle white dwarf star variable stars: Cepheid variable eclipsing variable star flare star light curve long-period variable star nova supernova T Tauri star U Geminorum star variable star other: degenerate gas galactic coordinate H I region H II region infrared source interstellar medium light-year limb darkening parallax parsec

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- 5. Interactions among various bodies in the solar system: gravitational perturbations, actual physical encounters
- 6. Theories of the origin of the solar system: origin by an orderly process, origin by catastrophe [see also 131.E.2.c.]

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  - b. The chromosphere, the relatively transparent layer that forms a transition zone between the Sun's photosphere and corona: the flash spectrum, spicules, supergranulation
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- 2. Solar features that occur with increased frequency during the active phase of the solar cycle: the active Sun
  - a. Centres of activity: areas of localized strong magnetic fields at the Sun's surface
  - b. Sunspots: their physical nature, the sunspot cycle of about 11 years
  - c. Other features; e.g., faculae, prominences, flares, coronal condensations
- 3. The solar interior: energy generation, the evolution of the Sun [see also 132.D.7.]
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    - c. Uranus
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  - 3. Effects of the Earth's orbital position and speed on astronomical observations
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    - b. The lunar surface features: craters, lineaments (*e.g.*, mare ridges, the lunar grid system, rilles), temporary or transient features
    - c. Theories of origin of the Moon's surface features: the volcanic and impact theories
  - 5. The origin and evolution of the Moon
    - a. Probable development of the Moon's orbit
    - b. Evidence from the composition and physical properties of the Moon
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  - 7. The Sun-Earth-Moon system
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      - ii. Motions of the Sun-Earth-Moon system as the astronomical basis of chronology: the day, month, and year; the Sothic cycle, Metonic cycle, and other complex cycles
    - b. Eclipses of the Sun and Moon
    - c. Tides in the Earth and in the Moon [see also 222.G.3.]

MACROPAEDIA: Major articles dealing with the solar system. See also Section 211 of Part Two

Calendar Earth, The: Its Properties, Composition, and Structure Eclipse, Occultation, and Transit Physical Sciences, The Solar System, The

MICROPAEDIA: Selected entries of reference information

#### General subjects

calendars:	Dionysian period	Gregorian calendar	Julian calendar
Aztec calendar	Egyptian calendar	intercalation	Julian period
calendar	French republican	international date	leap year
Chinese calendar	calendar	line	lunar calendar
day	Greek calendar	Jewish calendar	Mayan calendar

month Muslim calendar perpetual calendar Roman republican calendar solar calendar Tibetan calendar week vear comets: Arend-Roland. Comet comet Encke's Comet Halley's Comet Juniter<sup>.</sup> Amalthea Callisto Europa Ganymede Great Red Spot Io Jupiter Mars: Chryse Planitia Deimos Mars Olympus Mons Phobos Syrtis Major Tharsis Utopia Planitia Mercury: Caloris Mercury minor planets: asteroid Eros

Icarus Pallas Ra-Shalom Trojan planets Moon: Cassini's laws Copernicus libration Linné Mare Orientale Moon Tycho Neptune: Neptune Nereid Triton objects of extraterrestrial origin: achondrite carbonaceous chondrite chondrite chondrule meteor meteor shower meteorite meteoritics meteoroid Orgueil meteorite tektite Tunguska event planetary motion: aberration. constant of anomaly conjunction Copernican system

eclipse ecliptic equinox equinoxes. precession of the heliocentric system nutation occultation orbit orbital velocity parallax phase Ptolemaic system retrograde motion solstice synodic period tidal friction tide Tychonic system zodiac Pluto. Charon Pluto Saturn: Dione Enceladus Iapetus Mimas Phoebe Rhea Saturn Tethys Titan Sun chromosphere corona facula

flash spectrum

heliopause limb darkening photosphere solar cycle solar energy solar flare solar nebula solar prominence solar radiation solar wind Sun sunspot Uranus: Ariel Miranda Oberon Titania Umbriel Uranus Venus: Venus other: albedo Bode's law celestial mechanics Forbush effect gegenschein interplanetary medium mare planet Planet X planetesimal quadrature rille satellite solar system

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## Introduction to Part Two: The Great Globe Itself

by Peter J. Wyllie

We all have a sense of awareness and appreciation of the Earth; we all admire the scenery. One of the rewards of studying and understanding the Earth is the development of this sense to a greater extent. This development brings us closer to nature, closer to an awareness of some transcendental power, closer to God if we choose to define God in these terms. To "commune with nature" is to seek peace, but of course the Earth is not always peaceful and benevolent; sometimes it is powerful and savage. Even cities, the culmination of man's domination of the landscape, are not immune to the ravages of nature. They have been devastated by floods, wracked and ripped by tornadoes and hurricanes, ruined by ash or lava from volcanoes, and demolished by earthquakes. These events, too, we wish to understand.

Man's appreciation of the Earth begins with physical contact. This immediate experience of the senses is followed by the spiritual desire and need to understand where the Earth and its human observers came from, and why. The third stage of appreciation comes from scientific analysis and interpretation. Before we examine the relationship between man and the Earth in more detail, we should consider our position in the solar system and the universe.

Human civilization has developed and flourished in a small niche in space. Our home is perched on the surface of a sphere, enormous to us but tiny compared to the universe, that spins around its axis once each day while moving at a fantastic speed around the Sun, completing an orbit once each year. Although normally unaware of it, we too are spinning and moving at the same speed as the Earth, but we are held securely on the surface by the gravitational attraction of the mass of rocks beneath us.

The Sun, a huge globe of burning gas, provides the energy that fuels the activities and processes of our immediate environment, the boundary layer between the rocky surface of the Earth and the fluid envelope of air and water that separates the Earth from the starkness of space. The air and water nurture life and simultaneously protect it from the potentially damaging radiation and particles that approach the Earth from other parts of the solar system and beyond.

A view of the Earth from space differs markedly from what we see from within our own restricted environment at the Earth's surface. From where we stand, it appears that the Sun, the Moon, and the stars are moving in great arcs around the Earth, and it was once believed that this was the way of the universe. Man on his world was surely the centre of all things. But we know now that this is only a relative picture; although the Moon does orbit the Earth. the Earth–Moon system moves around the Sun, which is itself speeding through the universe.

We exist because the Earth exists, and we claim the Earth as our own by referring to it as Mother Earth, the universal provider. The Earth provides all of our material needs and satisfies some of our spiritual needs: "I will lift up mine eyes unto the hills, from whence cometh my help." A day in the mountains, at the seashore, or in the countryside sharpens that sense of awareness of the Earth which was compared above with an awareness of God.

Since he first appeared on Earth, man has wondered at nature's awesome beauty and trembled at its indomitable power. The dread engendered by the physical experience of nature on the rampage, in storms, floods, or earthquakes, has shaped the development of primitive religions. Mystical or sacred attributes were assigned to natural objects and phenomena, and ceremonies were devised to honour and placate the unknown powers. Modern man has become increasingly insulated from his natural surroundings, partly because he is separated from them by masses of concrete, partly because scientific investigation tends to dispel the mystery of nature. This is not to imply that no problems remain to be solved, but we have learned enough to be reasonably sure that all are ultimately explicable in terms of rational science. Therefore, we no longer feel the need to populate the sky, mountains, trees, and winds with gods, spirits, and souls. But we can still enjoy the sensuous and spiritual appreciation of the Earth and retain or rediscover the intimacy with our natural surroundings that was experienced by primitive man.

One of the appealing aspects of Earth study is that wherever we go, our favourite subject is right there with us. There is always something new to be seen, to be admired, or to be examined in detail. While traveling in a commercial airliner, a meteorologist can examine the upper portions of the clouds as a change from his normal ground-based view and can track the flight right through the fronts and the high- and low-pressure regions charted on the newspaper weather map in his lap. An oceanographer flying over the coastline can see at a glance the large-scale patterns in the waves rolling shoreward and the effect of coastal prominences on these patterns. A geologist peering through the plane window can examine the distribution of hills and valleys laid out below him, gaining a bird's-eye view to supplement the pattern of features that he had previously seen only on maps. These pleasures are not reserved for the professional Earth scientist. Anyone can observe the Earth and Earth processes in action, almost anywhere.

Man is a curious species; he needs to know how and why things happen. The simple, visual pictures of nature are beautiful, awe-inspiring, and on occasion terrifying, but they can be more satisfying if they invoke a series of additional images. Just as one's appreciation of any work of art is enhanced by knowing something of the artist and his position in art history, so one's appreciation of nature's pictures is enhanced by knowing something about natural history. For a full appreciation of the splendour of mountain peaks rising abruptly from the plains, reaching for the puffs of cloud that ride above them, we need to know something of the processes that raise mountains or were they always there? We need to know something of the winds that carry moisture from the oceans to the skies, because we see that the clouds come, change their shapes, and then disappear. We can gain a great deal by learning a little about the scientific approach to appreciation of the Earth. And it is not at all difficult for the nonprofessional to read about and to understand many of the necessary concepts.

Two of the most troublesome concepts are time and size-dimensions that distinguish the Earth sciences from any other Earth-bound subject. It is very difficult for us to grasp the meaning of the statement that the Earth formed 4,600,000,000 years ago. Similarly, the enormous volume of water in the oceans or the volume of rocks in a mountain range almost defy comprehension. We have been considering the Earth and scenery as it is exposed to us at the present. But when we study the Earth, we realize that the present scenery is merely a transient feature in the immense span of geological time. Early students of the Earth were hampered by the belief that the Earth was only a few thousand years old. Many of them were seeking answers to two recurrent questions that we find throughout human history. How and when was the Earth formed? How and when was man formed? Attempts to answer these questions are responsible for many myths and religions in various cultures, both ancient and modern.

In the early part of the 19th century the study and interpretation of rocks led geologists to conclude that the Earth must be of far greater antiquity than the age implied by a literal interpretation of the Bible. They realized that the layers of rock now exposed at the surface contain records of the history of the Earth during the times that each layer was formed. One major branch of the Earth sciences is devoted to the discovery, translation, and interpretation of the "record of the rocks." Many rock layers enclose fossils, and these remnants of animals and plants serve as illustrations in the historical book of nature, making it possible to trace the development and changes of species through time.

Fossil hunting has been a popular pastime for many generations. With a little experience and a little knowledge, an amateur fossil hunter can add interpretation to his discoveries. From a few fossil shells and corals in a limestone, he can reconstruct in his mind's eye the whole flourishing community of life that once existed on a coral reef, now frozen into the rock record. A piece of coal, with fossil imprints of leaves, ferns, and other plant remains from which the coal was formed, can conjure up a picture of a luxuriant swamp of 300,000,000 years ago, populated by strange beasts long since vanished from the Earth. The history of the Earth, the evolution of life, and the origin of man, at least in part, are preserved in the rocks. It is here that fundamentalists still supporting "creationism" will find much evidence for the evolution of life forms, if they care to examine it. This aspect of Earth study has almost universal appeal. Earth history and human history overlap in archaeology, and the records of early civilizations exposed in excavation sites always excite public curiosity.

The scientific approach to the appreciation of nature informs us that the key to interpretation of the past history of the Earth from the record of the rocks lies in processes occurring at the present time. These processes have been grouped into great cycles. Two of the most important are the hydrologic cycle, concerned with the circulation of water, and the mountain-building cycle.

The oceans constitute a vast reservoir for the hydrologic cycle. The atmosphere and the oceans are in constant motion, driven by the energy from the Sun and the rotation of the Earth. Masses of humid air, carrying water that has evaporated from sun-drenched tropical oceans, migrate to cooler latitudes, where the water is precipitated as rain or snow and thus returned to the ocean reservoir either directly or indirectly, over or through the ground. The moving air masses and ocean currents bring to the continental masses rain or drought, heat or cold, making them hospitable, habitable, or uninhabitable for human colonies. Minor changes in atmospheric circulation have converted fertile plains to barren deserts and caused major changes in the development of ancient civilizations.

The hydrologic cycle shapes our local environment. The features that we know collectively as scenery are produced mainly by flowing water, although ice, wind, and solar energy also contribute. The force of gravity and the rivers together carry the products of weathering downhill to the ocean reservoir. The average rate at which the surface of the land is being worn down and the land dispersed into the oceans is a trivial 1.5 inches per 1,000 years, but the dimensions of geological time gives significance to small numbers. At this rate, all of the continents would be worn down to sea level within 20,000.000 years. This means that during the 4,600,000,000 years since the Earth was formed, the continents could have been worn down to sea level at least 200 times. By now there should be no land rising above sea level, but we still see high mountains.

The mountains exist and persist because the effects of the hydrologic cycle are offset by the mountain-building cycle. Forces within the Earth cause large regions of the surface to rise very slowly, imperceptibly in human terms. Imperceptible, that is, until an earthquake signals an abrupt movement in the continuing process of mountain building. While some parts of the Earth rise, other regions sink. This slow rhythm has been termed "the pulse of the Earth." Although we do not understand the details of what is happening within the Earth, we are now confident that internal forces are responsible for shaping the major features of the Earth's surface, such as the distinction between continents and ocean basins and the persistence of mountain ranges on the land and beneath the ocean. The detailed sculpture of the surface results from the conflict between the mountain-building cycle and the hydrologic cycle.

The internal forces do more than cause the land surface to rise and fall; they cause the land to move sideways as well. It is now generally believed by most scientists that the continents drift. There is persuasive evidence that the surface of the Earth is covered by a small number of very large shell-like plates, about 60 miles thick, across which the continents are scattered rather like logs frozen into the ice on a lake. The rigid shells of rock slide over the Earth's interior, carrying the continents with them and grinding against each other along their edges like ice floes. The plate boundaries are sites of geological activity: earthquakes and volcanoes are concentrated along them. Because of these movements, supercontinents have been rifted apart, and ocean basins have opened, expanded, and closed again as continents collided. Collisions of continents have thrust up great mountain ranges such as the Himalayas. The continents are still drifting at rates of an inch or two per year: the Atlantic Ocean is increasing in size, and the Pacific Ocean is becoming smaller. Most people are fascinated by the theory of continental drift. The theory is not only aesthetically pleasing but also has practical applications.

What stokes the subterranean fires that drive the Earth's engine, causing continental drift, mountain building, volcanic eruptions, and earthquakes? We have no satisfactory answer to this question, but we do know that an enormous amount of energy is involved in the activity along the plate margins. One major earthquake releases more energy than a hydrogen bomb. Modern man is a powerful animal, thanks largely to his exploitation of the Earth for material and energy, and he dominates the landscape like no species before him. He feels reasonably secure in his command of the environment while contemplating the urban scene, because the landscape is largely a product of his industry, and it is clearly subservient to his wishes and his computer-operated control panels. But when the Earth releases a minute fraction of its internal energy in a major earthquake, man becomes helpless. All control is lost while the surface of the Earth rises and falls in solid waves.

Man cannot live in harmony with his environment during an earthquake. It has become clear, however, that he must learn to do so at other times if he is to avoid the dire predictions of those who evaluate such factors as projected world populations, the material and energy resources of the Earth, projected rates of consumption of these resources, and the volume and toxicity of waste materials discarded. We live in a restricted environment with finite space and resources, and we have become a force producing major modifications in the environment at rates very rapid compared with normal rates of Earth evolution. Social decisions about the continued exploitation of the Earth should be made with full information about the problems, and social decisions are based on votes, in theory at least. This alone is sufficient reason for any intelligent person to inform himself about the Earth, quite apart from the fascination of the subject, because his future depends upon it.

# Part Two. The Earth

Several points about the relations of this part to other parts should be noted. The consideration here of the Earth's physicochemical properties presupposes the physical and chemical knowledge and theories set forth in Part One. Knowledge of the Earth is in turn presupposed by Parts Three, Four, and Five, which are Life on Earth, Human Life, and Human Society, respectively. The several Earth sciences have themselves been the objects of historical and analytical studies concerned with their nature, scope, methods, and interrelations. These studies are set forth in Section 10/33 of Part Ten. The instrumentation used in the Earth sciences is dealt with in Section 723 of Part Seven.

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#### Division I. The Earth's Properties, Structure, and Composition

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MACROPAEDIA: Major articles dealing with the planet Earth

Earth, The: Its Properties, Composition, and Structure Solar System, The

MICROPAEDIA: Selected entries of reference information

#### General subjects

atmosphere biosphere continent continental drift Coriolis force Earth Earth tide equator geoid hydrosphere isostasy landform latitude and longitude North Pole ocean orbit plate tectonics sea level seafloor spreading hypothesis South Pole tide

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  - 3. Interpretation of gravity data: inferences about the Earth's interior
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  - 3. Fracture in rocks: joints, faults
  - 4. Structural interference: the superposition of strains produced by the tectonic events of different ages
  - 5. The deformation of ice in sheets and glaciers [see also 222.A.3.a.]

MACROPAEDIA: Major articles dealing with the Earth's physical properties

Earth, The: Its Properties, Composition, and Structure Minerals and Rocks Volcanism

MICROPAEDIA: Selected entries of reference information

General subjects

continental drift	dynamo theory	Earth tide	fault
dipolar hypothesis	Earth	earthquake	fold

plate tectonics
polar wandering
remanent
magnetism

seafloor spreading hypothesis telluric current volcanism

Biographies

geosyncline

gravitation

isostasy

orogeny

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#### Section 213. The Structure and Composition of the Earth's Interior

- A. The Earth's concentric layers
  - 1. Physical properties and zonal structure of the Earth [see also 212]
  - 2. The basic divisions of the solid Earth
    - a. The crust: the Earth's outer layer, which is differentiated into continental and oceanic crust
    - b. The Mohorovičić discontinuity: the zone that separates crust from mantle
    - c. The mantle: the layer between crust and core that comprises the bulk of the Earth's volume
    - d. The core: the Earth's innermost region, thought to be molten liquid except for a solid inner portion
  - 3. The development of the Earth's structure and composition [see 241.A.]
- B. Earthquakes: sources of seismic waves within the Earth
  - I. Causes of earthquakes
  - 2. Distribution of earthquakes
  - 3. Magnitude, motion, and energy of earthquakes [see also 126.D. and 128.A.]
  - 4. Seismic measurements and their interpretation [see also 723.F.6.]
- C. Distribution of elements in the Earth's core, mantle, and crust [see 214.C.]
- D. The indirect geophysical and geochemical evidence used to infer the structure and composition of the Earth's interior
  - 1. Geophysical evidence, mainly from earthquake analyses [see also 212.E.]
    - a. Seismic wave velocities
    - b. Density distribution
  - 2. Geochemical evidence
    - a. Investigations of geochemical equilibria at high temperatures and pressures: phase transitions in the Earth's interior
    - b. The composition and mineralogy of meteorites that may correspond to rocks forming the Earth's interior
    - c. Evidence from crustal igneous rocks that are derived from the upper mantle; *e.g.*, andesite lava flows, peridotite and eclogite inclusions in lava flows and some igneous rocks

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the structure and composition of the Earth's interior

Earth, The: Its Properties, Composition, and Structure Earthquakes

MICROPAEDIA: Selected entries of reference information

General subjects Earth earthquake Richter scale seismic belt seismic wave

#### Biographies

See Section 10/33 of Part Ten

INDEX: See entries under all of the terms above

#### Section 214. The Earth's Constituent Minerals and Rocks

- A. The mineral constituents of the Earth
  - 1. The chemical composition, internal structure, and morphology of minerals
  - 2. The physical properties of minerals: cleavage; hardness; tenacity; specific gravity; magnetic, optical, and radioactive properties
  - 3. Classification of minerals in terms of crystal structure and chemical composition
    - a. The principal nonsilicate minerals
      - i. Native elements
      - ii. Sulfides and sulfarsenides
      - iii. Sulfosalts
      - iv. Oxides and hydroxides
      - v. Halides
      - vi. Carbonates
      - vii. Nitrates and iodates
      - viii. Borates
        - ix. Sulfates
        - x. Phosphates, arsenates, and vanadates
        - xi. Molybdates, tungstates, and chromates
    - b. The silicate minerals
      - i. Silicate structure and composition: the basic structural unit, the silicon-oxygen tetrahedron
      - ii. Isolated and double tetrahedral group silicates
      - iii. Ring silicates
      - iv. Chain silicates
      - v. Sheet silicates
      - vi. Framework silicates
  - 4. The occurrence of minerals in nature
    - a. The major rock-forming mineral groups
      - i. The olivines; e.g., forsterite, fayalite
      - ii. The pyroxenes; e.g., augite, jadeite
      - iii. The amphiboles; e.g., hornblende, actinolite
      - iv. The micas; e.g., muscovite, biotite
      - v. The feldspars; e.g., orthoclase, albite
      - vi. The feldspathoids; e.g., nepheline, leucite
      - vii. The silica minerals; e.g., quartz, tridymite
      - viii. The clay minerals; e.g., kaolinite, illite

- ix. The carbonates; *e.g.*, calcite, dolomite [see A.3.a.vi., above]
- x. The garnets; *e.g.*, almandine, pyrope
- xi. Other major rock-forming minerals; e.g., magnetite, pyrite
- b. The occurrence of mineral associations and phase equilibrium
  - i. In igneous and metamorphic rocks
  - ii. In sedimentary rocks and precipitates
  - iii. In the Moon, planets, and meteorites [see also 133.C., 133.E.6.]
- c. Ore deposits: concentrations of metals and metalliferous minerals [see also 724.C.3.]
- d. Minerals of gem quality
- B. Rocks and other constituents of the Earth's crust
  - 1. Igneous rocks
    - a. Properties of igneous rocks: composition, texture, and structure
    - b. Classification of igneous rocks
    - c. Formation of igneous rocks: magmas [see also 212.D.4.]
    - d. Distribution and abundance of igneous rocks
    - e. Principal families of igneous rocks
      - i. The intrusive igneous rocks that result when magma cools and solidifies below the surface of the Earth; *e.g.*, granite, gabbro, diorite
      - ii. The extrusive igneous rocks that form from magma that erupts at the surface of the Earth; *e.g.*, basalt, rhyolite, and esite
      - iii. The pyroclastic igneous rocks that form from deposits of explosive volcanic eruptions; e.g., pumice, tuff, scoria
  - 2. Sedimentary rocks
    - a. Properties of sedimentary rocks: texture and mineralogical and geochemical composition
    - b. Classification systems: clastic, nonclastic
    - c. Sedimentary structures
    - d. Sedimentary environments: marine, nonmarine
    - e. Principal types of sedimentary rocks
      - i. Conglomerates, breccias, and other heterogeneous clastic rocks (e.g., tillites)
      - ii. Sandstones: arenites and wackes
      - iii. Mudrocks, including shales
      - iv. Limestones and dolomites
      - v. Siliceous rocks
      - vi. Phosphorites
      - vii. Evaporites [see also 724.B.1.b.]
      - viii. Iron-rich sedimentary rocks
        - ix. Organic-rich sedimentary rocks [see 5., below]
    - f. Distribution of sedimentary rocks through time
  - 3. Metamorphic rocks
    - a. Metamorphic variables: temperature, pressure, and rock composition
    - b. Textural and structural features
    - c. Origin of metamorphic rocks

- d. Rocks of the principal facies
- e. Distribution of metamorphic rocks
- 4. The rock associations formed in different environments of the Earth's crust
  - a. In the oceanic regions: basaltic lavas, reef limestones, abyssal sediments of the deep oceans
  - b. In the stable continental regions: conglomerates, sandstones, evaporites, coal measures
  - c. In the continental borderlands: sandstones, shales, limestones
  - d. In the island arcs: andesite and spilite lavas, ultrabasic intrusive rocks, graywackes, shales
  - e. In the major mountain ranges: regionally metamorphosed rocks, granitic batholiths, early-stage basalts and peridotites, late-stage andesite lavas
  - f. In the piedmont regions that are adjacent to mountain ranges: gabbros, basalts, arkoses
- 5. Fossil fuels
  - a. Coals [see also 724.B.1.b. and C.2.]
  - b. Petroleum [see also 122.G.1,a. and 724.B.2. and C.1.]
  - c. Tar sands and oil shales [see also 724.B.2. and C.1.]
  - d. Natural gas [see also 122.G.1.a. and 724.B.2.]
- C. Occurrence of the elements in the Earth and its envelope [see also 121.D.1.]
  - 1. Core
  - 2. Mantle (depleted and undepleted)
  - 3. Crust: igneous, sedimentary, and metamorphic rocks; soils
  - 4. Hydrosphere [see 222.B. and C.]
  - 5. Atmosphere [see 221.A.]
  - 6. Biosphere: selective concentration of elements by plants and animals
  - 7. The geochemical cycle: the primary geochemical differentiation of the Earth; the migration of elements throughout the atmosphere, hydrosphere, and solid Earth

MACROPAEDIA: Major articles dealing with the Earth's constituent minerals and rocks

Chemical Elements Earth, The: Its Properties, Composition, and Structure Fuels, Fossil Minerals and Rocks Volcanism

MICROPAEDIA: Selected entries of reference information

#### General subjects

borate minerals:	malachite	analcime	nepheline
borate mineral	nahcolite	anorthite	orthoclase
borax	rhodochrosite	aventurine	peristerite
ulexite	siderite	celsian	perthite
carbonate minerals:	feldspar and	feldspar	plagioclase
aragonite	feldspathoid	feldspathoid	sanidine
bastnaesite	minerals:	labradorite	scapolite
calcite	adularia	leucite	sodalite
carbonate mineral	albite	microcline	wairakite
magnesite	alkali feldspar	micropegmatite	

halide minerals: calomel cerargyrite fluorite halide mineral halite igneous rocks and formations: acid and basic rocks amygdule andesite anorthosite aplite basalt basanite batholith dacite diabase dike diorite dunite felsic rock gabbro granite granodiorite greisen igneous rock kimberlite laccolith lamprophyre latite leucitite mafic rock magma monzonite myrmekite nephelinesyenite nephelinite obsidian pegmatite peridotite perlite phonolite picrite pitchstone pumice pyroxenite rhvolite roof pendant sill spilite syenite tachylyte teschenite trachyte tuff volcanic glass xenolith metamorphic rocks and their formation: amphibolite facies anatexis cataclastite

charnockite eclogite epidote-amphibolite facies glaucophane schist facies gneiss granitization granulite facies greenschist facies hornfels facies induration marble metamorphic rock metamorphism metatexis migmatite phyllite sanidinite facies schist skarn slate zeolitic facies mineral fuels and deposits: anthracite asphalt asphaltite bitumen bituminous coal brown coal cannel coal coal crude oil gas reservoir lignite maceral natural gas oil shale ozokerite peat petroleum petroleum trap pitch lake pyrobitumen shale oil subbituminous coal tar sand wet gas molybdate and tungstate minerals: molybdate and tungstate minerals scheelite wolframite wulfenite native elements: diamond electrum graphite

industrial diamond native element oxide and hydroxide minerals: anatase bauxite boehmite cassiterite chromite chrysoberyl columbite corundum cuprite emery gibbsite goethite gossan hematite ilmenite limonite magnetite oxide mineral pitchblende pyrochlore pyrolusite ruby ruby spinel rutile sapphire spinel thorianite uraninite phosphate minerals: amblygonite apatite arsenate mineral carnotite descloizite erythrite fluorapatite lazulite mimetite phosphate mineral pyromorphite scorodite triphylite turquoise vanadate mineral variscite sedimentary rocks and their formation: arkose banded-iron formation black shale breccia cementation conglomerate diagenesis diatomaceous earth dolomite evaporite flysch

geode gravel graywacke hälleflinta limestone lithification loess lutite marl molasse nodule oölite phosphorite porcellanite quartzite sand sandstone sedimentary facies sedimentary rock shale siliceous rock silt siltstone stratification stylolite subgraywacke tillite silica minerals: agate amethyst aventurine carnelian chalcedony chert and flint chrysotile citrine coesite cristobalite fulgurite jasper lechatelierite moss agate onyx opal phillipsite quartz rock crystal rose quartz sard and sardonyx sepiolite serpentine silica silica mineral smoky quartz tridymite silicate minerals: actinolite aegirine almandine amphibole andalusite andradite augite beryl

biotite chlorite chrysotile clay mineral cordierite diopside emerald enstatite epidote forsterite-fayalite series garnet glauconite glaucophane grossular hornblende humite jadeite kaolinite kvanite lepidolite melilite mica monticellite montmorillonite muscovite nephrite olivine orthopyroxene peridot phenakite phlogopite pyrophyllite

pyroxene rhodonite riebeckite serpentine silicate mineral staurolite talc topaz tourmaline vermiculite vesuvianite wollastonite zircon zoisite sulfate minerals: alunite anhydrite barite celestite gypsum halotrichite sulfate mineral sulfide minerals: antimonide argentite arsenide arsenopyrite galena marcasite orpiment pyrite pyrrhotite sphalerite

stibnite sulfide mineral sulfosalt tetrahedrite zeolite minerals: apophyllite chabazite clinoptilolite epistilbite erionite faujasite heulandite laumontite mordenite natrolite zeolite other: accessory mineral amphibolite bentonite chromate mineral clay mineralogy cleavage colour index crocoite devitrification Eh-pH diagram filter-pressing foliation fracture fuller's earth gemstone grade scale

hydraulic equivalence hydrothermal ore deposit iridescence kaolin lapis lazuli lustre metallogenic province metasomatic replacement mineral Mohs hardness nitrate and iodate minerals nuée ardente ore paragenesis phase diagram phase rule placer deposit pleochroic halo polymorphism primary mineral pseudomorph pyroelectricity **Riecke's** principle rock sinter streak vein

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#### Division II. The Earth's Envelope: Its Atmosphere and Hydrosphere

[For Part Two headnote see page 65.]

The outlines in the three sections of Division II treat the Earth's atmosphere, its hydrosphere, and weather and climate.

- Section 221. The Atmosphere 73
  - 222. The Hydrosphere: the Oceans, Freshwater Bodies, and Ice Masses 75
  - 223. Weather and Climate 77

#### Section 221. The Atmosphere

- A. The composition, structure, and features of the atmosphere [see also 241.B. and 723.G.5.]
  - 1. Division of the atmosphere by composition
    - a. The homosphere
      - i. Water cycle [see also 222.D.3. and 223.A.1.]
      - ii. Carbon budget
      - iii. Nitrogen budget
      - iv. Sulfur budget
    - b. The heterosphere
      - i. Oxygen dissociation

- ii. Escape of helium and hydrogen from the upper atmosphere
- 2. Thermal structure
  - a. The troposphere
  - b. The stratosphere
  - c. The mesosphere
  - d. The thermosphere
- 3. Regions and phenomena of the upper atmosphere
  - a. The ozonosphere
    - i. Absorption of ultraviolet radiation of wavelengths harmful to plant and animal life
    - ii. Heating of the upper atmosphere
  - b. The ionosphere
    - i. Ionospheric variations and disturbances of atmospheric origin
    - ii. Ionospheric variations and disturbances of solar origin: auroras and magnetic storms [see also 212.B.]
    - iii. The effects of the ionosphere on radio waves [see also 735.1.5.]
  - c. The exosphere
    - i. Effects of low particle density on the properties of the exosphere
    - ii. Determination of the critical zone, the layer above which the number of particle collisions is negligible
    - iii. The Van Allen radiation belts [see also 133.B. and 212.B.]
- B. The large-scale motions of the atmosphere [see also 223]
  - 1. The general nature and relative scales of atmospheric motions: the resolution of winds into zonal (east-west), meridional (north-south), and vertical components
  - 2. The relation of wind to pressure and temperature: the cause of winds, the effect of Coriolis force, idealized winds derived from simplified models—the geostrophic and thermal winds [see also 211.A.2.a.]
  - 3. Jet streams
  - 4. The westerlies of the mid-latitudes
    - a. Standing waves of the mid-latitude westerlies and related systems
    - b. Mid-latitude traveling disturbances: cyclones, anticyclones [see 223.B.1.]
  - 5. Tropical wind systems
    - a. Trade winds
    - b. Tropical disturbances: noncyclonic storms, hurricanes and typhoons [see 223.B.4.]
    - c. Monsoons
  - 6. Stratospheric and mesospheric wind systems
    - a. Polar-night westerlies
    - b. Summer easterlies of the mesosphere and stratosphere
  - 7. The mean meridional circulations of the atmosphere
  - 8. The driving mechanism of the atmosphere: the energy balance and the transport of heat and momentum

MACROPAEDIA: Major article dealing with the atmosphere

Atmosphere

MICROPAEDIA: Selected entries of reference information

#### General subjects

atmospheric motion	Siberian
and disturbances:	anticyclone
anticyclone	thunderstorm
atmospheric	tricellular theory
circulation	tropical cyclone
atmospheric	updraft and
turbulence	downdraft
cyclone	wind
cyclostrophic	atmospheric optical
wind	phenomena:
doldrums	airglow
eddy	atmospheric
Ferrel cell	corona
geostrophic motion	aureole
gradient wind	aurora
Hadley cell	halo
jet stream	regions and zones of
monsoon	the atmosphere:
polar anticyclone	D region
Rossby wave	E region

exosphere F region ionosphere magnetosphere mesosphere ozonosphere protonosphere stratosphere thermosphere troposphere Van Allen radiation belt other: air air mass atmosphere atmospheric pressure

greenhouse effect lapse rate magnetic storm solar wind temperature inversion whistler

#### Biographies

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### Section 222. The Hydrosphere: the Oceans, Freshwater Bodies, and Ice Masses

- A. The distribution of water in the hydrosphere
  - I. Saltwater bodies
    - a. Oceans and marginal seas
    - b. Gulfs and bays
  - 2. Freshwater bodies
    - a. Rivers, lakes, and marginal bodies such as estuaries and swamps [see 232.C.1., 2., and 3.]
    - b. Groundwater contained within the pores of rocks
  - 3. Ice
    - a. Ice sheets and glaciers [see also 212.G.5. and 232.C.6.]
    - b. Icebergs and pack ice
    - c. River ice and lake ice
  - 4. Water in the biosphere [see 351]
- B. The physical and chemical properties of seawater [see also 241.C.]
- C. The physical and chemical properties of freshwater
- D. The hydrologic cycle
  - 1. The general nature of the hydrologic cycle: the types of processes involved and their complex interaction, scales of magnitude of the interrelated components of the global hydrologic system, influences of climate and other factors

- 2. The roles of evaporation and transpiration in the hydrologic cycle [see also 336.B.4.]
- 3. The role of water vapour in the hydrologic cycle: condensation, precipitation [see also 223.A. and E.I.e.]
- 4. Runoff and subsurface water in the hydrologic cycle [see also 232.A.4.]
- 5. The role of ice in the hydrologic cycle [see also A.3., above]
- 6. Water resources and supply [see also 737.A.1.]
- E. Ocean-atmosphere interactions
  - 1. Radiation, heat, and water budgets
  - 2. Oceanic waters as an important sink for carbon dioxide
  - 3. Impact of ocean-atmosphere interactions on climate and weather
    - a. Link between ocean surface temperature and climate anomalies
    - b. El Niño/Southern Oscillation (ENSO)
    - c. Moderating effects of the Gulf Stream system on climate
- F. Effects of human activities on the hydrosphere; *e.g.*, cultural eutrophication; acidification of precipitation resulting from the emission of sulfur dioxide and nitrogen oxides; potential disruption of the water balance due to greenhouse warming induced by carbon dioxide buildup
- G. Waves in the hydrosphere [see also 126.F., 128.A., and 232]
  - 1. Surface waves: simple waves, ocean waves, tsunamis
  - 2. Internal waves
  - 3. Tides [see also 133.E.7.c.]
- H. Ocean currents
  - 1. The distribution of ocean currents
  - 2. The forces that cause and affect ocean currents: pressure gradients, Coriolis force, frictional forces
    - [see also 211.A.2.a.]
  - 3. The general surface circulation
  - 4. Subsurface ocean currents
    - a. The general deep-sea circulation
    - b. Tidal currents: periodic currents associated with tides in the sea
    - c. Density currents down continental slopes, produced by differences in temperature, salinity, or sediment concentration [see also 231.C.3.]

MACROPAEDIA: Major articles dealing with the hydrosphere: the oceans, freshwater bodies, and ice masses

Earth, The: Its Properties,	Lakes
Composition, and Structure	Oceans
Hydrosphere. The	Rivers
Ice and Ice Formations	

MICROPAEDIA: Selected entries of reference information

#### General subjects

freshwater resources:	groundwater	lake	river
aquifer	ice	reservoir	spring

water mass

water resource	motions of the sea:	ocean zones:	other:
well	density current	bottom water	acid rain
ice masses:	ocean current	halocline	air-sea interface
firn	rip current	thermocline	bore
glacier	seiche	saltwater bodies:	eutrophication
ice formation	tide	bay	fetch
ice shelf	tsunami	estuary	greenhouse effect
iceberg	undertow	gulf	hydrologic cycle
pack ice	wave	lagoon	hydrosphere
permafrost	whirlpool	ocean	sea level

### Biographies

polynya

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## Section 223. Weather and Climate

- A. Condensation of water in the atmosphere producing clouds, fogs, and precipitation
  - 1. Moisture in the atmosphere [see also 221.A.1.a. and 723.G.5.]
    - a. Humidity indices: absolute, specific, and relative humidity; dew-point temperature
    - b. Climatic aspects of atmospheric humidity [see E.1.e., below]
    - c. Effects of atmospheric humidity on the life and health of humans and other life-forms [see E.4., below]
  - 2. Condensation of atmospheric water vapour
    - a. Convection, air-mass convergence, and other processes that lead to condensation [see also 221.B.]
    - b. Condensation nuclei: atmospheric ions, salt and dust particles
    - c. Dew
    - d. Frost
  - 3. Clouds and fogs
    - a. Formation and growth of clouds
    - b. Description and classification of clouds
    - c. Clouds and weather
    - d. Fog
    - e. Artificial modification of clouds and fogs
  - 4. Precipitation
    - [see also 222.D. and 723.G.5.]
    - a. Origin of precipitation in clouds, mechanisms of precipitation release [see also A.3.a., above]
    - b. Types of precipitation: drizzle, rain, freezing rain; snow; sleet, hail
    - c. The world distribution of rainfall
    - d. Effects of precipitation
- B. Winds and storms [see also 221.B.]
  - 1. Development and distribution of cyclones and anticyclones
  - 2. Lightning and thunderstorms [see also 127.A. and B.]
  - 3. Tornadoes, hail, and other severe phenomena associated with organized storms or squall lines
  - 4. Tropical cyclones: hurricanes and typhoons
- C. Weather forecasting
  - 1. Synoptic weather data: the collection and correlation of meteorological observations
  - 2. Numerical weather prediction and numerical climate modeling
  - 3. Short-range forecasting
    - a. Nowcasting: predictions based on computer analyses of radar and satellite observations of local atmospheric conditions
    - b. Model Output Statistics: extrapolation of weather conditions, using statistical relations between numerical model forecasts and past weather phenomena
  - 4. Long-range forecasting
    - a. Enhancing the reliability of extended-range prediction through systematic studies of atmosphere-ocean interactions; *e.g.*, El Niño/Southern Oscillation (ENSO)
    - b. Limits to predictability
- D. Weather lore
- E. Climate: the aggregate of weather [see also 133.B.5., 221, and 723.G.5.]
  - 1. Factors that generate climate
    - a. Solar radiation
      - i. Variability of incident radiation; the solar constant
      - ii. Effects of the atmosphere: absorption by dust and gases, including the trapping of solar radiation of infrared wavelength (the so-called greenhouse effect)
      - iii. Variations in the Earth's albedo due to clouds and ice
    - b. Temperature
    - c. Atmospheric pressure
    - d. The world's oceans
    - e. The moisture cycle
  - 2. Climatic variation
    - a. Seasonal changes resulting from the north-south migration of belts of cyclonic activity and other cyclic processes
    - b. Local effects: modification of climate by local terrain and surface conditions
    - c. Effects of human activities on global climate; *e.g.*, greenhouse warming induced by high concentrations of carbon dioxide (from the burning of fossil fuels) and other trace gases in the atmosphere [see also 241.B.5. and 737.C.1.]
  - 3. Climatic types: the Köppen classification system, world climates and their distribution
  - 4. Influences of climate on terrestrial life
  - 5. Microclimates [see also 351.B.]
  - 6. Climatic change
    - a. Evidence: *e.g.*, landscape features associated with glaciations; fluctuations of lake and sea levels; pollen stratigraphy; archaeological and historical data
    - b. Identified causes of certain forms of climatic variation: variations in the tilt of the Earth's axis and orbital motion; variations in atmospheric composition; volcanic dust loading of the atmosphere; and changes in the distribution of land and sea due to plate-tectonic activity

MACROPAEDIA: Major article dealing with weather and climate

Climate and Weather

# MICROPAEDIA: Selected entries of reference information

### General subjects

atmospheric humidity	cyclostrophic wind	climate and climatic	windchill
and precipitation:	etesian wind	variation:	World Weather
aerosol	foehn	autumn	Watch
cloud	geostrophic motion	climate	weather disturbances
condensation	gradient wind	drought	and related
nucleus	gregale	Indian summer	phenomena:
dew	haboob	microclimate	atmospheric
fog	Hadley cell	pluvial regime	turbulence
frost	harmattan	season	blizzard
hail	horse latitude	snow line	lightning
hoarfrost	jet stream	spring	storm
humidity	katabatic wind	summer	thunder
precipitation	khamsin	timberline	thunderstorm
rain	lee wave	urban climate	tornado
rime	levanter	winter	tropical cyclone
snow	microburst	meteorological	weather lore:
atmospheric pressure	mistral	measurement and	Groundhog Day
and wind:	monsoon	weather forecasting:	Saint Swithin's
anticyclone	polar anticyclone	Beaufort scale	Day
atmospheric	Siberian	hygrometer	other:
circulation	anticyclone	isentropic chart	almanac
atmospheric	sirocco	isobar	El Niño
pressure	subtropical high	isotherm	front
bora	surge	psychrometer	greenhouse effect
breeze	tricellular theory	temperature-	smog
Buys Ballot's law	updraft and	humidity index	sunlight
convergence and	downdraft	weather bureau	weather
divergence	wind	weather forecasting	weather
cyclone		weather map	modification

### Biographies

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# Division III. The Earth's Surface Features

[For Part Two headnote see page 65.]

The outlines in the two sections of Division III deal with the basic physical features of the Earth's surface and with the features produced by geomorphic processes acting on the Earth's surface.

Section 231. Physical Features of the Earth's Surface 79 232. Features Produced by Geomorphic Processes Acting on the Earth's Surface 81

# Section 231. Physical Features of the Earth's Surface

- A. Vertical relief of the Earth's surface
  - 1. Hypsography of the Earth's surface: distribution of land and sea, elevation of the continents, coastlines
  - 2. Physiography of the continents: Europe, Asia, Africa, Australia, North America, South America, Antarctica
  - 3. The oceanic regions
    - a. Principal oceanic features: continental margins, oceanic ridges, deep-sea trenches, and abyssal hills and plains [see C., D., and G., below, and 723.G.3.]

- b. Oceanic physiography
- B. The stable platform regions of the continents
  - 1. The continental shield areas and their age, structure, and constituent rocks [see also 214.B.4.b.]
  - 2. Uplift, downwarp, and fracture of continental platforms
    - a. Plateaus and basins
    - b. Rift valleys
    - c. Water bodies occupying fault-bounded structural depressions: lakes and landlocked seas; inland seas with outlets to the oceans; elongated seas formed by crustal separation
- C. The continental shelf, slope, and rise
  - 1. Composition: evidence from bottom samples, geophysical techniques
  - 2. Structure and origin
  - 3. Submarine canyons incising the continental terrace
- D. The oceanic deeps
  - 1. Components of ocean basins
    - a. The oceanic crust
    - b. Major features of the deep-ocean floor: oceanic ridges, aseismic ridges, trenches, fracture zones, and transform faults; seamounts and guyots; abyssal hills and plains; sediments of the ocean floor [see also G., below]
  - 2. The origin of ocean basins [see also 241.F.]
- E. Coral islands, coral reefs, and atolls [see also 354.B.2.]
- F. The major mountain ranges and fracture zones of the Earth's crust on the continents and beneath the oceans
  - 1. Types of mountains; e.g., volcanic, block-fault, folded
  - 2. The worldwide system of mountain ranges, fracture zones, and volcanic island arcs
    - a. The Circum-Pacific System
    - b. The Tethyan System
    - c. Subsidiary mountain ranges
    - d. The volcanic island arc systems
    - e. The rock types constituting the folded mountain ranges and island arcs [see 212.G. and 214.B.4.]
- G. Oceanic ridges
  - 1. Classification of ridges
    - a. The global oceanic ridge system: spreading-centre zones and associated phenomena
    - b. Aseismic ridges
  - 2. Origin and growth of ridges
    - a. General geophysical properties
    - b. Oceanic ridges as manifestations of divergent lithospheric plate boundaries [see also 241.F.]
  - 3. Occurrence and distribution of ridges in the Atlantic, Pacific, and Indian oceans

MACROPAEDIA: Major articles dealing with the physical features of the Earth's surface

Continental Landforms Earth, The: Its Properties, Composition, and Structure Oceans Plate Tectonics Volcanism

# MICROPAEDIA: Selected entries of reference information

### General subjects

continental shelf and	continental shield	volcanic dome
slope:	cuesta	volcano
continental shelf	dome	oceanic structures
continental slope	drumlin	and features:
submarine canyon	esker	abyssal hill
submarine fan	meteorite crater	abyssal plain
submarine slump	mountain	archipelagic apron
landforms and	pediment	atoll
surface features:	plain	cay
alluvial fan	plateau	coral reef
basin	playa	deep-sea trench
beach	rift valley	guyot
canyon	saline flat	island
cave	salt dome	island arc
cinder cone	sand dune	oceanic plateau

oceanic ridge oceanic trough seamount submarine gap other: continent density current landform lake marine sediment ocean ooze

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### Section 232. Features Produced by Geomorphic Processes Acting on the Earth's Surface

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- 1. The process of weathering: the disintegration and alteration of rocks at or near the Earth's surface
- 2. Soil formation as a result of weathering
  - a. Processes and factors in soil formation [see also 354.A.2.b.]
  - b. Classification and distribution of soils
  - c. Soil crusts
  - d. Soil erosion and deterioration
- 3. Gravitational processes: earth movements on slopes
- 4. Fluvial processes [see also 126.F.3.]
  - a. Entrainment and transport of materials
  - b. Erosion, deposition
  - c. The sediment yield of drainage systems
  - d. The formation of hillslopes
- 5. Eolian processes
  - a. Transportation of rock debris by wind
  - b. Effects of wind transport
  - c. Deposition by wind: formation and migration of dunes, the role of vegetation
  - d. Wind action and the works of humankind
- 6. Marine processes
  - a. Erosion and deposition of coastal materials by waves and currents
  - b. Transport of sediment by density flows
- 7. Glacial processes: erosion, transport, deposition, glacial loading and unloading, periglacial processes [see also C.6., below]
- 8. Lacustrine processes
  - a. Erosion and deposition by waves and currents

- b. Sedimentation in lakes
- c. Effects of flora and fauna on lakes and lake systems [see 354.B.3.a.]
- B. The actions of the biosphere, exosphere, and lithosphere upon the Earth's surface features
  - 1. Biological processes [see also 351]
    - a. Effects of plants and organisms on rock weathering and soil formation [see A.l. and A.2.a., above]
    - b. Effects of vegetation type and density on sediment yield [see A.4.c., above]
    - c. Effects of humankind on the Earth's surface features; *e.g.*, land cultivation, mining, construction of artificial channels and dams [see also 355, 731, 733.B., 734, 736, and 737]
  - 2. Extraterrestrial processes: the occurrence of meteorite craters [see also 133.A.3.c.]
  - 3. Volcanic-tectonic processes [see also 212.D.4., 212.G., 231.F., and 241.E.]
- C. The characteristic features of the Earth's major environments
  - 1. The fluvial environment [see also 354.B.3.b.]
    - a. Distribution of rivers in nature
    - b. Drainage patterns
    - c. The geometry of river systems
    - d. Streamflow and fluvial landforms: peak discharge and flooding, river floodplains and terraces, river deltas, ephemeral streams, waterfalls
    - e. The evolution of river systems through geologic time
  - 2. The lacustrine environment [see also 354.B.3.a.]
    - a. Lake basins
    - b. Lake hydraulics: lake currents, surface waves, seiches, effects of wave and current action [see also 222.G.1.]
    - c. Lakes in arid regions [see C.5., below]
    - d. Glacial lakes [see C.6., below]
    - e. Swamps, marshes, and bogs
  - 3. The estuarine environment
    - a. The formation and destruction of estuaries
    - b. Hydrologic features of estuaries
  - 4. The marine environment [see also 354.B.4.]
    - a. Coastal features resulting from depositional processes
      - i. Beaches: materials, morphological features, physical processes
      - ii. Sand dunes and sandbars
      - iii. River deltas

[see C.1.d., above]

- iv. Coral reefs [see 231.E.]
- v. Lagoons
- vi. Marshes [see C.2.e., above]
- b. Coastal features resulting from erosional processes: sea cliffs and related landforms

- c. Coastal features dependent on bedrock type, bedrock structure, or local topography: grottoes, spouting holes, fjords, peninsulas, islands
- d. Submerged coastal features: the continental shelf and slope, submarine canyons [see 231.C.]
- 5. The desert environment [see also 354.A.1.c.]
  - a. Geomorphic processes that shape desert landforms
  - b. Surficial features of deserts
    - i. Mountains, plateaus, pinnacles, and similar features
    - ii. Alluvial fans: fan-shaped sedimentary deposits bordering highlands from which the sediment was derived
    - iii. Pediments: bedrock surfaces fringing desert highlands
    - iv. Desert plains, basins, depressions
    - v. Playas, pans, saline flats
    - vi. Sand sheets and sand dunes
  - c. Desert boundaries: expansion and contraction of desert areas [see also 223.E.6.]
- 6. The glacial environment [see also 354.A.1.d.]
  - a. Processes of glaciation [see A.7., above]
  - b. Landforms produced by glacial erosion
    - i. Rock polish, striations, grooves, and other small-scale features
    - ii. Glaciated valleys, cirques, arêtes, horns, fjords
    - iii. Glacially eroded rock basins in non-mountainous regions
  - c. Landforms produced by glacial deposition
    - i. Glacial till, moraines, drumlins, and other landforms of nonstratified drift
    - ii. Ice-contact deposits, kames, eskers, and other landforms of stratified drift
    - iii. Glaciolacustrine sediments and associated landforms
  - d. Landforms produced by periglacial processes
    - i. Permafrost
    - ii. Talus, rock glaciers, block fields
    - iii. Patterned ground, including stone nets, stripes, and polygons, and features related to the melting of buried ice masses
- 7. The polar environment [see C.6., above, and 354.A.1.d.]
- 8. The tropical environment: jungles, rain forests [see also 354.A.1.c.]
- 9. The temperate environment: woodlands and grasslands [see also 354.A.1.c.]
- 10. The subterranean environment: *e.g.*, caves and cave systems [see also 354.A.1.d.]
- 11. Karst topography
- D. Basic concepts in the theory of landform evolution
  - 1. The concept of uniformitarianism [see also 242.A.2.a.]
  - 2. The concept of the cycle of erosion
  - 3. The concept of morphogenetic regions
  - 4. The concept of dynamic equilibrium
  - 5. The concept of entropy [see also 124.A.]

MACROPAEDIA: Major articles dealing with the features produced by geomorphic processes acting on the Earth's surface

Continental Landforms	Lakes
Earth, The: Its Properties,	Oceans
Composition, and Structure	Rivers
Geomorphic Processes	Soils
Ice and Ice Formations	Volcanism

# MICROPAEDIA: Selected entries of reference information

# General subjects

effects of fluvial	drumlin	physiographic effects	geyser
processes:	esker	of eolian processes:	hot spring
alluvial fan	estuary	barchan	lava cave
arroyo	fjord	desert varnish	mud volcano
badland	glacial stage	playa	volcanic dome
bajada	glacial valley	saline flat	volcano
delta	ice cave	sand dune	other:
desert pavement	kettle	seif	astrobleme
drainage basin	moraine	soil formation and	meteorite crater
floodplain	moulin	major soil types:	morphogenetic
fluvial process	outwash	alfisol	region
inselberg	roche	aridisol	peneplain
meander	moutonnée	chernozem	permafrost
oxbow lake	till	clay	pingo
river	gravitational	entisol	polder
river terrace	processes on	histosol	residual landform
streambed	hillslopes:	humus	thermokarst
valley	avalanche	inceptisol	
waterfall	creep	kaolisol	
effects of	landslide	laterite	
weathering:	mass movement	mollisol	
calcrete	mudflow	oxisol	
cave	rock glacier	podzol	
duricrust	marine features	podzolic soil	
exfoliation	produced by wave	soil	
ferricrete	action:	spodosol	
pediment	beach	ultisol	
pepino hill	beach cusp	vertisol	
sinkhole	chenier	volcanic structures	
weathering	lagoon	and related	
glacial features and	sandbar	phenomena:	
landforms:	sea cave	cinder cone	
chatter mark	wave-cut	fumarole	
cirque	platform	7×.	

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### Division IV. The Earth's History

[For Part Two headnote see page 65.]

The outlines in the three sections of Division IV deal with the origin and development of the Earth and its envelopes; the interpretation of the geologic record; and the eras and periods of geologic time.

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- 242. The Interpretation of the Geologic Record 86
- 243. The Eras and Periods of Geologic Time 88

# Section 241. Origin and Development of the Earth and Its Envelopes

- A. The origin and evolution of the lithosphere [see also 213.A.]
  - 1. Theories of the origin of the Earth
  - 2. The development of crust from mantle: processes involved in the geochemical differentiation of the Earth's outer layers
- B. The origin and evolution of the atmosphere [see also 221.A.]
  - 1. The relation of the development of the Earth's atmosphere to the origin of the solar system and the development of other planetary atmospheres
  - 2. The original atmosphere of the Earth
  - 3. Development of the present terrestrial atmosphere [see also 335.B.]
  - 4. The present atmosphere [see 221.A.]
  - 5. Effects of human activities and their ramifications; *e.g.*, acid rain resulting from the emission of sulfur dioxide; ozone depletion caused by the release of chlorofluorocarbons; greenhouse warming induced by the buildup of carbon dioxide [see also 223.E.2.c.]
- C. The origin and evolution of the hydrosphere
  - 1. The early oceans
  - 2. The chemical view of the modern oceans
  - 3. The present hydrosphere [see 222]
- D. The formation and growth of the continents
  - 1. The Earth's crust and upper mantle [see also 212.D.3., 213.A., 214.B.1.c., and 232]
  - 2. Endogenic regimes of the continents: geosynclines, platforms, rifts, continental margins [see also 231] .
  - 3. The relation between endogenic regimes and deep-seated Earth processes
- E. The formation and growth of mountain ranges and belts
  - 1. The distribution of mountain belts in relation to global tectonics
  - 2. The tectonic mountain belts [see also 212.G., 214.B., and 231.F.]
  - 3. The geosynclinal hypothesis of mountain building
  - 4. The development of mountain systems
- F. The theory of plate tectonics
  - 1. Early speculations about the existence of a single supercontinent and its fragmentation into the present-day landmasses

- 2. Wegener's concept of continental drift
- 3. Hess' seafloor spreading model
- 4. Plate tectonics as a unifying theory
  - a. Lithospheric plate boundaries as sites of volcanism, seismicity, and orogeny
  - b. The impact of continental drift on the evolution of life-forms

MACROPAEDIA: Major articles dealing with the origin and development of the Earth and its envelopes

Atmosphere Earth, The: Its Properties, Composition, and Structure Plate Tectonics Volcanism

MICROPAEDIA: Selected entries of reference information

General subjects

atmosphere and hydrosphere: atmosphere Earth hydrosphere ocean landmasses and ocean basins: continental drift Gondwanaland Laurasia Pangaea plate tectonics seafloor spreading hypothesis evolution of the Earth's crust: epeirogeny erosion orogeny sedimentation subsidence uplift volcanism weathering

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### Section 242. The Interpretation of the Geologic Record

- A. The stratigraphic interpretation of the geologic record
  - 1. The layered rocks of the Earth's crust and their depositional environments: the nature of the rock record [see also 214.B.2.]
    - a. Clastic sedimentary rocks
    - b. Carbonate rocks
    - c. Volcanic rocks
    - d. Cyclic deposits: cyclothems, varved deposits
  - 2. Stratigraphic classifications and their historical development: criteria for the correlation of layered deposits
    - a. The principle of uniformitarianism
    - b. The principle of superposition of strata
    - c. The idea of a fossil succession
    - d. The facies concept
    - e. The stage concept
    - f. The recognition of zones
    - g. Radiometric dating [see D.2., below]
  - 3. Stratigraphic nomenclature in theory and practice
    - a. Stratigraphic terminology and its standardization

- b. Stratigraphic boundary problems
- c. Special stratigraphic terminologies and divisions
- B. The paleontological interpretation of the geologic record
  - 1. The nature of fossils and fossilization processes
  - 2. The fossil record
    - a. Precambrian life: the Proterozoic fossil record
      - i. The origin of life [see 312.A.]
      - ii. Precambrian protists, plants, and animals: the Gunflint Chert deposits, Ediacara fauna, and other remains
    - b. Post-Precambrian life: the Phanerozoic fossil record [see also 243 and 313]
      - i. Fossil plants
      - ii. Fossil protists
      - iii. Fossil sponges
      - iv. Fossil cnidarians (e.g., corals)
      - v. Fossil mollusks (e.g., ammonoids)
      - vi. Conodonts
      - vii. Bryozoans
      - viii. Brachiopods
      - ix. Fossil arthropods (e.g., trilobites)
      - x. Fossil echinoderms (e.g., sea lilies)
      - xi. Graptolites
      - xii. Fossil fish
      - xiii. Fossil amphibians
      - xiv. Dinosaurs and other fossil reptiles
      - xv. Fossil birds
      - xvi. Fossil mammals
  - 3. The appearance and disappearance (mass extinctions and background extinctions) of species revealed in the fossil record [see also 312.B.]
  - 4. The paleontological criteria for the correlation of layered rocks
    - a. Index fossils
    - b. Faunal and floral assemblages
    - c. Organic microfossils: pollen, spores, tests
- C. Relative age dating
  - 1. Application to geologic problems: stratigraphic correlation and the relative geologic time scale [see also 243]
  - 2. Application to archaeological problems [see 10/41.B.2.b.]
- D. Absolute dating
  - 1. General considerations: the meaning of absolute age, requirements for absolute dating, the rate of record accumulation
  - 2. Radiometric dating [see also 723.G.8.]
    - a. Radioactivity and radioactive decay [see 112.C.]
    - b. Principles of radiometric dating

- c. Definition of time zero, sources of error in radiometric dating
- d. Dating methods
- 3. Non-radiometric dating: biological and geologic processes as absolute chronometers
- 4. Applications of absolute dating
  - a. The absolute geologic time scale
  - b. Determination of the age of the Earth and the ages of rocks and meteorites
  - c. Determination of the rates of seafloor spreading
- E. The paleogeographical interpretation of the geologic record
- F. The reconstruction of the geologic history of the Earth based on the global correlation of the accumulated evidence from the rock record [see 241 and 243]

MACROPAEDIA: Major articles dealing with the interpretation of the geologic record

Earth, The: Its Properties, Composition, and Structure Geochronology: The Interpretation and Dating of the Geologic Record

MICROPAEDIA: Selected entries of reference information

### General subjects

carbon-14 dating	helium dating	potassium-argon	uniformitarianism
dating	horizon	dating	uranium-thorium-
dendrochronology	index fossil	protactinium-231-	lead dating
Earth	ionium-thorium	thorium-230	varved deposit
faunal succession,	dating	dating	•
law of	lead-210 dating	radiation-damage	
fission-track dating	paleogeography	dating	
fossil	polychaete	sedimentary facies	
geochronology	hypothesis	tephrochronology	

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# Section 243. The Eras and Periods of Geologic Time

- A. Precambrian time: from the time of formation of the oldest rocks to 540 million years ago
- B. The Paleozoic Era: from 540 to 245 million years ago
  - 1. The Cambrian Period
  - 2. The Ordovician Period
  - 3. The Silurian Period
  - 4. The Devonian Period
  - 5. The Carboniferous Period
  - 6. The Permian Period

### C. The Mesozoic Era: from 245 to 66.4 million years ago

- 1. The Triassic Period
- 2. The Jurassic Period
- 3. The Cretaceous Period

- D. The Cenozoic Era: from 66.4 million years ago to the present
  - 1. The Tertiary Period
  - 2. The Quaternary Period [see also 411.C.]
    - a. The Pleistocene Epoch [see also 223.E.6. and 232.C.6.]
    - b. The Holocene, or Recent, Epoch

MACROPAEDIA: Major articles dealing with the eras and periods of geologic time

Earth, The: Its Properties, Composition, and Structure Geochronology: The Interpretation and Dating of the Geologic Record Volcanism

MICROPAEDIA:	Selected	entries	of ref	ference	information
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# General subjects

Cenozoic:	Sub-Atlantic	Catskill Delta	Wenlock Series
Allerød	Climatic Interval	Chesterian Series	Williston Basin
Blytt-Sernander	Sub-Boreal	Cincinnati Arch	Precambrian:
system	Climatic Interval	Cincinnatian	Animikie Series
Boreal Climatic	Tertiary Period	Series	Belt Series
Interval	Trinil faunal zone	Coal Measures	Bitter Springs
Bronze Age	Mesozoic:	Conemaugh Series	microfossils
Cenozoic Era	Clarence Series	Dalradian Series	Bruce Series
Eocene Epoch	Coniacian Stage	Devonian Period	Coutchiching
Florissant	Cretaceous Period	Lipalian interval	Series
Formation	Gulf Series	Llandeilan Series	Grand Canyon
Great Drought	Hell Creek	Llandovery	Series
Holocene Epoch	Formation	Series	Gunflint
Hypsithermal	Jurassic Period	Llanvirn Series	microfossils
Climatic Interval	Lance Formation	Ludlow Series	Katangan Complex
Iron Age	Mesozoic Era	Mississippian	Lewisian Complex
Laurentide Ice	Niobrara	Period	Longmyndian
Sheet	Limestone	Nashville Dome	<b>Onverwacht Series</b>
London Clay	Pierre Shale	Niagaran Series	Precambrian time
Mauer	Purbeck Beds	Old Red Sandstone	Proterozoic Eon
Mesolithic Period	Solnhofen	Ordovician Period	Seine Series
Miocene Epoch	Limestone	Paleozoic Era	Sturtian Series
Neogene Period	Stormberg Series	Paradoxides	other:
Neolithic Period	Taitai Series	Series	ice age
Oligocene Epoch	Triassic Period	Pennsylvanian	Phanerozoic Eon
Paleocene Epoch	Paleozoic:	Period	
Paleogene Period	Alberta Basin	Permian Basin	
Paleolithic Period	Arenig Series	Permian Period	
Pleistocene Epoch	Ashgill Series	Pottsville Series	
Pliocene Epoch	Beaufort Series	Queenston Delta	
Quaternary Period	Cambrian Period	Salado Formation	
Salpausselkä ridges	Caradoc Series	Silurian Period	
Scandinavian Ice	Carboniferous	Virgilian Series	
Sheet	Period		

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# Introduction to Part Three: The Mysteries of Life

By René Dubos

We take for granted the existence of life on Earth. Yet, as far as we now know, life exists nowhere else in the solar system, its origin is still a mystery, and its effects on our planet have been little short of miraculous. Without life the surface of the Earth and its atmosphere would be very different from what they are now. We are both spectators and actors in a continuing performance where life is both author and producer, and for which the Earth serves as an ever-changing stage.

Cataclysms give us now and then a glimpse of what our planet would look like without life. In 1883, a series of stupendous volcanic eruptions destroyed two-thirds of Krakatoa Island in the Malay archipelago and covered what was left of it with a thick layer of lava. All living things were killed, not only on Krakatoa itself, but also on the neighbouring islands that were in the path of the tidal wave generated by the explosion and of the volcanic fallout. What had once been a luscious tropical forest suddenly became a gray and lifeless landscape, as desolate as the surface of the Moon.

Pictures taken of Krakatoa in the months following the disaster help us to realize that what we regard as the surface of the Earth is less a geological structure than a living mantle. Our planet would be drab and dusty, an insignificant object in space, if it were not for the myriad of living forms that have generated its atmosphere and its soil out of gases and rocks. In fact, the phrase "life on Earth" is somewhat misleading because the surface of the Earth as we experience it, with its entrancing diversity and colourful warmth, is literally a product of biological activities—a creation of life.

Krakatoa remained a desolate landscape for a long time after the 1883 volcanic explosion. But progressively the wind and the sea brought back to its sterilized surface a multiplicity of living things, some of which managed to establish a permanent foothold on the lava. Today, the island harbours once more a rich flora and fauna, not very different from that of the native forest of the Malay archipelago.

There is a paradox in the marvelous resiliency of nature. On the one hand, all individual forms of life are extremely delicate. And yet life itself has been capable of prevailing over brute physical forces for several billion years, and has generated immensely diversified ecosystems that have remained viable even under the most inhospitable conditions. Life probably emerged from inanimate matter, but it is now more powerful than inanimate matter.

All biological phenomena are of practical importance because they determine the characteristics of the Earth's surface and therefore affect the quality of human life. Men have always been concerned with the contributions that living things make to their immediate environment and to the global economy; they have wondered how the flora and fauna become more or less stabilized under normal conditions, and manage to reestablish stable ecosystems after cataclysms; in our times they worry to what extent living things can be disturbed or eliminated by urbanization and industrialization without thereby threatening human welfare.

But the phrase "life on Earth" also raises other questions of a more philosophical character, questions that have been in the minds of humble, uneducated people even before they became the preoccupation of scholars. In the universe at large, lifelessness is the rule, life the puzzling exception. How do living things differ from inanimate matter? How did they originate? And can life be created *de novo*? Is man qualitatively different from the rest of the living world or merely a higher, or the highest, specimen in its evolution, the paragon of animals?

It is clear from the geological record that life has been at home on the Earth for immense periods of time. The types of fossils found in rock formations indicate that all major groups of animals and plants were already represented by recognizable ancestors some 400,000,000 years ago. Furthermore, microscopic structures closely related to the present forms of blue-green algae have been found in geologic formations that are even very much older-some 3,000,000,000 years old. Since these fossils of algae-like organisms have a complex cellular organization, it can be assumed that they had been preceded by simpler forms, and that the origin of life is more ancient than the oldest traces of it which have been detected. In fact, there is no way to know when life first appeared on Earth, because its earliest manifestations were certainly so minute, fragile, and undifferentiated that none of them have survived as fossils.

There is a peculiar fascination to the phrase "the origin of life" because it means different things to different men, and reaches into the deepest layers of their beliefs. For the religious man, it implies the mysteries of divine creationwhether expressed as biological species in their final forms, or as the potentialities posited by Aristotelian philosophers and medieval theologians. For the student of myths, it evokes Aphrodite emerging fully developed from the foam of the sea. The myth may have a factual basis if it is true, as it is commonly believed, that the cradle of life was to be found in the primitive oceans. For the modern scientist the phrase "origin of life" refers to the kind of chemical reactions that first generated complex organic molecules and assembled them in such a manner that they could duplicate themselves-thus converting inanimate matter into living substance.

Whatever the mystical or rational basis of a person's beliefs, there is a universal poetic quality in the thought that life once arose from matter, and has been perpetuating itself ever since. But the only real clue to the origin of life is that all its forms—at least all the living things we know have many physicochemical characteristics in common. In particular, they all transfer their hereditary endowment from one generation to the next through the agency of a peculiar kind of molecule known as nucleic acid, the now famous DNA. This uniformity of fundamental structure holds true irrespective of the size, shape, and complexity of the organism—whether it be microbe, plant, animal, or man. Indeed, the similarity in structure of the genetic apparatus throughout the living world is so perfect that it cannot possibly be a matter of chance. The conclusion seems inescapable that all the living forms that now exist have had a common origin.

The simplest hypothesis to account for the origin and evolution of life is that all biological phenomena are caused by the physicochemical forces that govern the inanimate world. Some scientists believe, indeed, that there is nothing very unusual in the emergence of a living molecule from matter. According to them, it is probable that life repeatedly emerged *de novo* on Earth and that it is still emerging today somewhere in the cosmos. By making the reasonable assumption that one of the living forms that appeared on Earth proved more vigorous than the others, it is easy to account for the single origin of all surviving species. If an entirely new genetic form of life were to appear today on Earth, it would have no chance of success, because it could not compete with the established form and all its variations.

The hypothesis that life is nothing more than a special manifestation of ordinary physicochemical forces has the merit of being economical of thought; in addition, it is supported by the fact that all biological phenomena go hand in hand with the kind of reactions observed in the inanimate world. But even if we grant that living phenomena always obey physicochemical laws, this does not constitute decisive evidence that life is merely an expression of these laws. Other theories are conceivable. One of them, rarely voiced because it is not scientifically fashionable, is that some unknown principle runs like a continuous thread through all living forms and governs the organizations of their physicochemical processes. The illustrious Danish physicist Niels Bohr, for example, suggested that "the very existence of life must be considered an elementary fact, just as in atomic physics the existence of a quantum of action has to be taken as a basic fact that cannot be derived from ordinary mechanical physics."

Uncertainties concerning the fundamental nature of life and its origin would disappear if it were possible to generate at will self-reproducing molecules from inert material. Some experimental findings have recently been quoted as evidence of this possibility.

A fully developed virus, which had been naturally produced by a living organism, was separated into its component parts by chemical procedures. When these separate parts were tested for biological activity, they were found to be inert, that is, they were unable to multiply in a susceptible organism. This biological activity was restored, however, when the parts of the virus were chemically reassembled in the test tube under the proper conditions. Spectacular as this achievement is from the chemical point of view, it does not constitute—as has been claimed—the production of life *de novo*. Since the virus first had to be produced by a living organism, and since its reassembled parts showed activity only when introduced into a living susceptible organism, all the biological machinery essential for its reproduction had to be provided by preexisting life.

In a completely unrelated kind of experiment, several complex molecules similar to those found in living things have been produced in the laboratory by exposing simple chemicals to the kind of radiation that probably existed in the primitive atmosphere. But this chemical feat does not constitute production of life *de novo* because the molecules so produced have not been assembled—*organized*—in a way enabling them to duplicate themselves and to develop. An organic molecule, however complex and similar to the kind found in living things, still belongs to the realm of inanimate matter if it cannot reproduce and evolve.

To become "living," an assembly of biogenic molecules must contain the information needed for its further development and must be able to transmit this information to its progeny. Even in its simplest manifestations, life is historical; it embodies the past and carries instructions for the future.

More than a century ago, the French physiologist Claude Bernard gave a clear formulation of the now classical view that the earmark of a living thing is not the chemical composition of its parts but their organization. He wrote: "Admitting that vital phenomena rest upon physicochemical activities, which is the truth, the essence of the problem is not thereby cleared up; for it is no chance encounter of physico-chemical phenomena which constructs each being according to a preexisting plan, and produces the admirable subordination and the harmonious concert of organic activity.

"There is an arrangement in the living being, a kind of regulated activity, which must never be neglected, because it is in truth the most striking characteristic of living beings...."

In this celebrated passage, Bernard used the word "arrangement" to denote the interdependence and integration of the structures and properties of any given living organism. But biological organization applies also to the ecological system of which the organism is a part. All living things, without exception, depend on other living things for their survival and development. Furthermore, the higher the organism is on the evolutionary scale, the more exacting is its dependence on a complex web of life.

One of the major trends of evolution has thus been the emergence of more and more complex ecosystems, exhibiting high degrees of integration. But, paradoxically, an opposite trend can also be detected as one ascends the evolutionary scale—namely, a trend toward freedom or at least toward increasing independence of the individual organism within the constraints of the ecosystem. Freedom becomes more and more apparent as one proceeds from the protoplasmic jelly of biological beginnings to warm-blooded animals roaming in the wild, and finally to man who modifies his environment according to his views of the future. In a real way, evolutionary development is associated with the gradual insertion of more and more freedom into matter and into individual lives.

In the *Outline of Knowledge*, Part Three, concerned with life on Earth, is placed between Parts Two and Four, concerned, respectively, with the Earth itself and

with human life. This positioning is reasonable enough. but one could read into it an assumption that reaches far deeper than the logical ordering of concepts and facts. The tacit assumption is that human life has emerged from the inanimate matter of the Earth through the same kind of evolutionary continuum that links all the other living forms in a great chain of being. In reality, however, the theory of evolution does not provide decisive evidence for this assumption. What is known of biological evolution applies only to the anatomical structures and physiological functions of organisms that have lived in the past or are living now. The successive steps from matter to life, and from life to consciousness, have not yet been shown to have taken place through the kind of mechanisms that account for the evolutionary changes of anatomical structures of physiological functions. There exists a continuum from one form of life to another, but extending this continuum to inanimate matter on the one hand, and to human consciousness on the other, is a matter of faith rather than of scientific knowledge.

Even the most cursory observation of nature reveals that all living forms are conditioned by environmental forces, and that reciprocally they shape the environment, thereby contributing to the triumph of life. But it must be realized that the word "life" encompasses different kinds of relations to nature. At its lowest level, "life" implies, as mentioned above, the deterministic and blind chemical reactions through which an organism—simple or complex as it may be—transmits its distinctive characteristics to its descendants and reacts adaptively to its environment. At its highest, "life" involves man's consciousness and free will and refers to the deep reality of the world within and the affirmation of the individual self, irrespective of the external world.

There is no way at present to link these two extreme and apparently incompatible manifestations of life—biological determinism and human freedom. Yet both are real, and both have been immensely influential in giving the present characteristics to our planet.

The surface of the Earth reflects the activities of countless living things. Even though these operate chiefly through blind, deterministic mechanisms, life introduces on Earth a degree of order, organization, and diversity not found anywhere else in the cosmos, not even in the movement of the celestial bodies. Man emerged, not on the bare planet, but in this orderly and diversified biological world. As soon as he achieved his identity as *Homo sapiens*, he began to insert his free will into ecological determinism. For good or evil, he has now become the most powerful influence in changing the face of the globe. His conscious choices will determine not only his own fate, but also the fate of life on Earth.

# Part Three. Life on Earth

Several points about the relations of Part Three to other parts should be noted. The separation of Part Three from Part One, on matter and energy, and from Part Two, on the Earth, reflects a traditional division of labour among the natural sciences. However, the separation is not rigid. The borderline disciplines of biophysics and biochemistry appear throughout Part Three, especially in Division II, concerned with the molecular basis of vital processes. The effects of the Earth's atmosphere and hydrosphere on living things are reflected throughout Part Three, especially in Division V, which is concerned with the biosphere and with ecosystems.

Some fundamental biological knowledge of humans is involved in the treatment throughout Part Three of what is common to all life and to all animals. And Section 355, the last section of Part Three, deals with mankind's place and activities in the biosphere. However, what is specific to human life, human health, and human behaviour is separately dealt with in Part Four, on human life.

The biological sciences have themselves been the object of historical and analytical studies. Such studies are dealt with in Section 10/34 in Part Ten, which treats the historical development of the biological sciences; the methodology, scope, and conceptual structure of biology as a whole; and the several component disciplines at the different levels of biological research.

The design and operation of observational and experimental instruments are important in the development of the biological sciences. Such scientific instrumentation is dealt with in Section 723 of Part Seven.

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- II. The Molecular Basis of Vital Processes 112
- III. The Structures and Functions of Organisms 117
- IV. Behavioral Responses of Organisms 130
- V. The Biosphere: the World of Living Things 132

# Division I. The Nature and Diversity of Living Things

The outlines in the three sections of Division I deal with the nature, the origin and evolution, and the classification of living things.

Section 311. Characteristics of Living Things 95

- 312. The Origin of Life and the Evolution of Living Things 96
- 313. The Classification of Living Things 98

# Section 311. Characteristics of Living Things

- A. The concept of life on Earth
  - 1. Properties of life
    - a. Order and form
    - b. Metabolism: catabolism and anabolism
    - c. Sensory reception
    - d. Reproduction, growth, and development
    - e. Interaction with the environment
  - 2. Levels of biotic organization
    - a. Molecular: polymers, carbohydrates, lipids, proteins, and nucleic acids
    - b. Cellular: procaryotic cells and eucaryotic cells
    - c. Organ: tissues, tissue systems, and the whole organism
    - d. Population: species and communities

- B. Life beyond the Earth
  - 1. The concept of extraterrestrial life and its chemistry
  - 2. The significance of the search for life beyond Earth
  - 3. Exobiological survey of the solar system: physical environments and biological prospects

MACROPAEDIA: Major articles dealing with the characteristics of living things

Animals		Growth and Dev	Growth and Development, Biological		
Bacteria and Other Monerans		Life	Life		
Behaviour, Anim	al	Metabolism	Metabolism		
<b>Biological Science</b>	es, The	Mimicry	Mimicry		
Biosphere, The		Photosynthesis	Photosynthesis		
Cells: Their Struc	tures and Functions	Plants	Plants		
Coloration, Biolo	gical	Protists			
Evolution, The T	heory of	Reproduction an	Reproduction and Reproductive Systems		
Fungi		Sensory Reception	Sensory Reception		
Genetics and Her	edity, The Principles of				
MICROPAEDIA: Selected	l entries of reference inform	ation			
General subjects					
apoptosis	evolution	life	metabolism		
cell	homeostasis	life cycle			
Biographies					
See Section 10/34 o	f Part Ten				
INDEX: See entries und	ler all of the terms above				

# Section 312. The Origin of Life and the Evolution of Living Things

- A. Stages in the emergence of life
  - 1. Hypotheses about the origin of life
  - 2. Steps in the production of chemical precursors of life
    - a. Formation of the Earth's primitive reducing atmosphere
    - b. Production of simple organic molecules
    - c. Production of long-chain molecules consisting of repeating units
    - d. Origin of the genetic code
  - 3. The earliest living systems
    - a. Evolution of enzymatic reaction chains
    - b. Origin of procaryotic and eucaryotic cells
    - c. Evolution of photosynthesis
  - 4. The antiquity of life: evidence of biological activity in the geological record [see also 242 and 243]
- B. The theory of evolution
  - 1. The history of evolutionary theory
    - a. Lamarck's contribution
    - b. Darwin's theory of natural selection
    - c. Mendel's theory of heredity
    - d. The synthetic theory of evolution
    - e. Molecular and genetic studies

- 2. The evidence for evolution
  - a. The fossil record of gradual change
  - b. Structural similarities (homologies) of different species
  - c. Vestiges of ancestral forms in embryonic development
  - d. Molecular clues to evolutionary history

# C. The process of evolution

- 1. Genetic variation through gene or chromosomal mutation
- 2. Changes in gene frequencies within a population through gene mutation, gene migration, and genetic drift
- 3. Natural selection
  - a. Adaptation and fitness
  - b. Aspects of the process of natural selection; coloration; mimicry; polymorphism
  - c. Natural selection in the process of genetic change
  - d. Types of selection: stabilizing, directional, diversifying, sexual, and kin
- D. The origin of species and the process of speciation
  - 1. The distinctiveness of species
  - 2. The criterion of reproductive isolation
  - 3. The properties of species
  - 4. Modes of speciation (e.g., geographic speciation, adaptive radiation, quantum speciation)
  - 5. Genetic differentiation during speciation
  - 6. Patterns and rates of species evolution
    - a. The topology (or configuration) of phylogenies
    - b. The rate of morphological change: gradual or punctuational evolution
    - c. Molecular evolution
  - 7. The relationship between species and other taxonomic categories: family, order, class, phylum

# Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles and a biography dealing with the origin of life and the evolution of living things

Coloration, Biological Darwin Evolution, Human Evolution, The Theory of Mimicry

MICROPAEDIA: Selected entries of reference information

### General subjects

adaptation	coloration
aggressive mimicry	concealing
analogy	coloration
auxochrome	Darwinism
biopoiesis	dialectic
carotene	evolution
chromophore	founder principle
clone	genetic drift

Biographies

See Section 10/34 of Part Ten

INDEX: See entries under all of the terms above

- homology Lamarckism mimicry mosaic evolution Müllerian mimicry mutation natural selection Origin of Species
- orthogenesis phylogeny polymorphism selection species spontaneous generation

# Section 313. The Classification of Living Things

- A. Viruses
- B. Monerans: bacteria and other prokaryotes
- C. Protists
  - 1. Algae
  - 2. Protozoans
  - 3. Slime molds
- D. Fungi
- E. Plants
  - 1. Bryophytes
    - a. Mosses
    - b. Liverworts
    - c. Hornworts
  - 2. Psilotophytes, or whisk ferns
  - 3. Lycophytes (club mosses and allies)
  - 4. Sphenophytes, or horsetails
  - 5. Filicophytes, or ferns
    - a. Ophioglossopsids
    - b. Marattiopsids
    - c. Filicopsids
  - 6. Conifers
  - 7. Ginkgos
  - 8. Cycads
  - 9. Gnetophytes
  - 10. Angiosperms (magnoliophytes), or flowering plants
    - a. Magnoliopsids, or dicots
    - b. Liliopsids, or monocots
- F. Animals
  - I. Placozoans
  - 2. Porifera, or sponges
    - a. Calcispongiaens
    - b. Hyalospongiaens
    - c. Demospongiaens
  - 3. Mesozoans
    - a. Dicyemids
    - b. Orthonectids
  - 4. Cnidarians, or coelenterates
    - a. Anthozoans (corals; sea anemones; sea fans and sea whips; sea pens and sea pansies)
    - b. Scyphozoans (jellyfish)
    - c. Hydrozoans (hydra)
    - d. Cubozoans (box jellyfishes)
  - 5. Ctenophores, or comb jellies
  - 6. Platyhelminthes, or flatworms
    - a. Turbellarians

- b. Monogeneans
- c. Aspidocotyleans
- d. Trematodes, or flukes
- e. Cestodes, or tapeworms
- 7. Nemerteans, or ribbon worms
- 8. Acanthocephalans, or spiny-headed worms
- 9. Aschelminthes
  - a. Rotifers, or wheel animacules
  - b. Gastrotrichs
  - c. Kinorhynchates
  - d. Nematodes, or roundworms
  - e. Nematomorphs, or horsehair worms
- 10. Priapulids
- 11. Annelid worms
  - a. Polychaetes, or marine worms
  - b. Oligochaetes, or terrestrial worms
  - c. Hirudineans, or leeches
- 12. Tardigrades, or water bears
- 13. Onychophorans, or velvet worms
- 14. Arthropods
  - a. Crustaceans
  - b. Uniramians (millipedes, centipedes, pauropods, symphylans, and insects)
  - c. Chelicerates (sea spiders, horseshoe crabs, and arachnids)
- 15. Mollusks
  - a. Tryblids, or neopilinids
  - b. Solenogastres, or narrow-footed gliders
  - c. Caudofoveatans, or mudmoles
  - d. Placophorans, or chitons
  - e. Pelecypods, or bivalves (clams, mussels, oysters, scallops, and cockles)
  - f. Scaphopods, or tusk shells
  - g. Gastropods (limpets, snails, and slugs)
  - h. Cephalopods (nautiluses; cuttlefishes, squids, and octopuses)
- 16. Bryozoans, or moss animals
  - a. Phylactolaemates
  - b. Stenolaemates
  - c. Gymnolaemates
- 17. Phoronids, or horseshoe worms
- 18. Brachiopods, or lamp shells
  - a. Inarticulates
  - b. Articulates
- 19. Sipunculid worms, or peanutworms
- 20. Chaetognaths, or arrowworms
- 21. Echiurids, or spoonworms
- 22. Echinoderms
  - a. Crinozoans, or sea lilies
  - b. Echinozoans (sea urchins, heart urchins, and sand dollars; sea cucumbers)
  - c. Asterozoans (starfish, brittle stars, and sea daisies)

- 23. Hemichordates
  - a. Enteropneusts, or acorn worms
  - b. Pterobranchs
- 24. Pogonophors, or beardworms
- 25. Chordates
  - a. Tunicates (sea squirts, appendicularians, and thaliaceans)
  - b. Cephalochordates, or lancelets
  - c. Vertebrates (hagfishes and lampreys; placoderms; sharks, skates, and rays; bony fishes; amphibians; reptiles; birds; mammals)

MACROPAEDIA: Major articles dealing with the classification of living things

Algae
Amphibians
Angiosperms: The
Flowering Plants
Animals
Annelids
Arachnids
Arthropods
Aschelminths
Bacteria and Other
Monerans

Birds Bryophytes Chordates Cnidarians Crustaceans Dinosaurs Echinoderms Ferns and Other Lower Vascular Plants Fishes Flatworms: Phylum Platyhelminthes Fungi Gymnosperms Insects Lamp Shells: Phylum Brachiopoda Mammals Mollusks Moss Animals: Phylum Bryozoa Plants Protists Protozoa Reptiles Sponges: Phylum Porifera Viruses

### MICROPAEDIA: Selected entries of reference information

# General subjects

amphibians:	angiosperms-beech	gray birch	chin cactus
amphibian	order:	hop-hornbeam	cholla
amphiuma	beech	hornbeam	Christmas cactus
arrow-poison frog	Betulaceae	paper birch	Easter cactus
axolotl	black oak	river birch	fishhook cactus
bullfrog	bur oak	sweet birch	hedgehog cactus
Cacops	chestnut	white birch	leaf cactus
caecilian	chestnut oak	yellow birch	living-rock cactus
clawed frog	chinquapin	angiosperms—	mammillaria
Diadectes	English oak	buttercup order:	melon cactus
Eryops	Fagales	anemone	night-blooming
fire-bellied toad	live oak	baneberry	cereus
frog	oak	barberry	old-man cactus
green frog	pin oak	Berberidaceae	Opuntia
hellbender	red oak	bugbane	organ-pipe cactus
Ichthyostega	tanbark oak	buttercup	peyote
labyrinthodont	white oak	Christmas rose	pincushion cactus
leopard frog	willow oak	Clematis	prickly pear
Leptodactylidae	angiosperms—	columbine	Rhipsalis
midwife toad	bellflower order:	globeflower	saguaro
mud puppy	balloonflower	hellebore	Schlumbergera
Myobatrachidae	bellflower	hepatica	sea-urchin cactus
narrow-mouthed	Campanulales	larkspur	angiosperms—caper
toad	harebell	mayapple	order:
newt	Lobeliaceae	meadow rue	Brassicaceae
salamander	rampion	monkshood	broccoli
Seymouria	tuftybell	Oregon grape	Brussels sprouts
siren	angiosperms—birch	Ranunculaceae	cabbage
spadefoot toad	order:	Ranunculales	candytuft
Surinam toad	alder	angiosperms—cactus	Capparales
tadpole	Balanopales	order:	cauliflower
toad	birch	barrel cactus	charlock
tree frog	filbert	cactus	Chinese cabbage

collard cress kale Kerguelen cabbage kohlrabi marsh cress mustard peppergrass radish rape rock cress rocket spiderflower stock toothwort turnip wallflower whitlow grass angiospermscomposite order: artichoke Asteraceae basket-flower boneset chamomile Chrysanthemum cineraria coneflower dandelion endive fleabane goldenrod groundsel guayule Jerusalem artichoke lettuce marigold pussy-toes safflower sunflower thistle zinnia angiospermsdogwood order: angelica anise Apiaceae Araliaceae carrot Cornales cow parsnip dogwood fatsia ivv parsnip poison hemlock schefflera tupelo angiosperms-ebony and primrose orders: **Bumelia** Ebenales

ebony persimmon pimpernel primrose Primulales sapodilla storax angiospermseuphorbia order: boxwood Вихасеае cassava castor-oil plant copperleaf croton crown of thorns Euphorbiaceae Euphorbiales jatropha jojoba manchineel mercurv Omphalea Phyllanthus poinsettia redbird cactus sandbox tree spurge tung tree angiosperms-figwort order: Acanthaceae ash belladonna Bignoniaceae bladderwort broomrape eggplant figwort forsythia foxglove Gesneriaceae henbane Indian paint brush jacaranda jasmine lilac mullein nightshade Oleaceae olive petunia potato privet sausage tree Scrophulariales Solanaceae tea olive toadflax tobacco tomato witchweed

angiospermsgentian order: Apocynaceae Asclepiadaceae bedstraw buckbean carrion flower coffee Gentianales Indian hemp Loganiaceae madder oleander partridgeberry periwinkle Rubiaceae angiospermsgeranium order: Barbados cherry flax Geraniales geranium Impatiens lignum vitae nasturtium Oxalis shamrock wood sorrel angiosperms-ginger order: abaca arrowroot banana bird-of-paradise flower Cannaceae ginger ginger lily Marantaceae Musaceae plantain praver plant Strelitziaceae Zingiberaceae Zingiberales angiosperms-grass families: agrostology Arundinaria bamboo barley beach grass bent grass bluegrass bluestem bromegrass cordgrass corn crabgrass esparto fescue foxtail grass

love grass millet muhly needlegrass oat grass oats panicum Paspalum Pennisetum Poaceae quack grass reed Restionales rice rye rvegrass sorghum sugarcane wheat wild rice angiosperms-heath order: Arbutus azalea bilberry blueberry Clethra cranberry crowberry Ericales Gaultheria heath heather huckleberry Indian pipe kalmia kiwi Labrador tea leatherleaf Lyonia Pieris pipsissewa rhododendron Vaccinium wintergreen angiosperms-laurel order: avocado California laurel greenheart lambkill Laurales laurel sassafras sweet shrub angiosperms—lilv and iris orders: Agavaceae Alliaceae Amaryllidaceae Asparagus

asphodel bear grass bellwort blue-eved grass cantala chive Colchicum Crocus Dioscoreaceae Dracaena elephant's-foot Ervthronium fritillary garlic Gladiolus henequen Iridaceae Tris leek Liliaceae Liliales lilv mariposa lily Mauritius hemp narcissus onion phormium nickerelweed Sansevieria Smilax ti tulip water hyacinth vam angiospermsmagnolia order: Annonaceae champac cherimova lancewood magnolia Magnoliaceae Magnoliales Myristicaceae pawpaw tulip tree Winteraceae ylang-ylang angiospermsmallow order: Abutilon balsa baobab Bombacaceae cacao cotton durian hibiscus iute kapok kenaf linden mallow

Malvaceae Malvales okra roselle sisal Sterculiaceae Tiliaceae urena angiosperms-mint order: balm Coleus dittanv dragonhead glory-bower Lamiaceae Lamiales Lantana lavender lemon verbena Mentha peppermint rosemary Salvia spearmint teak Verbenaceae angiosperms-mvrtle order: allspice cannonball tree Cuphea Epilobium Eucalyptus Eugenia feijoa fireweed Fuchsia guava jaboticaba Leptospermum loosestrife mangrove mare's-tail Myrtales myrtle Onagraceae paperbark tree pomegranate water chestnut angiosperms—nettle order: Cannabis elm Ficus fig hackberry hemp India rubber plant iackfruit Moraceae mulberry Osage orange Pilea

ramie Ulmaceae Urticaceae Urticales angiosperms-orchid order. bucket orchid cattleva Dendrobium Epidendrum greenhood helleborine jewel orchid ladies' tresses lady's slipper Odontoglossum Oncidium Ophrys orchid Orchis Pogonia twayblade Vanda vanilla angiosperms-palm and related orders: Anthurium Arales Arecidae Arisaema Arum babassu palm calla coco de mer coconut palm Cyclanthales date palm dumb cane oil palm palm Pandanales Philodendron skunk cabbage Typhales angiosperms-pea order: acacia Albizia bean bluebonnet broom chick-pea Clianthus clover cowpea crown vetch Fabales honey locust indigo kudzu vine laburnum lentil lespedeza locoweed

locust logwood lupine mesquite mimosa narra pagoda tree palo verde pea peanut redbud rosewood senna sensitive plant smoke tree soybean sunn vetch Wisteria angiosperms-pepper and birthwort orders: birthwort Peperomia Piperaceae Piperales wild ginger angiosperms-phlox order: alkanet bindweed borage Boraginaceae bugloss Convolvulaceae dodder forget-me-not Hydrophyllaceae Ipomoea Lennoaceae Loasaceae Mertensia phlox Polemoniaceae Rivea sweet potato waterleaf angiospermspineapple and related orders: Aechmea Bromeliales Commelinales Cryptanthus Dyckia Eriocaulales Juncales papyrus pineapple Puya Spanish moss spiderwort Tillandsia

umbrella plant Zebrina angiosperms-pink order Amaranthaceae baby's breath beet Bougainvillea cactus campion carnation Caryophyllaceae Caryophyllales Celosia chard chickweed goosefoot Halogeton Lychnis Nyctaginaceae pigweed pink poke Portulacaceae purslane spinach sugar beet angiosperms—poppy order: bleeding heart bloodroot bush poppy California poppy celandine Corvdalis Fumariaceae fumitory horned poppy Hypecoaceae Papaveraceae **Papaverales** poppy prickly poppy angiosperms-rose order: almond Amelanchier apple apricot Astilbe blackberry boysenberry cherry chokecherry cinquefoil Connaraceae cotoneaster crab apple currant Echeveria firethorn gooseberry hawthorn

houseleek hydrangea kalanchoe loganberry loquat medlar nectarine peach pear Pittosporaceae plum quince raspberry Ribes Rosales rose Saxifragaceae saxifrage sedum spirea strawberry sweetbrier angiospermssandalwood order: Australian Christmas tree Balanophoraceae bastard toadflax dwarf mistletoe Loranthaceae mistletoe sandalwood Santalaceae Santalales Viscaceae angiospermssoapberry order: Aceraceae akee Anacardiaceae bel fruit box elder buckeye Burseraceae cashew citron grapefruit guarana horse chestnut kumquat lemon lime litchi mahogany mango maple Meliaceae orange pili nut Pistacia poison ivy red maple

Rhus Rutaceae Sapindales shaddock silver maple Simaroubaceae sugar maple sumac tree of heaven angiospermsstaff-tree and buckthorn orders: alder buckthorn bittersweet buckthorn Ceanothus Celastraceae Celastrales Euonymus holly jujube Rhamnales Vitaceae angiospermstamarisk order: boojum tree ocotillo Tamaricales tamarisk angiosperms-tea order: Camellia Clusiaceae Dipterocarpaceae Elatinaceae franklinia gordonia mammee apple mangosteen Ochnaceae Saint-John'swort stewartia Theaceae Theales angiosperms-teasel order: Caprifoliaceae Dipsacales elder feverwort honeysuckle scabious snowberry teasel Valerianaceae viburnum angiosperms-violet and related orders: begonia bottle gourd bryony

cucumber Cucurbitaceae dishcloth gourd Flacourtiaceae gourd melon pansy papaya Passifloraceae passion-flower pumpkin rock rose squash sun rose Viola Violales watermelon angiosperms-walnut order butternut hickory Juglandales pecan walnut angiosperms-water lilv order: fanwort Nelumbonaceae Nymphaeales water lily water shield angiospermswater-plantain and related orders: Alismatales arrowhead Elodea Hydrocharitales Najadales pondweed water plantain angiospermswitch-hazel and related orders: Casuarinales Didymelales Eucommiales Fothergilla Hamamelidaceae Hamamelidae Hamamelidales katsura tree Myricales plane tree sweet gum Trochodendrales winter hazel witch hazel angiosperms—other: Alismatidae aspen Barbeya buckwheat

buffalo berry burning bush carnivorous plant cobra plant Commelinidae Cyperaceae Cyperales Daphne Diapensiales dicotyledon Dilleniales Dilleniidae everlasting Haloragales hardwood Illiciales Leitneriales lotus macadamia Magnoliidae monocotyledon Nepenthales Nepenthes Paeoniaceae peony pitcher plant Plumbaginales Podostemales Polygalales Polygonales poplar Proteales Rafflesiales rhubarb Rosidae Salicales Sarraceniaceae Solanales sundew taro Theligonales Thymelaeales Triuridales Venus's-flytrap wild flower willow arthropods arachnids: arachnid black widow brown spider chigger crab spider daddy longlegs false scorpion funnel weaver funnel-web spider garden spider jumping spider mite nursery-web spider red spider scorpion

silk spider spider sunspider tarantula tick trap-door spider whip scorpion wolf spider arthropodscrustaceans: amphipod barnacle blue crab branchiopod brine shrimp clam shrimp copepod crab crayfish crustacean crustacean louse decapod Dungeness crab fiddler crab fish louse gammarid ghost crab gribble hermit crab hooded shrimp horseshoe shrimp isopod krill land crab lobster malacostracan mantis shrimp mussel shrimp mustache shrimp opossum shrimp pea crab pill bug robber crab sand flea scampi shrimp skeleton shrimp sow bug spider crab tadpole shrimp tanaid water flea arthropods-other: arthropod centipede eurvpterid giant water scorpion horseshoe crab insect millipede myriapod Paradoxides

pauropod sea spider symphylan trilobite bacteria: actinomycete archaebacterium bacillus bacterium blue-green algae Clostridium denitrifying bacteria episome eubacterium gliding bacterium gram stain Haemophilus Lactobacillus Micrococcus mycoplasma Pasteurella pneumococcus pseudomonad rickettsia Salmonella sheathed bacteria Spirillum spirochete Staphylococcus streptococcus Streptomyces sulfur bacterium vibrio birds—anseriform order: Anatidae anseriform black duck bufflehead Canada goose canvasback dabbling duck diving duck duck eider gadwall goldeneye goose magpie goose mallard merganser nene perching duck pintail pochard redhead ring-necked duck scaup scoter screamer sheldgoose shelduck

shoveler snow goose stifftail swan teal whistling duck white-fronted goose wigeon wood duck birds—caprimulgiform and apodiform orders: apodiform caprim ulgiform chuck-will's-widow crested swift frogmouth hummingbird nighthawk nightjar oilbird owlet frogmouth poorwill potoo swift swiftlet whippoorwill birds—charadriiform order: auk auklet avocet charadriiform courser curlew dotterel dowitcher godwit great auk greenshank guillemot gull jacana iaeger killdeer knot lapwing murre murrelet oystercatcher painted snipe phalarope plover pratincole puffin redshank ruff sandpiper seedsnipe sheathbill skimmer skua snipe stilt surfbird

tattler tern thickknee turnstone willet woodcock vellowlegs birds-columbiform and psittaciform orders: bristlehead cockatoo columbiform conure dodo domestic pigeon lovebird macaw parakeet parrot passenger pigeon pigeon psittaciform sandgrouse turtledove wood pigeon birds—coraciiform and piciform orders: barbet coraciiform flicker honey guide hornbill ivory-billed woodpecker jacamar kingfisher kookaburra motmot piciform piculet puffbird roller sapsucker toucan woodpecker birds—cuculiform and owl orders: ani barn owl coucal cuckoo cuculiform eagle owl fish owl ground cuckoo hawk owl horned owl owl roadrunner screech owl short-eared owl turaco wood owl

birds—extinct: Aepyornis Archaeopteryx Diatrvma Hesperornis Ichthvornis birds-falconiform order: accipiter bald eagle bateleur bird of prev buzzard caracara condor eagle falcon falconiform golden eagle goshawk gyrfalcon harrier hawk hobby kestrel kite lammergeier merlin osprey peregrine falcon secretary bird sparrowhawk turkey vulture vulture birds—galliform and gruiform orders: bustard button quail coot crake crane curassow finfoot galliform gallinule grouse gruiform guinea fowl hoatzin jungle fowl limpkin megapode mesite partridge peacock pheasant ptarmigan quail rail seriema trumpeter turkey whooping crane

birds-passeriform order: accentor Aegithalidae antbird becard bell-magpie bellbird bird-of-paradise bishop blackbird Bombycillidae bowerbird broadbill buffalo weaver bulbul bunting butcherbird Callaeidae Campephagidae canary Carduelidae catbird Certhiidae chat chat-thrush chough cisticola cock-of-the-rock cordon bleu Corvidae Cotingidae creeper crow cuckoo-shrike currawong Dendrocolaptidae dipper drongo Emberizidae Estrildidae fairy bluebird false sunbird fantail flowerpecker flycatcher forktail Furnariidae Galapagos finch gnatcatcher goldfinch grackle Grallinidae grass finch grosbeak ground thrush helmet-shrike Hirundinidae honeycreeper honeyeater house sparrow hypocoly Icteridae Irenidae

iav kingbird kinglet kiskadee lark laughing thrush Leiothrix lvrebird magpie magpie-robin manakin mannikin martin meadowlark Mimidae mockingbird monarch Muscicapidae mynah Nectariniidae nightingale nightingale thrush nuthatch oriole oropendola ovenbird Panuridae Paridae Parulidae passeriform pewee Philepittidae phoebe pipit pitta Ploceidae prinia quelea raven redstart Remizidae robin rockfowl rosefinch scrub-bird seedeater sharpbill shrike shrike-vireo silky flycatcher song-babbler songbird sparrow starling Sturnidae sunbird swallow Sylviidae tailorbird tanager tapaculo thickhead thrush

tit tityra towhee treecreeper Turdidae tyrannulet tvrant flycatcher umbrellahird vanga-shrike vireo wagtail warbler wattle-eve waxbill waxwing weaver white-eve whydah woodcreeper woodswallow woodwarhler wren Xenicidae birds—others: albatross aviarv aviculture bird bittern booby cassowary casuariiform ciconiiform colv Colymbiformes cormorant diving petrel egret emu flamingo frigate bird fulmar gannet grebe hammerhead heron ibis kiwi loon moa ornithology ostrich pelecaniform pelican penguin petrel plumage prion procellariiform rhea shearwater shoebill snakebird

spoonbill stork storm petrel svrinx tinamou trogon tropic bird brvophytes: bryophyte bug-on-a-stick carpet moss cord moss cushion moss granite moss hair-cap moss hornwort leafy liverwort liverwort luminous moss Marchantia moss peat moss tree moss wind-blown moss classification: nomenclature taximetrics taxon taxonomy enterocoelomates: acorn worm amphioxus arrowworm beardworm bêche-de-mer blastoid brittle star cake urchin carpoid chordate crinoid crown-of-thorns starfish echinoderm echinoid graptolite heart urchin hemichordate protochordate pterobranch sand dollar sea cucumber sea lily sea squirt sea urchin starfish tunicate fishes-atheriniform and related orders: atheriniform dealfish dory flying fish

grunion killifish lantern-eve fish live-bearer molly oarfish silversides spiny-finned fish fishes\_ batrachoidiform and related orders anglerfish hatfish brotula cave fish clingfish cod frogfish goosefish grenadier hake paracanthoptervgian pearlfish pollock toadfish whiting fishescartilaginous: basking shark blue shark carcharhinid chimaera chondrichthian Cladoselache devil rav dogfish electric ray guitarfish hammerhead shark mackerel shark mako shark monkfish rav saw shark sawfish shark skate stingray thresher shark tiger shark white shark fishes—cypriniform and siluriform orders: barb bitterling bullhead carp catfish characin chub corydoras

electric catfish electric eel goldfish hatchetfish knifefish laheo loach madtom minnow ostariophysan pencil fish piranha roach sucker tench tetra tigerfish wels zebra fish fishes\_ gasterosteiform order: cornetfish gasterosteiform pipefish sea horse shrimpfish stickleback swamp eel trumpet fish fishes—jawless fish and placoderms: Agnatha antiarch Arctolepis arthrodire **Bothriolepis** Cephalaspis hagfish lamprey ostracoderm Palaeospondylus placoderm spiny shark fishes-perciform order: angelfish archer fish barracuda hass bigeye black bass blenny bluegill bonito butterfish butterfly fish carangid cichlid crappie damselfish darter

dace

discus fish dragonet drum fingerfish glassfish goatfish goby gourami grouper grunt hind hogfish jack iewfish labyrinth fish mackerel marlin mojarra moonfish mudskipper mullet Nile perch parrot fish perch perciform pikeperch pomfret pompano porgy prickleback remora sailfish scat sea bass sheepshead Siamese fighting fish sleeper slipmouth snapper snook soapfish spadefish spearfish spiny eel stargazer sunfish surfperch surgeonfish swordfish threadfin tilefish tripletail tuna weakfish weever wolffish wrasse fishespleuronectiform and tetraodontiform orders: boxfish dab

filefish flatfish flounder halibut mola plaice porcupine fish nuffer sole tetraodontiform triggerfish turbot fishes-salmoniform order: Atlantic salmon bristlemouth brook trout brown trout capelin char coho hatchetfish king salmon lake trout mudminnow nike rainbow trout salmon salmoniform sandfish scaleless dragonfish smelt spookfish trout viperfish whitefish fishes—scorpaeniform and related orders: dragonfish flathead flying gurnard greenling lion-fish lumpsucker poacher redfish scorpaeniform scorpion fish sculpin sea robin snailfish stonefish zebra fish fishes—others: alewife anchovy bichir bony fish bowfin Cheirolepis chondrostean clupeiform coelacanth crossopterygian

deep-sea fish Dipterus eel elopiform Eusthenopteron fish gar gulper herring holostean ladyfish lungfish menhaden moray mormyrid notopterid osteoglossomorph paddlefish Rhipidistia sardine shad sturgeon tarpon teleost wolf herring fungi: Agaricales Amanita Armillaria Ascomycetes **Basidiomycetes Boletaceae** cup fungus Deuteromycetes fungus Lycoperdales mushroom mycorrhiza Oomycetes Polyporales stinkhorn truffle water mold yeast Zygomycetes gymnosperms: alerce American arborvitae Araucaria arborvitae bald cypress big tree California nutmeg cedar conifer Cordaitales Cupressaceae cvcad Cycadeoidales Cycas cypress cypress pine

dawn redwood Douglas fir eastern red cedar English yew Ephedra false cypress fir giant arborvitae ginkgo Glossopteris Gnetaceae gymnosperm hemlock incense cedar Japanese cedar Japanese torreya Japanese yew juniper larch Lebachia Pinaceae pine Podocarpaceae redwood seed fern Sequoia spruce Taxaceae Taxodiaceae Torreya umbrella pine Welwitschiaceae yellowwood vew insects-coleopteran order: alfalfa weevil bark beetle beetle bess beetle billbug blister beetle boll weevil branch and twig borer carrion beetle casebearing beetle chafer checkered beetle click beetle coleopteran Colorado potato beetle cucumber beetle darkling beetle dermestid beetle firefly flat bark beetle flea beetle glowworm ground beetle ladybird beetle leaf-rolling weevil long-horned beetle

metallic wood-boring beetle nlum curculio potato beetle predaceous diving beetle primitive weevil rhinoceros beetle rove beetle scarab beetle seed beetle soldier beetle spider beetle stag beetle strepsipteran tiger beetle tortoise beetle tumbling flower beetle unicorn beetle water scavenger beetle weevil whirligig beetle insects-fly order: anthomyiid fly bee flv biting midge black flv blow fly bot fly crane flv dipteran flesh fly flv fruit fly fungus gnat gall midge Hessian fly horse fly housefly hover flv leaf miner louse fly midge mosquito robber fly tachinid fly tsetse fly vinegar fly warble fly insectshymenopteran order: ant Apocrita bee braconid bumblebee chalcid cuckoo wasp fig wasp gall wasp honey ant

honevbee horntail hymenopteran ichneumon leaf-cutter bee sand wasp sawfly spider wasp Symphyta thread-waisted wasp velvet ant wasp wood wasp insects—lepidopteran order: bagworm moth blue butterfly bollworm brush-footed butterfly butterfly carpenter moth casebearer clearwing moth copper butterfly diamondback moth flour moth forester moth gelechiid moth geometrid moth gypsy moth hairstreak harvester hawk moth lappet leaf roller moth lepidopteran measuring worm milkweed butterfly monarch butterfly morpho moth olethreutid moth owlet moth painted lady parnassian butterfly peppered moth pyralid moth regal moth saturniid moth silkworm moth skipper slug caterpillar moth sulfur butterfly swallowtail butterfly tent caterpillar moth tiger moth tineid moth

tussock moth white butterfly vucca moth zebra swallowtail butterfly insects—others: alderfly ambush bug antlion aphid apterygote assassin bug back swimmer bedbug bristletail bug burrower bug caddisfly chewing louse chinch bug cicada cockroach cone-headed grasshopper coreid bug cottony-cushion scale cricket dipluran dobsonfly dragonfly earwig ephemeropteran flea flower bug froghopper giant water bug grape phylloxera grasshopper harlequin cabbage bug heteropteran homopteran human louse jumping plant louse katvdid lace bug lacewing leaf insect leafhopper locust long-horned grasshopper louse lygaeid bug mantid mantispid marsh treader mayfly meadow grasshopper mealybug mole cricket

neuropteran odonate orthopteran plant bug proturan psocid nygmy grasshopper red bug San Jose scale scale insect scorpionfly shield-backed katvdid short-horned grasshopper smaller water strider snakeflv springtail stinkbug stonefly sucking louse termite thrips treehopper walkingstick water boatman water scorpion water strider webspinner whitefly lophophorates: Atrypa horseshoe worm lamp shell lingulid moss animal lower invertebrates: archaeocyathid Ascaris aschelminth Aurelia bread crumb sponge Cassiopea Chrysaora clionid cnidarian coral ctenophore eelworm entoproct eye worm filarial worm flatworm fluke freshwater jellyfish gastrotrich Gonionemus guinea worm horn coral horny sponge Hydra

Hydractinia hvdroid jellyfish Leucosolenia Liriope lungworm medusa mesozoan millepore nematocvst nematode Obelia pinworm planarian polyp Portuguese man-of-war priapulid ribbon worm rotifer sea anemone sea fan sea gooseberry sea pen sea walnut siliceous sponge spiny-headed worm sponge tapeworm threadworm trichina Venus's flower basket worm zoanthid mammalsartiodactyl order: alpaca antelope aoudad artiodactvl aurochs babirusa bighorn bison boar bongo bovid brocket buffalo bush pig camel cattle chamois chevrotain deer dibatag duiker eland fallow deer gaur gazelle

gerenuk giraffe gnu goat guanaco hartebeest hippopotamus ibex impala Kobus kudu llama moose mountain goat mule deer muntiac musk deer musk-ox nyala okani oryx peccary Père David's deer pig pronghorn red deer reedbuck reindeer roe deer ruminant saiga sassaby sheep sika springbok tahr vicuña wapiti warthog water buffalo white-tailed deer vak mammals-bat order: bat brown bat bulldog bat disk bat false vampire bat free-tailed bat Hipposideridae horseshoe bat Jamaican fruit bat New Zealand short-tailed bat Phyllostomatidae Pteropodidae sheath-tailed bat vampire bat Vespertilionidae mammals—carnivore order: aardwolf

African hunting dog Arctic fox Asiatic black bear badger bat-eared fox bear bearded seal binturong black bear bobcat brown bear bush dog cacomistle Caffre cat canine caracal carnivore cat cheetah civet clouded leopard coati covote crabeater seal dhole dingo dog elephant seal ermine fennec ferret fisher flat-headed cat fossa fox fur seal genet golden cat gray fox grison grizzly bear harbour seal harp seal hooded seal hyena jackal jaguar jaguarundi kinkaiou leopard leopard cat linsang lion lvnx margay marten mink mongoose monk seal mustelid ocelot

olingo offer ounce Pallas's cat panda polar bear polecat procvonid puma raccoon raccoon dog ratel sable sea lion seal serval skunk sloth bear South American fox spectacled bear sun bear suricate tayra tiger viverrid walrus weasel Weddell seal wildcat wolf wolverine mammals—cetacean order: baleen whale beaked whale beluga blue whale bottlenose whale cetacean dolphin fin whale gray whale humpback whale killer whale narwhal pilot whale porpoise right whale sei whale sperm whale whale mammals—extinct: Barylambda brontothere Camelops cave bear Chalicotherium Condylarthra Coryphodon Creodonta dawn horse Dinohyus

Drvopithecus Elasmotherium Glyptodon Indricotherium Irish elk litoptern mammoth mastodon Mervchippus Miacis Moeritherium Moropus multituberculate Notoungulata Oreopithecus Phenacodus sabre-toothed cat taeniodont Thylacosmilus titanothere Toxodon Triconodon Uintatherium woolly rhinoceros mammalsinsectivore and edentate orders: anteater armadillo edentate elephant shrew golden mole hedgehog insectivore mole otter shrew short-tailed shrew shrew sloth solenodon tenrec mammals monotremes and marsupials: bandicoot cuscus echidna glider kangaroo koala marsupial marsupial mole marsupial mouse monotreme native cat numbat opossum phalanger platypus rat kangaroo rat opossum Tasmanian devil Tasmanian wolf

wallaby wombat mammalsperissodactvl order: ass donkey equine horse mule perissodactyl Przewalski's horse rhinoceros tapir zebra mammals—primate order: ape avahi aye-aye baboon bonobo capuchin monkey Celebes black ape chimpanzee colobus diana monkey drill durukuli galago gelada gibbon gorilla guenon hamadryas howler monkey indri langur lemur loris macaque mandrill mangabey marmoset monkey orangutan patas monkey potto primate proboscis monkey rhesus monkey saki siamang sifaka spider monkey squirrel monkey tarsier titi tree shrew uakari woolly monkey woolly spider monkey

mammals—rodent order: agouti bamboo rat bandicoot rat beaver cane rat capybara cavy chinchilla chipmunk cloud rat cotton rat dormouse field mouse flving squirrel gerbil gopher grasshopper mouse ground squirrel guinea pig gundi hamster harvest mouse hutia jerboa jumping mouse kangaroo rat lemming maned rat marmot mole rat mouse muskrat nutria paca pocket mouse porcupine pouched rat prairie dog rat rice rat rock rat rodent sewellel spiny rat springhare squirrel tuco-tuco viscacha vole water rat white-footed mouse wood rat woodchuck mammals—others: aardvark colugo dugong elephant hare

hyrax lagomorph mammal manatee pangolin pika proboscidean rabbit sea cow sirenian ungulate protists—algae: Acetabularia alga algology brown algae Chlorella desmid diatom dulse Fucus green algae Irish moss kelp laver Nostoc Oedogonium phytoplankton Pleurococcus red algae Sargassum sea lettuce seaweed spirogyra stonewort Ulothrix Vaucheria water bloom water net protistsprotozoans: actinomyxidian amoeba apicomplexan astome **Balantidium** Ceratium Chlamydomonas chloromonad chrysomonad ciliate coccolith cryptomonad dinoflagellate Entamoeba entodiniomorph Euglena flagellate foraminiferan fusulinid gregarine Gymnodinium gymnostome

haplosporidian helioflagellate heliozoan heterochlorid heterotrich Holomastigotoides hymenostome hypermastigote hypotrich microsporidian myxosporidian Nosema odontostome oligotrich opalinid Paramecium peritrich Plasmodium protomonad protozoan pseudopodium radiolarian rhizomastigote Sarcocystis sarcodine suctorian testacean tintinnid trichocyst trichomonad trichostome volvocid Volvox Vorticella protists—others: beard lichen Iceland moss lichen manna Myxomycetes oak moss Parmelia Plasmodiophoromycetes protist slime mold reptilescrocodilians: alligator caiman crocodile gavial reptiles-extinct: Albertosaurus Allosaurus Anatosaurus Ankylosaurus Apatosaurus brachiosaur Bradysaurus Camptosaurus carnosaur ceratopsian

Ceratosaurus Clidastes Coelophysis cynodont Cynognathus Dicynodon Dimetrodon Dimorphodon dinosaur Diplodocus dromaeosaur Edaphosaurus Euparkeria Hypsilophodon ichthyosaur iguanodon Lambeosaurus Limnoscelis Maiasaura megalosaur Mesosaurus mosasaur Moschops Nothosaurus ornithischian Ornitholestes Ornithomimus ornithopod Oviraptor Pachycephalosaurus Pentaceratops phytosaur Plateosaurus plesiosaur Protoceratops Psittacosaurus Pteranodon pterodactyl pterosaur Rhamphorhynchus saurischian sauropod sauropterygian Stegosaurus Struthiomimus thecodont therapsid theropod triceratops tritylodont tyrannosaur reptiles—lizards: Agamidae anole chameleon gecko Gila monster glass snake horned toad iguana

Komodo dragon lizard monitor lizard racerunner skink reptiles—snakes: adder anaconda black snake blind snake hoa boomslang brown snake bull snake bushmaster Cerastes coachwhip cobra colubrid copperhead coral snake egg-eating snake elapid fer-de-lance flying snake garter snake green snake hognose snake indigo snake king snake krait mamba mangrove snake moccasin python racer rat snake rattlesnake sea snake shieldtail snake sidewinder snake taipan tree snake vine snake viper wart snake water snake reptiles-turtles: Blanding's turtle box turtle Emydidae mud turtle musk turtle painted turtle pond turtle sea turtle side-necked turtle snake-necked turtle snapping turtle softshell turtle

terrapin tortoise turtle wood turtle reptiles—others: archosaur reptile tuatara schizocoelomatesannelids annelid earthworm fanworm feather-duster worm fireworm leech lugworm oligochaete palolo worm peacock worm polychaete rag worm sea mousc schizocoelomatesmollusks ammonoid ark shell belemnoid bivalve bubble shell cephalopod chiton clam cockle conch cone shell coquina clam cowrie cuttlefish ear shell gaper clam gastropod geoduck jingle shell land snail mollusk monoplacophoran murex mussel nautilus nudibranch octopus olive shell opisthobranch oyster periwinkle piddock prosobranch pteropod pulmonate

razor clam	pentastomid	Lycophyta	tracheophyte
scallop	schizocoelomate	Marattiaceae	whisk fern
seashell	spoonworm	Ophioglossaceae	viruses:
shipworm	tardigrade	Osmundaceae	adenovirus
slug	trochophore	Pleuromeia	arbovirus
squid	tracheophytes:	Polypodiaceae	cytomegalovirus
top shell	Adiantaceae	prefern	lysogeny
triton shell	angiosperm	Psilotophyta	myxovirus
tusk shell	Aspleniaceae	quillwort	papillomavirus
whelk	bracken	Rhynie plants	picornavirus
worm shell	cliffbrake	Salviniales	plant virus
schizocoelomates—	club moss	Schizaeaceae	polyoma virus
worm shell schizocoelomates— others: oncopod onychophoran peanutworm	cliffbrake club moss fern gymnosperm horsetail Lepidodendron	Salviniales Schizaeaceae Sphenophyta spike moss staghorn fern	plant virus polyoma virus poxvirus virion virology virus

### Biographies

See Section 10/34 of Part Ten

INDEX: See entries under all of the terms above

# Division II. The Molecular Basis of Vital Processes

[For Part Three headnote see page 95.]

The outlines in the three sections of Division II deal with the molecular level of biotic organization and set forth theories of the chemical transformations and the exchanges of energy that occur in the distinctively vital processes treated in Section 311 of Division I.

- Section 321. Chemicals and the Vital Processes 112
  - 322. Metabolism: Bioenergetics and Biosynthesis 115
  - 323. Vital Processes at the Molecular Level 116

# Section 321. Chemicals and the Vital Processes

- A. The inorganic milieu of living systems
- B. Organic chemicals participating naturally in the life processes
  - 1. Carbohydrates
  - 2. Lipids
  - 3. Proteins and peptides
  - 4. The major carrier of chemical energy: ATP
  - 5. Nucleic acids
    - a. General features
    - b. Deoxyribonucleic acid (DNA)
    - c. Ribonucleic acid (RNA)
  - 6. Biological pigments and coloration
  - 7. Enzymes
  - 8. Vitamins
  - 9. Hormones
    - a. General features of hormones: relationship between endocrine regulation and neural regulation, the evolution of hormones
    - b. The hormones of vertebrates
    - c. The hormones and hormonelike substances of invertebrates: neurohormones, molting hormones, pheromones
    - d. The hormones of plants

- 10. Other natural products: alkaloids, steroids and sterols, isoprenoids and terpenes
- C. Drugs: chemicals administered to an organism to change its physiological state or to combat pathogens
  - 1. Sources and development of drugs
  - 2. General aspects of drug action
  - 3. Absorption, distribution, metabolism, and excretion of drugs
  - 4. Classification of drugs by organ or organ system of principal effect
    - a. Drugs affecting the cardiovascular system
    - b. Drugs affecting smooth and skeletal muscle systems
    - c. Drugs affecting the central nervous system
    - d. Drugs affecting the autonomic nervous system and the eyes
    - e. Drugs affecting the excretory system
    - f. Drugs affecting the digestive system
    - g. Drugs affecting the reproductive systems
    - h. Drugs affecting the immune response system
    - i. Drugs affecting the histamine response system
  - 5. Drugs directed against disease organisms
    - a. Drugs derived from living microorganisms: antibiotics
    - b. Chemical compounds used to treat infectious diseases: chemotherapeutic drugs
  - 6. Drugs directed at the suppression of cancer
  - 7. Drug use and abuse: the nature of drug addiction and dependence [see 522.C.9.]
- D. Ethyl alcohol, alcohol consumption [see 522.C.9.]
- E. Biocides and biorepellents
  - 1. Antiseptics and disinfectants
  - 2. Biocides directed by mankind against animal and plant pests
  - 3. Biotoxins produced by microorganisms, plants, and animals: microbial toxins, phytotoxins, zootoxins
  - 4. Biological and chemical warfare agents [see 736.A.6.]
- F. The selective concentration of chemicals by organisms

MACROPAEDIA: Major articles dealing with chemicals and the vital processes

Biochemical Components of Organisms	Drugs and Drug Action
Cells: Their Structures and Functions	Poisons and Poisoning
Chemical Compounds	-

MICROPAEDIA: Selected entries of reference information

### General subjects

fungicide	mycotoxin	monosaccharide
herbicide	poison	pectin
insecticide	toxin	polysaccharide
Malathion	venom	starch
parathion	carbohydrates:	sugar
phorate	carbohydrate	drugs affecting the
rodenticide	cellulose	autonomic nervous
Toxaphene	disaccharide	system:
biotoxins:	glucose	adrenergic drug
lambkill	glycoside	anticholinesterase
	fungicide herbicide insecticide Malathion parathion phorate rodenticide Toxaphene biotoxins: lambkill	fungicidemycotoxinherbicidepoisoninsecticidetoxinMalathionvenomparathioncarbohydrates:phoratecarbohydraterodenticidecelluloseToxaphenedisaccharidebiotoxins:glucoselambkillglycoside

atropine beta blocker cholinergic drug ganglion blocking agent neuromuscular blocking agent drugs affecting the cardiovascular system: digitalis heparin nitroglycerin drugs-analgesics: acetaminophen acetanilide analgesic antipyrine aspirin salicylic acid drugs—anesthetics: anesthetic chloroform cocaine curare cyclopropane procaine hydrochloride drugs—antibiotics: ampicillin antibiotic cephalosporin erythromycin penicillin streptomycin tetracycline drugs-antiseptics: Dakin's solution iodoform merbromin silver nitrate drugschemotherapeutic: allopurinol anthelmintic catechu chloroquine diethylcarbamazine citrate diethylstilbestrol isoniazid pamaquine Prontosil quinacrine quinine sulfa drug sulfadiazine sulfanilamide sulfonamide drugshallucinogens. bufotenine

DMT hallucinogen hashish ibogaine LSD marijuana mescaline PCP peyote psilocin and psilocybin drugs—narcotics: codeine fentanyl heroin methadone morphine narcotic opium drugs—sedatives: barbiturate chloral hydrate paraldehyde sedative-hypnotic drug thalidomide drugs—stimulants: amphetamine caffeine imipramine iproniazid isocarboxazid methamphetamine stimulant tranylcypromine drugs—tranquilizers: chlordiazepoxide chlorpromazine diazepam lithium carbonate meprobamate reserpine tranquilizer drugs-other drugs and drug action: antacid antagonism antihistamine antimicrobial agent astringent colchicine cytotoxic drug diuretic drug ephedrine laxative phenol coefficient promethazine quinidine

scopolamine theophylline urethane enzymes and enzyme action: allosteric control amvlase cofactor cooperativity enzyme feedback inhibition hydrolase induction inhibition ligase lipase Michaelis-Menten hypothesis nuclease pepsin proteolytic enzyme renin serotonin transaminase zymogen hormones: aldosterone androgen corticoid cortisol enterogastrone epinephrine and norepinephrine estrogen growth hormone hormone insulin luteinizing hormone melatonin neurohormone progesterone testosterone isoprenoids and terpenes: abietic acid camphor isoprene limonene menthol pinene terpene lipids: capsaicin fatty acid lecithin lipid phospholipid prostaglandin sphingolipid triglyceride

nucleic acids: adenine cvtosine DNA guanine nucleic acid nucleoside nucleotide RNA thymine uracil pigments and coloration: auxochrome carotene chlorophyll chromophore flavonoid melanin phytol porphyrin quinone proteins and peptides: actin amino acid collagen glutamic acid glutamine gluten histidine histone hydroxyproline keratin myoglobin peptide prolamin protein scleroprotein steroids and sterols: cholesterol cortisone ergosterol saponin steroid hormone vitamins: biotin carnitine choline folic acid niacin pantothenic acid para-aminobenzoic acid vitamin vitamin A vitamin B complex vitamin B<sub>1</sub> vitamin B<sub>2</sub> vitamin B<sub>6</sub> vitamin B<sub>12</sub>

vitamin C other: vitamin D ade vitamin E tri vitamin K

adenosine triphosphate denaturation histamine piperine sapogenin secretion

### **Biographies**

See Section 10/34 of Part Ten

INDEX: See entries under all of the terms above

# Section 322. Metabolism: Bioenergetics and Biosynthesis

- A. Photosynthesis: the initiation of energy conversion in the biosphere [see also 335.B.]
  - 1. The biological importance of photosynthesis
  - 2. Factors that influence the rate of photosynthesis and the energy efficiency of photosynthesis
  - 3. Determination of the mechanism of photosynthesis
  - 4. The site of the photosynthetic process in green plants: the chloroplast
  - 5. The photosynthetic pigments
  - 6. The energetics of photosynthesis: photoelectron transfer, photophosphorylation
  - 7. The metabolic path of carbon in photosynthesis: the carbon reduction cycle

### B. Metabolism: the totality of all chemical processes in the living organism

- 1. The fragmentation of complex molecules: catabolism
- 2. The combustion of food materials and the conservation of part of the energy in them: cellular respiration, oxidation and transduction
- 3. The biosynthesis of cell components: anabolism
- 4. Regulation of metabolism
- C. The nitrogen cycle: nitrogen fixation, nitrification and denitrification

### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with metabolism: bioenergetics and biosynthesis

Cells: Their Structures and Functions Metabolism Photosynthesis

MICROPAEDIA: Selected entries of reference information

# General subjects

adenosine	
triphosphate	
anabolism	
antimetabolite	

catabolism cellular respiration chloroplast cytochrome feedback inhibition gluconeogenesis induction inhibition metabolism photosynthesis tricarboxylic acid cycle

# Biographies

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INDEX: See entries under all of the terms above
## Section 323. Vital Processes at the Molecular Level

- A. The cell membrane
  - 1. The nature of membranes
  - 2. Compartmentalization of the cell
  - 3. Movement of water across cell membranes: osmosis
  - 4. Movement of solutes through membranes in response to a concentration gradient
  - 5. Movement of solutes through membranes independent of concentration gradients: active transport, pinocytosis
- B. Bioelectricity
- C. The nerve impulse
  - 1. The structure of the neuron
  - 2. Characteristics of artificially stimulated nerve fibres
  - 3. Nature of the nerve impulse
  - 4. Transmission of the nerve impulse: the synapse

#### D. Muscle contraction

- 1. Contractile or motile activity of some type as a characteristic of all living things
- 2. Striated, or skeletal, muscle in higher animals
- 3. Cardiac muscle
- 4. Smooth muscle

## E. Bioluminescence

- 1. The significance of bioluminescence in behaviour, metabolism, and research
- 2. The range and variety of bioluminescent organisms
- 3. The biochemical events of light emission: enzymic and nonenzymic systems

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with vital processes at the molecular level

Cells: Their Structures and Functions Electricity and Magnetism Muscles and Muscle Systems Nerves and Nervous Systems

MICROPAEDIA: Selected entries of reference information

## General subjects

acetylcholine
actin
action potential
adrenaline and
noradrenaline
all-or-none law
bioelectricity

bioluminescence end-plate potential excitatory postsynaptic potential marine phosphorescence membrane muscle nervous system neuromuscular junction neuron neurotransmitter osmosis pinocytosis resting potential sodium pump synapse

## Biographies

See Section 10/34 of Part Ten

### Division III. The Structures and Functions of Organisms

[For Part Three headnote see page 95.]

Division I deals with the nature, origin, evolution, distinctive properties, and classification of living things. Division II deals with the molecular level of biotic organization. The outlines in the nine sections of Division III deal with life at the cellular level and at the organismic level.

Section 331. The Cellular Basis of Form and Function 117

- 332. The Relation of Form and Function in Organisms 118
- 333. Coordination of Vital Processes: Regulation and Integration 120
- 334. Covering and Support: Integumentary, Skeletal, and Musculatory Systems 122
- 335. Nutrition: the Procurement and Processing of Nutrients 123
- 336. Gas Exchange, Internal Transport, and Elimination 124
- 337. Reproduction and Sex 126
- 338. Development: Growth, Differentiation, and Morphogenesis 128
- 339. Heredity: the Transmission of Traits 129

## Section 331. The Cellular Basis of Form and Function

- A. Cell theory and classification
  - 1. The cell theory
    - a. Historical background
    - b. Challenges to and revisions of the cell theory in the light of later knowledge
  - 2. Classification of cells
    - a. General features: comparisons between cells and viruses and between procaryotic and eucaryotic cells, tissues as providing a functional classification of cells
    - b. Cells and tissues of animals: absorptive cells, secretory cells, nerve cells, sensory cells, muscle cells, cells in supporting tissues, circulating cells, reproductive cells
    - c. Cells and tissues of higher plants: outstanding features of the plant cell; meristematic, epidermal, and other types of plant cells
    - d. Comparison between animal cells and plant cells
- B. Cell design and cell organization
  - 1. The cell as a molecular system
    - a. Macromolecules in cells: nucleic acids, proteins, polysaccharides
    - b. Small molecules in cells: lipids, nucleotides, amino acids, fatty acids
  - 2. Form and structure of the cell
    - a. Sizes and shapes of cells
    - b. Morphological elements: parts of cells--cell membrane, extracellular matrix, mitochondria, ribosomes, cytoskeleton, nuclear envelope, chromosomes, nucleolus
    - c. Procaryotic and eucaryotic cells [see also 312.A.3.b.]
- C. Functional aspects of cells
  - 1. The internal environment and the cell matrix: the concept of the cell as a "protoplasm," the concept of the cell as a "bag" containing a water solution of molecules
  - 2. Cell membranes

[see 323.A.]

- 3. Interplay of nucleus and cytoplasm
- 4. Cell movement: ciliary, flagellar, and amoeboid
- 5. Cells in combination: cohesion and communication to form tissues and multicellular organisms
- D. The cell cycle
  - 1. Cell growth: doubling of size, genetic replication, preparation for division

- 2. Cell division
  - a. Mitosis: condensation of chromosomes and dissolution of nuclear envelope; splitting of chromosomes; formation of new nuclear envelopes
  - b. Cytokinesis: constriction of animal cell into halves by contractile ring of actin filaments; division of plant cell by formation of cell plate and new cell wall
  - c. Meiosis: division of germ cell into gametes, or reproductive cells, each with one-half of the genetic material of parent cell

protoplasm receptor recombination ribosome

#### E. Fertilization

- 1. Characteristics of the mature egg
- 2. Events of fertilization
- 3. Biochemical analysis of the events of fertilization
- 4. Mechanisms that aid in the union of gametes

## Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major article dealing with the cellular basis of form and function

Cells: Their Structures and Functions

MICROPAEDIA: Selected entries of reference information

## General subjects

cell	cytoskeleton	meiosis
chromosome	fertilization	mitosis
cilium	fission	multicellular
cytology	flagellum	organism
cytoplasm	gamete	nucleus
cytoplasmic	in vitro	ploidy
streaming	fertilization	

#### **Biographies**

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INDEX: See entries under all of the terms above

## Section 332. The Relation of Form and Function in Organisms

- A. Biological form and function
- B. Plant tissues and fluids: classification, organization, main functions
  - 1. Relatively undifferentiated tissues of nonvascular plants
  - 2. Well-differentiated tissues in vascular plants
    - a. Meristematic (cell-producing) tissues: apical, lateral, intercalary
    - b. Mature tissues
      - i. Dermal (protective) tissues: the epidermis of the primary plant body, the periderm of the secondary plant body
      - ii. Vascular (conducting) tissues: the xylem, the phloem
      - iii. Fundamental (ground) tissues: the parenchyma, the supportive collenchyma and sclerenchyma, the endodermis
  - 3. Cells of plant tissues [see 331.A.2.c.]
- C. Organs of plants: tissue organization, functions, and types
  - 1. Development of organs in vascular plants: internal and external morphology, tissue organization, functions, types, and modifications; the stem; the leaf; the root
  - 2. Physiology of organs in vascular plants

- 3. Diverse sizes and forms of organ systems in vascular plants: potential for unlimited growth
  - a. Varieties of shoot systems
  - b. Varieties of root systems
  - c. Varieties of reproductive organs and organ systems
- 4. Organs of nonvascular plants: analogues of stem, leaf, and root
- 5. Evolution of plant organs and organ systems into the complex, multicellular state
- D. Animal tissues and fluids: classification, organization, and main functions
  - 1. Classification of tissues: anatomical, embryological, functional
  - 2. Tissues for assimilation, storage, transport, and excretion: alimentary, liver, kidney, and lung tissues; blood and lymph
  - 3. Tissues for coordination: nervous and sensory tissues, endocrine tissues
  - 4. Tissues for support and movement: connective tissues, cartilage, bone, muscle
  - 5. Other tissues: reproductive tissues, hemopoietic tissues, tissue fluids
  - 6. Cells of animal tissues [see 331.A.2.b.]
- E. Animal organs and organ systems
  - 1. Specialized organ systems
    - a. Relating to the environment primarily: integumentary, skeletal, muscular, nervous, and endocrine systems [see also 333.C. and D.: 334.A., B., and C.]
    - b. Serving cell metabolism primarily: digestive, respiratory, circulatory, and excretory systems [see also 335.C.; 336.A., B., and C.]
    - c. Serving genetic continuity primarily: the reproductive system
  - 2. Interrelationships between organ systems: functional interdependence, feedback mechanisms
  - 3. Development of organ systems [see also 338.D.]
  - 4. Evolution of organ systems

MACROPAEDIA: Major articles dealing with the relation of form and function in organisms

Animals	Integumentary Systems
Blood	Muscles and Muscle Systems
Cells: Their Structures and Functions	Nerves and Nervous Systems
Circulation and Circulatory Systems	Plants
Digestion and Digestive Systems	Reproduction and Reproductive Systems
Endocrine Systems	Respiration and Respiratory Systems
Excretion and Excretory Systems	Supportive and Connective Tissues

MICROPAEDIA: Selected entries of reference information

#### General subjects

bark	inflorescence	phloem	symmetry
cambium	leaf	pistil	tissue
connective tissue	lignin	placenta	vascular bundle
cortex	meristem	root	vascular system
epithelium	mucus	sclerenchyma	vessel
flower	organ	sieve tube	wood
growth ring	parenchyma	stomate	xylem

## Biographies

See Section 10/34 of Part Ten

## Section 333. Coordination of Vital Processes: Regulation and Integration

- A. Maintenance of steady states in biological systems: homeostasis
  - 1. The nature of homeostatic systems
  - 2. Homeostatic processes
  - 3. Homeostatic control hierarchies: homeostatic subsystems that serve either organisms or natural communities
  - 4. Origin and evolution of homeostasis
  - 5. Individual adjustments to gradual changes in the physical environment: acclimatization
  - 6. Inactive states accompanied by a lower than normal rate of metabolism: dormancy
- B. Information reception and processing: sensory reception
  - 1. Classification of sensory systems
    - a. According to location of receptors: exteroceptors, interoceptors
    - b. According to type of stimulus: photoreceptors, thermoreceptors, chemoreceptors, mechanoreceptors, electroreceptors, sound receptors
  - 2. Evolution of sensory systems: specialized organs and information-processing structures
  - 3. Sensory information: interactions between adjacent sense cells and sensory neurons
- C. Endocrine systems in animals
  - 1. General features of hormonal coordination: the relationships between endocrine and neural regulation
  - 2. Vertebrate endocrine systems
    - a. Relationships of endocrine glands to each other and to the blood
    - b. Structure and function
  - 3. Invertebrate endocrine systems: insects, crustaceans, annelid worms
  - 4. Comparative, adaptive, and evolutionary aspects of endocrine systems: the neurosecretory cell, hypothalamus-pituitary control systems
  - 5. The human endocrine system [see 421.E.]
- D. Nervous systems in animals
  - 1. Comparison of chemical and nervous regulation: control mechanisms located between the stimulus and the response
  - 2. Nervous coordination
    - a. Intracellular coordination: general cytoplasmic responsiveness, or irritability, to a stimulus
    - b. Organelle systems: the channeling of responsiveness at the subcellular level within more complex protozoans
    - c. Nervous systems: the channeling of responsiveness at the cellular level within multicellular organisms
      - i. The neuron, or nerve cell
      - ii. The transmission of the nerve impulse and the synapse [see 323.C.]
  - 3. Invertebrate nervous systems
    - a. Theories of the evolutionary origin of the nervous system
    - b. Diffuse nervous systems
    - c. Centralized nervous systems
  - 4. Vertebrate nervous systems
    - a. The central nervous system: the brain and its components; the spinal cord; the brain coverings (meninges), cavities, cerebrospinal fluid, and neuroglia (nonnervous tissue)

- b. The peripheral nervous system
- c. Embryonic development of the vertebrate nervous system
- d. Evolution of the vertebrate nervous system
- e. Biodynamics of the vertebrate nervous system
- 5. The human nervous system [see 421.J.]
- E. The biological clock: periodicity
  - 1. Rhythms without apparent external correlates: brain waves, breathing, heartbeat
  - 2. Rhythms correlated with natural geophysical cycles: solar-day rhythms, lunar-tidal rhythms, monthly rhythms, annual or seasonal rhythms, epochal rhythms
  - 3. The mechanism of the biological clock
  - 4. Factors affecting biological periodicities
  - 5. The amplification and superimposition of individual rhythms in communities [see 352.C.1.b.]

MACROPAEDIA: Major articles dealing with the coordination of vital processes: regulation and integration

Endocrine Systems Nerves and Nervous Systems Sensory Reception

## MICROPAEDIA: Selected entries of reference information

## General subjects

## Biographies

See Section 10/34 of Part Ten

## Section 334. Covering and Support: Integumentary, Skeletal, and Musculatory Systems

- A. The body covering
  - 1. General features of the body covering, of integument: comparisons among unicellular organisms, plants, and animals
  - 2. Invertebrate integuments: organization and function
    - a. Cellular components and their derivatives
    - b. Noncellular coatings of the integument
  - 3. Vertebrate integuments: cellular components and their derivatives
    - a. Skin layers: the epidermis, the dermis
    - b. Skin derivatives and appendages: skin glands and pigment; epidermal scales; claws, nails, and hoofs; horns and antlers; feathers and hair; dermal derivatives
  - 4. Skin variations among vertebrates
  - 5. Embryology and evolution of the vertebrate skin
  - 6. The biodynamics of vertebrate skin
  - 7. Human integument and derivatives: skin, hair, nails, sebaceous glands, sweat glands
- B. The body skeleton
  - 1. The roles of the body skeleton
  - 2. Description and composition of the skeletal elements
    - a. Cuticular structures: bone, crystals, cuticle, ossicles, spicules
    - b. Semirigid structures: flexible cuticular structures, calcareous spicules that are not tightly packed, keratin, notochord, cartilage
    - c. Other elements: connective tissue, the hydrostatic skeleton, elastic structures, buoyancy devices
  - 3. The invertebrate skeleton: organization and function
  - 4. The vertebrate skeleton: structure and function
    - a. General features
    - b. Embryology of vertebrate skeletons
    - c. Vertebral column and thoracic skeleton
    - d. Appendicular skeleton: pectoral girdle, pelvic girdle, limbs
  - 5. Joints in vertebrates and invertebrates permitting various types of movement
  - 6. Properties of bone and its development
  - 7. The human skeletal system
- C. The body musculature
  - 1. General features of muscle tissue: its role in movement, support, colour changes, temperature regulation, and discharge of certain glands; arrangement and gross function
  - 2. Muscle contractile systems
    - a. Simple contractile systems: simple contractile fibrils and epithelio-muscular cells
    - b. Complex contractile tissues: striated muscle, smooth muscle
  - 3. Muscle contraction [see 323.D.]
  - 4. Invertebrate muscle systems
  - 5. Vertebrate muscle systems
    - a. Embryonic development and divisions of the muscular system
    - b. Evolution of the vertebrate musculatory system

- c. Function and regulation of muscle action
- d. Electric organs in certain fishes
- 6. The human musculatory system [see 421.H.6. and 7.]

MACROPAEDIA: Major articles dealing with covering and support: integumentary, skeletal, and musculatory systems

Integumentary Systems Muscles and Muscle Systems Supportive and Connective Tissues

MICROPAEDIA: Selected entries of reference information

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integument:	extensor muscle	fontanel	other:
bark	flexor muscle	humerus	ankle
beak	gluteus muscle	joint	arm
claw	iliocostalis muscle	ligament	digit
dermis	latissimus dorsi	metacarpal	elbow
epidermis	levator muscle	occipital	face
exoskeleton	muscle	parietal bone	foot
feather	pectoralis muscle	pelvic girdle	hand
hair	sphincter muscle	radius	heel
horn	trapezius muscle	rib	hip
integument	triceps muscle	sacrum	jaw
mantle	supportive and	scapula	knee
nail	connective tissues:	skeleton	leg
scale	bone	skull	shoulder
test	carpal bone	sternum	tail
musculature:	cartilage	tarsal	thorax
abdominal muscle	clavicle	tibia	wrist
abductor muscle	connective tissue	ulna	
adductor muscle	femur	vertebral column	
biceps muscle	fibula	zygomatic arch	
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## Section 335. Nutrition: the Procurement and Processing of Nutrients

- A. The basic features of nutrition
  - 1. The various nutritional patterns; e.g., autotrophism and heterotrophism, phototrophism and chemotrophism
  - 2. Methods of ingestion or penetration [see C., below]
  - 3. The essential nutrients: compounds that cannot be synthesized by an organism and must be supplied in food; the nutritional needs of organisms
  - 4. Syntrophism: nutritional interrelationships in which the immediate or end products of metabolism of one organism may provide essential nutrients for another
- B. Photosynthesis: the production of food in green plants [see also 322.A.]
- C. Digestion and digestive systems
  - 1. The contrast between autotrophs and heterotrophs

- 2. The alimentary system in animals other than humans
  - a. Invertebrate digestive systems: vacuolar systems, channel-network systems, saccular systems, tubular systems
  - b. Vertebrate digestive systems: oral cavity, teeth, and pharynx; esophagus and stomach; small intestine, pancreas, and liver; the large intestine
  - c. Embryology and evolutionary development of the vertebrate digestive system
  - d. Biodynamics of the vertebrate digestive system: control of secretions and intestinal movements
- 3. The human alimentary system [see 421.D.]

MACROPAEDIA: Major articles dealing with nutrition: the procurement and processing of nutrients

Digestion and Digestive Systems Nutrition

MICROPAEDIA: Selected entries of reference information

## General subjects

digestive system:	plica circularis	nutritional	other:
alimentary	pylorus	type	bile
canal	rectum	protein	bilirubin
anal canal	small intestine	vitamin	chyme
anus	stomach	oral cavity:	feces
appendix	villus	canine tooth	intestinal gas
argentaffin cell	ingestion and	cementum	-
cecum	digestion:	dentine	
colon	chewing	enamel	
digestion	defecation	gum	
esophagus	digestion	ivory	
gallbladder	peristalsis	palate	
gastric gland	swallowing	periodontal	
large intestine	nutrients and	membrane	
liver	nutrition:	saliva	
pancreas	carbohydrate	salivary gland	
Paneth's cell	fat	tongue	
pharynx	nutrition	tooth	
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#### Biographies

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## Section 336. Gas Exchange, Internal Transport, and Elimination

A. Respiration and respiratory systems

- 1. The process of extracting oxygen and releasing carbon dioxide
- 2. Gases in the environment: the range of respiratory problems faced by aquatic and terrestrial animals
- 3. Basic types of respiratory structures
  - a. Respiratory organs of invertebrates: tracheae and gills
  - b. Respiratory organs of vertebrates: gills and lungs
- 4. Dynamics of respiratory mechanisms
- 5. The control of respiration: neural reflexes, muscular feedback, chemically sensitive controls
- 6. Adaptation to special environmental conditions

- 7. The human respiratory system [see 421.C.]
- B. Circulation and circulatory systems
  - 1. Circulation and transport patterns: general aspects common to all circulatory systems
    - a. Circulation in single cells: streaming movements within the protoplasm
    - b. Circulation in multicellular animals
  - 2. The fluid media involved in circulation: blood and lymph
    - a. Evolutionary origins of circulating fluids
    - b. Plasma
    - c. Formed elements of the circulating fluid: red cells, white cells, platelets, thrombocytes
    - d. Lymphocytes and lymph in vertebrates
  - 3. Transport systems in animals
    - a. Invertebrate circulatory systems
    - b. Vertebrate circulatory systems
    - c. Coronary circulation
    - d. Embryonic development of the circulatory system
    - e. Biodynamics of vertebrate circulation
    - f. The human cardiovascular system [see 421.A.]
  - 4. Plant internal transport
- C. Elimination: the disposal of wastes
  - 1. General features of elimination
  - 2. Excretion and excretory systems
    - a. Excretory mechanisms
    - b. Invertebrate excretory systems
    - c. Vertebrate excretory systems
    - d. The evolution of the vertebrate excretory system
    - e. The human excretory system [see 421.G.]

MACROPAEDIA: Major articles dealing with gas exchange, internal transport, and elimination

Cells: Their Structures and Functions Circulation and Circulatory Systems Excretion and Excretory Systems Respiration and Respiratory Systems

MICROPAEDIA: Selected entries of reference information

## General subjects

blood and lymph:	platelet	blood pressure	hepatic vein
agglutinin	serum albumin	capillary	lung
-blood	thymus	cardiovascular	lymph node
complement	circulatory and	system	lymph nodule
erythrocyte	respiratory systems:	circulation	portal vein
hemoglobin	aorta	coronary artery	pulmonary
hemolysis	artery	diaphragm	circulation
leukocyte	asphyxia	diastole	pulse
lymph	atrium	gill	renal vein
lymphocyte		heart	respiration

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systemic	excretory systems:	perspiration	phloem
circulation	cloaca	renal capsule	root
systole	excretion	renal pelvis	sieve tube
trachea	kidney	renal pyramid	translocation
valve	loop of Henle	urinary bladder	transpiration
vein	malpighian tubule	urine	transport
vena cava	nasal gland	plant internal	vascular bundle
venous sinus	nephridium	transport system:	vessel
ventricle	nephron	mass flow	xylem

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## Section 337. Reproduction and Sex

- A. The forms of reproduction and their comparative adaptive significance
  - 1. Levels of reproduction
    - a. Molecular replication and reproduction
    - b. Cell reproduction: binary and multiple fission
    - c. Reproduction of organisms [see A.2., below]
    - d. Life cycles of plants and animals
  - 2. Reproduction of organisms: sexual and asexual reproduction
  - 3. Natural selection and reproduction: the evolution of reproduction and variation control [see also 312.C.]

## B. Sex and sexuality

- 1. The distinctions between sex, sexuality, and reproduction
- 2. Transduction and transformation as sexlike recombination in viruses and bacteria
- 3. The adaptive significance of sex: establishment of genetic diversity
- 4. The origin of sex and sexuality
- 5. Sex patterns
- 6. Determination of the sex of individuals
  - a. The sex chromosomes
  - b. Abnormal chromosome effects
  - c. The effect of parthenogenetic development
  - d. Environmental and hormonal influences
- C. The reproductive system in plants: its organization and function
  - 1. General features: asexual systems that create new plants identical to the parent plant, sexual systems that create new plants different from either of the two parents
  - 2. The sex organs of bryophytes
    - a. In liverworts and hornworts
    - b. In mosses
  - 3. The variations of sex organs in tracheophytes
    - a. In spore plants
    - b. In seed plants
  - 4. Variations in reproductive cycles: apogamy and apospory (apparent secondary loss of capacity for sexual reproduction)

- 5. The physiology of reproduction: the influence of internal and environmental factors on the maturation of sporophytes and gametophytes as manifested by their ability to produce spores and gametes
- D. The reproductive system in animals: its organization and function
  - 1. General features
  - 2. Reproductive systems of invertebrates
    - a. Gonads, associated structures, and products in monoecious and dioecious types
    - b. Mechanisms that aid in the union of gametes
    - c. Specializations associated with parthenogenesis
    - d. Provisions for the developing embryo [see 338.D.2.b.]
  - 3. Reproductive systems of vertebrates
    - a. Gonads, associated structures, and products
    - b. Adaptations for internal fertilization; *e.g.*, the cloaca, intromittent (copulatory) organs, accessory structures
    - c. Role of gonads in hormone cycles
    - d. Provision for the developing embryo [see 338.D.2.b.]
    - e. The human reproductive system [see 421.F.]

MACROPAEDIA: Major articles dealing with reproduction and sex

Behaviour, Animal Reproduction and Reproductive Systems Sex and Sexuality

## MICROPAEDIA: Selected entries of reference information

#### General subjects

plant reproduction:	cross-fertilization	menopause	sperm
gametophyte	fertilization	menstruation	spermatogenesis
ovary	fission	ovary	testis
ovule	gestation	ovulation	other:
pistil	incubation	ovum	alternation of
pollen	meiosis	placenta	generations
pollination	parturition	uterus	courtship
propagation	recombination	vagina	fertility and
pseudocopulation	reproduction	reproductive	infertility
spore	self-fertilization	system—male:	gamete
sporophyte	sexual intercourse	bulbourethral	gonad
reproductive	viviparity	gland	hermaphroditism
processes:	reproductive	ductus deferens	orgasm
artificial	system—female:	epididyme	sex
insemination	egg	penis	sex chromosome
budding	estrus	prostate gland	
conjugation	fallopian tube	seminal vesicle	

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## Section 338. Development: Growth, Differentiation, and Morphogenesis

- A. The nature and scope of biological development
- B. The constituent processes of development and their control
  - 1. Growth
  - 2. Morphogenesis
  - 3. Differentiation
  - 4. Control and integration of development
- C. Development of plants
  - 1. General features: types of life cycles, alternation of generations as independent phases of the life cycle
  - 2. Preparatory events
    - a. Formation of sex cells
    - b. Pollination
    - c. Fertilization
  - 3. Early development: from fertilized egg (zygote) to seedling
    - a. Embryo formation
    - b. Independent dormant stages and germination of the seeds and fruits of higher plants, dispersal
  - 4. Later development: the sporophyte plant body
- D. Development of animals
  - 1. Preparatory events: the egg and its activation by normal fertilization or by parthenogenesis
  - 2. Early development
    - a. Embryo formation: cleavage, gastrulation
    - b. Embryonic adaptations for the maintenance of the developing embryo: shell, volk stores, membranous sacs, placenta
  - 3. Organ formation
  - 4. Postembryonic development: transformation of the newborn into the adult
- E. Aging and decline in animals: life span, death [for aging in humans, see 422.A.]
  - 1. Senescence in mammals
  - 2. Causes of aging
  - 3. The duration of life
- F. Specialized patterns of development
  - 1. Biological regeneration
  - 2. The healing processes and scar tissue formation
  - 3. Biological malformation
  - 4. Twinning: multiple births
  - 5. Development in vitro: cell and tissue cultures
  - 6. Development of transplanted tissues and organs

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with development: growth, differentiation, and morphogenesis

Death Growth and Development, Biological

## MICROPAEDIA: Selected entries of reference information

## General subjects

animal development:	larva	development	parthenocarpy
amnion	metamorphosis	processes:	seed
blastocyst	neural crest	aging	other:
chorion	notochord	death	blastema
cleavage	nymph	development	dysplasia
ectoderm	paedomorphosis	histogenesis	monster
embryo	parturition	organogenesis	
endoderm	placenta	regeneration	
fetus	pupa	plant development:	
gastrula	segmentation	endosperm	
gestation	umbilical cord	germination	
incubation		fruit	
iographics			

#### Biographies

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INDEX: See entries under all of the terms above

#### Section 339. Heredity: the Transmission of Traits

- A. Basic features of heredity
  - 1. Early speculations on the nature of heredity
  - 2. Mendelian genetics: Mendel's experiments and their significance, the universality of Mendel's laws, interactions among genes and their variant forms (alleles)
  - 3. The combined action of heredity and environment in producing an organism
- B. The physical basis of heredity
  - 1. Chromosomes and genes: the cellular basis of heredity
  - 2. Molecular genetics: the chemical and molecular nature of genes, the genetic code and its mutations, the expression and regulation of genes, applications of molecular genetics
- C. Heredity and evolution

[see also 312.C.]

- 1. Population genetics: the gene pool, the Hardy-Weinberg principle, changes in gene frequencies
- 2. Natural selection as an agent of evolutionary change
- 3. Artificial selection for genetic improvements of selected organisms: domesticated animals. cultivated plants, humankind [see also 355.B.3.]
- 4. Outbreeding and inbreeding: the effects of consanguinity on the vigour of offspring

## Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major article dealing with heredity: the transmission of traits

Genetics and Heredity, The Principles of

MICROPAEDIA: Selected entries of reference information

General subjects albinism

albinism	genetic code
allele	genetic drift
character	genotype
chimera	Hardy-Weinberg
chromosome	law
dominance	heredity
gene	hybrid

inbreeding linkage group Mendelism mutation operon pedigree phenotype

plasmid polyploidy recessiveness testcross transformation variation

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INDEX: See entries under all of the terms above

## Division IV. Behavioral Responses of Organisms

[For Part Three headnote see page 95.]

Several of the sections in Division III deal with the structure and internal functioning of organisms. The outlines in the two sections of Division IV deal with the external actions and reactions of living things in relation to changes in their environment.

Section 341. Nature and Patterns of Behavioral Responses 130 342. Development and Range of Behavioral Capacities: Individual and Group Behaviour 131

## Section 341. Nature and Patterns of Behavioral Responses

- A. Diverse conceptions of animal behaviour
  - 1. The variety of animal behaviour
  - 2. Classification of animal behaviour
  - 3. Components of animal behaviour
- B. Patterns of stereotyped response: unlearned behavioral reactions of organisms to some environmental stimulus
  - 1. Plant movements: tropic and nastic movements, nutation, other autonomous movements
  - 2. Animal movements: reflex and reflexlike activities, taxes, fixed action patterns and instinct
  - 3. Photoperiodism

#### C. Hormonal and nervous control of behaviour

- 1. Interaction of endocrine and nervous systems
- 2. Hormonal influences on behaviour; e.g., by sex hormones
- 3. The nervous system and behaviour: the role of the nervous system in receiving information, processing it in the brain and spinal cord, and initiating the appropriate response
- D. Evolution of behaviour
  - 1. Evidence of the genetic determination of behaviour
  - 2. The influence of experience on behaviour: phyletic patterns in the evolution of learning
  - 3. Evolutionary origins and evolutionary consequences of behaviour patterns

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major article dealing with the nature and patterns of behavioral responses

Behaviour, Animal

MICROPAEDIA: Selected entries of reference information

General subjects

animal behaviour	instinct	play	tropism
behaviour genetics	photoperiodism	reflex	

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## Section 342. Development and Range of Behavioral Capacities: Individual and Group Behaviour

- A. Basic behavioral activities of individuals
  - 1. Food getting
  - 2. Locomotion
  - 3. Avoidance behaviour
  - 4. Aggressive behaviour: attack and defensive threats
  - 5. Behaviour related to habitat
  - 6. Behaviour related to reproduction
- B. Higher behavioral characteristics of individual animals
  - 1. Simple nonassociative learning; e.g., habituation, sensitization
  - 2. Associative learning; e.g., classical and instrumental, or operant, conditioning
  - 3. Spatial learning; e.g., maze learning, navigation
  - 4. Perceptual learning: imitation and observational learning; e.g., song learning, imprinting
  - 5. Complex problem solving
    - a. Discriminations of relational and abstract stimuli
    - b. Generalized rule learning
    - c. Insight and reasoning
    - d. Language learning
- C. The behaviour of animals in groups
  - 1. Distinctions between groups of social animals and groups of nonsocial ones
  - 2. Animal communication
  - 3. The range of social behaviour among social and nonsocial animals
  - 4. Dynamics of social behaviour
- D. Evolution of behaviour [see 341.D.]

## Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the development and range of behavioral capacities: individual and group behaviour

Behaviour, Animal Learning, Animal

MICROPAEDIA: Selected entries of reference information

## General subjects

aggressive	brooding	habituation	sound production
behaviour	cannibalism	homing	submissive
alarm signal	cleaning behaviour	imprinting	behaviour
animal behaviour	colony	learning	suckling
animal	conditioning	locomotion	terrestrial
communication	courtship	motivation	locomotion
aquatic locomotion	display behaviour	nest	territorial
associative learning	dominance	pheromone	behaviour
avoidance	hierarchy	predation	
behaviour	feeding behaviour	reproductive	
bird song	flight	behaviour	
brachiation	habit	scavenger	

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## Division V. The Biosphere: the World of Living Things

[For Part Three headnote see page 95.]

Division I of Part Three deals with the nature, origin, evolution, distinctive properties, and classification of living things. Divisions II, III, and IV deal with life at the molecular, cellular, organismal, and behavioral levels.

The outlines in the five sections of Division V deal with the world of living things taken as a single system of biotic and environmental interactions and interdependencies.

Section 351. Basic Features of the Biosphere 132

- 352. Biological Populations and Communities 133
- 353. Hazards of Life in the Biosphere: Disease and Death 135
- 354. Biogeographic Distribution of Organisms: Ecosystems 136
- 355. The Place of Humans in the Biosphere 137

## Section 351. Basic Features of the Biosphere

- A. The extent of the biosphere
  - 1. Preconditions of the biosphere: the Earth as an ideal medium for life [see 312.A.2.]
  - 2. The levels of organization within the biosphere: the biocycle, the ecosystem, the community, the population [see 352.A. and C.; 354]
  - 3. Energy flow in the biosphere
  - 4. Cycling of matter in the biosphere
    - a. The general pattern of chemical cycles in nature [see also 214.C.]
    - b. The carbon and oxygen cycles
    - c. The nitrogen cycle
    - d. The sulfur cycle
    - e. The water cycle [see also 222.D.]
    - f. The sedimentary cycles of essential minerals
  - 5. The concept of the noosphere: mankind's place in the biosphere [see 355.B.]
- B. The ecosystem: a collection of integrated communities and their environment
  - 1. Definition of an ecosystem
  - 2. The biotic components of the ecosystem
    - a. Producers
    - b. Consumers
    - c. Decomposers
  - 3. The abiotic components of the ecosystem
    - a. Pressure and temperature [see 223.E.1.]
    - b. Radiation
    - c. Illumination

- d. Water and soil characteristics, salts
- e. Wave action: wind and water
- f. Fire as a limiting factor
- 4. The conditioning of the abiotic environment by living organisms
- 5. The effect of microenvironments on the ecosystem
- 6. Processes that determine the nature and productivity of the ecosystem
- 7. Types of ecosystems
- [see 354]

MACROPAEDIA: Major article dealing with the basic features of the biosphere

Biosphere, The

## MICROPAEDIA: Selected entries of reference information

General subjects

biochemical oxygen demand biogeochemical cycle biosphere body heat

carbon cycle ecosystem eutrophication food chain microclimate nitrogen cycle oxygen cycle phosphorus cycle sulfur cycle vernalization

## Biographies

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## Section 352. Biological Populations and Communities

- A. Biological populations
  - 1. The study of populations [see 10/34.B.4.]
  - 2. The measurable characteristics of biological populations
    - a. Age, sex, and genetic differences and their distribution
    - b. Numbers and density: the effects of natality and mortality, the reproductive rate and death rate
  - 3. Growth of populations: growth form and carrying capacity
  - 4. Fluctuations in stable populations: variations in population size
  - 5. Movements: migration; emigration; dispersion; dispersal; the influence of topographical, climatic, and biological barriers
  - 6. Interactions of populations [see B., below]
  - 7. Factors affecting the structure of human populations [see 524.A.]

#### B. Biotic interactions

- 1. Intraspecific interactions: positive and negative interactions of individuals within a species
- 2. Interspecific interactions: interactions among members of different species
  - a. The range of interspecies associations
  - b. Negative interactions, in which one or both populations are harmed: consumption, parasitic interactions, amensalism and antagonism

- c. Positive interactions, in which one or both populations are benefited: commensalism, mutualism
- d. Neutralistic interactions
- 3. Interactions between populations of different species and the ecological, evolutionary, and biogeographical aspects of interaction on the population level
- C. Biological communities
  - 1. Community structure
    - a. Vertical and horizontal patterns: the influence of variations in environmental conditions on the stratification and zonation of organisms
    - b. Time relations: periodicity and population changes in the community
    - c. Interactions in the community: heterotrophic nutrition, predation, symbiosis
    - d. Niches and species diversity
    - e. Ecotones and the "edge effect"
  - 2. Community function: energy flow
  - 3. Community succession: growth toward a stable, mature condition
  - 4. Communities in space
    - a. Landscape patterns: the habitats of a landscape as forming a pattern of environmental gradients
    - b. Climax interpretation: monoclimax theory, polyclimax theory, and climax pattern hypothesis
    - c. Community gradients: coenclines
  - 5. Community classification and its bases
    - a. The association as the unit of classification
    - b. The biome or formation as the unit of classification
    - c. Other bases for classification: e.g., ecological succession, habitat, community metabolism
  - 6. Community structure in past ages: biogeographical succession [see 242.B. and 243]

MACROPAEDIA: Major articles dealing with biological populations and communities

Behaviour, Animal Biosphere, The

MICROPAEDIA: Selected entries of reference information

#### General subjects

amensalism
biome
carnivore
commensalism
community
competition

ecology ecosystem ecotone epiphyte flyway herbivore homing migration mutualism niche parasitism parasitology predation symbiosis trophic level

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## Section 353. Hazards of Life in the Biosphere: Disease and Death

- A. Disease as a departure from the "normal" state, or a disruption of homeostasis; death as the irreparable disruption of life processes
  - 1. The nature of noncommunicable disease: metabolic defects, environmental hazards
  - 2. The nature of communicable, or contagious, disease
    - a. The multifactorial concept of contagious disease
    - b. Endemic disease and epidemic disease
  - 3. Immunity [see also 422.C.2.]
  - 4. Control of disease: prevention, treatment
- B. Plant diseases
- C. Animal diseases
- D. Human diseases [see 423]

## Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with hazards of life in the biosphere: disease and death

Death Disease Immunity

## MICROPAEDIA: Selected entries of reference information

## General subjects

animal diseases and	malaria	autoimmunity	ergot
zoonoses:	mastitis	drug allergy	fruit spot
actinomycosis	mycosis	immunity	leaf blister
African swine fever	myopathy	immunization	mosaic
anthrax	nagana	interferon	Panama disease
ascariasis	pox disease	interleukin	powdery mildew
aspergillosis	Q fever	phagocytosis	psorosis
bloat	rabies	toxoid	rot
brucellosis	Rift Valley fever	vaccine	rust
canine distemper	rinderpest	variolation	scab
cestodiasis	salmonellosis	plant diseases:	scorch
coccidiosis	scrapie	aster yellows	smut
equine encephalitis	strangles	black knot	snow mold
erysipelothrix	swine fever	black spot	sunscald
infection	toxoplasmosis	blight	wilt
feline distemper	trichomoniasis	bulb rot	other:
foot-and-mouth	tularemia	bunt	bacteria
disease	yellow fever	canker	disease
glanders	zoonosis	clubroot	drowning
histoplasmosis	immunity and	crown gall	epidemic
hookworm disease	immunization:	curly top	germfree life
hyperkeratosis	antibody	damping-off	prion
leptospirosis	antigen	dieback	quarantine
listeriosis	antitoxin	downy mildew	veterinary science
lungworm	autoantibody	Dutch elm disease	virus

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## Section 354. Biogeographic Distribution of Organisms: Ecosystems

- A. Terrestrial ecosystems
  - 1. The land environment
    - a. Land as a medium for life and the comparison of the terrestrial and aquatic ecosystems
    - b. Limiting factors to living on land
    - c. Major terrestrial biomes: the tundra, the coniferous forest, the middle-latitude forest, the tropical rain forest, the grassland and savanna, the scrublands, the desert
    - d. Specialized biomes: polar biomes, subterranean biomes
  - 2. Major life-forms
    - a. Growth habits and indicator organisms
    - b. Classification by habitat: soil organisms, trees and other rooted plants, epiphytes and periphytes, permeants
    - c. Classification by niche: producers, consumers, decomposers
  - 3. Productivity in terrestrial ecosystems
- B. Aquatic ecosystems
  - 1. The aquatic environment
  - 2. The ocean and its communities: communities of the open sea
    - a. The sea as a biological environment
    - b. Character of oceanic populations: benthos, plankton, nekton
    - c. Adaptations to marine conditions
    - d. Productivity of marine communities as judged by biological oxygen consumption or by nutrient concentration
  - 3. Inland waters and their communities: freshwater communities
    - a. Lacustrine, or standing-water, communities: in lakes and ponds; in swamps, marshes, and bogs
    - b. Riverine, or flowing-water, communities: in rivers and streams, in springs
  - 4. Boundary ecosystems: between waters or between water and land
    - a. Estuarine communities: communities in brackish water
    - b. Neritic communities: life along seacoasts
  - 5. Productivity in aquatic ecosystems: the problem of determining productivity, comparisons of productivity
- C. The distribution of organisms
- D. Biogeographic regions and their inhabitants: regional floras and faunas
  - 1. The Megagaean realm
    - a. Holarctic region: the nontropical parts of Eurasia, northern Africa, and North America
    - b. Ethiopian region: Africa south of the Sahara, southwestern Arabia, Madagascar
    - c. Oriental region: tropical southern and southeastern Asia
  - 2. The Notogaean realm: Australia, New Guinea, New Zealand, tropical Pacific islands
  - 3. The Neogaean realm: Central and South America
  - 4. The Antarctic realm: Antarctica and most of the sub-Antarctic islands

MACROPAEDIA: Major articles dealing with biogeographic distribution of organisms: ecosystems

Biosphere and Concepts	
of Ecology, The	
Lakes	
Oceans	

Rivers Trees

MICROPAEDIA: Selected entries of reference information

#### General subjects

biogeographic regions: Australian region Ethiopian region faunal region floristic region Holarctic region Neotropical region <i>ecosystems—forests:</i> cloud forest coniferous forest deciduous forest forest rainforest <i>ecosystems—</i> grasslands:	plain prairie savanna turf <i>ecosystems</i>	ocean layers: abyssal zone air-sea interface bathyal zone bottom water halocline littoral zone pelagic zone photic zone ocean populations: benthos nekton plankton zooplankton scrublands:	Mediterranean vegetation scrubland thorn forest other: dispersion land bridge Marine Biological Laboratory mesofauna shrub soil organism tree Wallace's Line
grasslands: grassland	riverine ecosystem tundra	scrublands: chaparral	

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#### Section 355. The Place of Humans in the Biosphere

A. The qualities that set human beings apart in the biosphere

- 1. Structural characteristics and physical capabilities providing humans with a versatility unparalleled in the biosphere
- 2. Physiological characteristics underlying the unique behaviour of humans: lack of a definite breeding season, long life span with slow development and lengthy dependency to maturity
- 3. Behavioral capacity as the basis of the unique culture of humans: communication through propositional speech, intellect and conceptualization
- B. The effects of human action upon the biosphere
  - 1. The influence of the human species on the modification of the environment
  - 2. The influence of the environment on the modification of the human species
  - 3. The attempts to change genetic endowments through deliberate selective measures: eugenics
- C. The utilization of organisms by humans
  - 1. Domestication of plants and animals: distribution and development
  - 2. The cultivation of plants: plant breeding and growing
  - 3. The uses of plants [see also 724.C.8. and 731]
  - 4. The cultivation of animals: animal breeding and raising
  - 5. Major uses of animals [see also 724.C.8. and 731]

- 6. The maintenance of public and private collections of live and preserved animals and plants
  - a. Museums of natural history
  - b. Zoological gardens and aviaries
  - c. Institutional and private aquariums
  - d. Botanical gardens and arboretums
- D. The conservation and management of natural resources
  - 1. The nature and scope of conservation management
  - 2. Types of natural resources
  - 3. Management of natural resources
  - 4. Management of the world's food supply
    - a. The problem of food supply: special difficulties facing the developing countries
    - b. Attempts to increase the production and utilization of food supplies: the quest for new sources of food and food additives

MACROPAEDIA: Major articles dealing with the place of humans in the biosphere

Biosphere and Concepts of Ecology, The	Farming and Agricultural Technology
Cats, Domestic	Forestry and Wood Production
Conservation of Natural Resources	Gardening and Horticulture
Dogs	Horses and Horsemanship

MICROPAEDIA: Selected entries of reference information

## General subjects

conservation and	nature reserve	Ċ
management of	surface mining	Ċ
natural resources:	terrace cultivation	f
conservation	wildlife	f
desalination	conservation	g
drainage	domestication and	g
ecosystem	raising of plants and	h
endangered species	animals:	ł
flood	animal breeding	ł
forestry	animals, cruelty to	1
Greenpeace	aquarium	c
hunting	arboriculture	ľ
irrigation	botanical garden	ŗ
materials salvage	breed association	s
national forest	cereal	t
national park	dog	

domestic cat vegetable Iomestication z00 owl pollution and fruit pollution control: gardening acid rain emission-control genecentre system norse greenhouse effect norticulture *ydroponics* pollution ivestock refuse disposal oceanarium system oet sewage system olant breeding smog studbook water purification errarium

## Biographies

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# Introduction to Part Four: The Cosmic Orphan

by Loren Eiseley

When I was a young lad of that indefinite but important age when one begins to ask, Who am I? Why am I here? What is the nature of my kind? What is growing up? What is the world? How long shall I live in it? Where shall I go? I found myself walking with a small companion over a high railroad trestle that spanned a stream, a country bridge, and a road. One could look fearfully down, between the ties, at the shallows and ripples in the shining water some 50 feet below. One was also doing a forbidden thing, against which our parents constantly warned. One must not be caught on the black bridge by a train. Something terrible might happen, a thing called death.

From the abutment of the bridge we gazed down upon the water and saw among the pebbles the shape of an animal we knew only from picture books—a turtle, a very large, dark mahogany-coloured turtle. We scrambled down the embankment to observe him more closely. From the little bridge a few feet above the stream, I saw that the turtle, whose beautiful markings shone in the afternoon sun, was not alive and that his flippers waved aimlessly in the rushing water. The reason for his death was plain. Not too long before we had come upon the trestle, someone engaged in idle practice with a repeating rifle had stitched a row of bullet holes across the turtle's carapace and sauntered on.

My father had once explained to me that it took a long time to make a big turtle, years really, in the sunlight and the water and the mud. I turned the ancient creature over and fingered the etched shell with its forlorn flippers flopping grotesquely. The question rose up unbidden. Why did the man have to kill something living that could never be replaced? I laid the turtle down in the water and gave it a little shove. It entered the current and began to drift away. "Let's go home," I said to my companion. From that moment I think I began to grow up.

"Papa," I said in the evening by the oil lamp in our kitchen. "Tell me how men got here." Papa paused. Like many fathers of that time, he was worn from long hours, he was not highly educated, but he had a beautiful resonant voice and he had been born on a frontier homestead. He knew the ritual way the Plains Indians opened a story.

"Son," he said, taking the pattern of another people for our own, "once there was a poor orphan." He said it in such a way that I sat down at his feet. "Once there was a poor orphan with no one to teach him either his way, or his manners. Sometimes animals helped him, sometimes supernatural beings. But above all, one thing was evident. Unlike other occupants of Earth he had to be helped. He did not know his place, he had to find it. Sometimes he was arrogant and had to learn humility, sometimes he was a coward and had to be taught bravery. Sometimes he did not understand his Mother Earth and suffered for it. The old ones who starved and sought visions on hilltops had known these things. They were all gone now and the magic had departed with them. The orphan was alone; he had to learn by himself; it was a hard school."

My father tousled my head; he gently touched my heart. "You will learn in time there is much pain here," he said. "Men will give it to you, time will give it to you, and you must learn to bear it all, not bear it alone, but be better for the wisdom that may come to you if you watch and listen and learn. Do not forget the turtle, nor the ways of men. They are all orphans and they go astray; they do wrong things. Try to see better."

"Yes, papa," I said, and that was how I believe I came to study men, not the men of written history but the ancestors beyond, beyond all writing, beyond time as we know it, beyond human form as it is known today. Papa was right when he told me men were orphans, eternal seekers. They had little in the way of instinct to instruct them, they had come a strange far road in the universe, passed more than one black, threatening bridge. There were even more to pass, and each one became more dangerous as our knowledge grew. Because man was truly an orphan and confined to no single way of life, he was, in essence, a prison breaker. But in ignorance his very knowledge sometimes led from one terrible prison to another. Was the final problem then, to escape himself, or, if not that, to reconcile his devastating intellect with his heart? All of the knowledge set down in great books directly or indirectly affects this problem. It is the problem of every man, for even the indifferent man is making, unknown to himself, his own callous judgment.

Long ago, however, in one of the Dead Sea Scrolls hidden in the Judaean Desert, an unknown scribe had written: "None there be, can rehearse the whole tale." That phrase, too, contains the warning that man is an orphan of uncertain beginnings and an indefinite ending. All that the archaeological and anthropological sciences can do is to place a somewhat flawed crystal before man and say: This is the way you came, these are your present dangers; somewhere, seen dimly beyond, lies your destiny. God help you, you are a cosmic orphan, a symbol-shifting magician, mostly immature and inattentive to your own dangers. Read, think, study, but do not expect this to save you without humility of heart. This the old ones knew long ago in the great deserts under the stars. This they sought to learn and pass on. It is the only hope of men.

What have we observed that might be buried as the Dead Sea Scrolls were buried for 2,000 years, and be broken out of a jar for human benefit, brief words that might be encompassed on a copper scroll or a ragged sheet of vellum? Only these thoughts, I think, we might reasonably set down as true, now and hereafter. For a long time, for many, many centuries, Western man believed in what we might call the existent world of nature; form as form was seen as constant in both animal and human guise. He believed in the instantaneous creation of his world by the Deity; he believed its duration to be very short, a stage upon which the short drama of a human fall from divine estate and a redemption was in progress.

Worldly time was a small parenthesis in eternity. Man lived with that belief, his cosmos small and man-centred. Then, beginning about 350 years ago, thoughts unventured upon since the time of the Greek philosophers began to enter the human consciousness. They may be summed up in Francis Bacon's dictum: "This is the foundation of all. We are not to imagine or suppose, but to *discover*, what nature does or may be made to do."

When in following years scientific experiment and observation became current, a vast change began to pass over Western thought. Man's conception of himself and his world began to alter beyond recall. "'Tis all in pieces, all coherence gone," exclaimed the poet John Donne, Bacon's contemporary. The existing world was crumbling at the edges. It was cracking apart like an ill-nailed raft in a torrent—a torrent of incredible time. It was, in effect, a new nature comprising a past embedded in the present and a future yet to be.

First, Bacon discerned a mundus alter, another separate world that could be drawn out of nature by human intervention-the world that surrounds and troubles us today. Then, by degrees, time depths of tremendous magnitude began, in the late 18th century, to replace the Christian calendar. Space, from a surrounding candelabrum of stars, began to widen to infinity. The Earth was recognized as a mere speck drifting in the wake of a minor star, itself rotating around an immense galaxy composed of innumerable suns. Beyond and beyond, into billions of light years, other galaxies glowed through clouds of wandering gas and interstellar dust. Finally, and perhaps the most shocking blow of all, the natural world of the moment proved to be an illusion, a phantom of man's short lifetime. Organic novelty lay revealed in the strata of the Earth. Man had not always been here. He had been preceded, in the 4,000,-000,000 years of the planet's history, by floating mollusks, strange fern forests, huge dinosaurs, flying lizards, giant mammals whose bones lay under the dropped boulders of vanished continental ice sheets.

The Orphan cried out in protest, as the cold of naked space entered his bones, "Who am I?" And once more science answered. "You are a changeling. You are linked by a genetic chain to all the vertebrates. The thing that is you bears the still aching wounds of evolution in body and in brain. Your hands are made-over fins, your lungs come from a creature gasping in a swamp, your femur has been twisted upright. Your foot is a reworked climbing pad. You are a rag doll resewn from the skins of extinct animals. Long ago, 2,000,000 years perhaps, you were smaller, your brain was not so large. We are not confident that you could speak. Seventy million years before that you were an even smaller climbing creature known as a tupaiid. You were the size of a rat. You ate insects. Now you fly to the Moon."

"This is a fairy tale," protested the Orphan. "I am here, I will look in the mirror."

"Of course it is a fairy tale," said the scientists, "but so is the world and so is life. That is what makes it true. Life is indefinite departure. That is why we are all orphans. That is why you must find your own way. Life is not stable. Everything alive is slipping through cracks and crevices in time, changing as it goes. Other creatures, however, have instincts that provide for them, holes in which to hide. They cannot ask questions. A fox is a fox, a wolf is a wolf, even if this, too, is illusion. You have learned to ask questions. That is why you are, an orphan. You are the only creature in the universe who knows what it has been. Now you must go on asking questions while all the time you are changing. You will ask what you are to become. The world will no longer satisfy you. You must find your way, your own true self."

"But how can I?" wept the Orphan, hiding his head. "This is magic. I do not know what I am. I have been too many things."

"You have indeed," said all the scientists together. "Your body and your nerves have been dragged about and twisted in the long effort of your ancestors to stay alive, but now, small orphan that you are, you must know a secret, a secret magic that nature has given to you. No other creature on the planet possesses it. You use language. You are a symbol-shifter. All this is hidden in your brain and transmitted from one generation to another. You are a time-binder, in your head the symbols that mean things in the world outside can fly about untrammeled. You can combine them differently into a new world of thought or you can also hold them tenaciously throughout a lifetime and pass them on to others."

Thus out of words, a puff of air, really, is made all that is uniquely human, all that is new from one human generation to another. But remember what was said of the wounds of evolution. The brain, parts of it at least, is very old, the parts laid down in sequence like geological strata. Buried deep beneath the brain with which we reason are ancient defense centres quick to anger, quick to aggression, quick to violence, over which the neocortex, the new brain, strives to exert control. Thus there are times when the Orphan is a divided being striving against himself. Evil men know this. Sometimes they can play upon it for their own political advantage. Men crowded together, subjected to the same stimuli, are quick to respond to emotion that in the quiet of their own homes they might analyze more cautiously.

Scientists have found that the very symbols which crowd our brains may possess their own dangers. It is convenient for the thinker to classify an idea with a word. This can sometimes lead to a process called hypostatization or reification. Take the word "Man," for example. There are times when it is useful to categorize the creature briefly, his history, his embracing characteristics. From this, if we are not careful of our meanings, it becomes easy to speak of all men as though they were one person. In reality men have been seeking this unreal man for thousands of years. They have found him bathed in blood, they have found him in the hermit's cell, he has been glimpsed among innumerable messiahs, or in meditation under the sacred bô tree; he has been found in the physician's study or lit by the satanic fires of the first atomic explosion.

In reality he has never been found at all. The reason is very simple: men have been seeking Man capitalized, an imaginary creature constructed out of disparate parts in the laboratory of the human imagination. Some men may thus perceive him and see him as either totally beneficent or wholly evil. They would be wrong. They are wrong so long as they have vitalized this creation and call it "Man." There is no Man; there are only men: good, evil, inconceivable mixtures marred by their genetic makeup, scarred or improved by their societal surroundings. So long as they live they are *men*, multitudinous and unspent potential for action. Men are great objects of study, but the moment we say "Man" we are in danger of wandering into a swamp of abstraction.

Surveying our fossil history perhaps we are not even justified as yet in calling ourselves true men. The word carries subtle implications that extend beyond us into the time stream. If a remote half-human ancestor, barely able to speak, had had a word for his kind, as very likely he did, and just supposing it had been "man," would we approve the usage, the shape-freezing quality of it, now? I think not. Perhaps no true orphan would wish to call himself anything but a traveler. Man in a cosmic timeless sense may not be here.

The point is particularly apparent in the light of a recent and portentous discovery. In 1953 James D. Watson and Francis H.C. Crick discovered the structure of the chemical alphabet out of which all that lives is constituted. It was a strange spiral ladder within the cell, far more organized and complicated than 19th-century biologists had imagined; the tiny building blocks constantly reshuffled in every mating had both an amazing stability and paradoxically, over long time periods, a power to alter the living structure of a species beyond recall. The thing called man had once been a tree shrew on a forest branch; now it manipulates abstract symbols in its brain from which skyscrapers rise, bridges span the horizon, disease is conquered, the Moon is visited.

Molecular biologists have begun to consider whether the marvelous living alphabet which lies at the roof of evolution can be manipulated for human benefit. Already some varieties of domesticated plants and animals have been improved. Now at last man has begun to eye his own possible road into the future. By delicate excisions and intrusions could the mysterious alphabet we carry in our bodies be made to hasten our advancement into the future? Already our urban concentrations, with all their aberrations and faults, are future-oriented. Why not ourselves? It is in our power to perpetuate great minds *ad infinitum*? But who is to judge? Who is to select this future man? There is the problem. Which of us poor orphans by the roadside, even those peering learnedly through the electron microscope, can be confident of the way into the future? Could the fish unaided by nature have found the road to the reptile, the reptile to the mammal, the mammal to man? And how was man endowed with speech? *Could* men choose their way? Suddenly before us towers the blackest, most formidable bridge of our experience. Across what chasm does it run?

Biologists tell us that in the fullness of time more than ninety percent of the world's past species have perished. The mammalian ones in particular are not noted for longevity. If the scalpel, the excising laser ray in the laboratory, were placed in the hands of some one person, some one poor orphan, what would he do? If assured, would he reproduce himself alone? If cruel, would he by indirection succeed in abolishing the living world? If doubtful of the road, would he reproduce the doubt? "Nothing is more shameful than assertion without knowledge," the great Roman statesman and orator Cicero once pronounced as though he had foreseen this final bridge of human pride—the pride of a god without foresight.

After the disasters of the second World War when the dream of perpetual progress died from men's minds, an orphan of this violent century wrote a poem about the great extinctions revealed in the rocks of the planet. It concludes as follows:

One may conclude that the poet was a man of doubt. He did not regret man; he was confident that leaves, rabbits, and songbirds would continue life, as, long ago, a tree shrew had happily forgotten the ruling reptiles. The poet was an orphan in shabby circumstances pausing by the roadside to pray, for he did pray despite his denial; God forgive us all. He was a man in doubt upon the way. He was the eternal orphan of my father's story. Let us then, as similar orphans who have come this long way through time, be willing to assume the risks of the uncompleted journey. We must know, as that forlorn band of men in Judaea knew when they buried the jar, that man's road is to be sought beyond himself. *No man there is who can tell the whole tale.* After the small passage of 2,000 years who would deny this truth?

# Part Four. Human Life

The outlines in the three divisions and fifteen sections of Part Four treat stages in the development of human life on Earth; human health and diseases; and human behaviour and experience.

Several points should be noted about the relations of Part Four to preceding and subsequent parts.

The fundamental physical and chemical properties of matter are dealt with in Part One. The treatment of the Earth in Part Two encompasses those properties of the Earth that are supportive of human life. Much fundamental biological knowledge concerning human life is involved in the treatment—in Part Three, Life on Earth—of what is common to all animal life; the last section of Part Three deals with mankind's place in the biosphere.

Knowledge of the biomedical and psychological aspects of human life is not wholly separable from, and is germane to, the subjects covered in Parts Five through Ten, which treat human society, the fine arts, technology, religion, the history of peoples and civilizations, and man as logician, mathematician, scientist, historian, and philosopher.

The biological, medical, and psychological sciences have been themselves the object of historical and analytical studies concerned with their nature, methods, and interrelations. These studies are set forth in Sections 10/34, 10/35, and 10/36 of Part Ten. The instrumentation involved in these sciences is dealt with in Section 723 of Part Seven.

Division I. Stages in the Development of Human Life on Earth 143

- II. The Human Organism: Health and Disease 146
- III. Human Behaviour and Experience 159

## Division I. Stages in the Development of Human Life on Earth

The outlines in the two sections of Division I present studies in historical comparative anatomy that place *Homo sapiens* within a general taxonomy; the theory of human evolution; and studies, in genetics and physical anthropology, of human heredity and the races of mankind.

## Section 411. Human Evolution 143

412. Human Heredity: the Races of Mankind 145

## Section 411. Human Evolution

- A. The evolutionary process
- B. Human evolutionary relationships with living and fossil primates
  - 1. The primates
    - a. Distinguishing characteristics of the primates
    - b. The natural history of primate life
    - c. Evolution and paleontology
    - d. Classification of the primates: the two main groups or suborders, the prosimians (principally lemurs, lorises, and tarsiers) and the anthropoids (monkeys, apes, and man) [see also 313.F.25.c.]
  - 2. Distinguishing characteristics of the Hominidae
    - a. Morphological characteristics
    - b. Inferred behavioral characteristics
    - c. Contrasting adaptations of Hominidae and Pongidae
- C. The fossil record of the Hominidae
  - 1. The discovery and recognition of the hominid fossil record

- 2. Classification of the Hominidae
  - a. Australopithecus
  - b. Homo habilis
  - c. Homo erectus
  - d. Homo sapiens
    - i. Fossil remains of early *Homo sapiens; e.g.*, Vértesszőllős man, Ngaloba man, Border Cave man, Swanscombe man, Omo hominids
    - ii. The Neanderthals
    - iii. The Cro-Magnons
    - iv. Homo sapiens of Africa
    - v. Homo sapiens of Asia and Australasia

MACROPAEDIA: Major articles dealing with human evolution

Evolution, Human Life

MICROPAEDIA: Selected entries of reference information

## General subjects

cultural stages:	Lantian man	tools and tool	Magdalenian
Mesolithic Period	Makapansgat	industries:	culture
Neolithic Period	Olduvai Gorge	Abbevillian	Maglemosian
Paleolithic Period	Omo remains	industry	industry
hominid fossils:	Peking man	Acheulean industry	Mousterian
Amud remains	Petralona skull	Aterian industry	industry
Chad	Piltdown man	Aurignacian	Oldowan industry
australopithecine	Ramapithecus	culture	Osteodontokeratic
Chancelade	Saccopastore skulls	Azilian industry	tool industry
skeleton	Sterkfontein	Chopper	Perigordian
Ferassie	Swanscombe skull	chopping-tool	industry
skeletons, La	Telanthropus	industry	Solutrean industry
Hadar remains	capensis	Clactonian	Stillbay industry
Heidelberg jaw	hominids:	industry	stone-tool industry
Iceman	Australopithecus	Ertebølle industry	other:
Java man	Cro-Magnon	Fauresmith	evolution
Kabwe man	hominid	industry	Gigantopithecus
Kafzeh	Homo erectus	flake tool	human evolution
Kanapoi fossil	Homo habilis	Ibero-Maurusian	missing link
Koobi Fora	Homo sapiens	industry	
remains	Homo	Levalloisian	
Krapina remains	transvaalensis	stone-flaking	
Laetolil remains	Neanderthal	techniques	
Diagraphies			

#### Biographies

See Section 10/36 of Part Ten

## Section 412. Human Heredity

- A. Heredity in humans
  - 1. The biological basis of human heredity: genetic reproduction
  - 2. Inheritance of behavioral traits
    - a. Fraternal and identical twins and the inferences that can be made from twin studies
    - b. Genetic explanations for abnormalities: chromosome variations, mutation
  - 3. Applications of human genetics
  - 4. Specific behavioral traits affected by inheritance
  - 5. Consanguinity and its effects
- B. The nature and origin of human physical variation
  - 1. Aspects of human diversity
  - 2. Measures of "race"
    - a. Old measures; e.g., colour, hair form, body measurements, features such as eyes and nose
    - b. Modern measures; e.g., blood groups and genetic evidence

## Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with human heredity: the races of mankind

Evolution, Human Genetics and Heredity, The Principles of Life

MICROPAEDIA: Selected entries of reference information

## General subjects

human heredity: assortative mating character climatic adaptation consanguinity dominance eugenics founder principle genetic drift heterospecific mating pedigree recessiveness

Biographies

See Sections 10/34 and 10/36 of Part Ten

## Division II. The Human Organism: Health and Disease

[For Part Four headnote see page 143.]

The outlines in the four sections of Division II treat the structures and functions of the human body; human health; the manifestation, recognition, and treatment of human disease; and the practice of medicine.

The outline referred to in Section 421 deals with the structures and the functions of the several organ systems, the proper coordination and regulation of which constitute the health of the human body.

The outline in Section 422 begins with an enumeration of the stages in human life and the definitions of normality in human health. It then treats of the various ways that the body maintains itself and recovers from injury. The Section concludes with a listing of other significant influences on human health.

The outline in Section 423 first treats the general characteristics, causes, and classifications of human disease. It then treats the concepts, principles, and methods of the medical art, in the two stages of diagnosis and therapy. The outline encompasses the symptoms, diagnosis, and treatment of diseases that affect the body as a whole, and of diseases that affect each of the organ systems dealt with in their healthy state in Section 421.

The outline in Section 424 deals with issues relating to the professionalization of the practice of medicine—not only those internal to the profession but also those arising from the educational, economic, social, political, and legal dimensions of institutionalized medicine.

Section 421. The Structures and Functions of the Human Body 146

- 422. Human Health 150
- 423. Human Diseases 151
- 424. The Practice of Medicine and the Care of Health 158

## Section 421. The Structures and Functions of the Human Body

- A. The structures and functions of the cardiovascular system
  - 1. The heart
  - 2. The blood vessels: arteries, veins, and capillaries
  - 3. Human blood
    - a. Components of blood: plasma, red blood cells (erythrocytes), white blood cells (leukocytes), platelets (thrombocytes)
    - b. Blood groups
    - c. Bleeding and blood clotting
  - 4. Blood circulation: the central pump, the systemic circulation, the pulmonary circulation
- B. The structures and functions of the lymphatic system: lymphocytes, lymphatic vessels, lymph nodes, and the lymph
- C. The structures and functions of the respiratory system
  - 1. The upper portion of the respiratory tract: nasal cavity, pharynx, larynx, and trachea
  - 2. The lungs and bronchi
  - 3. The regulation, control, and dynamics of breathing
- D. The structures and functions of the digestive system
  - 1. Structure of the components of the digestive tract
    - a. Mouth and related structures
    - b. Pharynx and esophagus
    - c. Stomach
    - d. The small intestine and the small bowel mucosa
    - e. Large intestine, rectum, and anus
    - f. Associated glands and structures: pancreas, liver, gallbladder, and bile ducts
  - 2. The digestive process
- E. The structures and functions of the endocrine system

## Principal Parts of THE HUMAN BODY

This Plate on gross anatomy comprises 14 Views, 12 of which are transparent, showing all principal parts of the human anatomy. Below is a list in English (insofar as this is possible) of the names of the parts illustrated. The number immediately following the name is the code number for that part; the other number or numbers indicate the View or Views on which it is shown. A key to the Plate, with Latin names, is given on the last page.

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L-Arteria; L.-- Ligamentum; M. - Musculus I.- Nervus; V. - Vena



profundus Anulus inguinalis superficialis Aponeurosis m. obliquus externus abdonimis Clavicula Glandula parotis; , Gandula paroticeus ductus parotideus , Glandula submandibularis , Glans penis L. fundiforme penis **L** inguinale Linea alba; umbilicus **Line**a semilunaris . Mandibula , Manopula I. M. biceps brachii I. M. buccinator I. M. deltoideus I. depressor anguli oris I. M. gluteus medius I. M. intercostalis externus . M. masseter . M. obliquus externus K, M. obliquus externus abdominis M. obliquus internus abdominis M. omohyoideus M. orbicularis oris M. pectoralis major K. quadriceps femoris (rectus) . M. quadriceps femoris (rectus) M. sertorius M. sertorius M. sternocleidomastoideus M. sternohyoideus M. sternohyoideus M. temporalis M. tensor fasciae latae M. transversus abdominis M. trapezius M. triceps brachii M. trapezius M. triceps brachii M. zygomaticus major N. medianus Os castale Os parietale Os parietale Os zygomaticum Scrotum Spina iliaca anterior superior Sternum V. comitantes a, brachialis V. femoralis

**1**. Anulus inguinalis



Arteria; L.-- Ligamentum; M.-- Musculus - Nervus; V.– Vena

. Aorta A. carotis communis **A** femoralis . Cartilago thyreoidea Clavicula Colon ascendens , Colon descendens Colon sigmoideum Colon transversum **Diaphr**agma Ductus deferens Glandula thyreoidea Hepar (hígado, fígado) Intestinum tenue L falciforme hepatis L tactorme nepatis L inguinale Vandibula Nandibula Naxilla N. coracobrachialis M. deltoideus M. digastricus M. digastricus M. guteus medius M. mylohyoideus M. mylohyoideus M. guteus medius M. guteus medius M. guteus medius M. guteus medius M. ensor fasciae latae M. triceps brachii N. medianus Omentum minus Os frontale Os parietale Os panietale Os sphenoidale Os temporale Penis Plexes brachialis Plexes , L inguinale Plexus brachialis Pulmo Yumo Scrotum Sinus frontalis Sinus maxillaris Spina iliaca anterior superior Testis Yasa epigastrica inferiora Yasa toticularia . Vasa testicularia V. axillaris V. comitans a. brachialis V. brachiocephalica Y, orachiocephanca V, cephalica V, femoralis V, jugularis interna Y, subclavia Ventriculus (estómago, estômago) Ventriculus dexter Ventriculus dexter Vesica fellea (vesícula biliar) Vesica urinaria



## Principal Parts of THE HUMAN BODY

KEY TO PLATE, VIEWS 1-14

On the list below, the number at left is a code number for the part of the body named. The number or numbers at right indicate the View or Views on which that part of the body is shown. Abbreviations: A. (Arteria); L. (Ligamentum); M. (Musculus); N. (Nervus); and V. (Vena). 1. Annulus inguinalis profundus, 1.2 61. Mandibula, 1,2,3,4,5,6,7,11,14 2. Annulus inguinalis superficialis, 1 62. Maxilla, 2,3,4,5,6,7,11,12,13,14 63. Medulla oblongata, 11,14 3. Aorta, 5,6,10,11.14 64. Medulla spinalis, 7,14 4. Aponeurosis m. obliguus externus 65. Mesenterium. 4, 11, 12 abdominis 1 66. Mesocolon transversum, 11,12 5. Appendix vermiformis, 4,12,13 6. A. axillaris, 5,6,14 67. M. adductor brevis, 7 68. M. adductor longus, 6.7.14 7. A. brachialis, 5,6,14 69. M. biceps brachii, 5,6,10,11 8. A. carotis communis, 5.14 70. M. brachialis, 14 9. A. femoralis, 5,6,14 71. M. brachioradialis, 12,13,14 10. A. iliaca communis, 5,6,14 11. A. iliaca externa, 5,6,14 72. M. buccinator, 1 73. M. coracobrachialis, 5,14 12. A. iliaca interna, 5,6,14 13. A. lienalis (a. splenica), 5 74. M. deltoideus, 5,6,8,9,14 75. M. depressor anguli oris, 1,10 14. A. mesenterica inferior, 5,6,14 76. M. digastricus, 3 15. A. mesenterica superior, 14 77. M. extensor carpi radialis longus, 14 16. A. pulmonalis, 5,10,11,14 78. M. flexor carpi radialis, 14 17. A. renalis, 6,14 79. M. gluteus medius, 1,5,6,7,14 A. splenica: see 13 18. A. subclavia, 5,6,14 80. M. gluteus minimus, 7 19. Atrium sinistrum, 11 81. M. gracilis, 6,7,14 82. M. iliacus, 7,14 20. Bronchus principalis, 5,14 83. M. intercostalis externus, 1 21. Cartilago thyroidea, 3 22. Cerebellum, 11,14 84. M. intercostalis internus, 2,9 23. Cerebrum, 11,14 85. M. masseter, 1 24. Clavicula, 1,2,7,8,9,14 86. M. mylohyoideus, 2,3 25. Colon ascendens, 3,4,12,13 87. M. obliguus externus abdominis, 1,8 88. M. obliquus internus abdominis, 1 26. Colon descendens, 3,4,12,13 27. Colon sigmoideum, 3,4,12,13 89. M. omohyoideus, 1,2,9 90. M. orbicularis oris, 1 28. Colon transversum, 3,4,11 29. Concha nasalis inferior, 4,7,11,14 91. M. pectoralis major, 1,2,5,8,9,14 30. Concha nasalis media, 4,7,11,14 92. M. pectoralis minor, 2,5,9,10,11 31. Concha nasalis superior, 4,7,11,14 93. M. pronator teres, 14 Cor (heart): see 19,138,197,198 94. M. psoas major, 7,14 95. M. pterygoideus medialis, 2 32. Corpus callosum, 11,14 33. Cranium, 2 96. M. quadratus lumborum, 14 34. Diaphragma, 2.3.4,5,6,9,10,11,14 97. M. quadriceps femoris, 3,4,5,6,14 98. M. rectus abdominis, 1.2 35. Ductus choledochus, 4,5,10,11,13 36. Ductus deferens, 5,6 99. M. sartorius, 1,2,9 37. Duodenum, 5,12,13 100. M. scalenus anterior, 14 Esophagus: see 122 101. M. serratus anterior, 1 38. Falx cerebri, 12,13 102. M. sternocleidomastoideus, 1,2,8,9,10 103. M. sternohyoideus, 1,2,9 39. Femur, 7 40. Fossa ovalis (hiatus saphenus), 8 104. M. sternothyroideus, 2,9 Gall Bladder: see 200 105. M. styloglossus, 3 Gaster: see 196 106. M. temporalis, 1 107. M. tensor fasciae latae, 1,5,6,14 41. Glandula lacrimalis, 2 42. Glandula parotidea, 1,2 108. M. thyrohyoideus, 2 109. M. transversus abdominis, 1,2 43. Glandula submandibularis, 1,2 44. Glandula suprarenalis, 5,6,14 110. M. transversus thoracis, 2,9 45 Glandula thyreoidea, 3,4,10,11 111. M. trapezius, 5,6,10,14 46. Glans penis, 1 112. M. triceps brachii, 5,6,14 Heart: see 19,138,197,198 113. M. zygomaticus major, 1,10 47. Hepar (liver), 3,4,9,10,11 114. N. femoralis, 7,14 Hiatus saphenus: see 40 115. N. iliohypogastricus, 7 48. Humerus, 7 116. N. ilio-inguinalis, 7 49. lleum, 12 117. N. medianus, 5,6,14 50. Intestinum tenue, 3,4,10,11 118. N. obturatorius, 7 Kidney: see 149 119. N. phrenicus, 10 51. Larynx, 4,7,11,14 120. N. ulnaris, 5,6,14 52. Lien (splen; spleen), 5,12,13 121. N. vagus, 14 53. L. falciforme hepatis, 3,9 122. Oesophagus (esophagus), 5,6,12,13,14 54. L. fundiforme penis, 1 123. Omentum majus, 10 55. L. inguinale, 1,2,3,5,6,8,13,14 124. Omentum minus, 3,4,9,10,11 56. L. teres hepatis, 2,9 125. Os costate, 1,2,7,9 57. Linea alba, 1,2,8 126. Os frontale, 3,7 58. Linea arcuata, 2 127. Os ischii, 7 59. Linea semilunaris, 1,2,8,9 128. Os occipitale, 4,5,7 60. Lingua (tongue), 3,4,5,6,7,11,14 129. Os parietale, 3,7

130. Os pubis, 3,6,7,13,14

131. Os sacrum, 7

Liver: see 47

Lung: see 146

132. Os sphenoidale, 7 133. Os temporale, 3,7 134. Os zygomaticum, 1 135. Ovarium (ovary), 14 136. Pancreas, 5,12,13 137. Penis, 2,3,5 138. Pericardium, 10 139. Platysma, 8,9 140. Plexus brachialis, 5,6,7,14 141. Plexus lumbosacralis, 7 142. Plica umbilicalis medialis, 9 143, Plica umbilicalis mediana, 9 144. Pons, 11,14 145. Prostata, 6 146. Pulmo (lung), 3,4,5,6,10,11,14 147. Pylorus, 12,13 148. Rectum, 7, 14 149. Ren (kidney), 5,6,14 150. Scapula, 7 151. Scrotum, 1,2,5 152. Septum nasi, 5,6,12,13 153. Sinus frontalis, 2,3,4,5,6,7,11,12,13,14 154. Sinus maxillaris, 2,3 155. Sinus rectus, 12,13 156 Sinus sagittalis inferior, 12,13 157. Sinus sagittalis superior, 12,13 158. Sinus sphenoidalis, 4,5,6,7,11,12,13,14 159. Spina iliaca anterior superior, 1,2,7,8,14 Spleen: see 52 Splen: see 52 160. Sternum, 1,2,8,9 Stomach: see 196 161. Tentorium cerebelli, 11,14 Tongue: see 60 162. Testis, 5 163. Trachea. 5.6.14 164. Truncus brachiocephalicus, 5,14 165. Truncus coeliacus, 5,10,12,14 166. Ureter, 5,6,13,14 167. Uterus, 14 168. Vagina, 14 169. Vasa epigastrica inferior, 5,8,9 170. Vasa gastrica, 11 171. Vasa gastro-omentalis, 10 172. Vasa lienalis (vasa splenica), 12,13 173. Vasa mesenterica inferior, 12,13 174. Vasa mesenterica superior, 5,12,13 175. Vasa ovarica, 14 Vasa splenica: see 172 176. Vasa testicularis, 5,6 177. Vasa thoracicae internae, 9,10 178. V. axillaris, 3,10,11 179. V. comitans a. brachialis, 3,8,10,11 180. V. brachiocephalica, 3,4,10,11 181. V. cava inferior, 5,6,11,14 182. V. cava superior, 4,5,10,11 183. V. cephalica, 3,4,8,9,11,12,13,14 184. V. femoralis, 5,6,14 185. V. iliaca communis, 5,6,14 186. V. iliaca externa, 5,6,14 187. V. iliaca interna, 5,6,14 188. V. jugularis interna, 3,4,5,10 189. V. mesenterica inferior, 13 190. V. mesenterica superior, 5 191. V. portae hepatis, 5,11,12,13 192. V. pulmonalis, 11,14 193. V. renalis, 6,14 194. V. saphena magna, 8,9 195. V. subclavia, 3,4,10,11 196. Ventriculus (gaster; stomach), 3,4,10,11 197. Ventriculus dexter, 5,10,11 198. Ventriculus sinister, 5,10,11 199. Vertebra, 6,7,11,14 200. Vesica biliarís (vesica fellea; gall bladder), 3.4,10.11 201. Vesica urinaria, 3,4,5,6,12,13 202. Vesicula seminalis, 6

- 1. The glands and tissues making up the system and their secretions
  - a. The thyroid
  - b. The adrenal glands
  - c. The pituitary
  - d. Hypothalamus
  - e. Pancreatic islets
  - f. Parathyroid glands
  - g. Gastrointestinal mucosa
  - h. Thymus, pineal gland, kidneys, and other possible endocrine organs or hormones
- 2. The effects of the endocrine system upon reproductive processes [see also F., below]
  - a. Glands affected by endocrine secretions: the testes, the ovaries, the placenta
  - b. Female processes under endocrine control: the menstrual cycle, gestation, parturition, the secretion of milk, and the termination of menstrual life
- F. The structures and functions of the reproductive system
  - 1. The male reproductive system
  - 2. The female reproductive system
- G. The structures and functions of the excretory system
  - 1. The structures: kidneys, ureters, urinary bladder, urethra
  - 2. The excretory process
- H. The structures and functions of the supportive-protective system
  - 1. The composition and properties of bone
  - 2. The connective tissues
  - 3. The joints
  - 4. The bursae
  - 5. The sinuses
  - 6. The muscular system
  - 7. The structure and properties of muscle
    - a. Striated, skeletal, or voluntary muscle
    - b. Nonstriated, smooth, or involuntary muscle
    - c. Cardiac muscle
    - d. The contraction of muscle fibres [see 323.D.]
  - 8. The integument and derivatives: skin, hair, and nails
  - I. The body cavities and their membranes: the thoracic cavity, the abdominal cavity
- J. The structure and functions of the nervous system
  - 1. The central nervous system: the brain and spinal cord, the cerebrospinal fluid
  - 2. The peripheral nervous system: cranial nerves, spinal nerves, and that part of the autonomic system that is outside the brain and spinal cord
  - 3. The autonomic nervous system: the sympathetic and parasympathetic systems
  - 4. The eye and the process of vision
  - 5. The ear and the process of audition
  - 6. Other sensory receptors
    - a. Cutaneous (skin) senses: touch, heat, cold, and pain
    - b. Kinesthetic (motion) sense
    - c. Vestibular sense (equilibrium): acceleration, rotation, orientation, and balance
- d. Taste (gustatory) sense
- e. Smell (olfactory) sense
- K. The composition and properties of body fluids and tissues [see 332.D.]

MACROPAEDIA: Major articles dealing with the structures and functions of the human body

Biochemical	Digestion and	Muscles and	Respiration and
Components of	Digestive Systems	Muscle Systems	Respiratory
Organisms	Endocrine Systems	Nerves and	Systems
Blood	Excretion and	Nervous Systems	Sensory Reception
Circulation and	Excretory	Nutrition	Supportive and
Circulatory	Systems	Reproduction and	Connective
Systems	Integumentary	Reproductive	Tissues
	Systems	Systems	

MICROPAEDIA: Selected entries of reference information

#### General subjects

bones and skeletal	cardiovascular	cecum	follicle-stimulating
system:	system—heart:	chewing	hormones
bone	atrium	chyme	gastrin
bone marrow	coronary	colon	glucagon
cartilage	circulation	defecation	growth hormone
joint	diastole	digestion	hormone
osteoblast	heart	duodenum	insulin
osteoclast	systole	esophagus	Langerhans,
osteocyte	ventricle	feces	islets of
osteon	cardiovascular	flatulence	luteinizing
periosteum	system—other:	gallbladder	hormone
skeleton	blood pressure	gastric gland	neurohormone
cardiovascular	cardiovascular	ileum	ovary
system—blood:	system	intestinal gas	oxytocin
ABO blood group	pulmonary	large intestine	parathyroid
system	circulation	liver	gland
agglutinin	pulse	pancreas	pineal gland
blood	systemic	Paneth's cell	pituitary gland
blood cell	circulation	peristalsis	progesterone
formation	cavities and	pharynx	prolactin
blood typing	membranes:	plica circularis	relaxin
coagulation	abdominal cavity	pylorus	renin
complement	peritoneum	rectum	steroid hormone
erythrocyte	pleura	small intestine	testis
hemoglobin	sinus	stomach	testosterone
hemolysis	thoracic cavity	swallowing	thymus
leukocyte	connective tissues:	villus	thyroid gland
lymphocyte	collagen	endocrine system:	excretory system:
plasma	connective tissue	adrenal gland	excretion
platelet	elastic fibre	adrenocorticotropic	kidney
Rh blood-group	ligament	hormone	loop of Henle
system	mast cell	androgen	renal artery
serum albumin	reticular fibre	calcitonin	renal capsule
cardiovascular	tendon	corticoid	renal collecting
system—blood	digestive system:	endocrine system	tubule
vessels:	anal canal	enterogastrone	renal pelvis
aorta	anus	epinephrine and	renal pyramid
artery	argentaffin cell	norepinephrine	ureter
capillary	bile	estrogen	urethra
vein	bilirubin		urinary bladder
vena cava			

urination urine integument and its derivatives: dermis epidermis hair mammary gland nail perspiration sebaceous gland sweat gland lymphatic system: adenoids lymph lymph node lymph nodule lymphoid tissue Peyer's patch spleen thymus tonsil mouth, teeth, and gums: canine tooth cementum dentine enamel gum mouth palate periodontal membrane permanent tooth premolar primary tooth saliva salivary gland tongue tooth muscles: abdominal muscle

biceps muscle extensor muscle flexor muscle gluteus muscle iliocostalis muscle latissimus dorsi levator muscle muscle pectoralis muscle sphincter muscle nervous systemautonomic: adrenergic nerve fibre autonomic nervous system cranial nerve facial nerve ganglion spinal nerve vagus nerve nervous system central: brain cerebellum cerebral cortex cerebral fissure cerebrospinal fluid cerebrum hypothalamus laterality medulla oblongata meninges pons spinal cord thalamus nervous system—ear and hearing: auricle bone conduction ear eustachean tube

external auditory canal inner ear sound reception tympanic membrane vestibulocochlear nerve nervous system—eye and vision: aqueous humour cone eye evelid focusing iris lens macula lutea optic nerve retina rhodopsin rod tear duct and gland nervous systemother: nervous system neuron neurotransmitter proprioception smell taste reproductive system—female: clitoris fallopian tube menopause menstruation oogenesis ovary ovulation ovum puerperium uterine cervix

uterus vagina vulva reproductive svstem-male: bulbourethral gland ductus deferens ejaculation epididyme erection penis prostate gland scrotum semen seminal vesicle sperm spermatic cord spermatogenesis testis reproductive system—other: artificial insemination orgasm placenta sexual intercourse umbilical cord respiratory system: diaphragm larynx lung nose pulmonary alveolus respiration trachea other: bursa human body mucus reticuloendothelial system

## Biographies

See Section 10/34 of Part Ten

abductor muscle

adductor muscle

INDEX: See entries under all of the terms above

## Section 422. Human Health

- A. Stages in the human life cycle
  - 1. Fertilization: the beginning of life [see also 331.E.]
  - 2. Prenatal development [see also 338.B.]
  - 3. Birth
  - 4. Postnatal development [see also 338.B.]
  - 5. Reproduction [see also 337]
  - 6. Aging
  - 7. Dying and death
- B. Definitions and ranges of normality in human health
- C. Bodily mechanisms for the maintenance of human health during stress
  - 1. The maintenance of the internal environment and the adaptation of cells to severe stress
    - 2. Defenses against disease
      - a. Maintenance of integrity of skin and mucosal linings
      - b. Role of the phagocytic cells of the body
      - c. Inflammation: the response to biological insult
      - d. The immune response
    - 3. Role of the blood in the prevention of hemorrhage
    - 4. Healing: the processes of regeneration and organization in the repair of tissues
    - 5. The alarm reaction: preparation through the effects of certain hormones for either flight or resistance [see 421.E.1.b.]
- D. Other regimes affecting standard values in human health
  - 1. Nutrition and diet
    - a. Functions of food
    - b. Classes of food
    - c. Recommended intakes of nutrients to meet standards of physiological and metabolic requirements
    - d. Feeding behaviour
    - e. Therapeutic diets
  - 2. Exercise and physical conditioning
    - a. Exercise needs: maintenance of health, avoidance of exercise injuries, and assessment of exercise adequacy
    - b. Physiological responses to exercise and the effects of physical conditioning
  - 3. The state of sleep and its effects
    - a. The nature of sleep: criteria for and problems in defining sleep
    - b. Psychophysiological variations in sleep; e.g., REM, NREM, light and deep sleep, dreaming
    - c. Effects of general and selective sleep deprivation

MACROPAEDIA: Major articles dealing with human health

Death Exercise and Physical Conditioning Immunity Nutrition

#### MICROPAEDIA: Selected entries of reference information

#### General subjects

baths and spas:	life cycle—prenatal:	protective	reticuloendothelial
bath	embryo	mechanisms of the	system
furo	fertilization	body:	tumour necrosis
sauna	fetus	antibody	factor
spa	implantation	antigen	other:
Turkish bath	in vitro	coagulation	dieting
exercise:	fertilization	homeostasis	health
aerobics	pregnancy	human leukocyte	immunization
exercise	life cycle—other:	antigen	nutrition
jogging	adolescence	immunity	preventive
physical	adulthood	inflammation	medicine
education	aging	interferon	sleep
life cycle—birth:	death	interleukin	vegetarianism
natural childbirth	infancy	phagocytosis	
parturition	middle age		
presentation	old age		

#### Biographies

See Section 10/35 of Part Ten

INDEX: See entries under all of the terms above

## Section 423. Human Diseases

- A. Characteristics, causes, and classifications of human disease
- B. The detection and diagnosis of disease
- C. The treatment of disease: therapeutics
  - 1. Aspects of medical treatment: factors for consideration in the formulation of a therapeutic regimen
  - 2. Major therapeutic techniques
    - a. Surgical treatment
    - b. Biological therapy
    - c. Pharmacodynamic therapy
    - d. Chemotherapy
    - e. Substitution therapy
    - f. Radiation therapy
    - g. Physical therapy
    - h. Occupational therapy
    - i. Shock therapy
    - j. Burn treatment
    - k. Organ and tissue transplants
    - 1. Psychological therapy [see 436.D.4.]

- D. The symptoms, diagnosis, and treatment of diseases of the body as a whole
  - 1. Physiological shock
  - 2. Metabolic diseases and disorders
  - 3. Nutritional diseases: disorders related to nutritional deficiencies and excesses
  - 4. Diseases and disorders of fluid and electrolyte balance
  - 5. Infectious or contagious diseases: the impairment of health by living invaders of the body
  - 6. Diseases and disorders present at the time of birth
  - 7. Childhood diseases
  - 8. Disorders and injuries caused by physical agents: electrical shock; exposure to extremes of temperature, radiation, and pressure; motion sickness; wounds
  - 9. Dehydration and associated disorders
  - 10. Poisoning
  - 11. Allergenic diseases and anaphylactic shock
- E. The symptoms, diagnosis, and treatment of diseases affecting any organ or tissue of the body: tumours and cancers, hyperplasia, atrophy
- F. Diseases of particular bodily systems
  - 1. The cardiovascular system
    - a. The heart and the great vessels
    - b. The blood vessels
    - e. Blood circulation
    - d. The blood and blood-forming tissues
  - 2. The lymphatic system
    - a. Disorders of lymphatic vessels and their drainage
    - b. Disorders of lymphoid tissue
  - 3. The respiratory system
    - a. Infectious diseases of the respiratory system
    - b. Allergic lung diseases
    - c. Bronchopulmonary diseases
    - d. Diseases of the nonpulmonary structures
    - e. Disorders in the dynamics of respiration
  - 4. The digestive system
    - a. The mouth, pharynx, and associated structures
    - b. The esophagus
    - c. The stomach and duodenum
    - d. The small intestine and appendix
    - e. The large intestine
    - f. The digestive glands
    - g. Disorders in the digestion and absorption of foods
  - 5. The endocrine system
    - a. The pituitary
    - b. The thyroid
    - c. The parathyroids
    - d. The adrenals
    - e. The gonads and placenta

- f. The pancreas
- g. Other endocrine glands: pineal gland, thymus
- 6. The reproductive system
  - a. Genetic and congenital abnormalities
  - b. Infections and sexually transmitted diseases
  - c. The male reproductive system
  - d. The female reproductive system
  - e. Pregnancy
- 7. The excretory system [see also 10/35.B.1.d.]
  - a. Functional aspects: disorders of urine production and micturition
  - b. The kidneys and tubules
  - c. The urinary tract: ureters, bladder, and urethra
- 8. The supportive-protective system
  - a. The skeletal system and bone
  - b. Connective tissue: bone and periosteum, cartilage, tendon, and ligament
  - c. The joints
  - d. The bursae
  - e. The sinuses and the body cavities and their membranes
  - f. Muscle
  - g. The skin
- 9. The nervous system [see also 40/35.B.1.f.]
  - a. Neurological manifestations secondary to other diseases, neurochemical disorders, and development defects
  - b. Disorders of the peripheral nerves
  - c. Disorders of the spinal cord and autonomic nervous system
  - d. Disorders of the central nervous system
  - e. Other disorders of the general nervous system
  - f. Disorders of the eye and vision
  - g. Disorders of the ear and hearing
  - h. Disorders of other sensory receptors
  - i. Disorders of speech
  - j. Headache

MACROPAEDIA: Major articles dealing with human diseases

Blood	Digestion and	Integumentary	Respiration and
Cancer	Digestive Systems	Systems	Respiratory
Childhood	Disease	Metabolism	Systems
Diseases and	Endocrine Systems	Muscles and	Sensory Reception
Disorders	Excretion and	Muscle Systems	Supportive and
Circulation and	Excretory	Nerves and	Connective
Circulatory	Systems	Nervous Systems	Tissues
Systems	Immunity	Nutrition	Transplants, Organ
Diagnosis and	Infectious Diseases	Poisons and	and Tissue
Therapeutics		Poisoning	

MICROPAEDIA: Selected entries of reference information

General subjects

diagnosis—laboratory tests. amniocentesis basal metabolic rate blood analysis blood count Bromsulphalein test cardiac catheterization electrocardiography electroencephalography electromvography endoscopy enzyme analysis glucose tolerance test kidney function test liver function test Pap smear patch test Rubin's test serological test skin test thyroid function test tuberculin test urinalysis diagnosisradiography and ultrasound: angiocardiography angiography brain scanning cholecystography contrast medium diagnostic imaging echocardiography echoencephalography myelography phonocardiography radiology tomography ultrasound urography diagnosis—other: autopsy diagnosis gynecological examination knee-jerk reflex lumbar puncture sphygmomanometer stethoscope disorders—allergic and immunological: AIDS allergy anaphylaxis angioedema

asthma autoallergic disease autoantibody autoimmunity drug allergy hay fever hypersensitivity reagin serum sickness disorders-blood diseases: agranulocytosis anemia aplastic anemia ervthroblastosis fetalis folic-acid-deficiency anemia hemoglobinopathy hemophilia hereditary spherocytosis iron-deficiency anemia leukemia leukocytosis leukopenia methemoglobinemia pernicious anemia polycythemia purpura septicemia sickle-cell anemia thalassemia thrombocytopathy uremia disorders—cancers: breast cancer cancer carcinogen carcinoma Ewing's tumour of bone Hodgkin's disease Kaposi's sarcoma laryngeal cancer leukemia multiple myeloma nephroblastoma osteosarcoma renal carcinoma sarcoma thyroid tumour tumour disorderscardiovascular: air embolism aneurysm angioma aorta, coarctation of the

aortic insufficiency aortic stenosis arteriosclerosis arteriovenous fistula arteritis atrial fibrillation bradycardia cardiac arrhythmia coronary heart disease embolism endocarditis heart block heart failure heart malformation hypertension hypotension infarction milk leg mitral insufficiency mitral stenosis mvocardial infarction patent ductus arteriosus pericarditis pulmonary heart disease pulmonary stenosis purpura Raynaud's disease rheumatic fever shock stroke syncope tachycardia thrombophlebitis varicose vein ventricular fibrillation ventricular septal defect Wegener's granulomatosis disorders—cavity and membrane: mediastinitis peritonitis pleurisy pneumothorax polyp pyothorax sinus squeeze ulcer disorders—congenital and hereditary metabolic diseases: acatalasia alkaptonuria

Andersen's disease cystic fibrosis cystinosis cvstinuria de Toni-Franconi syndrome Fabry's disease Forbes' disease galactosemia Gaucher's disease glucose-6-phosphate dehydrogenase deficiency glycogen storage disease gout Hartnup disease Hers' disease homocystinuria Hunter's syndrome Hurler's syndrome iminoglycinuria lipid storage disease McArdle's disease maple syrup urine disease Maroteaux-Lamy syndrome metachromatic leukodystrophy methemoglobinemia Niemann-Pick disease phenvlketonuria Pompe's disease porphyria Sanfilippo's syndrome Tay-Sachs disease tyrosinemia von Gierke's disease Wilson's disease disorders-other congenital and hereditary: achondroplasia acrocephalosyndactyly agenesis albinism Albright's syndrome angioma atresia and stenosis cerebral palsy chromosomal disorder cleft palate

cleidocranial dysostosis congenital disorder craniosynostosis cretinism cryptorchidism cutis laxa digit malformation Down syndrome Dupuytren's contracture dwarfism dysplasia erythroblastosis fetalis Fallot, tetralogy of harelip heart malformation hemophilia hereditary spherocytosis hermaphroditism intussusception Klinefelter's syndrome mandibulofacial dysostosis Marfan's syndrome microcephaly Morquio's syndrome muscular dystrophy neural tube defect neurofibromatosis osteochondroma osteogenesis imperfecta patent ductus arteriosus pectus excavatum peromelia pseudohermaphroditism respiratory distress syndrome Rett syndrome Siamese twin sickle-cell anemia teratology thalassemia trisomy 13 trisomy 18 Turner's syndrome urogenital malformation vitiligo von Willebrand's disease disorders-connective tissue: amyloidosis

Dupuytren's contracture herniated disk lupus ervthematosus tendinitis disorders—ear and hearing: deafness ear squeeze earwax impaction labyrinthitis Ménière's disease otitis media presbycusis stirrup fixation disorders-endocrine: acromegaly Addison's disease adrenogenital syndrome Albright's syndrome chromophobe adenoma cretinism cryptorchidism Cushing's syndrome diabetes insipidus diabetes mellitus dwarfism Fröhlich's syndrome gigantism granulomatous thyroiditis Graves' disease Hashimoto's disease hyperglycemia hyperparathyroidism hypoglycemia hypothyroidism myxedema parathyroid adenoma pheochromocytoma Plummer's disease **Riedel** thyroiditis Sheehan's syndrome Stein-Leventhal syndrome thyroid tumour thyroiditis disorders—excretory system: Bright's disease cystitis enuresis hematuria kidney failure

kidney stone nephroblastoma nephrosclerosis nephrotic syndrome pyelonephritis renal carcinoma renal cyst renal osteodystrophy uremia urethritis urinary tract obstruction disorders-eye and vision: amblyopia astigmatism blepharitis blindness cataract colour blindness conjunctivitis detached retina double vision exophthalmos glaucoma hyperopia keratitis lens dislocation myopia night blindness nystagmus ophthalmoplegia optic atrophy optic neuritis presbyopia ptosis retinitis pigmentosa retrolental fibroplasia scleritis strabismus sty trachoma uveitis visual-field defect disordersgastrointestinal: cestodiasis cholera coccidiosis colic colitis constipation diarrhea dysentery enteritis fasciolopsiasis gastritis gastroenteritis

hookworm disease ileitis indigestion intestinal diverticulum intestinal obstruction intestinal squeeze intussusception megacolon myiasis nausea pancreatitis peptic ulcer proctitis salmonellosis trichinosis trichomoniasis vomiting disorders-hepatic and biliary: cholecystitis cirrhosis clonorchiasis fatty liver gallstone hepatitis jaundice leishmaniasis disorders-infectious diseases caused bv bacteria and related organisms: anthrax bacteremia bartonellosis beiel boutonneuse fever brucellosis chancroid cholera diphtheria dysentery glanders gonorrhea granuloma inguinale impetigo Legionnaires' disease leprosy leptospirosis listeriosis Lyme disease lymphogranuloma venereum mastitis melioidosis osteomyelitis paresis plague psittacosis

puerperal fever pyelonephritis O fever rat-bite fever rheumatic fever Rocky Mountain spotted fever salmonellosis scarlet fever scrub typhus septicemia streptobacillary fever stv syphilis tetanus toxic shock syndrome trachoma trench fever tuberculosis tularemia typhoid typhus whooping cough vaws disorders-infectious diseases caused by fungi: actinomycosis aspergillosis blastomycosis candidiasis cryptococcosis histoplasmosis Madura foot mycosis nocardiosis ringworm sporotrichosis thrush disorders-infectious diseases caused by parasites: ascariasis cestodiasis Chagas' disease clonorchiasis coccidiosis dysentery echinococcosis fasciolopsiasis filariasis hookworm kala-azar malaria onchocerciasis paragonimiasis schistosomiasis sleeping sickness toxoplasmosis trichinosis trichomoniasis

disorders-infectious diseases caused by various agents. conjunctivitis endocarditis infection meningitis otitis media pharyngitis pneumonia scrub typhus septic arthritis sexually transmitted disease splenitis tonsillitis zoonosis disorders-infectious diseases caused by viruses: AIDS chicken pox Colorado tick fever common cold dengue encephalitis herpes simplex herpes zoster influenza kuru measles mononucleosis mumps pappataci fever poliomyelitis pox disease rabies Rift Valley fever roseola infantum rubella smallpox wart vellow fever disorders-lymphatic system: Hodgkin's disease lymphedema lymphogranuloma venereum sporotrichosis tonsillitis disorders-muscle: cramp dermatomyositis lumbago muscle tumour muscular dystrophy myasthenia gravis myositis myotonia

tetanus tetany trichinosis disorders—nervous system. Alzheimer's disease am votrophic lateral sclerosis analgesia aphasia apraxia ataxia cerebral palsy chorea coma convulsion dementia dyslexia encephalitis epilepsy focal seizure grand mal herpes zoster hydrocephalus hyperactivity kernicterus kuru listeriosis meningitis microcephaly multiple sclerosis neural tube defect neuralgia neuritis paralysis paresis Parkinson's disease petit mal Pick's disease poliomyelitis psychomotor seizure rabies Rett syndrome sciatica senile dementia sleeping sickness spinal curvature syringomyelia tic Tourette's syndrome vertigo disorders-nutritional diseases: anorexia anorexia nervosa beriberi bulimia calcium deficiency celiac disease

chlorine deficiency cobalt deficiency copper deficiency fluorine deficiency iodine deficiency kwashiorkor magnesium deficiency malnutrition manganese deficiency obesity osteomalacia pellagra phosphorus deficiency rickets scurvy tropical sprue vitamin A deficiency vitamin A excess vitamin B<sub>2</sub> deficiency vitamin B<sub>12</sub> deficiency vitamin D excess vitamin E deficiency vitamin K deficiency disorders—oral: canker sore caries gingivitis glossitis periodontitis thrush tooth squeeze disorders—poisoning: antimony poisoning arsenic poisoning botulism cadmium poisoning fish poisoning food poisoning lead poisoning medicinal poisoning mercury poisoning mushroom poisoning poison shellfish poisoning venom disorders-pregnancy related: abortion ectopic pregnancy hydatidiform mole

miscarriage placenta accreta placenta praevia placentae abruptio placental infarction preeclampsia and eclampsia premature birth and postmature birth disorders—pressure injuries and other disorders caused by physical agents: acceleration stress acoustic trauma air embolism altitude sickness barotrauma burn deceleration injury decompression sickness ear squeeze ebullism electrical shock frostbite heatstroke hypothermia intestinal squeeze motion sickness nitrogen narcosis radiation injury snakebite spatial disorientation sunburn thoracic squeeze wound disordersreproductive: amenorrhea cervical erosion cervicitis chlamydia cryptorchidism dysmenorrhea dyspareunia endometriosis galactorrhea gynecomastia hermaphroditism hydrocele impotence leukorrhea oligomenorrhea orchitis premenstrual syndrome

prostatic disorder pseudohermaphroditism puerperal fever Stein-Leventhal syndrome uterine bleeding vaginitis vesiculitis vulvitis disordersrespiratory system: alveolar proteinosis asbestosis atelectasis bervlliosis black lung bronchiectasis bronchitis byssinosis common cold cough emphysema hyperventilation hypoxia influenza laryngeal cancer laryngitis Legionnaires' disease lung congestion lung infarction nasal polyp nasal tumour paragonimiasis pharyngitis pickwickian syndrome pneumoconiosis pneumonia psittacosis respiratory distress syndrome silicosis tracheitis tuberculosis whooping cough disorders—sexually transmitted diseases. AIDS chancre chancroid chlamydia gonorrhea granuloma inguinale herpes simplex lymphogranuloma venereum

priapism

proctitis syphilis disorders—skeletal: achondroplasia acromegaly bone lesion callus cervical spondylosis cleidocranial dysostosis craniosynostosis dislocation dwarfism dysplasia Ewing's tumour of bone flatfoot fluorosis fracture gigantism hamartoma listeriosis mastoiditis metatarsalgia Morquio's syndrome multiple myeloma neurogenic arthropathy osteoarthritis osteochondroma osteogenesis imperfecta osteomyelitis osteoporosis osteosarcoma Paget's disease of bone parathyroid adenoma rheumatoid arthritis septic arthritis spondylolisthesis spondylosis disorders-skin: acne baldness bedsore blister boil carbuncle corn cutis laxa erythema exfoliative dermatitis hemangioma herpes simplex herpes zoster hives

hyperhidrosis ichthyosis impetigo itching keratosis leishmaniasis mole nevus pemphigus pinta pseudoxanthoma elasticum psoriasis ringworm scabies scleroderma ulcer vitiligo wart disorders—other: asthenia atronhy childhood diseases and disorders cvst dehydration disease diverticulum edema empyema fetal alcohol syndrome fever gangrene hamartoma headache hernia hiccup hypophosphatemia Kawasaki syndrome lesion potassium deficiency progeria prolapse Reye's syndrome sarcoidosis Sjögren's syndrome sodium deficiency splenitis splenomegaly sudden infant death syndrome tumour infectious agents: adenovirus bacterium prion virus

treatments—	cryosurgery	antidepressant
prosthetic devices:	gastrectomy	artificial
artificial heart	heart transplant	respiration
contact lens	hysterectomy	blood transfusion
denture	kidney transplant	chemotherapy
eyeglasses	microsurgery	desensitization
hearing aid	radial keratotomy	dialysis
pacemaker	skin graft	diathermy
prosthesis	surgery	hydropathy
treatments—surgery:	transplant	hydrotherapy
abortion	vasectomy	hyperbaric
amputation	treatments—	chamber
cesarean section	therapeutics:	massage
coronary bypass	acupuncture	moxa treatment
aaranhiaa		

occupational therapy physical therapy pseudolaryngeal speech

radiation therapy respiratory therapy therapeutics

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#### Section 424. The Practice of Medicine and the Care of Health

- A. Medical education
- B. Fields of specialized medical research: the related disciplines of osteopathy, dentistry, and nursing [see 10/35.C.]
- C. The practice of medicine
  - 1. The kinds of medical practice in various countries
    - a. General practice and first-contact care: the general practitioner versus the specialist, clinic and health centre practice
    - b. Hospital and specialist practice: general surgery, pediatrics, anesthetics, pathology, teaching practice
    - c. Governmental practice: public health service, military practice, space medicine
    - d. Research
  - 2. Maintenance of professional standards
    - a. The ethical basis of medical practice; e.g., the Hippocratic oath, problems relating to euthanasia and abortion
    - b. Licensure requirements for practice: the wide variation among countries
    - c. Legal restrictions on practice
    - d. Professional organizations and the maintenance of standards
- D. Public health services and administration
- E. Hospital services and facilities
- F. Environmental sanitation and health: the control of air, water, and soil pollution [see 737.C.1.]
- G. Efforts directed toward the prevention of malnutrition: the recognition and attempted solution of problems relating to nutrient requirements, world food supply, and world population
- H. The prevention and control of infection
  - 1. Vaccination and immunization
  - 2. The quarantine and isolation of infected victims
  - 3. Destruction of infectious agent or carrier; *e.g.*, aseptic and antiseptic precautions, control of disease carriers, disinfection
  - 4. The use of therapeutic agents and prophylactic medication
  - 5. The prevention and control of epidemics

- I. Industrial and social medicine
  - 1. The scope of industrial and occupational medicine
  - 2. Health and safety laws: the regulation of working hours; restrictions on female and child labour; the elimination of health, safety, and fire hazards; the control of foods and drugs; pollution control [see 552.D.]
- J. The economics of health and disease

MACROPAEDIA: Major articles dealing with the practice of medicine and the care of health

Birth Control Medicine Occupational Diseases and Disorders

#### MICROPAEDIA: Selected entries of reference information

#### General subjects

agencies and	chiropractic	medical ethics:	blood bank
programs:	dental auxiliary	abortion	contraception
Food and Drug	dentistry	euthanasia	flying doctor
Administration	epidemology		service
for Agricultural	nematology industrial medicine	jurisprudence	organization
Development	midwifery	preventive medicine:	hospice
Medicare	nursing	immunization	hospital
National Health	optometry	preventive	medical association
Service	osteopathy	medicine	planned
National Institutes	paramedical	quarantine	parenthood
of Health	personnel	other:	public health
World Health	pharmacy	American Medical	social security
Organization	plastic surgery	Association	
health-care fields:	psychiatry	birth control	
aerospace medicine	surgery		

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### Division III. Human Behaviour and Experience

[For Part Four headnote see page 143.]

The outlines in the six sections of Division III set forth the discoveries and theories in the psychological sciences concerning human capacities, human behaviour, and human experience.

Section 431 is concerned with the questions of the definition and origins of human behaviour and experience. It also indicates the stages in the development of a person's behaviour and experience.

The outline in Section 432 deals with the capacities by which humans receive, organize, and interpret information about the current environment that influences behaviour. It treats the following subjects: attention; sensation; perception; the perception of time, of space, and of movement; perceptual illusions and hallucinations; and parapsychological phenomena.

Section 433 is concerned with current internal states that affect behaviour and conscious experience. It treats the determinants and manifestations of activation level; motivational states; emotional states; and transient states affecting behaviour and experience, such as sleep, dreams, hypnosis, fatigue, and intoxication.

Section 434 is concerned with persisting capacities that influence human behaviour and conscious experience. The outline treats the nature and assessment of human abilities and attitudes; sensorimotor abilities; intellectual abilities; and the distribution of intelligence.

Section 435 is concerned with the development of a person's potentials by learning and thinking. The outline treats diverse general theories of learning; deals separately with psychomotor, perceptual, and conceptual learning; and then treats memory and forgetting and the theories about and the types of the higher thought processes.

The outline in Section 436 sets forth those parts of psychology, psychopathology, and psychotherapy that consider the functioning, the integration, and the disintegration of the person as a whole. It treats diverse definitions and theories of personality and the self; theories of personality adjustment and maladjustment; and the kinds of mental disorders and their psychiatric treatment.

- Section 431. Human Nature and Experience: General Considerations 160
  - 432. Influence of the Current Environment on a Person's Behaviour and Conscious Experience: Attention, Sensation, and Perception 161
  - 433. Current Internal States Affecting a Person's Behaviour and Conscious Experience 163
  - 434. Persisting Capacities and Inclinations That Influence Human Behaviour and Conscious Experience 164
  - 435. Development of a Person's Potentials: Learning and Thinking 165
  - 436. Personality and the Self: Integration and Disintegration of the Person as a Whole 166

#### Section 431. Human Nature and Experience: General Considerations

- A. The relative contribution of opposing factors in human behaviour and conscious experience, the degree to which these factors interact to produce human behaviour and conscious experience
  - 1. Mankind as radically distinct from nature and mankind as homogeneous and continuous with the rest of nature
    - a. Behavioral capacities and performances that humans have in common with other primates and higher mammals
    - b. Behavioral capacities and performances held to be distinctive of humans; *e.g.*, propositional language, cumulative transmission of culture
    - c. The explanation of allegedly distinctive human traits in accordance with the principle of phylogenetic continuity: the evolutionary development of mankind [see also 34] and 411]
  - 2. The relative weights of genetic and environmental factors: the nature-nurture controversy
    - a. Elements of genetic endowment; *e.g.*, physiological and psychological characteristics, reflexes and instincts
    - b. Environmental conditions; *e.g.*, ecological factors, cultural conditioning, personal socialization experiences
  - 3. Cognitive, conative, and affective dimensions of behaviour and experience
    - a. The cognitive dimension: sensation and perception; memory and imagination; concept formation, ideation, and reasoning
    - b. The conative dimension: desires, needs, cravings, drives; motivation and purpose; the voluntary and the involuntary
    - c. The affective dimension: the emotions, the pleasant and the unpleasant; the sentiments
  - 4. The observed elements of behaviour and the inferred dispositional tendencies: actions and powers; habits, inclinations, and capacities
  - 5. Emergent problems in the study of human behaviour and experience: the data and hypotheses of parapsychology; the comparison of human and artificial, machine-created intelligence
- B. Stages in the development of human behaviour
  - 1. General aspects of human development
  - 2. Prenatal growth and development
  - 3. Birth: effects of the birth experience on the person's subsequent history
  - 4. Infancy: the first 18 months
  - 5. Early childhood and childhood: one to 12 years
  - 6. Adolescence: puberty to adulthood
  - 7. Young adulthood and maturity
  - 8. Old age and death [see also 338.E.]

MACROPAEDIA: Major article dealing with human nature and experience: general considerations Behaviour, The Development of Human

MICROPAEDIA: Selected entries of reference information

General subjects

adolescence	emotion
adulthood	etiquette
attitude	habit
behaviour genetics	human behaviour
child development	imitation
culture	infancy
creativity	insight

instinct intelligence memory middle age old age psychological development puberty reflex sibling rivalry thanatology thought

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Section 432. Influence of the Current Environment on a Person's Behaviour and Conscious Experience: Attention, Sensation, and Perception

- A. Attention to the environment: awareness of internal and external events [see 341]
  - 1. The problem of defining attention
  - 2. Development of theories of attention: influence of stimulus-response and behaviourist studies
  - 3. Classification of attentive phenomena: influence of adaptive processes on modes and degrees of attention
  - 4. Determinants of attention: temperament, health, social suggestion, novelty, interests, and unconscious influences
  - 5. Physiological mechanisms of attention
  - 6. Analysis of attentive phenomena in terms of information theory
- B. Sensation: the reception of information about the environment
  - 1. The senses in general [see 421.J. and 423.F.9.]
  - 2. Vision
    - a. The work of the retina
    - b. The transduction process: the conversion of the retinal image into a set of messages in the brain
    - c. Perceptual processes of vision
    - d. Responses of the cerebral cortex to visual stimuli
  - 3. Audition
    - a. The mechanisms of the external, middle, and inner ear: functions and processes involved in the transmission of sound and its conversion into neural messages
    - b. The work of the auditory nerve and the auditory pathways of the central nervous system: encoding, processing, and discrimination of pitch, loudness, localization, and duration of sound
    - c. The measurement of auditory phenomena: diagnosis and correction of hearing disorders
    - d. The function of the semicircular canals in maintaining equilibrium: the vestibular systems [see B.6., below]
  - 4. Cutaneous senses: the punctate nature and discriminatory capacity of skin to respond to pressure, pain, heat, and cold
    - a. The variety of nerve terminals exhibiting a broad range of sensitivity to different stimuli

- b. Localization of skin sensations: the nature of dermatomes
- c. The concept of adequate stimulation and paradoxical cold: adaptation to pressure and thermal situations; itch, tickle, and vibration
- d. The sensory experience of pain: its cause and function; external signs and qualities, theories of pain, modes of treatment
- 5. Kinesthesis: the function and types of sensory structures and the role of kinesthetic feedback in movement control and orientation
- 6. Vestibular senses: the role of the vestibular receptors and the semicircular canals of the inner ear in maintaining equilibrium
- 7. The taste sense: the form and location of taste buds, the neural pathways, types of taste receptors, factors affecting taste
- 8. The olfactory sense: the form, location, and nerve supply of olfactory receptors; olfactory qualities; odour-inducing factors; factors affecting odour sensitivity; effects on behaviour
- C. Perception: the process of translating sensory stimulation into organized experience
  - 1. Contemporary theories and new concerns: the influence of Gestalt and behaviourist theories
  - 2. Central problems of continuing concern
  - 3. Principles of perceptual organization
    - a. The Gestalt principle of *Prägnanz*, or good form, and the laws of grouping under it: closure, good continuation, similarity, proximity, and common fate; the significance of the phi phenomenon
    - b. Context effects: the influence of surrounding stimuli and of previously experienced stimuli on the observer
    - c. Perceptual constancy: the tendency of objects to appear stable in size, shape, brightness, or colour despite changing conditions of stimulation
  - 4. Differences in perceptual functioning among individuals, among classes of individuals, and within individuals
- D. The perception of time
  - 1. Sequential activities related to time perception
  - 2. Perception of sequence and duration
  - 3. Factors affecting time perception; *e.g.*, type of activity, level of motivation, personality traits, drugs, sensory deprivation, hypnosis
- E. The perception of space
  - 1. The nature of space perception: orientation to the environment
  - 2. Perception of depth and distance: gross tactual-kinesthetic, eye muscle, visual, and auditory cues
  - 3. Interrelations among the senses
  - 4. Social and interpersonal aspects of space perception: territorial behaviour, reason in perception, and nativistic and empiricistic considerations
- F. The perception of movement
  - 1. Visual cues for perceiving self-motion and motion of objects
  - 2. Nonvisual cues: auditory, kinesthetic, and vestibular cues
- G. Perceptual illusions and hallucinations
  - 1. Types of illusory experience
  - 2. Hallucinations
    - a. Neurological factors in hallucinations
    - b. Types of hallucinatory experience
- H. Theories of parapsychological phenomena
  - 1. Extrasensory perception: telepathy, clairvoyance, precognition, and prophecy
  - 2. Parapsychological phenomena of a nonperceptual nature: psychokinesis

3. Theories of perceptual and of nonperceptual parapsychological phenomena: physical theories, field theories, and theories of the collective unconscious; projection hypothesis

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the influence of the current environment on a person's behaviour and conscious experience: attention, sensation, and perception

Attention Perception, Human

MICROPAEDIA: Selected entries of reference information

#### General subjects

parapsychological	consciousness	synesthesia	sensation
phenomena:	delirium	time perception	sense
extrasensory	eidetic image	sensation:	smell
perception	hallucination	chemoreception	sound reception
parapsychological	illusion	flavour	thermoreception
phenomenon	movement	mechanoreception	other:
precognition	perception	pain	attention
telepathy	pain	photoreception	circadian rhythm
perception:	perception	proprioception	stress
autokinetic effect	space perception	receptor	human behaviour

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## Section 433. Current Internal States Affecting a Person's Behaviour and Conscious Experience

- A. Motivational states: needs and desires that channel a person's behaviour and experience
  - 1. Diverse theories of motivation: psychoanalytic, drive, arousal, incentive, and hedonic theories
  - 2. Specific human needs and motives, with special attention to achievement, anxiety, aggression, and sexual behaviour and deviations
  - 3. Situational and interactional factors: stresses in stimulus field, cognitive evaluation, balance and congruity, and cognitive dissonance
  - 4. Recent developments and practical applications of motivation theory: emphasis on reinforcement and instinct, the use of token systems

#### B. Emotional states: bodily conditions and feelings accompanying motivation and arousal conditions

- 1. The nature of emotion
- 2. Diverse conceptions of emotion: the roles of the nervous system
- 3. Expression of emotions: the startle response; facial, vocal, and postural manifestations

#### C. Transient states affecting behaviour and experience

- 1. Sleep
- 2. Dreams
- 3. Hypnosis and related states of altered consciousness
- 4. Fatigue
- 5. Transient states caused by altered body chemistry [see also 321.C.4.c]

MACROPAEDIA: Major articles dealing with the current internal states affecting a person's behaviour and conscious experience

Emotion, Human	Sex and Sexuality
Motivation, Human	Sleep and Dreams

MICROPAEDIA: Selected entries of reference information

#### General subjects

emotional states:	sexual behaviours	transvestism	insomnia
anxiety	and problems:	voyeurism	narcolepsy
emotion	bisexuality	transient states—	sleep
empathy	dyspareunia	chemically induced:	snoring
feeling	exhibitionism	alcoholism	transient states—
temperament	frigidity	antidepressant	other:
motivational states:	homosexuality	drug abuse	combat fatigue
agonism	impotence	hallucinogen	fatigue
conflict	masochism	narcotic	hypnosis
drive	masturbation	sedative-hypnotic	other:
libido	pedophilia	drug	consciousness
motivation	rape	stimulant	introspection
scatologia	sadism	tranquilizer	unconscious
sex	sexual dysfunction	transient states—	
sexual motivation	sodomy	sleep and sleep	
	transsexualism	disorders:	
		dream	

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# Section 434. Persisting Capacities and Inclinations That Influence Human Behaviour and Conscious Experience

- A. The nature of human capacities [see 435.A.]
- B. The assessment of human abilities: psychological measurement
  - 1. Types of testing instruments and methods
  - 2. Development of standardized tests
  - 3. Assessment of test results
- C. Sensorimotor abilities: bodily skills and mechanical abilities
- D. Intellectual abilities: theories of intelligence
- E. The distribution of intelligence
  - 1. Problems concerning the establishment of intelligence standards and intelligence distribution
  - 2. Retardation
  - 3. The gifted
  - 4. Group differences in intelligence: the measurement and interpretation of differences in age, socioeconomic class, race, sex, and other factors
- F. Personal propensities and idiosyncrasies affecting behaviour and experience
- G. Attitudes
  - 1. The nature of attitudes

- 2. The functions of attitudes
- 3. The development of attitudes
- 4. The measurement of attitudes: the use and validity of questionnaires, interviews, sampling techniques, opinionnaires, and content analysis
- H. Persuasion and change of attitude

MACROPAEDIA: Major articles dealing with the persisting capacities and inclinations that influence human behaviour and conscious experience

Intelligence, Human Psychological Tests and Measurement

MICROPAEDIA: Selected entries of reference information

General subjects

aptitude test creativity differential psychology

genius gifted child human behaviour intelligence intelligence test mental age mental retardation prodigy psychological testing sensorimotor skill

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## Section 435. Development of a Person's Potentials: Learning and Thinking

- A. Diverse theories of human learning
  - 1. Modern learning theories
  - 2. Major issues in learning theories
  - 3. Transfer of training
- B. Psychomotor learning
- C. Perceptual learning
- D. Conceptual learning and concept formation
- E. Memory: retention and forgetting of learned habits and content
- F. Abnormalities of memory: amnesia, paramnesia and confabulation, hypermnesia—enhancement of memory
- G. The higher thought processes
  - 1. The psychology of higher thought processes
  - 2. The role of language in the higher thought processes
  - 3. Meaning
  - 4. Types of thinking: realistic and autistic

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the development of a person's potentials: learning and thinking

Learning and Cognition, Human Memory Thought and Thought Processes MICROPAEDIA: Selected entries of reference information

#### General subjects

amnesia	conditioning	memory
association	discrimination	mnemonic
attitude	generalization	perceptual learning
brainwashing	imitation	psychomotor
cognition	insight	learning
concept formation	learning	
ographies		

recognition suggestion thought training, transfer of

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## Section 436. Personality and the Self: Integration and Disintegration of the Person as a Whole

- A. Definitions of personality
  - 1. Physiological theories of personality: theories based on body humours, somatotypes, physiognomy, and phrenology
  - 2. Psychoanalytic theories of personality: the importance of id, ego, superego, life and death instincts, and the collective unconscious
  - 3. Social analytic theories of personality: the importance of drive to power, need achievement, and functional autonomy
  - 4. Eclectic theories of personality: role theories, factor analysis of personality traits
- B. Measurement of personality
  - 1. Methods of assessment
  - 2. Evaluating assessment techniques
- C. Personality functioning and adjustment
  - 1. The subjective aspect of personality: development of awareness of self
  - 2. Strains and challenges put on adequate personality functioning: physical, psychological, and social stresses; *e.g.*, frustration, conflict, personal inadequacy, deprivation of accustomed gratification
  - 3. Responses to environmental strains on personality functioning: reactions, defense mechanisms, and adjustment dynamisms for coping with environmental demands
- D. Persisting disturbances of personality integration or functioning: mental disorders
  - Major categories of mental disorders: organic mental disorders, schizophrenia, paranoid disorders, affective disorders, anxiety disorders, obsessive-compulsive disorders, posttraumatic stress disorders, somatoform disorders, dissociative disorders, personality disorders, psychosexual disorders, disorders of infancy or childhood
  - 2. Prevalence of mental disorders: epidemiology
  - 3. Causation of mental disorders
  - 4. Psychiatry, the treatment of mental disorders: pharmacotherapies, psychotherapies, other therapies

MACROPAEDIA: Major articles and a biography dealing with personality and the self: integration and disintegration of the person as a whole

Freud Mental Disorders and Their Treatment Personality

MICROPAEDIA: Selected entries of reference information

## General subjects

personality—tests:	psychiatric	paranoia	psychodrama
association test	disturbances:	personality disorder	psychopharma-
projective test	affective disorder	phobia	cology
Rorschach Test	anxiety	psychoneurosis	psychosurgery
personality—theories:	autism	psychosis	psychotherapy
anal stage	delusion	psychosomatic	sensitivity training
analytic psychology	depression	disorder	shock therapy
defense mechanism	fetishism	pyromania	other:
ego	hypochondriasis	schizophrenia	Bedlam
humour	hysteria	therapies:	counseling
id	kleptomania	aversion therapy	human behaviour
inferiority complex	lycanthropy	behaviour therapy	mental hygiene
introvert and	manic-depressive	biofeedback	sibling rivalry
extrovert	psychosis	child psychiatry	stress
Oedipus complex	mental disorder	group therapy	
oral stage	multiple	lobotomy	
personality	personality	nondirective	
self	obsessive-compulsive	psychotherapy	
superego	disorder	psychoanalysis	

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## Introduction to Part Five: Man the Social Animal

by Harold D. Lasswell

We are part of society when we share in comprehensive arrangements for living with one another and for managing the environment. The simplest societies are the primitive bands who to this day live in jungles and deserts, and on isolated mountains and beaches around the globe. The most complex technological societies bind the world's cities together as part of an evolution that, barring catastrophe, is forming a planetary society of mankind.

Whether primitive or civilized, all societies must cope with the parallel problems that are generated by the urgencies of human nature and the necessities of a common life. Arrangements are made for kinship and procreation; for safety, health, and comfort; for producing and consuming commodities and services. Arrangements also develop latent talent into skills of communication, body movement, and environmental management. Institutions specialize in the gathering and dissemination of news and images of the natural and social environment. Some institutions give respect or disrespect to individuals and groups on a temporary or permanent basis, and distinguish between what is considered to be responsible or irresponsible conduct. Government, law, and politics seek to resolve the conflicting demands that arise within or among communities.

At first glance we are less likely to be impressed by the parallels than by the differences among societies. The differences are conspicuous, if we consider, say, a horde of big-city commuters as compared with a band of technologically handicapped people who are continually in search of the next meal. An anthropologist who lived with such a band a few years ago in the rain forests of eastern Bolivia reported that apart from the hammocks they slept in, three-foot digging sticks, and cumbersome long bows and arrows, these naked seminomads carried no material objects with them. Modern urban dwellers usually feel some contempt for these bearers of an Old Stone Age culture and speculate on a possible weakness of the brain to account for their lack of technological progress. Such speculations are dismissed by modern anthropologists as without foundation. As we get acquainted with primitive societies it dawns upon us that they have met some of the same problems that we have by adopting solutions whose ingenuity equals or even excels our own. This may apply, for instance, to arrangements for transmitting political authority from one generation to the next, or for preventing violently aggressive behaviour.

Societies do indeed differ from one another in the degree that they encourage specialization. In the simplest societies everybody does everything, with exceptions that are closely linked to differences of sex and age. On the other hand, many tribes use professional specialists, such as warriors, medicine men, blacksmiths, potters, weavers, musicians, and carvers. The world that we call civilized appeared with the invention of writing. Literacy provides a means of storing and retrieving information without relying exclusively on the memory of the old. Records and education multiply the number of learned professions. Urban civilization marks the emergence of such institutions as the territorial state, formal legislative codes of law, regular taxes, bureaucratized civil and military services, monumental public works, complex systems of taxation, and official records.

One way to bring out the degrees of likeness and difference among societies, whether primitive or civilized, is to compare the priorities that are given to institutions of the same kind. No one doubts that every society must concern itself to some extent with food. It is only in bands of the kind mentioned above that near-total preoccupation with hunger deemphasizes, although without abolishing, all other interests. Where existence is less hard the accumulation of wealth may become the principal value sought, as among some merchant cities and trading tribes. War and preparation for war may take top priority as it did for millennia among the shepherds of Inner Asia and the river-valley agriculturalists who were conquered by herdsmen-warriors. Some agricultural societies emphasize worship and encourage forms of knowledge, like astronomy, that enhance religion. In some societies, notably in East India, the accent is on ritual purity or impurity, and every kin group is assigned a position in the respect system of caste and class.

While priorities may remain stable for generations in a given society, this is not necessarily the case. At one time the peoples of Scandinavia were warriors and brigands. Today we perceive them as among those who are most involved with the values of civil society. In the United States, the early colonizers of New England were heavily oriented toward religion, morality, and political freedom. More recently, the most general trend has been toward secular activities, especially those connected with wealth. Throughout the contemporary world, "development" often carries the connotations of economic modernization, political independence, scientific education and research, personal freedom, and social justice.

Besides allocating priorities, every society strikes a temporary or durable balance between the accumulation and the immediate enjoyment of every value. The modes of accumulation depend on the value in question. Investment in wealth production, for instance, may involve adding fertilizers to the soil, or building an infrastructure of roads and bridges, or inculcating the values of saving and investment. Expanded educational opportunity implies that more per capita hours of teaching and learning, and more physical equipment, are made available, and that the importance of education is successfully communicated. If health opportunities are to be multiplied, it is necessary to add facilities and to spread the practice of personal hygiene. A society cultivates public enlightenment with installations for scientific and scholarly purposes, and for mass communication. Human relations improve as the roles of love, friendship, and loyalty expand in "an era of good feeling," and as social discrimination wanes. Levels of responsible conduct typically rise as opportunities become more available for worship and more people join in formulating and applying moral standards. During a given period the institutions of government, law, and politics sometimes accumulate more support.

The examples mentioned above refer to the "positive" accumulation of a valued outcome. Accumulations may be "negative," as when disasters destroy property, spread epidemics, or interfere with education.

All societies necessarily make arrangements for the sharing of wealth, power, and other values. Among individuals and groups these arrangements exhibit all degrees of equality and inequality. Wealth and income are sometimes widely distributed. By contrast, they may be monopolized in the hands of a few. Political participation may be dispersed or concentrated. Opportunities may be equalized or monopolized for health, education, and information; or for respect, affection, and responsible conduct.

Characteristic of every society is the attempt to maintain itself by controlling the minds of young and old. People not only hunt or plow, trade or fight. They are also likely to believe in what they do and how they do it. It is not necessarily true that in a system of inequality those who occupy any particular station, however exalted or lowly, entertain any doubts about the justification of the system. A stable society carries on within the framework of a common map of perception, belief, and identity. In such a setting the individual learns from earliest infancy to think, feel, and act in ways that bring positive rather than negative consequences from the social and natural environment. Socialization is the process by which private motivations are channeled into acceptable public acts.

In civilized societies reliance on the results of early education is heavily supplemented by government, law, and politics. The legal system is made up of several sets of authoritative and controlling prescriptions. One set is constitutive. It prescribes "who decides what and how." It centralizes or decentralizes formal and effective power, and it separates power among agencies and groups. Structures may be differentiated to plan, to promote, to legislate, to execute, or to review and appraise. Regulation defines the degree of protection given to the fundamental institutions of every sector of society. Tradition alleges that a legal order is blind to values and practices that lie outside the established beliefs, faiths, and loyalties ("ideologies") of the society with which it is involved. In consequence, legal systems may defend widely different balances between value accumulation and enjoyment, and sharply contrasting patterns of equality and inequality in the sharing of political power, wealth, respect, or any other value. The legal order may protect economic systems whose structures are capitalistic, socialistic, or cooperative; family systems that permit one or more members of the sexes to marry and raise children; religious faiths that exalt monotheism and polytheism; and so on through the infinite variety of human practices.

One set of prescriptive norms is supervisory. Individuals and groups may be given wide latitude to make private contractual agreements or to seek redress of private wrongs. Nonetheless, the decision makers of the community are prepared to play a supervisory role by enforcing common norms if an unsettled private controversy is brought to their notice by the parties. Prescriptions also lay down the principles and procedures to be followed if the body politic organizes and administers a continuing enterprise, of which services of transportation, communication, banking, insurance, and housing are examples. A legal system includes correctional or sanctioning measures to obtain compliance with prescribed norms. Value deprivations are imposed on those who have failed or are expected to fail to comply. Deprivations range in severity from capital punishment, confiscation of property, or life imprisonment, to a light fine or reprimand.

A legal system is stabilized when the effective elements in society perceive themselves as relatively better off by continuing the system than by adopting alternative arrangements. To some extent a legal order may exhibit cyclical fluctuations, as when deviations are tolerated within limits which, if exceeded, generate reform activities that restore the former situation with little change. In a capitalist economy "creeping monopoly" may invade trade unions, employers' associations, or natural resource and industrial enterprises. In a socialist economy "black markets" may introduce "creeping competition." In either case, cyclical movements may restore the original relationship before they have quietly stabilized a structural innovation, or prepared the way for violent revolutionary change.

If the view is correct that worldwide interdependence is increasing, the traditional blindfold of legal systems must be put aside long enough to give explicit consideration to competing value goals and practices around the globe. Interdependence implies that whether they like it or not, the members of an emerging planetary society must take one another into account. Being taken into account implies that beliefs, faiths, and loyalties, as well as overt behaviours, are examined by public and private decision makers. The demand to be better informed about the social environment creates an enormous opportunity and responsibility for those who study society.

We expect anthropologists to provide us with knowledge of primitive societies and other specialists to focus on the processes and institutions of civilized society. Political scientists and legal scholars concentrate on government, law, and politics. Economists specialize in the production and distribution of wealth. The role of educators is relatively clear. So, too, is the role of sociologists who concern themselves with a sector of society, such as the family, social class and caste, professions and occupations, communication, public health, or comparative morals and religion.

Social scientists are continually under pressure to provide a map of the past and probable future impact of the forces that shape society. They are asked, for instance, to explain the causes of war and other forms of violence, and to suggest strategies that lead to "victory" in a specific conflict or to show how war itself may be eliminated as an instrument of public policy. Social scientists are asked for explanations of why an economy experiences inflation, or how it generates changing levels of employment and unemployment. Specialists are expected to discover the sources of alienation that separate young and old or threaten the unity of a family, a school, a church, a political party, or a national state. These examples suggest the wide-ranging demands that confirm the importance of adding to our knowledge of society.

We recognize the existence of a problem when we perceive that our goals are inconsistent with one another or when there are discrepancies between what we want and what we have or expect. In public policymaking, the first step is to answer the question, "Whose values are to be realized?" The social scientist who participates in tackling or solving a policy problem has an option: he may adopt the criteria of a "client" or he may rely on his own values.

The study of social institutions is sometimes affected by diverging norms of professional responsibility. No conflict need arise if a social scientist is personally committed to a line of research that happens to be popular with influential members of the body politic. No anxiety or guilt is felt if the findings are applied by current decision makers. A frequent example is the study of administrative agencies according to their "dollar efficiency" or according to the accuracy and speed of communication between central offices and field stations.

In contrast to this harmonious relationship is the inner and perhaps visible turmoil of social scientists whose research interests are unacceptable to many members of the current establishment. The researchers may want to study the effect of military expenditures on society. The problem may be to find how a given level of military outlay modifies the structure of the civilian economy and influences both the production and delivery of services specialized for health, education, public information, family welfare, and other social outcomes. If the information gathered in the course of a given project is classified as secret, no scientist can lawfully report his findings. Perhaps the investigator will violate the letter of the law in the hope of mobilizing an effective demand for change. But it may be that such a strategy will backfire. Instead of arousing community protest against authority, the revelations may result in established leaders successfully taking advantage of an alleged "breach of security" to suppress inquiry and discussion.

Another complication affecting the social investigator is the degree of genuine consent that he must obtain from those whom he proposes to study. Physicians, surgeons, and biologists confront similar questions when they plan to give a test, run an experiment, administer a drug, or perform an operation. Is it always necessary to explain to a prospective subject the risks he will run? Is the investigator professionally or legally bound to make sure that the language of explanation can be understood by the individual concerned? If a social scientist plans to study the facts of life in a prison or a mental hospital, should he reveal his purpose, even when it would be easier to gain confidence by posing as a fellow prisoner or a fellow patient? Similar issues rise in connection with field studies of primitive tribes, of peasant communities, of foreign societies, and of many other social settings.

In recent times, professional opinion has emphasized the importance of obtaining "shared participation" in the pursuit of knowledge. Many investigators willingly accept the challenge of cultivating group demand for a project and for a hand in data gathering and analysis. At every stage, arrangements are made for laymen to work side by side with professional sociologists, social psychologists, political scientists, and other investigators. As a result, some communities have learned to study themselves, assessing the degree to which they are involved in ethnic and other forms of discrimination. Unusual groups have joined in self-study. For instance, murderers and persons who have survived as targets of murderous assault have cooperated in scientific research on the causes and consequences of murder, and on possible strategies of prevention. Instead of resenting the role of "guinea pig" in science, it is typical for those who choose to participate in programs of self-observation to improve their individual insight while contributing to the enhancement of society's stock of knowledge.

Whether the client or the investigator is the source of the value criteria adopted for a policy problem, questions of value priority are bound to arise. The relative importance of political, economic, and other aims cannot be satisfactorily settled in programs of national or regional development unless the full range of possible goals is considered. It is essential to take timing into account. When a new nation-state first secedes from an empire, political power has top priority. The "ex-colony" tries to ensure its independence of external control, to obtain support from outside powers, and to unify its people. Economic development occupies a high priority position. Other targets, such as health, education, the expressive arts, and environmental protection, seem to be less urgent. The allocation of manpower and facilities to various institutions depends on the priority of the specific outcomes in which these institutions specialize.

Social scientists have an indirect influence on priorities by asking questions about them, and also by presenting a factual map of past trends, causes, and future contingencies. Scientists often devise small-scale pretests in order to try out solutions that may eventually be applied on a larger scale.

In adapting to the needs of this interdependent world, the scientists of society require of themselves that they measure the direction and intensity of the value demands of political, economic, ethnic, and all other identifiable groups anywhere on the globe. Acknowledging the perils of a divided and militant world, the most compelling task is to discern and make public the conditions under which a world public order of government and law could become a more perfect instrument of human dignity, security, and welfare. Many small-scale programs show how to reduce the human cost of transforming today's inadequate institutions into more effective systems of communication and organization.

For the first time in history it can be truly asserted that the scientists of society have been provided with technological instruments of sufficient sophistication to assist in meeting the demands that are made upon them. Retrieval and dissemination make it possible to map past, present, and future events. Social analysts know that the key question for the future is to resolve whether or not the spectacularly changing technology of knowledge, and especially knowledge of society, will be in the hands of a limited class or caste that seeks to serve its own advantage. The alternative is to share the control of information widely among all territorial and pluralistic groups. Unless individuals and groups are able to obtain access to com-

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prehensive stocks of information, they will be blind judges of public policy. Without adequate access, their criticism will be dismissed as exercises in ignorance and bias. Critics will be in no position to develop realistic alternatives to the plans of governmental or private monopolists of knowledge. "Knowledge is power"; if there is to be self-control, there must be prompt and total access to information.

The chief novelty about the computer and other technically advanced means of processing and transmitting information is that, in principle, everyone can be given prompt access to a selective "map of the whole." An image of the total deployment of man in space or of the total activities of a corporate enterprise can be made available to everyone from the highest official to the humblest worker. The salient facts can be made vivid, concise, and substantially accurate in images that may be supplemented in whatever detail is desired. The range of possible expenditures for any political, economic, or social program can be summarized and related to its potential impact on society.

Human society has attained an unparalleled height of danger and opportunity. The study of society shares in both. The unprecedented accumulation of knowledge enables us to recognize that the scale of our problems is also without precedent.

## Part Five. Human Society

All studies of mankind take account of the effect of the social nature of humans. This is true of the treatment in Part Four of human evolution, health, and general nature and behaviour. It is also true of the treatments, in subsequent parts, of art, technology, religion, history, and the sciences and philosophy.

A special set of interrelated sciences, however, takes society and social behaviour as its direct subject of inquiry. The outlines in the six divisions and the twenty-five sections of Part Five are concerned with the complementary work of these social sciences.

The social sciences have themselves been the object of historical and analytical study. These studies are presented in the articles referred to in Section 10/36 of Part Ten. The outline in that section covers the history of the social sciences generally, and the nature, scope, methods, and interrelations of anthropology, sociology, economics, and political science.

The social sciences have become increasingly interdependent and interpenetrating, and no regulative agreement exists about how their distinction should be understood. Nevertheless, the diverse domains are, in practice, distinguishable. The breakdown of Part Five into six divisions reflects the currently operative distinction between cultural and social anthropology, the several branches of sociology, economics, political science, jurisprudence and law, and educational philosophy and science.

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## Division I. Social Groups: Peoples and Cultures

The outlines in the four sections of Division I set forth anthropological accounts of the development and the variety of sociocultural forms.

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#### Section 511. Peoples and Cultures of the World

- A. In the Arctic
  - 1. In the eastern Arctic
  - 2. In the western Arctic
- B. In North America
  - 1. In the sub-Arctic
  - 2. On the Northwest Coast
  - 3. In California
  - 4. On the Plateau
  - 5. In the Great Basin
  - 6. In the Southwest
  - 7. On the Plains
  - 8. In the eastern woodlands
  - 9. In the Southeast

- C. In Middle America
  - 1. In northern Mexico
  - 2. In Mesoamerica
  - 3. In Central America and the northern Andes
  - 4. In the Caribbean
- D. In South America
  - 1. In the central and southern Andes
  - 2. In the tropical forest
  - 3. Among the South American nomads
- E. In Europe
  - 1. On the Atlantic fringe
  - 2. On the plain
  - 3. Along the Mediterranean
  - 4. On the Alpine climax
- F. In the Middle East and North Africa
  - 1. In the Maghrib: northwestern Africa
  - 2. In the Mashriq: northeastern Africa and southwest Asia
  - 3. In Iran
  - 4. In Turkey
- G. In Asia
  - 1. In Siberia
  - 2. In Central Asia
  - 3. In East Asia
  - 4. In South Asia
  - 5. In Southeast Asia
- H. In sub-Saharan Africa
  - 1. In the western Sudan
  - 2. In the eastern Sudan
  - 3. On the Guinea coast
  - 4. In the Congo
  - 5. In central and lower East Africa
  - 6. In the East African Horn
  - 7. In southern Africa
- I. In Oceania
  - 1. In Australia
  - 2. In Melanesia
  - 3. In Polynesia
  - 4. In Micronesia

MACROPAEDIA: Major articles dealing with the peoples and cultures of the world

Africa	Eastern Africa
American Peoples, Native	Europe
Arctic, The	North Africa
Asia	Pacific Islands
Asian Peoples and Cultures	Transcaucasia
Australia	West Indies
Central Africa	Western Africa
Central Asia	
Culture, The Concept and Components of	

MICROPAEDIA: Selected entries of reference information

General	subjects

Africa—Congo:	Baule	Baster	Tembu
Ambo	Dan	Bergdama	Tonga
Azande	Edo	Chaga	Toro
Bemba	Efik	Chewa	Tsonga
Bulu	Ekoi	Ganda	Tswana
Chokwe	Ewe	Gusii	Turkana
Duala	Fanti	На	Tutsi
Fang	Fon	Haya	Venda
Ila	Ga	Hehe	Xhosa
Kaonde	Guro	Herero	Zaramo
Kongo	Ibibio	Hutu	Zulu
Kuba	Idoma	Kamba	Africa—Sudan:
Lozi	Igbira	Karamojong	Baga
Luba	Igbo	Khoikhoin	Bagirmi
Lunda	Ijo	Kikuyu	Bambara
Mangbetu	Isoko	Kipsikis	Bamileke
Maravi	Itsekiri	Lango	Bamum
Mbundu	Kissi	Lovedu	Banda
Mbuti	Kpelle	Luguru	Baqqārah
Mongo	Kru	Luhya	Bari
Ovimbundu	Lamba	Luo	Baya
Tabwa	Mamprusi	Luvale	Bobo
Yaka	Mbembe	Makonde	Bongo
Yao	Mende	Manyika	Dagomba
Yaunde	Nupe	Masai	Dinka
Africa—Ethiopian	Temne	Mfengu	Dogon
and Somalian:	Tiv	Mpondo	Fali
Afar	Urhobo	Nandi	Fulani
Amhara	Yako	Ndebele	Fur
Gurage	Yoruba	Ngoni	Grusi
Konso	Africa—Malagasy:	Nguni	Guang
Oromo	Antaimoro	Nkole	Gurma
Saho	Antandroy	Nsenga	Hausa
Sidamo	Bara	Nyakyusa	Igala
Somali	Betsileo	Nyamwezi	Kabābīsh
Tigray	Merina	Nyika	Kanuri
Tigre	Sakalava	Nyoro	Lala
Africa—Guinea	Tanala	Pedi	Lotuko
Coast:	Tsimihety	San	Lugbara
Adangme	Africa—southern and	Sandawe	Madi
Akan	lower eastern:	Shona	Malinke
Anyi	Acholi	Soga	Mande
Ashanti	Anuak	Sotho	Mossi
Baga	Bantu peoples	Swazi	Ngbandi

Nilot Nuba Nuer Sara Senufo Serer Songhai Tuareg Tukulor Wolof Zerma Arctic: Aleut Chukchi Dolgan Eskimo Even Evenk Itelmen Ket Khanty and Mansi Komi Korvak Nenets Nivkh Sami Yakut Yukaghir Asia—Central and East: Ainu Ami Buryat Chahar Chuang Daghur Hani Hui Kalmyk Kazak Khalkha Kyrgyz Oyrat Pai Puvi Sanka She Tajik Tibetan T'u-chia Tung Turkic peoples Turkmen Tuvan Uighur Uzbek Yao Yi Asia—South: Afrīdī Andamanese Badaga

Balochi Bhīl Bhutia Brahui Chakma Chenchu Chin Dafla Durrānī Ghilzay Gond Gurung Hazāra Ho Indus civilization Jāt Kadar Khāsi Khond Koch Koli Korku Kota Kurumha Lepchā Limbu Magar Marma Mina Mishmi Mizo Munda Nāga Newar Nūristāni Pahārī Pashtun Rai Sansi Santhāl Savara Sinhalese Tamāng Tamil Tharu Toda Vedda Asia—South Siberian: Buryat Khakass Khanty and Mansi Nenets Oyrat Tofalar Tuvan Uighur Yakut Asia—Southeast: Achinese Arakanese Atoni

Balinese Batak Bisava **Buginese** Cebuano Cham Dayak Dusun Ifugao Igorot Ilocano Jakun Javanese Kachin Karen Kavan Kenyah Khmer Kubu Lampong Madurese Magindanao Malay Manggarai Maranao Miao (Hmong) Minahasan Minangkabau Mon Montagnard Moro Muong Murut Ngada Palaung Pangasinan Rejang Samal Sasak Semang Senoi Shan Sikanese Sundanese Tagalog Tai Tasaday Tau Sug Tenggerese Toradia Wa Europe: Abkhaz Balt Bashkir Basque Bulgar Caucasian peoples Celt Chuvash Circassian Finnic peoples

Fleming and Walloon Germanic peoples Gypsy Hungarian Mari Mordvin Sami Slav Sorb Szekler Tatar Vlach Wend See also Section 514 Middle America and northern Andes: Achagua Amuzgo Arawak Cakchiquel Caquetío Cavana Cenú Chatino Chinantec Chocho Chocó Chol Chontal Chortí Ciboney Colorado Cuicatec Cumanagoto Cuna Goajiro Guavmí Huastec Huichol and Cora Ixcatec Jicaque Kekchí Lacandón Lenca Maya Mayo Mazatec Mesoamerican civilization Miskito Mixe-Zoquean Mixtec Nahua Otomí Páez Palenque Patángoro Pijao Popoloca Puruhá

Ouiché Sumo Taino Tairona Tarasco Tepehuan Tojolabal Totonac Tzeltal Tzotzil Tzutujil Yaqui Yucatec Maya Zapotec Middle East and North Africa: Arab Armenian Bakhtvārī Balochi Baqqārah Bedouin Beja Berber Cuman Druze Harātīn Kabābīsh Kabyle Kurd Lur Rif Shawia Teda Tuareg Turkmen North America— Californian: Cahuilla Chumash Diegueño Juaneño Luiseño Maidu Mission Indians Miwok Pomo Serrano Shastan Wintun Yana Yokuts Yuki North America— Eastern Woodland: .Abnaki Cayuga Conoy Delaware Erie Fox Huron

Illinois Kickapoo Mahican Malecite Massachuset Menominee Miami Mohawk Mohegan Montauk Nanticoke Narraganset Nauset Neutral Nipmuc Oiibwa Oneida Ottawa Pamlico Passamaquoddy Pennacook Penobscot Pequot Potawatomi Powhatan Sauk Seneca Shawnee Susquehanna Tionontati Tuscarora Wampanoag Wappinger Wendat Wenrohronon Winnebago North America— Great Basin: Bannock Mono Paiute Shoshoni Ute Washo North America-Northwest Coast: Bella Coola Chinook Coast Salish Haida Hupa Kwakiutl Nootka Tlingit Tsimshian Wivot Yurok North America-Plains: Arapaho Arikara

Assiniboin

Atsina Blackfoot Chevenne Comanche Crow Hidatsa Kansa Kiowa Mandan Omaha Osage Oto Ponca Sarcee Sioux Tonkawa Wichita North America— Plateau: Flathead Kutenai Modoc and Klamath Nez Percé Sahaptin Salish Yakima North America— Southeast: Apalachee Caddo Calusa Catawba Cherokee Chickasaw Chitimacha Choctaw Creek Natchez Seminole North America— Southwest: Apache Chiricahua Hopi Jicarilla Apache Karankawa Mescalero Mimbres Mojave Navajo Papago Pima Pueblo Indians Shoshoni Southwest Indian Yuman Zuni North America-Sub-Arctic: Algonquin

Beaver Beothuk Carrier Chipewyan Cree Dogrib Ingalik Kutchin Micmac Montagnais and Naskapi Sekani Slave Tahltan Tanaina Tanana Oceania: Aranda Australian Aborigine Chamorro Hawaiian Kariera Maori Trobriander South Americacentral and southern Andean: Andean civilization Araucanian Atacama Aymara Chavin Chimú Diaguita Inca Mapuche Ouechua South America nomadic: Abipón Chono Guató Makú Mataco Mbayá Ona Puelche Purí and Coroado Ouerandí Sirionó Tehuelche Warrau Yámana Yaruro South America tropical forest: Apapocuva Bororo Botocudo

Canelo Carajá Ge Guaraní Jívaro Maxakali Mura Shavante Sherente Shipibo Tucuna Tupian Tupinambá Witoto Yanomami

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#### Section 512. The Development of Human Culture

- A. Diverse theories of culture: conceptions involved in the analysis of culture
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  - 2. Culture and personality
  - 3. Cultural comparisons: ethnocentrism, cultural relativism
  - 4. Cultural adaptation and change
  - 5. Cultural patterns
  - 6. Cultural institutions [see 513]
- B. Types of cultures
  - 1. Cultures of primitive and nonurban societies
    - a. Cultures of nomadic and settled hunters and gatherers
    - b. Horticultural societies: societies in which primitive agriculture is supplemental to hunting and gathering
    - c. Cultures of pastoralists and herdsmen: distribution and characteristics
    - d. Cultures of peasants and settled agriculturists
  - 2. Cultures of civilized societies: theories of their origin and evolution
  - 3. The development of modern industrial civilization: mass society
- C. Processes of cultural change [see Division II, below]

Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the development of human culture

Culture, The Concept and Components of	Social Sciences, The
Modernization and Industrialization	Social Structure and Change

MICROPAEDIA: Selected entries of reference information

#### General subjects

nodernizationsocial DarwiJeolithic Periodsurvivaliomadismtranshumano'aleolithic Periodurban revolu	nism ce ition
io: Pal	eolithic Period urban revolu

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#### Section 513. Major Cultural Components and Institutions of Human Societies

- A. Systems of relationship based upon marriage and descent: kinship
  - 1. General aspects of kinship
  - 2. Laws and customs regarding mate selection, sexual behaviour, marriage and divorce, legitimacy [see also 553.B.]
  - 3. Rules of residence; e.g., virilocal, uxorilocal, neolocal
  - 4. Descent systems: unilineal, cognatic, and variant forms
  - 5. Control of resources, inheritance, and succession: the family as a centre for transmission of economic, religious, political, and other powers and goods
  - 6. Kinship and social change: kinship as an evolving social institution
- B. Other social structures
  - 1. The varieties of groups and other associations within societies
  - 2. Organization by status: class systems, caste systems, systems characterized by slavery or serfdom
- C. Types of economic systems
  - 1. The economic systems of primitive or nonurban peoples
    - a. Production, division of labour, role differentiation
    - b. Exchange of goods, distribution of wealth
    - c. Property and property rights
  - 2. The economic systems of developed nations [see Division III, below]
- D. Other elements common to all cultures but differing in expression or practice between cultures
  - 1. Education and socialization: formal and informal enculturation
  - 2. Religious belief, folklore [for religious belief, see 811]
  - 3. Legal systems [see 551.B.]
  - 4. Artistic expression: literature, visual arts, performing arts; crafts [see 611, 612, and 613]
  - 5. Linguistic systems [see 514]
  - 6. Recreation, sports and games
  - 7. Passage and purification rites: birth, puberty, marriage, death

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with major cultural components and institutions of human societies

Culture, The Concept and Components of Family and Kinship Inheritance and Succession Social Sciences, The Sports, Major Team and Individual

#### MICROPAEDIA: Selected entries of reference information

#### General subjects

kinship:	clan
avoidance	cross-cousin
relationship	descent
avunculate	extended family
band	family
blood brotherhood	gens

- joint family kin kinship kinship terminology lineage matriarchy
- nuclear family patriarchy phratry tribe

marital and sexual	exchange marriage	primitive economic	number game
relationships:	exogamy and	systems:	serfdom
betrothal	endogamy	barter	slavery
bridewealth	group marriage	gift exchange	social class
circumcision	henogamy	kula	social group
clitoridectomy	incest	potlatch	social status
concubinage	levirate	silent trade	Sporting Record
couvade	residence	other:	sports and games
divorce	seigneur, droit du	card game	See also Sections 522 and 523
dowry	sororate	caste	
dual organization	tree marriage	children's game	

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- A. Communication as a foundation of human culture and as the essential element in social and cultural interaction, the role of communication in the modification of human behaviour
- B. Nonverbal communication
  - 1. Communication by means of bodily gestures and posture, by facial expression
  - 2. Laughter and nonword sounds as communication
  - 3. The use of signals, signs, symbols, icons, and cultural artifacts
  - 4. Cybernetic communication: computer languages, human language-computer interfaces, and artificial intelligence and expert systems
  - 5. Parapsychological forms of communication: telepathy [see 432.H.]
- C. The nature of language
  - 1. Definitions of language
  - 2. Ways of studying language: phonetics, grammar, semantics
  - 3. Language variants: dialects, slang, and specialized variants (e.g., jargon, pidgins, creoles)
  - 4. Speech: the psychological and physiological bases
  - 5. Meaning and style in language: structural and lexical meanings; semantic flexibility; language and conceptualization; style
  - 6. Language and culture: transmission of language; language and social differentiation; control of language for cultural ends; language learning and literacy; written language and spoken language
  - 7. Linguistic change and language typology
  - 8. Cryptology: codes, ciphers, and other means of encrypting language
- D. The structure of speech and language
  - 1. The phonetics of speech (articulatory, acoustic, linguistic); phonetic transcription; experimental phonetics
  - 2. The physiology of speech: regulators (respiratory and brain functions); the larynx; voice production (including synthetic voice production)
  - 3. Speech disorders
- E. Written language: systems of notation
  - 1. The nature, origin, and evolution of writing: from pictures to the alphabet

- 2. Types of writing systems: logographic, syllabic, consonantal, alphabetic, featural
- 3. Systems of writing: hieroglyphic, cuneiform, alphabetic, ideographic
- 4. Adjuncts to writing: punctuation, shorthand
- 5. Calligraphy and the art of handwriting: early Semitic, Arabic, Greek, Latin, Indic, East Asian
- F. Linguistics: the scientific study of language and language development [see also 10/36 H.]
  - 1. The development of linguistic theory
  - 2. Synchronic linguistics: structural, transformational-generative grammar, tagmemics, stratificational grammar, the Prague school
  - 3. Diachronic linguistics: linguistic change, comparative method, language classification
  - 4. Dialectology and the study of linguistic geography
  - 5. Semantics: the study of language and meaning
  - 6. The study of writing
  - 7. The classification of language
- G. Language and society
  - 1. Attitudes toward language: taboos in language use, myths about the origin of language, the relation of language and thought
  - 2. The connection of language with history, the role of language in the transmission of culture
  - 3. The role of language in cross-cultural relations
  - 4. The use of language as a political instrument
  - 5. The role of language in unifying social and occupational groups
- H. Languages of the world
  - 1. Indo-European languages
    - a. Anatolian
    - b. Indo-Iranian
    - c. Greek
    - d. Italic
    - e. Romance
    - f. Germanic
    - g. Armenian
    - h. Tocharian
    - i. Celtic
    - j. Baltic
    - k. Slavic
    - I. Albanian
  - 2. Uralic languages
    - a. Finno-Ugric
    - b. Samoyedic
  - 3. Altaic languages
    - a. Turkic
    - b. Mongolian
    - c. Manchu-Tungus
  - 4. Dravidian languages
    - a. South Dravidian

- b. Central Dravidian
- c. North Dravidian
- 5. Austroasiatic languages
  - a. Mon-Khmer
  - b. Munda
- 6. Sino-Tibetan languages
  - a. Chinese
  - b. Tibetic
  - c. Burmic
  - d. Baric
  - e. Karenic
- 7. Hmong-Mien (Miao-Yao) language
- 8. Tai languages
  - a. Southwestern Tai
  - b. Central Tai
  - c. Northern Tai
- 9. Paleo-Siberian languages
  - a. Nivkh
  - b. Yukaghir
  - c. Chukchi
  - d. Koryak
  - e. Itelmen
  - f. Ket
- 10. Caucasian languages
  - a. South Caucasian
  - b. North Caucasian
- 11. Afro-Asiatic languages
  - a. Semitic
  - b. Egyptian
  - c. Berber
  - d. Cushitic
  - e. Chadic
- 12. Korean language
- 13. Japanese language
- 14. Austronesian languages
  - a. Formosan
  - b. Western Malayo-Polynesian
  - c. Central Malayo-Polynesian
  - d. South Halmahera-West New Guinea
  - e. Oceanic
- 15. Papuan languages
- 16. Australian Aboriginal languages
- 17. African languages
  - a. Niger-Congo
  - b. Chari-Nile and Nilo-Saharan
  - c. Khoisan

- 18. Indian languages of North America
  - a. Eskimo-Aleut
  - b. Na-Dené
  - c. Macro-Algonquian
  - d. Macro-Siouan
  - e. Hokan
  - f. Penutian
  - g. Aztec-Tanoan
- 19. Indian languages of Meso-America
  - a. Uto-Aztecan
  - b. Tequistlatec
  - c. Tlapanec
  - d. Oto-Pamean
  - e. Popolocan
  - f. Mixtecan
  - g. Zapotecan
  - h. Chinantecan
  - i. Mixe-Zoque
  - j. Totonacan
  - k. Mayan
  - l. Misumalpan
- 20. Indian languages of South America and the Caribbean
  - a. Arawakan
  - b. Cariban
  - c. Macro-Chibchan
  - d. Macro-Ge
  - e. Macro-Pano-Tacanan
  - f. Quechumaran
  - g. Tucanoan
  - h. Tupian
- 21. Language isolates
  - a. Sumerian
  - b. Etruscan
  - c. Basque
- 22. Pidgins and creoles
- 23. Constructed languages
  - a. Special international or universal languages, including Esperanto and Interlingua; Basic English
  - b. Machine languages: *e.g.*, Fortran, Algol [see 735.D.3.]

MACROPAEDIA: Major articles dealing with language and communication

Communication	Linguistics
Cryptology	Names
Humour and Wit	Speech
Language	Writing
Languages of the World	

MICROPAEDIA: Selected entries of reference information

General subjects

alphabets and other writing systems: alphabet Arabic alphabet Aramaic alphabet Armenian alphabet Brāhmī Braille Canaanite inscriptions Chinese writing system cuneiform writing Cypriot syllabary Cyrillic alphabet demotic script Devanāgarī Eggium Stone Ethiopic alphabet Etruscan alphabet Glagolitic alphabet Gothic alphabet Grantha alphabet Greek alphabet Gregg shorthand Gupta script Gurmukhi alphabet Hangul Hebrew alphabet hieroglyph Hittite hieroglyphic writing Iguvine Tables Indic writing systems kana Kensington Stone Kharostī Kök Turki alphabet Latin alphabet Linear A and Linear B Mayan hieroglyphic writing Myazedi inscription Nabataean alphabet North Semitic alphabet ogham writing Pahlavi alphabet Palmyrenian alphabet Phoenician alphabet

pictography Pitman shorthand Rosetta Stone runic alphabet Sarada script shorthand Sinaitic inscriptions South Semitic alphabet Speedwriting stenotypy syllabary Svriac alphabet Ugaritic alphabet writing grammar. svntax. and vocabulary: abbreviation agglutination auxiliary gender grammar honorific mood morpheme name patronymic punctuation slang speculative grammar surname syntax tense voice languages-African: African languages Bantu languages Central Sudanic languages Eastern Sudanic languages Khoikhoin languages Khoisan languages Kwa languages Meroitic language Niger-Congo languages Nilotic languages Nubian languages San languages Swahili language West Atlantic languages Xhosa language Zulu language

languages----Afro-Asiatic (Hamito-Semitic): Afro-Asiatic languages Akkadian language Amharic language Arabic language Aramaic language Berber languages Chadic languages Coptic language Cushitic languages Eblaite language Egyptian language Ge'ez language Hausa language Hebrew language Phoenician language Semitic languages South Arabic language Syriac language languages—Altaic: Altaic languages Chuvash language Evenk language Kazak language Kyrgyz language Manchu language Manchu-Tungus languages Mongol language Mongolian languages Tatar language Turkic languages Turkish language Turkmen language Uighur language Ural-Altaic languages Uzbek language languages-Austroasiatic: Austroasiatic languages Khmer language Mon-Khmer languages Munda languages Nicobarese languages Vietnamese language languages-Austronesian; Austronesian languages

Cebuano Fijian language Formosan languages Javanese language Malagasy languages Malay language Melanesian languages Micronesian languages Oceanic languages Philippine languages Polynesian languages Tagalog language languages-. Caucasian: Avar-Andi-Dido languages Caucasian languages Georgian language Kartvelian languages Laz language Mingrelian language Nakh languages languages-Dravidian: Dravidian languages Kannada language Malavalam language Tamil language Telugu language languages-Indo-European (Baltic): **Baltic** languages Latvian language Lithuanian language Old Prussian language languages-Indo-European (Celtic): Breton language Brythonic languages Celtic languages Cornish language Goidelic languages Irish language Pictish language
Scottish Gaelic language Welsh language languages-Indo-European (Germanic): Afrikaans language Danish language English language Faroese language Frisian language German language Germanic languages Gothic language Icelandic language Luxemburgian language Middle English language Netherlandic language Norwegian language Old English language Old Norse language Old Saxon language Scandinavian languages Scots language Swedish language Swiss German language Yiddish language languages-Indo-European (Greek): Demotic Greek language Greek language Katharevusa Greek language Koine languages-Indo-European (Indo-Iranian): Apabhramśa language Assamese language Avestan language Balochi language Bengali language Bihārī languages Burushaski language Dardic languages Dari language Gujarati language

Hindi language Hindustani language Indian languages Indo-Aryan languages Indo-Iranian languages Iranian languages Kashmiri language Marāțhī language Oriyā language Ossetic language Pahari languages Pahlavi language Pāli language Parthian language Pashto language Persian language Prākrit languages Punjābī language Romany language Sanskrit language Sindhi language Sinhalese language Urdu language languages-Indo-European (Romance and Italic): Catalan language Franco-Provençal dialect French language Italian language Italic languages Ladino language Latin language Mozarabic language Occitan language Oscan language Osco-Umbrian languages Portuguese language Rhaetian dialects Romance languages Romanian language Sabellic dialects Sardinian language Spanish language Umbrian language Vulgar Latin languages-Indo-European (Slavic): Belarusian language

Bulgarian language Czech language Lekhitic languages Macedonian language Old Church Slavonic language Polish language Russian language Serbo-Croatian language Slavic languages Slovak language Slovene language Sorbian languages Ukrainian language languages-Indo-European (other): Albanian language Anatolian languages Armenian language Hittite language Indo-European languages Indo-Hittite languages Luwian language Lydian language Raetian language Tocharian languages languagesinternational and artificial: **Basic English** Esperanto Ido Interlingua Novial Volapük languages-Meso-American Indian: American Indian languages Cakchiquel language Maya languages Mixe-Zoque languages Nahua language Oto-Manguean languages Quiché language Uto-Aztecan languages Yucatec language

languages—North American Indian: Algonquian languages American Indian languages Cherokee language Eskimo-Aleut languages Hokan languages Hopi language Macro-Algonquian languages Macro-Siouan languages Na-Dené languages Navajo language Penutian languages Siouan languages languages—pidgin and auxiliary: Chinese Pidgin English creole Gullah Haitian Creole lingua franca Melanesian Pidgin Papiamento pidgin Sranantonga languages-Sino-Tibetan: Burmese language Cantonese language Chinese languages Kan-Hakka languages Karen languages Mandarin language Miao-Yao (Hmong-Mien) languages Min languages Pinvin romanization Sino-Tibetan languages Tibetan language Wade-Giles romanization Wu language languages—South American Indian: American Indian languages Arawakan languages

Quechuan languages	languages—Uralic:	glossematics	consonant
Tupí-Guaraní	Estonian language	glottochronology	inflection
languages	Finnish language	Grimm's law	International
languages—Tai:	Finno-Ugric	historical	Phonetic
Shan language	languages	linguistics	Alphabet
Tai languages	Hungarian	immediate	intonation
Thai language	language	constituent	nasal
languages—	Karelian language	analysis	palatalization
unaffiliated	Mari language	koine	phoneme
(extinct):	Mordvin language	linguistics	phonetics
Elamite language	Permic languages	morphology	phonology
Etruscan language	Sami languages	Neogrammarian	rounding
Hattic language	Ural-Altaic	neurolinguistics	stop
Hurrian language	languages	Prague school	suprasegmental
Sumerian language	Uralic languages	psycholinguistics	tone
languages—	linguistics:	semantics	velarization
unaffiliated (living):	anthropological	semiotics	voice
Andamanese	linguistics	sociolinguistics	vowel
language	comparative	structuralism	other:
Australian	linguistics	stylistics	communication
Aboriginal	computational	synchronic	cryptology
languages	linguistics	linguistics	humour
Basque language	dialect	transformational	name
Japanese language	dialectology	grammar	semaphore
Ket language	diglossia	Verner's law	sign language
Korean language	eth nolinguistics	phonetics:	speech
Maori language	etymology	accent	symbol
Paleo-Siberian	general semantics	allophone	-
languages	generative	articulation	
Papuan languages	grammar	click	
Biographies			
Apollonius	Grimm, Jacob	Levita, Elijah	Sībawayh
Dyscolus	Ludwig Carl and	Pike, Kenneth L.	Trubetskov,
Bloomfield,	Wilhelm Carl	Priscian	Nikolay
Leonard	Halliday, M.A.K.	Rask, Rasmus	Sergevevich
Chomsky, Noam	Harris, Zellig S.	Sapir, Edward	Ulfilas
Cyril and	Humboldt,	Saussure,	Vaugelas, Claude
Methodius,	Alexander von	Ferdinand de	Favre, seigneur de
Saints	Jakobson, Roman	Scaliger, Joseph	Whorf,
Donatus, Aelius	Jespersen, Otto	Justus	Benjamin Lee
Firth, John R.	Kimhi, David	Schleicher, August	<b>,</b>

INDEX: See entries under all of the terms above

## Division II. Social Organization and Social Change

[For Part Five headnote see page 173.]

The outlines in the four sections of Division II present general sociological theories of social order and social change, and sociological studies of basic social institutions, social processes, and social problems.

- Section 521. Social Structure and Change 186
  - 522. The Group Structure of Society 188
  - 523. Social Status 189
  - 524. Human Populations: Urban and Rural Communities 190

## Section 521. Social Structure and Change

A. The structure of society: diverse theories of social structure and organization, various types of social structure

- B. The social effects of bureaucratic and industrial specialization
  - 1. The social effects of industrialization and modernization
  - 2. The social effects of organizational specialization: bureaucracy
  - 3. The social effects of industrial specialization and automation [see also 712.C.]
- C. Social control
  - 1. The process of socialization: the transmission of patterns of normative behaviour by family, peer groups, and education
  - 2. Theories of alienation: definitions, causes, manifestations, and proposed solutions
  - 3. The regulation of behaviour that departs from social norms
    - a. By punishment, rehabilitation, and reform of criminals
    - b. By psychological therapy
    - c. By persuasion
- D. Factors operative in social change
  - 1. The role of ideology in social change
  - 2. Contact with other cultures as a factor in social change
  - 3. The influence of environment as a factor in social change
  - 4. The role of demographic factors in social change
  - 5. The role of art in social change: art as an ideological instrument
  - 6. Religion as a factor for and against social change
  - 7. The role of intellectual factors in social change
  - 8. The relationship of economic factors to social stability
  - 9. Technological factors in social change
  - 10. The role of collective behaviour in social change
  - 11. The role of public opinion in social behaviour

#### E. Social movements and social change

- 1. Characteristics of social movements
- 2. Selected types of social movements
  - a. Movements centred on religious concepts or personalities
  - b. Humanitarian and reform movements
  - c. Interest group movements
  - d. Revolutionary movements
  - e. Nationalist movements [see also 541.C.3.b.vii.]

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with social structure and change

Collective Behaviour	Public Opinion
Crime and Punishment	Social Sciences, The
Modernization and Industrialization	Social Structure and Change
Propaganda	Work and Employment

MICROPAEDIA: Selected entries of reference information

#### General subjects

punishment and	
rehabilitation:	
amnesty	

Auburn system Baumes Laws Borstal system commutation deportation Elmira system exile and banishment

indeterminate	punishment	social change	collective
sentence	recidivism	social Darwinism	behaviour
mark system	reformatory	social movement	folkway
ostracism	torture	temperance	norm
parole	workhouse	movement	public opinion
penal colony	social change:	social norms	role
Pennsylvania system prison probation	civil disobedience sanction satyāgraha sit-in	and associated phenomena: assimilation	social structure

#### Biographies

See Section 10/36 of Part Ten

INDEX: See entries under all of the terms above

#### Section 522. The Group Structure of Society

- A. The various types of groups: patterns of group relations
  - 1. Classifications of groups
  - 2. The modern family: its organization and functions
  - 3. Special-interest groups [see also 541.B.3.]
  - 4. Minorities and ethnic groups
- B. The social effects of racial and ethnic prejudice
- C. Special social concerns
  - 1. Adolescence
  - 2. Old age
  - 3. Women's social and legal status
  - 4. Cultural minorities [see A.4., above]
  - 5. Poverty
  - 6. Criminality and delinquency
  - 7. Sexual orientation
  - 8. Prostitution
  - 9. Drug and alcohol abuse
  - 10. Suicide
- D. Social service: organized public and private activities to alleviate human wants and needs
  - 1. The background of social and welfare services: modern and historical influences
  - 2. Fields of service
    - a. Family welfare
    - b. Child welfare
    - c. Youth welfare
    - d. Group welfare
    - e. Disaster relief
    - f. Community development
    - g. Medical and psychiatric social services
    - h. School social services [see also 561.C.4.]
    - i. Correctional services: probation, parole, and delinquency control

MACROPAEDIA: Major articles dealing with the group structure of society

Alcohol and Drug Consumption Crime and Punishment Family and Kinship Sex and Sexuality Social Sciences, The Social Welfare

MICROPAEDIA: Selected entries of reference information

#### General subjects

family and marriage:	polyandry	social legislation and	crime
adoption	polygamy	social services:	delinquency
betrothal	surrogate	affirmative action	drug abuse
bridewealth	motherhood	almoner	habitual offender
divorce	interest groups and	almshouse	old age
dowry	social movements:	child welfare	organized crime
exchange marriage	black nationalism	philanthropic	poverty
exogamy and	Civil Rights	foundation	prostitution
endogamy	Movement	prohibition	racial segregation
family	ethnic group	service club	racism
group marriage	interest group	social service	suicide
henogamy	lobbying	social settlement	white-collar crime
joint family	minority	social welfare	other:
levirate	temperance	program	assimilation
marriage	movement	woman suffrage	charisma
nuclear family	women's liberation	social problems:	Gemeinschaft and
parent	movement	alcoholism child abuse	Gesellschaft

#### Biographies

See Section 10/36 of Part Ten

INDEX: See entries under all of the terms above

#### Section 523. Social Status

- A. Social differentiation and stratification
  - 1. The concepts of differentiation and stratification: distinctions and interrelationships
  - 2. Factors producing social, economic, and cultural differences: sex differentiation, age differentiation, racial differentiation, intellectual differentiation, social and cultural factors [see 521.D.]
  - 3. The process of stratification: its relationship to differentiation
    - a. Economic differentiation: the basis of stratification
    - b. Class, status, and power as forms of stratification
    - c. The relation of the individual to society: the effects of differentiation and stratification
- B. Varieties of social stratification and social mobility
  - 1. The relation of social class to caste, status, elites, and other concepts
  - 2. Theories of social class: divergent conceptions of the importance of classes in social structures and of the nature of class relationships
  - 3. Types and characteristics of and comparisons among modern social classes: upper class, working class, and middle class; the special case of the peasant class
  - 4. Social mobility
  - 5. The idea of a classless society: approximations to an equality of conditions
  - 6. Social immobility: slavery, serfdom, and forced labour

MACROPAEDIA: Major articles dealing with social status

Slavery Social Sciences, The

MICROPAEDIA: Selected entries of reference information

## General subjects

caste
clan
ethni
fami
ghett
kinsł
mino
et fa gl k n

Biographies

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INDEX: See entries under all of the terms above

clan ethnic group family ghetto kinship minority racial segregation social class social mobility social status

# Section 524. Human Populations: Urban and Rural Communities

- A. The composition and change of human populations
  - 1. Determinants of population
    - a. Human fertility and its control
    - b. Mortality: death rates and longevity
    - c. Migration and refugee movements
  - 2. Historical changes in population
  - 3. Theories of population
    - a. Premodern beliefs: pronatalism versus birth control
    - b. Mercantilist theory
    - c. Laissez-faire theory
    - d. Malthusian theory
    - e. Marxist theory
    - f. Modern theories of population; e.g., optimum population size, optimum rate of population growth, relationship between population and demographic movements
    - g. Ecological theories concerning the relationship between human population growth and the conservation of natural resources
  - 4. Governmental policies influencing population growth and composition
  - 5. The future of the world's population: population projections and problems of the population explosion
- B. Development of modern cities
  - 1. Characteristics of urbanization
  - 2. History of urbanization
  - 3. Patterns of urban planning
    - a. Methods and materials of urban planning and redevelopment
    - b. Social aspects of urban planning and redevelopment
  - 4. Trends in urbanization
    - a. Megalopolis: the coalescence of several metropolitan areas into a contiguous agglomeration of people and activity

- b. Suburbanization: the growth of politically separate but economically dependent residential communities surrounding large cities
- c. Regional integration: economic and cultural interaction between the city and its hinterland
- d. The role of technology in extending the dominance and influence of urban concentrations
- e. Problems of urban growth and population control
- f. Problems of environmental change: pollution, climatic change
- C. Development of modern rural societies

MACROPAEDIA: Major articles dealing with human populations: urban and rural communities

Birth Control	Modernization and Industrialization
Cities	Population
Climate and Weather	Social Sciences, The

MICROPAEDIA: Selected entries of reference information

#### General subjects

age distribution	human migration
birth control	metropolitan area
census	mortality
city	new town
contraception	planned
demography	parenthood

pollution population refugee rural society urban climate urban planning urban renewal urbanization vital rates zoning

#### **Biographies**

See Section 10/36 of Part Ten

INDEX: See entries under all of the terms above

## Division III. The Production, Distribution, and Utilization of Wealth

[For Part Five headnote see page 173.]

The outlines in the seven sections of Division III deal with the economic order in human society.

Section 531. Economic Concepts, Issues, and Systems 191

- 532. The Consumer and the Market: Pricing and the Mechanisms for Distributing Goods 192
- 533. The Organization of Production and Distribution 194
- 534. The Distribution of Income and Wealth 198
- 535. Macroeconomics 199
- 536. Economic Growth and Planning 201

## Section 531. Economic Concepts, Issues, and Systems

- A. Some basic concepts of economics
  - 1. The concept of economic activity as a process of choosing among scarce resources
  - 2. The concept of division of labour
  - 3. The concepts of diminishing returns and optimization
  - 4. The concept of marginality
  - 5. The concept of capital
  - 6. The concept of competition
  - 7. The concept of comparative advantage
  - 8. The concepts of growth and development
- B. Levels of economic analysis
  - 1. Microeconomics: the economic decisions of individuals, households, and firms

- 2. Sectoral economics: the economic arrangements of industries, groups, and regions
- 3. Macroeconomics: the economy as a whole
- C. The comparison of different economic systems
  - 1. Archetypal economic systems
    - a. The pure private enterprise economy: a theoretical model
    - b. The centrally planned economy: the pure socialist model
    - c. The mixed economy with various degrees of economic planning
  - 2. Western-type market economies
  - 3. Soviet- and socialist-type economic systems
  - 4. Mixed economies in developing countries
  - 5. Other economic systems
    - a. Primitive economic systems
    - b. Feudal economic systems

MACROPAEDIA: Major articles dealing with economic concepts, issues, and systems

Economic Systems	Government Finance
Economic Theory	International Trade

## MICROPAEDIA: Selected entries of reference information

### General subjects

laissez-taire command gift exchange mercantilis macroeconomics economy kula proletariat margin	diminishing returns, law of distribution theory labour, division of laissez-faire macroeconomics	supply and demand economic systems: capitalism command economy	socialism primitive economic activities: barter gift exchange kula	other: bourgeoisie Gosplan labour, hours mercantilism proletariat
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#### Biographies

See Section 10/36 of Part Ten

INDEX: See entries under all of the terms above

#### Section 532. The Consumer and the Market: Pricing and the Mechanisms for Distributing Goods

- A. Scarcity, utility, and value: their roles in pricing, their relationship to the consumer
- B. The satisfaction of material wants: the behaviour of consumers
  - 1. National consumption levels in the private sector: trends in expenditures for goods and services
  - 2. Factors influencing consumers' tastes and spending
  - 3. The protection of consumer interests

#### C. Markets as an economic institution in a mixed economy

- 1. Markets classified by reference to competition and monopoly
  - a. Purely competitive markets as distinguished from markets of imperfect competition: monopoly, oligopoly, and monopolistic competition
  - b. Influences affecting the behaviour of sellers under various competitive conditions
  - c. The concept of workable competition
  - d. Government regulation of monopolistic practices

- 2. Major types of markets
  - a. Markets for primary commodities
  - b. Markets for manufactured goods
  - c. Markets for money and capital: the market for short-term loans, the securities market
  - d. The market for labour and services [see 533.C.1.]
- 3. The counterpart of the market under full-scale economic planning: markets under socialism
- 4. The historical development of markets: the market in economic theory, the relationship of the market to social welfare and politics
- 5. Markets in international trade [see 533.F.]
- 6. The function of the market in the establishment of equilibrium between supply and effective demand
- D. The price system in capitalist economies
  - 1. The price system as a means of organizing economic activity: the determination of what is to be produced, how goods are to be produced, and who gets the product
  - 2. Limitations on and failures of the price system: areas in which the price system does not function
    - a. Control of prices by business: price-fixing [see C.1.a., above]
    - b. Government-established price controls and subsidies: regulations concerning public utilities and bank interest rates [see 534.B.4.b., and 534.B.6.b.]
    - c. Economic relationships not susceptible to control by prices: "externalities," such as air pollution and highway congestion [see also 737.C.1.]
    - d. Imperfect knowledge on the part of buyers as to alternative uses of their buying power [see B.2., above]
  - 3. The role of the public sector in the distribution of goods and services: government budgets [see 534.B.1.]

MACROPAEDIA: Major articles dealing with the consumer and the market: pricing and the mechanisms for distributing goods

Economic Theory	Markets
Government Finance	Social Sciences, The
International Trade	

MICROPAEDIA: Selected entries of reference information

### General subjects

consumer protection:	credit card	bazaar	market
antitrust law	demand curve	black market	marketing board
Better Business	indifference curve	cartel	monopolistic
Bureau	marginal utility	cobweb cycle	competition
consumerism	producer goods	commodity	monopoly
fair-trade law	propensity to	exchange	price
consumption:	consume	commodity	price
consumer good	supply and	trade	discrimination
consumer's surplus	demand	futures	price maintenance
consumption	market organization	hedging	rebate
consumption	and pricing:	marginal-cost	
function	auction	pricing	

#### **Biographies**

See Section 10/36 of Part Ten

## Section 533. The Organization of Production and Distribution

- A. The organization of the production of goods
  - 1. Analysis of costs and output in the short run: the production function, substitution, the relationship of marginal cost to market price, marginal product
  - 2. Analysis of costs and output in the long run for profit maximization and cost minimization
- B. The organization of the distribution of goods
  - 1. The relation between the productive process and the incomes derived from it [see also 534.A.2. and A.3.b.]
  - 2. The earnings of land, labour, and capital employed in the productive process
- C. The inputs of the productive process
  - 1. Labour as an input in the productive process
    - a. The labour force: size, quality, and deployment of work force
    - b. Methods of fixing rates of pay
    - c. The structure of pay: differences in the earnings of various occupations
    - d. Changes in the general level of pay
    - e. Employment and unemployment
    - f. The economic and social status of temporary, seasonal migrant labourers
    - g. The organization of unions
    - h. The influence of the union on the supply of labour, wages, and output
    - i. Capital elements in labour: education and training
    - j. The economic role of managers and entrepreneurs
  - 2. Land and raw materials as inputs
  - 3. Energy as an input
  - 4. Capital as an input in the productive process
- D. Institutional arrangements that facilitate production and output
  - 1. The nature and characteristics of money
    - a. The basic functions of money
    - b. The various forms of money
    - c. The quantity theory of money: views of classical and neoclassical monetary theorists, views of Keynesian income theorists
  - 2. The monetary functions of commercial banks and central banks
    - a. Historical development of banking systems
    - b. The structure of modern national banking systems
    - c. Principles and functions of commercial banking systems
    - d. Principles and functions of central banking systems
    - e. The money market: various national and international markets for short-term funds
    - f. International monetary institutions: proposals for future monetary cooperation and an international currency unit [see also 535.B.2.]
    - g. The market for long-term funds: savings institutions, the stock and bond markets, credit unions, mortgage institutions, farm cooperative banks, insurance institutions, mutual funds, pension funds
    - h. The nature and functions of government credit agencies
  - 3. The use of economic statistics in the determination of production and output
    - a. National income statistics

- b. Price statistics: the use and construction of indexes of retail and wholesale prices
- c. Economic forecasting
- 4. The business corporation
- E. Agricultural economics
  - 1. The relationship between agricultural and economic development
  - 2. Efforts to control prices and production in agriculture: government price supports, subsidies, and acreage limitations
  - 3. The behaviour of farm prices and the consequences for the incomes of farmers
  - 4. The effect of technology on world agriculture: the increase in acreage and in crop yields
  - 5. The organization of farming: types of farms
- F. The geographical distribution of resources and markets: international trade
  - 1. Classical and contemporary theories of international and interregional trade
  - 2. National and regional factors influencing trade
    - a. Tariffs, embargoes, and quotas imposed to obtain revenue, protect domestic industry, and secure a favourable balance of payments
    - b. Changes in the conditions of production: costs, labour, and technology
    - c. Price movements
    - d. National domestic taxes and subsidies [see also 534.B.4.]
  - 3. International trade arrangements
- G. The role of government in production and distribution
  - 1. The theory of public expenditures: the role of taxation in the budgetary process and problems of effective tax administration
  - 2. The justification of the government's claim to share in resource use: problems of balancing resource consumption between the public and private sectors
  - 3. The growth in government spending in the 19th and 20th centuries: the rise in military and social welfare expenditures
  - 4. Government operation of basic industries
- H. Methods of business organization
  - 1. The keeping of accounts
    - a. Accounting as an information system
    - b. Various types of company financial statements; e.g., the balance sheet, the income statement
    - c. Principles of accounting measurement: asset and cost measurement
    - d. Cost accounting: formulation of budgetary plans, performance reports, profit analyses
  - 2. The management of business funds
    - a. Short-term and intermediate-term financial operations: planning and control, the cash budget, accounts receivable, inventories
    - b. Long-term financial operations: the design of capital structure and the issuance of securities
    - c. Consolidations and mergers
  - 3. The management of human resources: personnel administration
    - a. Personnel departments: their functions and services
    - b. Manpower planning, recruitment, and placement
    - c. Employee training and development
    - d. Methods of maintaining employee incentive and commitment
  - 4. The administration and control of production
    - a. The flow channels of information and materials
    - b. The control function: maintaining conformity between operations and the plan

- c. Production scheduling
- d. Inventory adjustment
- 5. The distribution of goods
  - a. The functions of a marketing department in a large firm
  - b. Retailing
  - c. Wholesaling
  - d. Marketing goods to industry, marketing farm products
  - e. The application of market research techniques to merchandising
- I. Advertising
- J. The distribution of risk
  - 1. The nature of insurance
  - 2. Fire and marine insurance
  - 3. Casualty and surety insurance: liability insurance, theft insurance, aviation insurance, workmen's compensation or industrial injury insurance, credit insurance, title insurance, suretyship
  - 4. Private life and health insurance
  - 5. Government-sponsored and/or government-administered health insurance [see 534.B.4.c.]
  - 6. Underwriting of risks: rate making
  - 7. Legal aspects of insurance
- K. Consumer credit
  - 1. Types of consumer credit: installment loans and noninstallment, or single-payment, loans
  - 2. Historical development of consumer credit in industrialized countries
    - a. Lending institutions and the question of interest rates
    - b. Costs and hazards of consumer credit
  - 3. Efforts to protect the consumer: the dimensions of consumer credit

MACROPAEDIA: Major articles dealing with the organization of production and distribution

Accounting	Coins and Coinage	Insurance	Social Sciences, The
Banks and	Economic Growth	International Trade	Work and
Banking	and Planning	Marketing and	Employment
Business	Economic Theory	Merchandising	
Organization	Government	Markets	
U	Finance	Money	

MICROPAEDIA: Selected entries of reference information

General	subjects

inerai suejeere			
agricultural	bank	development bank	investment trust
economics:	bond	discount rate	liquidity preference
agricultural	business finance	dividend	marginal efficiency
economics	capital	exchange, bill of	of investment
extensive	central bank	Federal Deposit	money market
agriculture	commercial bank	Insurance	money order
intensive	consumer credit	Corporation	mutual fund
agriculture	credit	Federal Reserve	national bank
kolkhoz	credit, letter of	System	over-the-counter
tenant farming	credit card	finance company	market
banking and	credit union	installment credit	promissory note
financing:	deposit,	interest	revolving credit
acceptance	certificate of	investment	risk
arbitrage		investment bank	saving

savings and loan association savings bank security sinking fund stock stock exchange stock option ticker time deposit *business* organization: account payable account receivable amortization audit bookkeeping cartel chartered company clearinghouse conglomerate corporation credit bureau depletion allowance depreciation industrial espionage inventory limited liability mercantile agency merger monopoly multinational corporation partnership pawnbroking public enterprise public relations public utility trust company zaibatsu economic measurement and forecasting: consumer price index cost of living Dow Jones average econometrics **Biographies** 

advertising and

Byoir, Carl

finance:

Lasker, Albert

**Baring** family

Fugger family

Belmont family

public relations:

Benton, William

Bernays, Edward I.

Bernbach, William

economic forecasting economic indicator income and employment theory input-output analysis Keynesian economics Laspevres index multiplier Paasche index Phillips curve price index wholesale price index insurance: actuary annuity casualty insurance fire insurance friendly society group insurance guaranty and suretyship health insurance insurance liability insurance life insurance marine insurance motor-vehicle insurance markets, marketing, and merchandising: advertising auction bazaar black market chain store department store fair general store mail-order business market research marketing retailing supermarket trade fair trading stamp

Markowitz, Harry M. Mellon, Andrew W. Miller, Merton H. Modigliani, Franco Morgan, John Pierpont

vending machine wholesaling monev: bimetallism bullionism check coin currency dollar drachma ecu Eurodollar fractional reserve system franc gold-exchange standard gold reserve gold standard Gresham's law lira mark monetarism money money supply parity peso pound sterling quantity theory of money ruble rupee seigniorage silver standard tael two-tier gold system yen production: conservation cost cost-benefit analysis marginal-cost pricing mathematical programming pollution price

Rothschild family Sage, Russell industry: Carnegie, Andrew du Pont family Field, Marshall Ford, Henry Getty, J. Paul Guggenheim, Meyer and Daniel Hammer, Armand

price discrimination price maintenance production function production management rent resources, allocation of supply curve work and employment: boycott child labour closed shop collective bargaining coolie enterprise unionism featherbedding fringe benefit general strike guaranteed wage plan industrial union labour labour, hours of labour economics labour law lockout maguiladora mediation migrant labour minimum wage pension personnel administration profit sharing retraining program right-to-work law strike trade union unemployment union shop wage theory vellow-dog contract

> Hughes, Howard Hunt, H.L. Iacocca, Lee Krupp von Bohlen und Halbach, Alfred Krupp von Bohlen und Halbach, Gustav McCormick, Cyrus Hall

Pew, J. Howard; and Pew, Joseph N., Jr. Rockefeller, John D. Schwab, Charles M. Squibb, E.R. Tata family Thyssen family labour: Chavez, Cesar Debs, Eugene V. Feather, Victor Hill, Joe Hoffa, James R. Meany, George Reuther, Walter Stephens, Uriah Smith Woodcock, George merchandising: Marcus, Stanley Penney, J.C. Sears, R.W. Ward, Montgomery See also Section 10/36 of Part Ten

INDEX: See entries under all of the terms above

## Section 534. The Distribution of Income and Wealth

- A. The distribution of wealth and income by categories of the population
  - 1. The nature and measurement of wealth and income
  - 2. Methods of classifying the distribution of wealth and income
    - a. Distribution by factor shares: wages, profits, interest, and rent
    - b. Distribution according to the number of persons in various classes of wealth and income
  - 3. Patterns of wealth and income distribution among various countries and among persons within a country
    - a. Frequency distributions
    - b. Comparisons among wealth and income groups
- B. The routes by which government affects the distribution of wealth and income
  - 1. The national budget as the program of the government's revenues and expenditures
  - 2. The nature and purposes of taxation
    - a. Principles of taxation; e.g., adequacy, adaptability, universality, ability to pay
    - b. The effect of taxes on the distribution of income: progressive and regressive taxes
    - c. The burden of taxation: the problem of shifting and incidence
    - d. Characteristics of national tax systems: comparisons of tax burdens
  - 3. Kinds of taxes
    - a. Taxes on real and personal property
    - b. Sales and excise taxes
    - c. Tariffs and export taxes
    - d. Taxes on personal income and capital gains
    - e. Taxes on corporate income and excess profits
    - f. Death and gift taxes
    - g. Social security and payroll taxes
  - 4. Transfers and subsidies
    - a. Interest payments on the public debt [see B.5., below]
    - b. Subsidies and tax concessions
    - c. Government-sponsored and government-administered welfare programs
  - 5. The financing of budgetary deficits and surpluses
  - 6. Direct controls over the private sector
    - a. Price, wage, and profit control
    - b. Control of restrictive practices: antitrust legislation, regulations imposed upon public utilities, labour legislation imposed on unions [see also 532.C.1.d.]
    - c. Economic mobilization for war
  - 7. Land reform: the redistribution of land tenure

MACROPAEDIA: Major articles dealing with the distribution of income and wealth

Economic Theory	Social Sciences, The
Government Finance	Social Welfare
International Trade	Taxation
Land Reform and Tenure	

MICROPAEDIA: Selected entries of reference information

#### General subjects

government revenue	inheritance tax	taxation	protectionism
and spending:	likin	toll	rationing
ad valorem tax	luxury tax	treasury bill	wealth and income:
aid	poll tax	unemployment	absentee
assessment	progressive tax	insurance	ownership
capital-gains tax	property tax	use tax	allodium
capital levy	public debt	value-added tax	disposable income
death tax	regressive tax	war finance	ejido
depletion	relief	welfare state	enclosure
allowance	revenue bond	workers'	equal-field system
estate tax	sales tax	compensation	investment credit
excess-profits tax	single tax	regulation of	living, standard of
gift tax	social insurance	economic activity:	métayage
government budget	social security	antitrust law	profit
guaranteed	social welfare	fair-trade law	wealth
minimum income	program	laissez-faire	and income,
income tax	subsidy	land reform	distribution of
indexation	tariff	nationalization	

#### Biographies

See Section 10/36 of Part Ten

INDEX: See entries under all of the terms above

#### Section 535. Macroeconomics

- A. National income and employment theory
  - 1. The concern of income and employment theory with changes in aggregate output, employment, and prices
    - a. The classical law of markets contrasted with the Keynesian theory of effective demand
    - b. The classical and Keynesian theories of unemployment
  - 2. The circular flow of income and expenditure: national product as goods and as earnings
  - 3. Analyses of fluctuations in national income
- B. International economic and financial equilibrium and disequilibrium
  - 1. Foreign exchange markets: problems of alternative monetary standards and fixed and fluctuating exchange rates
    - a. Equilibrating movements in the balance of payments and the mechanisms of adjustment: arbitrage, short-term movements, interest rates, and forward exchange
    - b. Disequilibrating movements as a response to currency devaluation: covering, hedging, and speculation
    - c. Balance of payments accounting
    - d. Methods for adjusting to fundamental disequilibrium: fiscal and monetary policy, incomes policy, devaluation and revaluation, and restrictions on capital movements
  - 2. International monetary and financial institutions: the International Monetary Fund, the Group of Ten, and other attempts at international cooperation [see also 533.D.2.f.]

- a. Problems of maintaining adequate gold and currency reserves: gold crises, special drawing rights
- b. The aftermath of major wars: economic and financial crises, economic nationalism

#### C. Business cycles

- 1. The statistical study of cycles: the identification and measurement of business cycles, various cyclical theories
- 2. Theories of the business cycle and business cycle models
- 3. Countercyclical monetary and fiscal policy
- D. Inflation and deflation

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with macroeconomics

Economic Theory Government Finance International Trade Social Sciences, The

MICROPAEDIA: Selected entries of reference information

## General subjects

economic cycles: moneta business cycle moneta	rism International ry policy Development	international payment
depression nationa	l income Association	international trade
inflation accourt	nting International	invisible trade
panic nationa	lization Finance	most-favoured-
Phillips curve open-m	arket Corporation	nation treatment
recession operat	ion International	payments,
fiscal and monetary parity	Monetary Fund	balance of
policy: regional	1 trade:	protectionism
bimetallism develo	opment comparative	quota
bullionism progra	im advantage	reciprocity
deficit financing silver st	andard customs union	tariff
economic stabilizer sterling	area embargo	trade, balance of
fiscal policy two-tier	gold exchange rate	trade, terms of
fractional reserve system	n free trade	trade agreement
system internation	nal free-trade zone	visible trade
gold-exchange monetary	y and General	
standard financial	institutions: Agreement on	
gold standard Interna	tional Tariffs and Trade	e
Gresham's law Bank	for imperial preference	æ
incomes policy Recon	struction international	
interest and D	levelopment exchange	

## Biographies

See Section 10/36 of Part Ten

## Section 536. Economic Growth and Planning

- A. The nature and causes of economic growth
  - 1. Various factors influencing economic growth; *e.g.*, technology, markets, the supply of capital, the labour force, governmental fiscal policies
  - 2. The theory of economic growth and models of growth
    - a. Various models of economic growth: supply-determined models, demand-determined models, and target-instrument models
    - b. The practical functions of growth theory and mathematical growth models
  - 3. Social costs and benefits of economic growth
  - 4. Economic growth in developing countries
    - a. The relationship between economic underdevelopment and low per capita income: the rate of increase of gross domestic product (GDP) as compared to population growth
    - b. Various theories of national economic development and economic retardation
  - 5. Changes in economic efficiency as measured by changes in output per unit of input: economic productivity
- B. Planning for economic growth and stability
  - 1. The nature of economic planning
  - 2. Economic planning in Communist countries
  - 3. Economic planning in developed non-Communist countries
  - 4. Economic planning in developing countries

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with economic growth and planning

Economic Growth and Planning Economic Theory Government Finance International Trade Social Sciences, The

MICROPAEDIA: Selected entries of reference information

#### General subjects

economic	ecc
development	eco

economic growth economic planning Gosplan government budget international trade productivity

#### **Biographies**

See Section 10/36 of Part Ten

## Division IV. Politics and Government

[For Part Five headnote see page 173.]

The outlines in the four sections of Division IV treat general theories of the state and of government; the structure, branches, and offices of government; the functioning of government; and international relations in peace and war.

Section 541. Political Theory 202

- 542. Political Institutions: the Structure, Branches, and Offices of Government 204
- 543. The Functioning of Government: the Dynamics of the Political Process 205
- 544. International Relations: Peace and War 206

## Section 541. Political Theory

- A. The national state as viewed in political theory
  - 1. Properties of statehood: sovereignty
  - 2. The state and the individual
  - 3. The national state in the international community [see also 552.B.]
  - 4. Various conceptions of the bases of legitimacy and authority of government
    - a. Continuing consent of the governed: popular sovereignty
    - b. The social contract
    - c. Venerable sanction: hereditary monarchy, constitutional succession
    - d. Divine right: God as the source of political authority
  - 5. Theories of constitutionalism, modern constitutional governments [see also 551.B.4.]
    - a. Origins and theories of constitutional government
    - b. Features of constitutional government
    - c. Methods of constitutional growth: evolution and substantive replacement
- B. Patterns of political action as viewed in political theory
  - 1. Political action within small groups, villages, or communities
  - 2. Political action by organized parties
  - 3. Political action by special-interest groups
  - 4. The political influence of public opinion
- C. Political concepts, ideologies, and problems
  - 1. The concept of political power
  - 2. The concept of human rights
  - 3. Modern ideologies
    - a. The importance of ideology to a political system or movement: the relationship between ideological and civil politics
    - b. Current political ideologies and tendencies
      - i. Anarchism
      - ii. Communism
      - iii. Conservatism
      - iv. Fascism
      - v. Liberalism
      - vi. Marxism
      - vii. Nationalism
      - viii. Socialism

- 4. Contemporary political issues and problems
  - a. The problem of church and state: its background and contemporary form
  - b. The urban problem: the administration of cities and metropolitan areas [see also 524.B. and 542.A.1.c.]
  - c. The problem of international cooperation and integration [see also 544.A.]
  - d. The issue of centralization of power versus decentralization
  - e. The problem of adapting traditional political forms to changing conditions
  - f. Bureaucracy: the issue of responsive government [see 542.C.]

MACROPAEDIA: Major articles dealing with political theory

Constitution and Constitutional Government	Political Parties and Interest Groups
Human Rights	Social Sciences, The
Ideology	Socio-Economic Doctrines
Marxism, Marx and	and Reform Movements, Modern

MICROPAEDIA: Selected entries of reference information

#### General subjects

charters and	representation	individualism	political
documents:	self-determination	jingoism	organizations:
Constitution of the	social contract	Leninism	commonwealth
United States of	sovereignty	liberalism	interest group
America	political ideologies:	Maoism	political machine
Kapital, Das	anarchism	Marxism	political party
Magna Carta	authoritarianism	National Socialism	popular front
Rights, Bill of	Christian Socialism	nationalism	soviet
Rights of Man and	collectivism	nihilism	status of the
of the Citizen,	communism	pluralism	individual:
Declaration of the	conservatism	radical	alien
Universal	corporatism	revisionism	bourgeoisie
Declaration of	democracy	social democracy	citizenship
Human Rights	dialectical	socialism	freedman
concepts of	materialism	Stalinism	nationality
sovereignty:	Eurocommunism	Syndicalism	naturalization
church and state	fascism	totalitarianism	proletariat
divine right of	Fourierism	Trotskyism	refugee
kings	Guild Socialism	utopia	
established church	Idéologie		
powers,	ideology		
separation of			
Biographies			
Bakunin, Mikhail	Herzen, Aleksandr	Mao Zedong	Tocqueville,
Aleksandrovich	Jefferson, Thomas	Marx, Karl	Alexis de
Bebel, August	Kropotkin, Peter	Plekhanov, Georgy	Trotsky, Leon
Bentley, Arthur F.	Laski, Harold J.	Valentinovich	Webb, Sidney and
Bernstein, Eduard	Lasswell,	Proudhon,	Beatrice
Blanc, Louis	Harold D.	Pierre-Joseph	See also Section
Brecht, Arnold	Lenin, Vladimir	Rousseau,	10/36 of Part Ten
Burke, Edmund	Ilich	Jean-Jacques	
Engels, Friedrich	Lippman, Walter		

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## Section 542. Political Institutions: the Structure, Branches, and Offices of Government

#### A. Political systems

- 1. Levels and structures of various systems of government
  - a. Supranational political systems: empires; leagues, confederations, and commonwealths; regional federations; world congresses [see 544.A.]
  - b. National political systems: the unitary nation-state system, the federal state system
  - c. Urban governments
  - d. Other subnational political systems: tribal community governments, rural community governments, regional community governments [see also 521.A.]
- 2. Types and models of political systems
- B. The branches of government
  - 1. The concentration of legislative and executive functions: parliamentary rule
  - 2. The legislature
  - 3. The executive
  - 4. The judiciary [see also 552.F.1.]
- C. Public administration: the planning, organization, and coordination of governmental bureaucratic operations; civil service

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with political institutions: the structure, branches and offices of government

Cities	Political Systems
Government, The Forms of:	Public Administration
Their Historical Development	Social Sciences, The

MICROPAEDIA: Selected entries of reference information

#### General subjects

administrative units:	dictatorship	municipal	titles and offices:
borough	federalism	government:	chancellor
canton	government	alderman	count
city	military	burgomaster	duke
city-state	government	city manager	emperor
commonwealth	monarchy	mayor	grand duke
commune	oligarchy	pao chia	king
county	two-party system	town meeting	landgrave
hsien	legislative bodies:	public administration:	lord
municipality	Commons,	administrative law	president
shire	House of	bureaucracy	prime minister
township	Congress of the	cabinet	prince
courts and judiciary:	United States	civil service	tsar
See Section 552	Diet	commission	other:
governmental forms	Knesset	ombudsman	assembly
and systems:	Lords, House of	public	local option
absolutism	Parliament	administration	states' rights
aristocracy	Representatives,	regulatory agency	tenure
bicameral system	House of	spoils system	
democracy	Senate		
Biographies			

See Section 541

## Section 543. The Functioning of Government: the Dynamics of the Political Process

- A. The ways in which political power is exercised
  - 1. Internal and external security functions of government
  - 2. The conduct of foreign relations: the function of government in relation to other sovereign states, its own dependencies, and international organizations [see 544]
  - 3. Supervisory functions of government: the resolution of conflicts through mediation and the adjudication of suits [see also 552.F.1. and 3.]
  - 4. Regulatory functions of government: the establishment and active enforcement of standards
  - 5. Law enforcement and the corrective functions of government: sanctions, inducements, and penalties [see also 522.C.6. and D.2.i.]
  - 6. Enterprising functions of government [see 355.D., 424.D., 522.D., 533.G.4., 534.B.4.c. and 6.b., 561, 724.A.2.a., and 732.I.]
- B. Government's role in production and consumption
- C. Methods of changing the form of government
  - 1. Peaceful changes: by electoral process (plebiscite), by constitutional mandate
  - 2. Violent changes: revolution, civil war, conquest by a foreign power

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the functioning of government: the dynamics of the political process

CensorshipPolitical Parties and Interest GroupsCrime and PunishmentPolitical SystemsPoliceSocial Sciences, The

MICROPAEDIA: Selected entries of reference information

#### General subjects

censorship:	electoral process:	law enforcement:	sheriff
banning	absentee voting	capital punishment	torture
censor	Australian ballot	constable	legislative procedure:
censorship	election	criminal	cloture
obscenity	electoral college	investigation	filibuster
pornography	plebiscite	Federal Bureau of	legislative
electoral	plurality system	Investigation	investigative
constituencies:	political	Interpol	powers
gerrymandering	convention	KGB	parliamentary
legislative	political party	police	procedure
apportionment	primary election	posse comitatus	other:
pocket borough	referendum and	punishment	revolution
proportional	initiative	ranger	sabotage
representation	suffrage	Royal Canadian	terrorism
		Mounted Police	

**Biographies** 

See Section 541

## Section 544. International Relations: Peace and War

- A. The politics of international relations
  - 1. Transnational political parties and movements, e.g., Pan-Africanism, Pan-Arabism, Pan-Slavism, European federalism
  - 2. International and nonnational organizations and agencies, *e.g.*, the United Nations, Red Cross and Red Crescent
- B. International treaties and agreements
  - 1. Nonaggression treaties: collective security
  - 2. Treaties terminating wars
  - 3. Arms limitation and disarmament treaties
  - 4. Territorial treaties regarding the sale or transfer of land; treaties granting rights of access and other uses of territory; treaties settling border disputes
  - 5. Economic and commercial treaties [see 533.F.3.]
  - 6. Communications and transportation treaties
  - 7. Cultural exchange treaties
- C. Foreign policy and diplomacy
  - 1. Foreign policy: its aims and compatibility with national and humanitarian interests
  - 2. The use of diplomacy in the negotiation of international disputes; public international conferences, arbitration, and mediation; secret diplomacy
  - 3. Foreign aid; government-sponsored organizations that affect internal relations in nonpolitical spheres, *e.g.*, economic, cultural, technological organizations
- D. The use of intelligence and counterintelligence activities in the preservation of national security and the conduct of international affairs
- E. War among states
  - 1. Degrees and kinds of war: limited war; total war; ethnic or tribal wars; religious wars; national, regional, and worldwide wars; civil wars and insurrections; guerrilla warfare
  - 2. The conduct of war
    - a. Military strategy
    - b. Military tactics
    - c. Military logistics
    - d. Effects of psychological warfare on troops and civilians during wartime
    - e. International law relating to the treatment of persons during wartime [see also 552.B.4.]
    - f. The management of military expenditures
  - 3. Armed forces: military organizations designed for conquest or defense
    - a. Types of soldiers: e.g., professional, volunteer, conscripted, and mercenary
    - b. Historical development of organized military forces
    - c. Establishment and maintenance of various branches of the armed forces
      - i. Ground forces
      - ii. Naval and amphibious forces
      - iii. Air forces
      - iv. Various auxiliary branches: *e.g.*, police, intelligence, logistics, communications, medical, and legal corps
  - 4. The consequences of war

MACROPAEDIA: Major articles dealing with international relations: peace and war

Diplomacy	United Nations
Intelligence and Counterintelligence	War, The Theory and Conduct of
Social Sciences, The	

MICROPAEDIA: Selected entries of reference information

## General subjects

-

aggression and	executive agreement	air force	streltsy
warfare:	foreign service	army	military science:
aggression	Geneva	battalion	deterrence
air warfare	Convention	brevet	just war
amphibious	geopolitics	captain	logistics
warfare	international	cavalry	sea power
annexation	agreement	centurion	strategy
belligerency	international	chasseur	tactics
blitzkrieg	organization	coast guard	sovereignty:
blockade	legate	commandant	colonialism
civil defense	mediation	company	dominion
conquest	neutralism	division	exterritoriality
convoy	power, balance of	dragoon	home rule
economic warfare	treaty	frogman	imperialism
embargo	United Nations	general	influence,
mobilization	intelligence gathering:	general staff	sphere of
sabotage	BND	grenadier	protectorate
safe-conduct	Central Intelligence	guerrilla	sovereignty
terrorism	Agency	hussar	territorial waters
total war	counterespionage	infantry	other:
trench warfare	espionage	legion	American Legion
visit and search	intelligence	lieutenant	concentration
war	KGB	marine	camp
diplomacy and	MI-5	marshal	conscientious
international	MI-6	mercenary	objector
agreements:	Mossad	military police	conscription
alliance	National Security	militia	defense economics
ambassador	Agency	navy	fifth column
armistice	Stasi	phalanx	impressment
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Adenauer Konrad	Fisenhower	Kissinger	Sun Vat-sen
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## Division V. Law

[For Part Five headnote see page 173.]

The outlines in the three sections of Division V treat philosophies and systems of law, and the practice of law; the branches of public law; and the branches of private law.

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  - 552. Branches of Public Law, Substantive and Procedural 210
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- A. Western and non-Western philosophies of law
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    - b. Problems of the philosophy of law, various approaches to a theory of law or jurisprudence
    - c. The relationship between law and morality: the influence of the principles of natural law
    - d. Historical survey of legal theories from the ancient world to the 20th century
  - 2. Non-Western philosophies of law: Islāmic, Chinese, and other non-Western philosophies of law
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    - b. Cuneiform law
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    - d. Greek law
    - e. Hellenistic law
    - f. Roman law
    - g. Germanic law
  - 3. Medieval European law
    - a. Origins and development of medieval European law
    - b. Sources and institutions of medieval constitutional law
    - c. Institutions of private law in medieval Europe
    - d. Development of canon law
  - 4. Modern systems of law
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    - c. Soviet and socialist law

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- D. The profession and practice of law
  - 1. The profession of law
  - 2. Legal ethics
  - 3. Educational requirements for the legal profession

MACROPAEDIA: Major articles dealing with the philosophies and systems of law; the practice of law

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MICROPAEDIA: Selected entries of reference information General subjects

ancient legal codes, principles and institutions: aedile archon Basilica censor civitas clientship comitia concubinage cuneiform law decemviri delator delict dharmashastra dicastery Egyptian law emphyteusis and superficies Greek law Hammurabi. Code of hypothec interdict jus gentium ius Latii Justinian, Code of manus nomos Pandects patria potestas proscription Roman law talion Twelve Tables, Law of the ecclesiastical law: canon law Codex Juris Canonici **Corpus** Juris Canonici decretal dispensation

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sergeanty socage tallage usury wardship and marriage wergild modern legal codes and systems: adat Chinese law German Civil Code Indian law Israeli law Japanese Civil Code Japanese law Napoleonic Code Prussian Civil Code Roman-Dutch law Scottish law Soviet law Swiss Civil Code other: assize bar association civil law common law custom disbarment duel equity feud law law code movable and immovable natural law sumptuary law

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1st Baron	Field, Stephen	Earl of	Brooke
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  - 2. Laws governing public administration: regulation of the organization, powers, duties, and functions of public administrative authorities
- B. Laws governing relations among sovereign states
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  - 2. The attempt to create a supranational legislative and executive authority: the United Nations
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  - 4. The attempt to impose rules of warfare
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- C. Laws governing acts viewed as crimes
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  - 2. Laws governing offenses committed by military forces and other persons subject to military discipline
- D. Laws promoting the public welfare
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- E. Laws governing taxation [see also 534.B.2. and 3.]
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  - 2. Methods and procedures of the law
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    - c. Administrative procedure
  - 3. Methods of adjudicating litigious disputes: the jury system, systems of arbitration

MACROPAEDIA: Major articles dealing with branches of public law, substantive and procedural

Constitutional Law Criminal Law International Law Judicial and Arbitrational Systems Procedural Law Public Administration Taxation United Nations War, The Theory and Conduct of

MICROPAEDIA: Selected entries of reference information

## General subjects

constitutional law: advisory opinion attainder commerce clause constitution due process equal protection ex post facto law interstate commerce judicial review police power powers, delegation of privacy, rights of standing to sue states' rights courts, court officials, and juries: amicus curiae Appeal, Court of assigned counsel attorney general bailiff Chancery, Court of Common Pleas, Court of coroner Conseil d'État Cour de Cassation court court-martial Crown Court family court Federal Constitutional Court grand jury High Court of Justice juge d'instruction jury justice of the peace juvenile court lord chancellor lord chief justice lord high steward lord steward magistrates' court ministère public

petit jury prosecutor public defender Oueen's Bench. Court of rapporteur Supreme Court of Japan Supreme Court of the United States Tax Court United States Claims Court United States Court of Appeals United States Court of Military Appeals United States District Court criminal law: accomplice arson assault and battery bribery child abuse confidence game conspiracy contempt counterfeiting crime, délit, and contravention criminal law delinquency diminished responsibility disorderly conduct disturbing the peace embezzlement entrapment extortion felony and misdemeanour forgery fraud hijacking homicide infamy insanity

kidnapping lynching mayhem mens rea mutiny obscenity pardon perjury poaching rape riot sedition seduction self-defense smuggling solicitation theft treason unlawful assembly usury vagrancy criminal procedure: accused, rights of acquittal arraignment arrest hail clergy, benefit of commutation confession double jeopardy exclusionary rule extenuating circumstances extradition habeas corpus impeachment indictment inquest interrogation outlawry preventive detention probation recognizance search and seizure self-incrimination sentence warrant

general procedural law: adversary procedure appeal assize brief certiorari circumstantial evidence competence and iurisdiction complaint demurrer domicile eauity evidence examination interlocutory decree judgment law report legal fiction legal maxim limitations, statute of mistrial nolle prosequi pleading privileged communication procedural law stare decisis summary jurisdiction venue international law: aggression air law armistice asylum Berne Convention Calvo Doctrine continuous voyage contraband genocide Hague Convention high seas international law laws, conflict of mutiny

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- 2. Methods of acquiring property rights
- 3. Types of property rights classified by types of ownership
- 4. Laws concerning tangible property: the distinctions between real and personal property
- 5. Laws protecting intangible or incorporeal property rights
  - a. Easements and servitudes: profits and mineral rights
  - b. Rights to the exclusive exploitation of literary, dramatic, musical, and other artistic works
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- 6. Laws concerning the temporal division of property rights
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- 7. Laws concerning trusts: ownership for the benefit of others
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  - b. Types of trusts: express, implied, constructive, statutory, and public and private trusts
  - c. Trusts established for the benefit of families, social and philanthropic organizations, and business corporations
  - d. The status of the trust in civil law systems: a comparison of the trust and the fidei commissum
- 8. Law of mortgages
- 9. Laws concerning bankruptcy
- B. Family law
  - 1. Laws governing the institution of the family and the relationships among its members [see also 513.A.2.]
    - a. Laws concerning the marriage contract: civil effects of marriage, the legal status of married women
    - b. Laws concerning children: legitimacy, adoption, and guardianship; parental obligations and rights
    - c. Laws concerning the termination of marriage: divorce and other forms of marital dissolution
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  - 2. Intentional personal injuries: battery and assault, false imprisonment, mental anguish

- 3. Intentional injuries to property: trespass to land and chattels, nuisance, unlawful appropriation and conversion of property
- 4. Injuries resulting from negligent acts
  - a. Injuries resulting from failure to comply with required standards of care: the proximate cause doctrine, effects of contributory negligence and third-party intervention
  - b. The employer's liability and the master-servant relationship
  - c. The manufacturer's liability to the consumer
- 5. Injuries to personality and personal relationships: physical, mental, and economic injuries
  - a. Defamation: libel and slander, other invasions of privacy and interference with familial relationships
  - b. Interference with economic relationships: deceptive practices, unfair competition, infringement
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  - 2. Law of commercial transactions
    - a. Principal elements of commercial law: commercial transactions as contracts
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      - ii. Transfer of negotiable instruments; *e.g.*, promissory notes, checks, drafts or bills of exchange
      - iii. Issuance of documents of title; e.g., bills of lading, warehouse receipts
      - iv. Issuance of letters of credit
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      - iv. State and municipal corporations, quasi-public enterprises and utilities [see also 533.G.4. and 534.B.6.b.]
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    - d. Trends in laws governing mergers and consolidations: employee participation [see also 533.H.2.c.]
    - e. Laws governing the liquidation of insolvent business and nonbusiness estates: the law of bankruptcy
  - 4. Labour law
  - 5. Laws governing commercial transportation
    - a. Laws regulating the carriage of goods
    - b. Maritime law
    - c. Air law
- E. Civil procedural law
  - 1. Elements of civil procedure
    - a. National or territorial jurisdiction and venue of courts: the competence of a court to handle a case

- b. Jurisdiction or venue in private international law: the source and nature of the conflict of laws, foreign judgments and choice of law
- c. Definitions and limitations of parties to a suit: class actions and amicus curiae
- d. Provisional remedies sought prior to trial; *e.g.*, writs of attachment, injunctions, and other restraining orders
- e. The commencement of civil action: summons, pleadings, appearance, pretrial motions, discovery procedures, and pretrial conference
- 2. The conduct of civil trials: the law of evidence
- 3. The rendering of judgment in civil cases: assessment of damages, res judicata, collateral estoppel
- 4. Post-trial appeals and other methods of review

MACROPAEDIA: Major articles dealing with branches of private law, substantive and procedural

Business Law Family Law Inheritance and Succession Procedural Law Property Law Torts Transportation Law

MICROPAEDIA: Selected entries of reference information

#### General subjects

······································			
business law:	attorney,	concubinage	preemption
affreightment	power of	consensual union	prescription
agency	damages	divorce	property
air law	declaratory	guardian	real and personal
antitrust law	judgment	illegitimacy	property
average	escrow	marriage law	remainder
bankruptcy	foreclosure	minor	restrictive covenant
business law	garnishment	morganatic	reversion
caveat emptor	injunction	marriage	riparian right
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trademark	alimony	mortgage	tort
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#### **Division VI.** Education

[For Part Five headnote see page 173.]

The outlines in the two sections of Division VI treat the subjects of education and the world's educational systems.

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#### Section 561. The Aims and Organization of Education

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  - 2. Pedagogy: the art and science of teaching
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  - e. Education of the adult population
  - f. Vocational training: apprenticeship and employee training
- 2. The preparation and performance of teachers
  - a. The education of teachers
  - b. The teaching profession
- 3. The economics of education
- 4. Social aspects of education

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the aims and organization of education

Philosophies of the Branches of Knowledge Teaching

#### MICROPAEDIA: Selected entries of reference information

#### General subjects

adult education: elementary and adult education chautauqua movement folk high school lyceum movement

secondary education: comprehensive school elementary education

eleven-plus graded school grammar school Grundschule Gymnasium

Hauptschule high school

lycée	madrasah	computer-assisted
preparatory school	military, naval, and	instruction
public school	air academies	correspondence
realschule	nation	education
secondary	normal school	Dalton Plan
education	Rhodes scholarship	Initial Teaching
technical education	university	Alphabet
Vorschule	university extension	monitorial system
higher education:	preschool education:	programmed
college	children's house	learning
degree	day nursery	progressive
fraternity and	kindergarten	education
sorority	maternal school	Quincy Plan
Fulbright	preschool education	teaching
scholarship	teaching methods and	teaching machine
higher education	theories:	vocational education:
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  - 2. Administrative functions and procedures
  - 3. Types of educational systems and their characteristics
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    - b. Decentralized systems: systems in which control is exercised at the regional or local level
    - c. Joint national and local systems
    - d. Systems controlled by political parties
    - e. Sectarian systems: national and regional sectarian systems, sectarian education as an alternative system to public education
- B. History of education: philosophies, practices, and institutions
  - 1. Education in ancient cultures
    - a. Ancient Indian education
    - b. Ancient Chinese education
    - c. Ancient Hebrew education
    - d. Ancient Greek education
    - e. Ancient Roman education
  - 2. Education in the Persian, Byzantine, early Russian, and Islāmic civilizations
    - a. Ancient Persian education: influences of Zoroastrian and Sāsānid cultures
    - b. Byzantine education: influences of Greek Christian and humanistic culture; development of primary, secondary, and higher educational institutions
    - c. Kiev and Muscovy: Russian education to the period of the early Romanovs
    - d. Islämic education
  - 3. Education in the European Middle Ages

- a. Christian education to the 8th century: early schools; development of monastic schools in England, Ireland, Italy, and Spain
- b. The cultural revival under Charlemagne and his successors
- c. The 12th-century renaissance: reform of monastic schools and the rise of secular urban schools, development of universities and grammar schools, courtly education
- 4. Education in Asian civilizations from c. 700 to the eve of Western influence
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- 5. European education during the Renaissance and Reformation
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  - d. Education during the Reformation and Counter-Reformation
- 6. European education in the 17th and 18th centuries
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  - c. European influences in New World educational development
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- 8. Education in the 20th century
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  - b. Traditional and experimental educational movements in the West
  - c. The modernization of education in Asia and Africa
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C. International educational activities

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with education around the world

Education, History of Teaching

MICROPAEDIA: Selected entries of reference information

## General subjects

educational systems:	history of education:	juku	other:
coeducation	American	land-grant college	United Nations
correspondence	Missionary	lyceum movement	Educational,
education	Association	mechanics'	Scientific and
educational system	cathedral school	institute	Cultural
liberal arts	charity school	normal school	Organization
minority education monitorial system	chautauqua movement	Quincy Plan	
parochial education	Dalton Plan		
progressive education	hornbook		

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Adler, Mortimer J. Alcuin Ascham, Roger Bagley, William Chandler Basedow, Johann Bernhard Comenius, John Amos Cygnaeus, Uno Dewey, John Eaton, John Froebel, Friedrich Griswold, Alfred Whitney Herbart, Johann Friedrich Hopkins, Johns Hutchins, Robert M. Lancaster, Joseph Mann, Horace Melanchthon, Philipp Montessori, Maria Pestalozzi, Johann Heinrich Richards, Ellen Swallow Sadler, Sir Michael Ernest Stowe, Calvin E. Vittorino da Feltre Vives, Juan Luis

# Introduction to Part Six: The World of Art

by Mark Van Doren

Let us imagine if we can a world entirely without art: without story, image, edifice, or significant sound. If we can, for perhaps it is impossible. Such a world might well be invisible, inaudible, ineffable, and intangible. Even if we could see it, hear it, feel it, we would not know we did, at least as men know things. Without the earliest of all arts, language, we would scarcely know of what we were deprived: the privilege, namely, of expressing our satisfaction or dissatisfaction with what had taken place before our eyes. Without the arts of speaking, listening, thinking, counting, and measuring-without the intellectual artswe could not assess or repossess the experience we had undergone. Without the useful arts we could make nothing, build nothing worthy to contain and shelter our bodies, to be a home wherein our thought might rest. And then without the fine arts-the arts that serve only themselves, that are ends, not means, that justify themselves when they give us nothing but pleasure-we would be shallow and poor of mind, with little or no sense of the world's depth and colour, or of ourselves as creatures for whom the present moment is also past and future. We call these arts fine not because they are better than the others but because they are different, as beauty is different from use-beauty that is its own excuse for being.

None of them is more intimately ours than story. The art of literature is the art of story; there are songs and there are essays long and short, there are histories, there are biographies, there are treatises, sermons, and discussions of everything under the sun, but story is our first and last entertainment-when we are children and when we are too old to care any more what truth is unless it comes in the past tense, with persons reflecting in their lives the peculiar radiance that attends the accidents of time and character. Stories may vary in length from the anecdote to the epic, from the fairy tale to the novel, the imaginary biography, the romance. And they may reach us in many forms: in the theatre, for instance, where they may employ flesh-and-blood actors to convey their meaning or where they may be only flickers of light and shade upon a screen that has no depth save what we give it in our imaginations; where, in other words, they call themselves plays or motion pictures or where, if music also sounds and dancers whirl and pose, they call themselves ballets.

Nature does not tell stories; only artists do, and in the process they work transformations that measure the distance between matter and mind. In nature, so far as we can know it, there are no beginnings and no ends in the sense familiar to both writers and readers of fiction and drama, or for that matter history, which likewise imposes form upon a welter of events. No matter how simple a tale is, or how complex, how few the words in it or how many, it is a human construction that no animal or plant, and of course no stone, would find in the least degree interesting: whereas human beings hold their breaths until an end is reached. Ends are intelligible as the raw materials of life seem not to be; if life itself does not become intelligible through story, it becomes in some mysterious way both beautiful and clear, and for the time being that suffices.

Each of the fine arts flourishes both in large and in little forms. Just as story has a choice between the brevity of folk tales and the elaboration of epics and romances, so statements about life may be as compendious as a proverb-the wisdom of many and the wit of one-or as bulky as the longest book in numberless volumes. So music-the sound of other worlds-reaches our ears either as simple song or as opera and symphony and other complex forms. There are those who say that the song, like the anonymous fable or tale, is more lasting and important than compositions of great complexity can ever be; and they also say that the lyric poem, at least when it is perfect, as in truth it seldom is, has more to tell us, or at least deeper ways of touching us, than the most tremendous tragedy in five acts or the subtlest comic novel in a thousand pages. When a memorable melody attaches itself to a lyric or a ballad, something indeed does come into existence and hang there as if for perpetuity. Music is the most ineffable of all the arts. It has its own language and it listens to itself; we do not so much hear it as overhear it, nor can we speak very sensibly about what we have overheard. Successful music, powerful music, has an effect upon us that many have tried in vain to describe; it takes us out of ourselves, they say, and perhaps they need to say no more than that. Even then they may be speaking only of the music that is native to them; Eastern music sounds like mere noise to untrained Western ears, and Western music has a monotony, say the Chinese, that Europeans of course deny is there. The same thing is true, though in lesser measure, of all the arts. East and West have different eyes as well as ears, and different thoughts.

The arts of drawing and painting, of etching and lithography, of engraving and decorative design, have covered many surfaces-canvas, plaster, parchment, paper-which no longer show where the artist's hand once worked; for the materials of these arts are perishable, as the marble of sculptors has been, as the bronze, as the wood. Much remains, but more does not. Even the cave paintings of prehistoric France and Africa, hailed by modern man when he discovered them as miracles of survival, may not survive the visits that living people rushed to pay them. Ancient Greek music has failed to survive for a further reason: we do not know how it was written or how it sounded; we are told that it had almost magical powers over those who heard it in its time, but that time is gone, along with the time when paintings adorned the walls and columns of Greek temples and houses. Painting has been for centuries the queen of the arts in Europe. Belgium,

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The Netherlands, France, Germany, Spain, Italy, and England—each of them in its turn, and sometimes in more than one turn, has enriched the world with shapes and colours that only genius could have foretold, only passion could have brought into being. And that is but half the story; in China long before, in India, in Persia, in Japan, in Russia, the brushes of painters, sometimes tipped with gold, beautified and glorified the palaces of emperors, the tombs of princes, and the dwelling places of great gods. In Egypt for millennia the order of the world was registered in stone and gold, and the written word itself was pictures.

Sculpture, that once was solid and now is full of spacesor may be-left open by the ingenuity of workers in metal, has changed as architecture has changed. Both arts now cultivate openness; buildings are closed, but the exterior is glass, so that space plays games with itself inside, and the effect is of a lightness that winds might blow away, except of course that the buildings look lean and strong enough to remain just where they are. It has always been true that architects desired the effect of lightness, as all art does, heaviness being a quality that no mind admires; any building weighs tons, but we are not supposed to think of that; rather indeed we are expected to imagine that brick and stone for once have learned to lie lightly on the earth, which they do not seem to press at all. So with Classical sculpture, from Greek days on; the charm of it was its poise, its grace, its management of idea in marble. So too with Classical architecture; the Parthenon is both massive and weightless, like a ship that might sail yet does not. And always in China and Japan there have been those curled and tapered roofs that still look as if at this very instant in time they are taking wing. The open revolution, then, was only a restatement of what had long been understood though some of its secrets were forgotten.

Abstraction in all the arts, for there is no art from which it is absent, is again a restatement of what has always been true, however feebly it was recognized by schools of artists who had lost contact with reality. Great painting, great music, great poetry, great architecture-great landscape architecture too-have never been strangers to abstraction, just as they have never been slaves to an incomplete understanding of what is meant when we say that art is imitation. It is imitation, but of what? Of essences, not accidents; of the truth that is hard to see; of beauty that is basic; of shapes that will not change; of colours that will not fade. And if, say, the great painters of the past, comprehending this, still "copied nature," they did not do so inanely. They did so, on the contrary, with huge effort aimed at the verities that underlie verisimilitude, so that in one sense they were not copying at all; they were extracting essences, they were reducing appearances to the ideas that informed them; they were, in a word, abstracting truth from vessels that contained it. But they did not say they were doing this. They said they were copying nature. And when later on they were taken at their word by painters with inadequate aspiration, the result was woeful insipidity, was mediocrity and flatness. The heroic remedy was warfare against representation as such, was a shortcut to abstraction that could have its weakness too, was a loss, in all but the great revolutionaries, of the contact with Earth which no art ever can be without. Abstract painting at its best—and the worst does not matter—imitates nature at nature's best; is "like" nature after all, for nature is brilliant and strong, and abstract painting convinces us of this even though it dispenses with the particulars with which we used to be fascinated and of which we were quite properly fond.

A world entirely without art would be worse than invisible, inaudible, ineffable, and intangible. It would be a world without temporal dimension, it would be a world that human minds could not remember. Human memory is unique in its capacity not only to recall but also to utilize the past, and to apply it; and better still, to re-create it so that it becomes a part of the present moment, which is more like eternity than anything else we shall ever experience. Human memory is nothing less than the origin of human art.

"The Greeks fabled not unwisely," said Sir Thomas Browne, "in making Memory the mother of the Muses." The memory of man is indeed a wonderful thing, and his richest possession. Not only is it the source of all our arts, it is their record too, stored in the mind of the beholder, the listener. Plato even asked us to conceive "in the mind of man a block of wax, the gift of Memory, and when we wish to remember anything which we have seen, or heard, or thought in our own minds, we hold the wax to the perceptions and thoughts, and in that material receive the impression of them as from the seal of a ring; and we remember and know what is imprinted as long as the image lasts." An artist whose poems or pictures or musical ideas have great power is certainly, we feel, the possessor of a memory that is always at his command, bringing to him at any moment whatever detail he needs, and reminding him too of the knowledge he has, and never forgets, of the way the world is put together, so that he does not misrepresent things as they are. The human race itself can be said to be such an artist, for it has its myths which it keeps alive, its stories that are "so true," someone has said, "that they couldn't have happened." There is such a thing as folk memory, the mother perhaps of all our thoughts and feelings, and the guardian of such wisdom as we have.

A story that cannot be remembered, a song that fades out of the mind, a hero whose name escapes us, a sentence we thought we would never forget but somehow do—such works of art must be defective at the core. But there are others that we could not forget if we tried, and it is those we live with in the company of friends who remember them too. Perhaps the final justification of art is the two-fold pleasure it gives: the pleasure of remembering great and beautiful things that we cannot lose, and the pleasure of sharing them with others who possess them in the same fashion.

There is a limited number of such things, of these greatest of human works of art; by definition there can be no superfluous masterpieces. The ones we have are numerous after all, and no single person can claim to have done justice to every one of them, or can claim to know what further ones are still unborn, Mnemosyne, goddess of Memory and Mother of the Muses, will have the deciding vote as to which ones, now or in the future, will survive the ravages of time.
# Part Six. Art

The outlines in the twelve sections of Part Six are concerned with mankind's creation, experience, and evaluation of works made primarily for aesthetic enjoyment and contemplation. The arts of making things primarily for practical use are treated in Part Seven, on technology.

Division I. Art in General 221 II. The Particular Arts 225

# Division I. Art in General

The outlines in the three sections of Division I treat the theory and classification of the arts; the experience and criticism of works of art; and the nonaesthetic contexts of art.

Section 611. Theory and Classification of the Arts 221

- 612. Experience and Criticism of Works of Art; the Nonaesthetic Contexts of Art 222
  - 613. Characteristics of the Arts in Particular Cultures 224

# Section 611. Theory and Classification of the Arts

- A. The philosophy of art
  - 1. Diverse conceptions of the scope of art
  - 2. Diverse theories concerning the nature, functions, and effects of art: mimetic theories, expressive theories, formalist theories, pragmatic theories
  - 3. The making of works of art: the creative process

#### B. Classification of the arts

- 1. Major distinctions among the kinds of art
  - a. By reference to the intention of the maker or the recipient of the work of art: useful art, fine art, arts that are both useful and fine
  - b. By reference to the manipulation of physical matter: the production of artistic works that are physical objects
  - c. By reference to performers as interpreters or creators of works of art
  - d. By reference to the use of notational devices; e.g., literature, music, dance
- 2. Other distinctions among the kinds of art; e.g., space and time arts, primary and auxiliary arts
- 3. The characterization of works of art by reference to the cultural or social circumstances of their production or the extent and character of their audience: the primitive, folk, and popular arts
- 4. Style in the arts
  - a. The nature of style
  - b. The varieties of style; e.g., personal, school, ethnic, regional, and period styles
  - c. The dynamics of style: the historical development, diffusion, change, and duration of style in the arts

MACROPAEDIA: Major article dealing with the theory and classification of the arts

Philosophies of the Branches of Knowledge

MICROPAEDIA: Selected entries of reference information

art	mimesis		
fine art	popular art		
folk art			

INDEX: See entries under all of the terms above

# Section 612. Experience and Criticism of Works of Art; the Nonaesthetic Context of Art

- A. The aesthetic experience: the apprehension, interpretation, and appreciation of works of art
  - 1. Influences affecting the apprehension of works of art: individual temperament, social and cultural conditioning, acquired attitudes and values
  - 2. The interpretation of works of art
    - a. Meaning in art
    - b. Symbol and myth in the arts [see Division II, below]
  - 3. The appreciation of works of art
  - 4. Special problems of appreciation and apprehension
    - a. In the sphere of literature [see 621]
    - b. In the sphere of the theatrical arts [see 622, 623, and 625]
    - c. In the sphere of music [see 624]
    - d. In the sphere of the visual arts [see 626, 627, 628, and 629]
- B. The criticism of works of art
  - 1. Diverse criteria of evaluation: aesthetic criteria; criteria related to the union of form and content; criteria related to meaning; criteria related to social, moral, or religious significance; criteria related to technique; criteria related to the intention of the artist
  - 2. The practice of criticism
    - a. The functions of the critic in relation to the artist, to his work, and to its public reception
    - b. Critical methods: analytical, interpretative, and descriptive types of criticism
    - c. Critical styles: journalistic criticism, scholarly criticism, annotative and referential criticism
    - d. Critical approaches to the arts
    - e. Factors affecting the excellence of criticism
- C. Scholarship in the arts
  - 1. Resources and methods of scholarship in the field of the arts
  - 2. The relation of scholarship in the arts to other humanistic disciplines; *e.g.*, to linguistic studies, to history, to archaeology
- D. The interaction of the arts with social, economic, and cultural institutions
  - 1. Social uses of art [see 521.D.5.]

- 2. Social control of art: censorship and related forms of regulation
- 3. The arts and religion [see 811.G.1.]
- 4. Technology, science, and the arts [see 711.B.4.]
- 5. The arts in education: aesthetic education
- E. The economics of art
  - 1. Factors affecting the economic value of a work of art
  - 2. Systems of financing artistic activities
  - 3. The art market
  - 4. Remuneration of artists and protection of their rights
  - 5. Fraudulence in the arts: forgery, piracy, plagiarism
- F. The training and work of the artist
  - 1. The preparation of the artist: methods of training
  - 2. Art as a vocation: conditions of work in the arts
  - 3. Professionalism and amateurism in the arts
- G. The preservation and dissemination of works of art
  - 1. The role of institutions: libraries and archives; museums and galleries; producing associations the preservation of works of art by performance
  - 2. The role of writing and notation
  - 3. The role of industry and commerce
  - 4. The role of mechanical and electronic media
  - 5. The role of oral tradition
  - 6. The role of imitative tradition
  - 7. The role of fairs, festivals, exhibitions, expositions, and related phenomena

MACROPAEDIA: Major articles dealing with the experience and criticism of works of art; the nonaesthetic contexts of art

Arts, Practice and Profession of the Libraries Museums

MICROPAEDIA: Selected entries of reference information

# General subjects

Actors Studio, The	forgery	Stanislavsky	WPA Federal Art
antique	library	method	Project
Armory Show	little magazine	Treasury Relief Art	
art collection	maniera	Project	
art conservation	Mbari Mbayo Club	Treasury Section	
art criticism	museum	of Painting and	
art history	PEN, International	Sculpture	
Beaux-Arts.	pinacotheca	Universal	
École des	Royal Academy of	Copyright	
Berne Convention	Dramatic Art	Convention	
censorship	Salon	World Intellectual	
copyright	Salon des	Property	
Degenerate Art	Indépendants	Organization	

#### **Biographies**

Baumgarten, Alexander Gottlieb Cotton, Sir Robert Bruce, 1st Baronet Fenollosa, Ernest F. Fry, Roger Read, Sir Herbert Rossetti, William

Ruskin, John Santayana, George Thou, Jacques-Auguste de Vasari, Giorgio Winckelmann, Johann

INDEX: See entries under all of the terms above

# Section 613. Characteristics of the Arts in Particular Cultures

- A. Arts of the Stone Age peoples
- B. Arts of the Western tradition
  - 1. In antiquity: the arts of ancient Egypt and the ancient Middle East, ancient Greek and Hellenistic arts, ancient Roman and Early Christian arts
  - 2. Arts of the Middle Ages
  - 3. Arts from the Renaissance to the present in Europe and America
- C. Arts of Asian peoples
  - 1. In East Asia: China, Japan, Korea
  - 2. In Central Asia: Turkey, Afghanistan, Turkistan, Mongolia, and Siberia; Tibet and other Himalayan countries; the arts of the nomadic peoples
  - 3. In South Asia: India, Sri Lanka, Kashmir, Pakistan, Bangladesh
  - 4. In Southeast Asia: Burma, Cambodia, Indonesia, Malaysia, Thailand, Vietnam, the Philippines
- D. Arts of the Middle East and of the Islāmic peoples
  - 1. Arts of the Jewish peoples
  - 2. Arts of North Africa and of the Arab world
- E. Arts of the African peoples
  - 1. Arts of Sudanic cultures
  - 2. Arts of Central African cultures
  - 3. Arts of East African cultures
  - 4. Arts of Southern African cultures
  - 5. Arts of West African cultures
- F. Arts of the Oceanian peoples
  - 1. Arts of Melanesia
  - 2. Arts of Micronesia
  - 3. Arts of Polynesia
  - 4. Arts of the Australian Aboriginal peoples
- G. Arts of the American Indian peoples
  - 1. Arts of the Eskimo and North American Indian peoples
  - 2. Arts of Meso-American peoples
  - 3. Arts of South American peoples
- H. Primitive, folk, and popular arts [see also 611.B.3.]

MACROPAEDIA: Major articles dealing with the characteristics of the arts in particular cultures; historical development of the arts

African Arts	Egyptian Arts	Middle Eastern	Prehistoric Peoples
American Peoples,	and Architecture,	Arts and	and Cultures
Arts of Native	Ancient	Architecture,	South Asian Arts
Central Asian	Folk Arts	Ancient	Southeast
Arts	Islāmic Arts	Oceanic Arts	Asian Arts
East Asian Arts			

MICROPAEDIA: Selected entries of reference information

arts in particular	Anglo-Saxon art	Expressionism	Novembergruppe
cultures:	Art Deco	formalism	Op art
African arts	Art Nouveau	Futurism	Ottonian art
Central Asian arts	Baroque period	Georgian style	Pop art
Chinese art	Biedermeier style	Gothic art	Queen Anne style
East Asian arts	Bohemian school	Henry IV style	realism
Egyptian art	Byzantine art	Impressionism	Régence style
Islāmic arts	Carolingian art	Jacobean age	Regency style
Korean art	Classicism and	Louis XIII style	Renaissance
Oceanic arts	Neoclassicism	Louis XIV style	Rococo style
Paleolithic Period	Constructivism	Louis XV style	Romanesque art
South Asian arts	Coptic art	Louis XVI style	Romanticism
Southeast Asian	Cubism	Mannerism	Stijl, De
arts	Dada	minimalism	Stuart style
historical periods,	Early Christian art	modern art	Surrealism
styles, schools, and	Early Netherlandish art	Mozarabic art	Symbolist
Aestheticism	Empire style	naturalism	Visigothic art

INDEX: See entries under all of the terms above

#### Division II.

[For Part Six headnote see page 221.]

The Particular Arts

Division I deals generally with the theory and classification of the arts, the experience and criticism of works of art, and the interaction of the arts with social, cultural, and economic institutions.

The outlines in the nine sections of Division II treat the particular arts: literature; theatre; motion pictures; music; dance; architecture, garden and landscape design, and urban design; sculpture; drawing, painting, printmaking, and photography; and the arts of decoration and functional design.

Section 621. Literature 225

- 622. Theatre 234
- 623. Motion Pictures 236
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- 626. Architecture, Garden and Landscape Design, and Urban Design 246
- 627. Sculpture 249
- 628. Drawing, Painting, Printmaking, and Photography 250
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# Section 621. Literature

- A. The art of literature
  - 1. The nature and scope of literature: the distinction between literature and other forms of writing
  - 2. Literary composition
  - 3. The contents of literature: its subject matter

- 4. Literature and its audience
- 5. The integration of literature with other arts
- 6. Literary genres: diverse systems of classifying literary works
- 7. Writings on literature: theoretical treatises, scholarly research and writing, critical writing
- B. Techniques of literature
  - 1. Rhetoric: the art of discourse
    - a. Elements of rhetoric: figures of speech; e.g., metaphor, simile, personification, hyperbole, allegory, parallelism
    - b. The relation of rhetoric to grammar and syntax, to literary diction and style, and to prosody
  - 2. Prosody: the manipulation of the elements of language that contribute to acoustic and rhythmic effects in literature
    - a. Elements of prosody
      - i. Rhythmic elements; e.g., accent, beat, cadence, the foot, the stanza, metre
      - ii. Acoustic elements; e.g., rhyme, assonance, alliteration
    - b. Prosodic style: the uses of prosody in verse, prose, drama, and oratory
- C. Kinds of literary composition
  - 1. Poetry: distinctions between verse and prose
  - 2. Narrative imaginative literature
    - a. Epic; e.g., the "literary" epic, the beast epic, the mock epic, the romantic epic
    - b. Saga: the king's sagas, legendary sagas, the sagas of Icelanders, and related forms
    - c. Romance: the romance of love, chivalry, and adventure; *e.g.*, Arthurian romance, the pastoral romance, the Gothic romance, the historical romance
    - d. The novel and the tale
    - e. The short story and its antecedents
    - f. Fable, parable, allegory, and related forms
    - g. Ballad, lay, idyll
  - 3. Dramatic or theatrical literature
    - a. Tragedy
    - b. Comedy
    - c. Tragicomedy
    - d. Farce and related forms
    - e. Melodrama
    - f. Religious drama and ritual
    - g. Radio, motion-picture, and television scripts
  - 4. Lyric literature
    - a. Music-based lyrics; e.g., ballad, hymn, madrigal
    - b. Language-based lyrics; e.g., sonnet, ode, elegy, pastoral
  - 5. Satiric literature: satire, parody, lampoon
  - 6. Nonfictional prose literature
    - a. The essay
    - b. History as literature
    - c. Criticism as literature
    - d. Doctrinal and religious literature
    - e. Philosophical literature
    - f. Political literature
    - g. Polemical literature

- h. Scientific literature
- i. Reportage: journalism
- j. Aphorism, epigram, adage, maxim, and related short forms
- k. The dialogue: philosophical and literary dialogues
- l. Travel literature
- m. Epistolary literature: the letter as literature
- n. The oration, the speech, and related forms
- o. Biographical and autobiographical literature; e.g., character sketch, critical biography, popular biography, interpretive biography, letter, diary, journal, memoir
- 7. Children's literature
- 8. Primitive, folk, and popular literature [see also 613]

# D. The history of literature

- 1. Literature of Western peoples
- 2. Literatures of non-Western peoples [see also 613]

# Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles and biographies dealing with literature

#### General subjects

African Arts	French Literature	Latin Literature	South Asian Arts
American	German Literature	Literature, The	Southeast Asian
Literature	Greek Literature	Art of	Arts
American Peoples,	Hebrew Literature	Literature, The	Spanish Literature
Arts of Native	Homeric	History of	Yiddish Literature
Australia and	Epics, The	Western	
New Zealand,	Hungarian	Oceanic Arts	
Literatures of	Literature	Polish Literature	
Belgian Literature	Islāmic Arts	Portuguese	
Canadian Literature	Italian Literature	Literature	
Celtic Literature	Japanese Literature	Rhetoric	
Central Asian Arts	Korean Literature	Russian Literature	
Chinese Literature	Latin-American	Scandinavian	
Dutch Literature	Literature	Literature	
English Literature			
Biographies			
Cervantes	Dostoyevsky	Johnson, Samuel	Shakespeare
Chaucer	Goethe	Milton	Tolstoy
Dante	Greek Dramatists,	Molière	Virgil
Dickens	The Classical	Montaigne	Voltaire
MICROPAEDIA: Selected entrie	s of reference information	1	
General subjects			

# G

dramatic literature:	comedy	humours,	revenge tragedy
Absurd, Theatre	dialogue	comedy of	Senecan tragedy
of the	domestic tragedy	intrigue,	sentimental
anagnorisis	dramatic literature	comedy of	comedy
catharsis	fabula Atellana	manners,	slapstick
chronicle play	fabula palliata	comedy of	sotie
climax	farce	melodrama	tragedy
cloak and sword	hamartia	New Comedy	tragicomedy
drama	Hocktide play	Old Comedy	unities
comédie	hubris	prologue and	Wakefield plays
larmoyante		epilogue	

well-made play York plays elements of prosody; alliteration anapest assonance caesura cynghanedd dactvl euphony and cacophony foot hexameter iamb metre pentameter prosody refrain rhyme rhythm spondee sprung rhythm stanza trochee elements of rhetoric: conceit hyperbole metaphor metonymy parallelism personification rhetoric simile epics: beast epic bylina chanson de geste cycle epic epvllion Heike monogatari Heldenlieder heroic poetry mock-epic skaldic poetry fable, parable, allegory, and related forms: allegory bestiary dream allegory fable parable proverb riddle folk literature and folklore: ballad revival dilemma tale

fairy tale folklore gaucho literature good-night legend praise song Raven cycle romancero tall tale trickster tale literary criticism: affective fallacy Cambridge critics Chicago critic Formalism Freudian criticism literary criticism New Criticism New Humanism organic unity sublime literary devices: anachronism anaphora consciousness. stream of flashback in medias res interior monologue irony malapropism palindrome paradox pathetic fallacy plot literary groups and schools: Acmeist arcádia Arzamas society Black Mountain poet Bloomsbury group Cavalier poet cénacle Confederation group crepuscolarismo Decadent fleshly school of poetry Göttinger Hain graveyard school Gruppe 47 Hartford wit Heidelberg Romantics Imagist

Jena Romanticism Kailvard school Knickerbocker school Lost Generation makar Metaphysical poet Montreal group neōteros 1927. Generation of '98. Generation of Northeastern school Parnassian philosophe rhétoriqueur Sicilian school University wit lvric poetry: alcaic alexandrine alliterative verse alphabet rhyme blank verse bouts-rimés Breton lay broadside ballad clerihew couplet cvwvdd dithyramb doggerel dramatic monologue eclogue elegy epigram epinicion epithalamium fabliau Fescennine verse flyting free verse fu ghazal gnomic poetry haiku Horatian ode idyll iōruri Klephtic ballad lauda light verse limerick lyric macaronic muwashshah nonsense verse

nursery rhyme ode ottava rima pattern poetry Pindaric ode poetry praise song aasida rhyme royal sestina sonnet Spenserian stanza terza rima triolet vers de société vers libre villanelle waka vüeh-fu movements and periods: American Renaissance Arabic literary renaissance Augustan Age Beat movement Ciceronian period Creacionismo Decadentism Elizabethan literature Gaelic revival Gilded Age Golden Age Harlem Renaissance Hermeticism Imaginism Irish literary renaissance Midwestern Regionalism moderne gennembrud, det Modernismo Negritude Restoration literature scapigliatura Socialist Realism Sturm und Drang Transcendentalism Ultraism Unanimism verismo Young Germany Young Poland movement

national literatures: African arts Albanian literature American literature Anglo-Norman literature Arabic literature Armenian literature Australian literature Belgian literature Breton literature Bulgarian literature Burmese literature Canadian literature Caribbean literature Celtic literature Central Asian arts Chinese literature Coptic literature Croatian literature Czech literature Danish literature Dutch literature **English** literature Estonian literature Ethiopian literature Finnish literature French literature Frisian literature Georgian literature German literature Greek literature Hebrew literature Hungarian literature Icelandic literature Indian literature Indonesian literatures Irish literature Italian literature Japanese literature Korean literature Latin literature Latin-American literature Latvian literature Lithuanian literature Macedonian literature Mongolian literature

New Zealand literature Norwegian literature Oceanic arts Polish literature Portuguese literature Provençal literature Romanian literature Russian literature Sanskrit literature Scandinavian literature Scottish literature Serbian literature Slovak literature Slovene literature South African literature Southeast Asian arts Spanish literature Swahili literature Swedish literature Swiss literature Svriac literature Thai literature Tibetan literature Turkish literature Ukrainian literature Urdu literature Walloon literature Welsh literature Yiddish literature popular literature: best-seller detective story hard-boiled fiction mystery story science fiction prose forms: antinovel apology Bildungsroman biography confession diary epistolary novel essay frame story Gothic novel historical novel I novel Indianista novel Künstlerroman

literary sketch manners, novel of magāmah memoir nonfiction novel novel novella picaresque novel psychological novel roman à clef roman-fleuve sentimental novel short story romances: Alexander romance Arthurian legend Hellenistic romance rímur romance sagas and related heroic prose: Edda Fenian cycle fornaldar saga heroic prose Icelanders' sagas Ossianic ballads saga scél Ulster cycle satire: burlesque fool's literature parody pasquinade satire travesty themes and types: ancients and moderns archetype Beatrice Bluebeard courtly love Deirdre Dietrich von Bern Don Juan Excalibur Faust Galahad Grail Griselda Guinevere Hagen hero Isengrim Lancelot

Lear Lohengrin Mephistopheles Merlin Morgan le Fay noble savage Perceval poète maudit Round Table superfluous man Tristan and Isolde type name other: bard black humour Bluestocking cancioneiro cankam literature chapbook character writer children's literature classical literature conceptismo costumbrismo culteranismo dolce stil nuovo emblem book fellow traveller fili frontier humour goliard Hindi literature jongleur iournalism literature local colour Marinism pastoral literature poet laureate preciosity rāwī saudade Spielmann troubadour trouvère Weltschmerz vellow journalism Zhdanovshchina

**Biographies** 

African writers: Achebe, Chinua Beti. Mongo Bosman, Herman Charles Boudiedra, Rachid Clark, John Pepper Cordeiro da Matta. Joaquim Dias Dib. Mohammed Ekwensi, Cyprian Ferreira, Manuel Kateb Yacine Kezilahabi. Euphrase Khatibi. Abdelkebir Lave, Camara Mutswairo. Solomon M. Ngugi wa Thiong'o Okara. Gabriel Ousmane Semhene Ovono, Ferdinand Léopold Rabéarivelo, Jean-Joseph Soromenho. Fernando Monteiro de Castro Soyinka, Wole Tutuola, Amos American writers: Adams, Henry Alger, Horatio Anderson. Sherwood Baldwin, James Bellow, Saul Berryman, John Bradstreet, Anne Bryant, William Cullen Burroughs, William Caldwell, Erskine Capote, Truman Cather, Willa Chapman. John Jay Cooper, James Fenimore Crane, Hart Crane, Stephen Dennie, Joseph Dickinson, Emily Donnelly, Ignatius

Dos Passos, John Dreiser Theodore Emerson, Ralph Waldo Faulkner, William Fitzgerald, F. Scott Frost Robert Green, Julien Harte Bret Hawthorne. Nathaniel Hearn, Lafcadio Hecht. Ben Hemingway. Ernest Henry, O. Hughes, Langston Inge, William Irving, Washington James, Henry Lanier, Sidney Lewis, Sinclair Locke, Alain London, Jack Longfellow, Henry Wadsworth Lowell, Robert, Jr. Mailer, Norman Melville. Herman Miller, Arthur Miller, Henry Nabokov, Vladimir Nevins, Allan O'Neill, Eugene Parker, Dorothy Poe, Edgar Allan Pound, Ezra Salinger, J.D. Sandburg, Carl Saroyan, William Shepard, Sam Sherwood. Robert E. Simms, William Gilmore Sinclair, Upton Stein. Gertrude Steinbeck, John Stevens. Wallace Stowe, Harriet Beecher Thoreau, Henry David Thurber, James Twain, Mark Van Doren, Mark Vidal, Gore Warren, Robert Penn

Whitman, Walt Whittier, John Greenleaf Wiesel, Elie Williams. Tennessee Wolfe. Thomas Wright, Richard Australian writers: Boldrewood, Rolf Clarke, Marcus FitzGerald, R.D. Lawson, Henry McAuley, James Phillip Paterson, A.B. Richardson, Henry Handel Stewart, Douglas White, Patrick **British** and Irish writers Addison, Joseph Akenside, Mark Arden, John Auden, W.H. Austen, Jane Beaumont, Francis Behan, Brendan Belloc. Hilaire Bennett, Arnold Blake, William Boswell, James Brontë, Charlotte Brontë, Emily Browne, Sir Thomas Browning. Elizabeth Barrett Browning, Robert Bunyan, John Burns, Robert Butler, Samuel Byron, George Gordon Byron, 6th Baron Carlyle, Thomas Carroll, Lewis Cary, Joyce Chatterton, Thomas Chaucer, Geoffrey Chesterton, G.K. Coleridge, Samuel Taylor Collins, William Congreve, William Conrad, Joseph

Cowper, William Crabbe, George Crichton James De Ouincey. Thomas Defoe. Daniel Dickens, Charles Donne. John Douglas, Gawin Dowson, Ernest Drvden, John Dunbar, William Durrell, Lawrence Edgeworth, Maria Eliot, George Eliot. T.S. Evelyn, John Farquhar, George Fielding, Henry Fletcher, John Forster, E.M. Foxe, John Galsworthy, John Gascoigne, George Gaskell, Elizabeth Cleghorn Gay, John Glvn. Elinor Graves, Robert Greene. Graham Greene. Robert Hardy, Thomas Hazlitt, William Herrick, Robert Heywood, John Hopkins, Gerard Manley Housman, A.E. Hudson, W.H. Hunt, Leigh Isherwood, Christopher Johnson, Samuel Jonson, Ben Joyce, James Keats, John Kipling, Rudyard Kvd, Thomas Lawrence, D.H. Lewis, Wyndham Lyndsay, Sir David Lytton, Edward George Earle Bulwer-Lytton, 1st Baron Mandeville, Sir John

Marlowe, Christopher Meredith, George Middleton. Thomas Milton, John Moore, George O'Casey, Sean Orwell, George Otway, Thomas Pinter, Harold Pope, Alexander Ramsay, Allan Richardson. Samuel Rossetti, Dante Gabriel Ruskin, John Scott, Sir Walter, 1st Baronet Shakespeare. William Shaw, George Bernard Shelley, Percy Bysshe Sheridan, Richard Brinslev Sidney, Sir Philip Skelton, John Smollett, Tobias Southey, Robert Spenser, Edmund Steele, Sir Richard Sterne, Laurence Stevenson, Robert Louis Swift, Jonathan Swinburne. Algernon Charles Synge, John Millington Tennyson, Alfred Tennyson, 1st Baron Thackeray. William Makepeace Thomas, Dylan Trollope, Anthony Udall, Nicholas Walpole, Horace, 4th earl of Orford Waugh, Evelyn Wells, H.G. Wilde, Oscar Wither, George Woolf, Virginia

Wordsworth, William Yeats, William Butler Canadian writers: Callaghan, Morley Crémazie, Octave Davies. Robertson de la Roche, Mazo Grove. Frederick Philip Hémon, Louis Johnson, Pauline Lampman. Archibald Layton, Irving Leacock, Stephen MacLennan, Hugh Moodie, Susanna Strickland Pratt. E.J. Richardson, John Richler, Mordecai Roberts, Sir Charles George Douglas Scott, Duncan Campbell Scott. F.R. Service, Robert W. Smith, A.J.M. Early Greek and Roman writers: Aeschylus Aristophanes **Bacchylides** Catullus, Gaius Valerius Cicero, Marcus Tullius Ennius, Quintus Euripides Hesiod Homer Horace Juvenal Lucan Lucian Lucretius Martial Menander Ovid Petronius Arbiter, Gaius Pindar Plautus Pliny the Elder Pliny the Younger Pollio, Gaius Asinius

Propertius, Sextus Sappho Seneca, Lucius Annaeus Sophocles Statius Suetonius Tacitus Terence Theocritus Tibullus, Albius Varro, Marcus Terentius Virgil Xenophon East Asian writers: Akutagawa Rvūnosuke Bashõ Buson Cheng Chen-to Chikamatsu Monzaemon Chou Tso-jen Ding Ling Fujiwara Sadaie Futabatei Shimei Ihara Saikaku Kakinomoto Hitomaro Kawabata Yasunari Kuo Mo-io Lao She Li Po Lu Hsün Mao Dun Mishima Yukio Mori Ōgai Murasaki Shikibu Natsume Söseki Ōe Kenzaburō Ou-yang Hsiu Shiga Naoya Tu Fu Wang An-shih Zeami French writers: Adamov, Arthur Anouilh, Jean Apollinaire, Guillaume Artaud, Antonin Balzac, Honoré de Baudelaire. Charles Beauvoir. Simone de Beckett, Samuel Camus, Albert

Chateaubriand. Francois-Auguste-René. Viscount de Chenier, André de Chrétien de Troyes Claudel, Paul Cocteau. Jean Colette Constant. Benjamin Corneille, Pierre Diderot, Denis Dumas, Alexandre Duras, Marguerite Flaubert, Gustave France, Anatole Gautier, Théophile Genet, Jean Gide, André Giraudoux, Jean Hugo, Victor Huysmans, Joris-Karl Ionesco, Eugène Jarry, Alfred La Fontaine, Jean de Laforgue, Jules Lamartine. Alphonse de Machaut. Guillaume de Mallarmé, Stéphane Marivaux, Pierre Marot, Clément Maupassant, Guy de Mauriac, François Mérimée, Prosper Mistral, Frederic Molière Nerval. Gérard de Proust, Marcel Rabelais, Francois Racine, Jean Rimbaud, Arthur Sade, Marquis de Sand, George Sartre, Jean-Paul Scarron, Paul Staël. Germaine de Stendhal Valéry, Paul Verlaine, Paul Vigny, Alfred-Victor, comte de Villon, François

Voltaire Zola. Émile German writers: Alexis, Willibald Arndt, Ernst Moritz Arnim. Bettina von Böll Heinrich Brecht, Bertolt Broch, Hermann Büchner, Georg Chamisso. Adelbert von Dürrenmatt. Friedrich Fontane, Theodor Freiligrath. Ferdinand Frevtag, Gustav Frisch, Max George, Stefan Goethe, Johann Wolfgang von Görres, Joseph von Gottfried von Strassburg Grass, Günter Grillparzer, Franz Grimm, Jacob Ludwig Carl and Wilhelm Carl Grimmelshausen. Hans Jacob Christoph von Haller. Albrecht von Hartmann von Aue Hauptmann. Gerhart Hebbel. Friedrich Heine, Heinrich Herder, Johann Gottfried von Hesse, Hermann Hoffmann, E.T.A. Hoffmannsthal, Hugo von Hölderlin. Friedrich Immermann, Karl Leberecht Jean Paul Johnson, Uwe Kafka, Franz Kaiser, Georg Kaschnitz, Marie Luise Keller, Gottfried

Kleist. Heinrich von Mann, Thomas Meyer, Conrad Ferdinand Morgenstern, Christian Mörike, Eduard Friedrich Novalis Opitz, Martin Rilke, Rainer Maria Schiller. Friedrich von Schnitzler, Arthur Sternheim, Carl Stifter, Adalbert Storm. Theodor Woldsen Sudermann. Hermann Tieck, Ludwig Trakl. George Walafrid Strabo Walther von der Vogelweide Wedekind, Frank Werfel, Franz Wieland. Christoph Martin Wolfram von Eschenbach Zuckmayer, Carl Zweig, Stefan Hebrew writers: Agnon, S.Y. Berdichevsky, Micah Joseph Bialik. Havvim Nahman Hisdai ibn Shaprut ibn Ezra. Moses Ibn Gabirol Judah ha-Levi Zunz, Leopold Hungarian writers: Ady, Endre Arany, János Eötvös, József Báró Kazinczy, Ferenc Petöfi, Sándor Indian writers: Chatterjee, Bankim Chandra Harishchandra Iqbāl, Sir Muhammad Kālidāsa

Tagore Rabindranath Tulsīdās Italian writers Alfieri, Vittorio, Conte Amicis. Edmondo De Angiolieri, Cecco Aretino, Pietro Ariosto, Ludovico Bacchelli, Riccardo Bandello, Matteo Basile. Giambattista Belli, Giuseppe Gioacchino Betti, Ugo Boccaccio. Giovanni Bojardo, Matteo María Buzzati, Dino Calvino, Italo Campanella. Tommaso Carducci, Giosuè Casa, Giovanni Della Castiglione. Baldassare Cavalcanti, Guido Chiabrera, Grazia D'Annunzio, Gabriele Dante Eco, Umberto Folengo, Teofilo Foscolo, Ugo Gadda, Carlo Emilio Giraldi. Giambattista Goldoni, Carlo Gozzi, Carlo, Conte Leopardi. Giacomo Levi. Carlo Machiavelli. Niccolò Maffei, Francesco Scipione Malaparte, Curzio Manzoni. Alessandro Marinetti, Filippo Tommaso Marino, Giambattista

Metastasio, Pietro Montale, Eugenio Moravia, Alberto Parini, Giuseppe Pascoli, Giovanni Pavese, Cesare Petrarch Pirandello, Luigi Politian Pratolini, Vasco Pulci, Luigi Quasimodo, Salvatore Sannazzaro Јасоро Silone, Ignazio Svevo Italo Tasso, Torquato Tassoni. Alessandro Ungaretti. Giuseppe Verga, Giovanni Vittorini, Elio Latin-American writers: Agustini, Delmira Alegría, Ciro Alencar, José de Amado, Jorge Asturias, Miguel Angel Azuela, Mariano Bandeira, Manuel Bello, Andrés Benedetti, Mario Bioy Casares, Adolfo Blest Gana, Alberto Borges, Jorge Luis Cardenal, Ernesto Carpentier, Alejo Cruz, Sor Juana Inés de la Cunha, Euclides de Darío, Rubén Durão. José de Santa Rita Echeverría, Esteban Ercilla y Zúñiga, Alonso de Fernández de Lizardi, José Joaquín Freyre, Gilberto de Mello Fuentes, Carlos Gallegos, Rómulo

Gama. Basílio da García Márquez, Gabriel Goncalves Dias. Antônio Graca Aranha, Jose Pereira da Guillén, Nicolás Guimarães Rosa. Inão Güiraldes, Ricardo Hernández, José Herrera y Reissig, Julio Huidobro, Vicente García Ibarbourou, Juana de Icaza, Jorge Isaacs, Jorge Lins do Rego Cavalcanti, José López y Fuentes, Gregorio Lugones, Leopoldo Lynch, Benito Machado de Assis, Joaquim Maria Mallea, Eduardo Mármol, José Martí, José Julián Mistral. Gabriela Neruda, Pablo Nervo, Amado Olmedo, José Joaquín Onetti, Juan Carlos Palma, Ricardo Paz, Octavio Quiroga, Horacio Ramos, Graciliano Reyes, Alfonso Roa Bastos, Augusto Sábato, Ernesto Sarmiento, Domingo Faustino Silva, José Asunción Storni, Alfonsina Torres Bodet, Jaime Valleio. César Vargas Llosa, Mario Vega, Garcilaso de la

Veríssimo, Érico Zorrilla de San Martín, Juan Middle Eastern writers: Cevdet Paşa, Ahmed Edib Adıvar. Halide Ferdowsī Gökalp, Ziya Hāfez Hakim, Tawfiq al-Ibn Battūtah Ibn Hazm Jāhiz, al-Jamalzadeh, Mohammad Ali Kemal, Namık Ma'arrī, al-Mutanabbī, al-Omar Khavyam Sa'dī Taha Hussein New Zealand writers: Baxter, James K. Mansfield, Katherine Sargeson, Frank Sinclair, Sir Keith Portuguese writers: Camões, Luís de Castelo Branco. Camilo Deus, João de Eca de Oueirós, José Maria de Gonzaga, Tomás António Herculano de Carvalho e Araújo, Alexandre Quental, Antero Tarquínio de Sá de Miranda. Francisco de Vicente, Gil Vieira, António Scandinavian writers: Almqvist, Carl Jonas Love Andersen, Hans Christian Asbjørnsen, Peter Christen: and Moe, Jørgen Engebretsen Bergman, Hjalmar Fredrik Elgérus

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Lermontov. Mikhail Lomonosov, Mikhail Vasilvevich Mandelshtam, Osip Emilvevich Mavakovsky. Vladimir Vladimirovich Modrzewski, Andrzej Pasternak, Boris Pilnyak, Boris Potocki, Wacław Pushkin. Aleksandr Sergevevich Rozanov, Vasily Vasilyevich Saltykov, Mikhail Yevgrafovich. Graf Seifert, Jaroslav Sienkiewicz, Henryk Sinyavsky, Andrey Donatovich Słowacki, Juliusz Solzhenitsvn. Aleksandr Szymborska, Wisława Tikhonov, Nikolay Semyonovich Tolstoy, Leo Tsvetayeva, Marina Ivanovna Turgenev, Ivan Sergeyevich Voznesensky, Andrey Andreyevich Yesenin, Sergey Aleksandrovich Yevtushenko, Yevgeny Zamyatin, Yevgeny Ivanovich Spanish writers: Alarcón y Ariza, Pedro Antonio de Aleixandre, Vicente Azorín Baroja, Pío Bécquer, Gustavo Adolfo

Benavente y Martínez, Jacinto Blasco Ibáñez. Vicente Buero Vallejo, Antonio Calderón de la Barca, Pedro Cervantes Saavedra. Miguel de Echegaray y Eizaguirre, José Encina, Juan del Espronceda y Delgado, José de García Lorca. Federico Góngora y Argote, Luis de Herrera Fernando de Jiménez, Juan Ramón León, Luis de Palacio Valdés. Armando Pardo Bazán. Emilia Pérez Galdós, Benito Quevedo y Villegas,

Francisco Gómez de Rojas. Fernando de Rueda, Lope Ruiz, Juan Sender, Ramón Iosé Tamayo y Baus, Manuel Tirso de Molina Torres Naharro, Bartolomé de Unamuno, Miguel de Valera y Alcalá Galiano, Juan Valle-Inclán, Ramón María del Vega, Garcilaso de la Vega, Lope de Zorrilla y Moral, José theorists and critics: Arnold, Matthew Barbey d'Aurevilly, Jules-Amédée Boileau, Nicolas Brandes, Georg Breton, André Burke, Kenneth Empson, Sir William

Frye, Northrop Fuller, Margaret Gottsched, Johann Christoph Henley, William Ernest Howells, William Dean Lamb, Charles Leavis, F.R. Lessing, Gotthold Ephraim Lowell, James Russell Mencken, H.L. Menéndez Pidal. Ramón Menéndez v Pelavo, Marcelino Merezhkovsky, Dmitry Sergeyevich Mochnacki, Maurycy Ortega y Gasset, José Papini, Giovanni Pater, Walter Roy, Camille Rymer, Thomas Sainte-Beuve, Charles-Augustin Saintsbury, George

Schlegel, August Wilhelm von Schlegel. Friedrich von Stephen, Sir Leslie Wilson, Edmund Yiddish writers: Ansky, S. Asch, Sholem Goldfaden. Abraham Mendele Moykher Sforim Peretz, Isaac Leib Singer, Isaac **Bashevis** other: Conscience, Hendrik Ghelderode, Michel de Kazantzákis, Níkos Koraïs. Adamántios Pramoedya Ananta Toer Verhaeren, Émile Vondel, Joost van den

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#### Section 622. Theatre

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- 1. The nature and origins of theatre as an art
- 2. Functions of theatre and theatrical production; *e.g.*, theatre as social, moral, or religious expression; theatre as entertainment
- 3. Problems of theatre and theatrical production
- 4. Interrelation of theatrical performance and audience
- 5. The arts of design in the theatre: staging and the design of stages, sets, lights, costumes, and makeup [see C.2., below]
- 6. Directing
- 7. Acting
- The roles of other arts in the theatre: literature, music, dance, painting, and architecture [see C., below]
- B. Kinds and methods of theatrical production
  - 1. Diverse kinds of theatrical production
    - a. Kinds defined by the nature of the production itself
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- ii. Dramatic improvisation: commedia dell'arte and related forms
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- b. Kinds defined by their special purpose or audience; *e.g.*, religious theatre, civic theatre, educational theatre, court theatre
- c. Kinds defined by their system of production; *e.g.*, single-performance productions, repertory systems, stock companies, touring companies
- d. Kinds defined by the controlling artist; *e.g.*, actor-dominated productions, dramatist-controlled productions, productions controlled by a nonperforming director
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  - 2. Staging and stage design: the arrangement of words, dance, music, costumes, makeup, lighting, sound, and properties for theatrical effect
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African Arts	Folk Arts	Theatre, The Art	Theatrical
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#### General subjects

eneral subjects			
dramatic conventions and techniques:	actor-manager system	régisseur repertory theatre	movements and tendencies:
agon	chorus	skene	Absurd, Theatre
lazzo	courtyard	Stanislavsky	of the
soliloquy	theatre	method	biomechanics
elements of theatrical	directing	stock company	Cruelty, Theatre of
production:	hanamichi	summer theatre	little theatre
acting	open stage	theatre	Living Newspaper
	proscenium	theatre-in-the-round	theatricalism

popular dramatic entertainment. burlesque show cabaret circus conjuring Fasching ice show masque mime and pantomime minstrel show music hall and variety pageant revue shell game son et lumière vaudeville Wild West show staging and stage design: cvclorama deus ex machina eccyclema limelight Linnebach lantern mansion multiple setting **Biographies** actors and actresses: Ashcroft, Dame Peggy Barrymore, Lionel Bernhardt, Sarah Booth, Edwin Cooper, Dame Gladys Duse, Eleonora Gwyn, Nell Irving, Sir Henry Kean. Edmund Kemble, John Philip Kendal, Dame Margaret; and William Hunter Kortner, Fritz Lenva, Lotte

pageant wagon nerspective scenery sound effects spotlight stage design stage machinery trap stock characters: Brighella Capitano Columbine Dottore Guignol Harlequin Kasnerle Miles Gloriosus Pantaloon Pedrolino Punch Scaramouche soubrette zanni types of theatrical production: afterniece auto sacramental black theatre bunraku Lunt, Alfred; and Fontanne, Lynn Mathews, Charles Murdoch, James Edward Nakamura Utaemon Neuber, Caroline Olivier. Laurence Paxinou, Katina Siddons, Sarah Taylor, Laurette Terry, Ellen Worth, Irene directors: Barrault.

ching-hsi Comédie-Française Comédie-Italienne commedia dell'arte commedia erudita drame bourgeois droll epic theatre farce Fastnachtsspiel interlude Jesuit drama kabuki Karagög liturgical drama ludi scaenici melodrama miracle play morality play mumming play mystery play nō theatre ombres chinoises Passion play sacra rappresentazione Satyr play wavang Yiddish drama Craig, Edward Gordon

Guthrie.

Joan

producers:

Sir Tyrone

Popov, Alexey

Dmitrivevich

Reinhardt. Max

Stanislavsky.

Konstantin

Zavadsky, Yury

Belasco, David

Yemilvevich

Meyerhold,

Vsevolod

Alexandrovich

Littlewood,

peep show South Asian arts Southeast Asian arte tov theatre ventriloquism Richardson, Tony Shubert brothers other: Barnum, P.T. Henslowe, Philip Henson. Jim Lupino family Macready, William Charles Obraztsov, Sergey

**Ringling Brothers** 

other

benefit

children's

choragus

claque

clown

Englische

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- b. Motion-picture acting: characteristics that distinguish it from acting in the theatre
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- e. The use of technology in the creative process: the camera, sound, animation, and other special effects
- 3. Motion-picture production: scenic design, costumes and makeup, lighting, shooting, editing, film processing
- B. The interrelation of other arts in motion pictures: literature, music, dance, painting and drawing, architecture
- C. The nonaesthetic contexts of motion pictures
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  - 2. Functions of motion pictures: their use as media of education and propaganda
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**Motion Pictures** 

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General subjects			
animation	documentary film	New Wave	Twentieth
auteur theory	dubbing	newsreel	Century-Fox
cinéma vérité	horror film	Paramount	Film Corporation
CinemaScope	Metro-Goldwyn-Mayer,	Pictures	underground film
cinematography	Inc.	Corporation	United Artists
Columbia Pictures	montage	reel	Corporation
Entertainment,	motion picture	script	Universal Pictures
Inc.	musical film	Technicolor	Company
		3-D	Warner Brothers
Biographies			
actors and actresses:	Mifune Toshirō	Fellini, Federico	Stroheim,
Astaire, Fred	Monroe, Marilyn	Ford, John	Erich von
Bergman, Ingrid	Muni, Paul	Godard, Jean-Luc	Truffaut, François
Bogart, Humphrey	Newman, Paul	Griffith, D.W.	Vertov, Dziga
Brando, Marlon	Olivier, Laurence	Hawks, Howard	Welles, Orson
Chaplin, Charlie	Pickford, Mary	Hitchcock, Sir	Wilder, Billy
Crawford, Joan	Stewart, James	Alfred	producers:
Davis, Bette	Sydow, Max von	Huston, John	Disney, Walt
de Havilland,	Tracy, Spencer	Kurosawa Akira	Goldwyn, Samuel
Olivia	Wayne, John	Lean, Sir David	Korda, Sir
De Niro, Robert	directors:	Lubitsch, Ernst	Alexander
Gable, Clark	Antonioni,	Malle, Louis	Mayer, Louis B.
Garbo, Greta	Michelangelo	Ousmane	Selznick, David O.
Gish, Lillian	Bergman, Ingmar	Sembene	other:
Grant, Cary	Buñuel, Luis	Pabst, G.W.	Lumière, Auguste
Guinness, Sir Alec	Capra, Frank	Pagnol, Marcel	and Louis
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Katharine	Clair, René	Ray, Satyajit	Herman
Hoffman, Dustin	DeMille, Cecil B.	Renoir, Jean	Muybridge,
Lloyd, Harold	Dreyer, Carl	Rossellini, Roberto	Eadweard
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    - iii. Vocal music for several voices, the parts for either solo or choral performance; e.g., madrigal, glee
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    - i. General considerations about jazz: its differentiation from and relation to folk music, popular music, and "art" music; its emphasis on the performer as creator; the importance of improvisation; its reflection of social and cultural forces
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MACROPAEDIA: Major articles and biographies dealing with music

African Arts	East Asian Arts	Musical Forms and	Southeast Asian
American Peoples,	Folk Arts	Genres	Arts
Arts of Native	Mozart	Musical	
Bach	Music, The Art of	Instruments	
Beethoven	Music, The History	Oceanic Arts	
Central Asian Arts	of Western	South Asian Arts	

#### MICROPAEDIA: Selected entries of reference information

#### General subjects aerophones-brass saxophone lute arrangement instruments: shakuhachi mandolin cadenza alphorn shawm mandora eanon bugle sheng p'i-p'a cantus firmus cornet whistle rabāb coda euphonium chordophones-harp rebec French horn family: samisen horn Aeolian harp san-hsien ophicleide angular harp sārangī saxhorn arched harp sitar serpent frame harp tar trombone harp theorbo Irish harp trumpet ʻumd tuba konghou viol aerophonesmusical bow viola keyboard pedal harp violin instruments: chordophonesvüeh-ch'in accordion keyboard chordophones-lyre barrel organ instruments. family: clavichord concertina crwth harmonium clavicytherium kinnor organ dulce melos kithara positive organ harpsichord lvre regal piano pluriare aerophonesplayer piano chordophones-zither woodwind spinet family: instruments: square piano Autoharp aulos ch'in upright piano bagpipe virginal cimbalom basset horn chordophones-lute dulcimer and fiddle families: bassoon koto balalaika clarinet monochord curtal banio psaltery English horn cello trumpet marine chitarrone fife vina flageolet cittern wagon flute double bass yang-ch'in harmonica erh-hu zither heckelphone fiddle electrophones: hichiriki gittern electronic carillon hornpipe guitar electronic organ musette gusla music synthesizer oboe hu-ch'in ondes martenot ocarina hurdy-gurdy theremin

kamaniā

koboz

lira

trautonium

composition:

accompaniment

elements of

panpipe

pipe and

tabor

recorder

counterpoint fauxbourdon fugue ground bass hocket instrumentation leitmotiv melody musical variation ornamentation ostinato paraphrase parody period ritornello elements of pitch: church mode combination tone comma consonance and dissonance diatonic ēchos equal temperament gamut hexachord meantone temperament microtonal music mode musica ficta octave species overtone pentatonic scale pitch scale solmization tessitura tetrachord timbre tone tonos

tune family tuning and temperament white noise whole-tone scale ensembles\_ instrumental: band orchestra quartet quintet trio ensembles-vocal: choir quartet responsorial singing trio forms—general: aleatory music aria Bar form barcarole berceuse binary form caccia cantilena capriccio carol character piece clausula conductus cyclic form finale fuging tune lai minuet nocturne quodlibet recitative serenade ternary form harmonic elements: appoggiatura atonality cadence chord chromaticism drone enharmonic harmony interval inversion kev modulation monody organum pedal point polytonality thorough bass tonality tritone

idiophones: bell bell chime carillon castanets celesta clapper claves crotal cymbal glass harmonica glockenspiel gong handbell Jew's harp iingling Johnny lamellaphone marimba music box rattle scraper sistrum slit drum steel drum stone chimes triangle tubular bells vibraphone wind-bell xylophone instrumental forms: canzona chaconne chamber music chorale prelude concerto concerto grosso courante divertimento estampie étude fanfare fantasia gigue impromptu invention Konzertstück march musique concrète overture passacaglia prelude quartet quintet ricercare rondo scherzo sinfonia sonata sonatina suite

symphonic poem symphony toccata trio trio sonata vocal-instrumental concerto instrumentsclassifications: aerophone brass instrument chordophone electronic instrument electrophone idiophone keyboard instrument membranophone percussion instrument reed instrument stringed instrument transposing musical instrument wind instrument woodwind instrumentscomponents: crook pipe tracker action valve jazz styles: bebop Chicago style cool jazz Dixieland free jazz jazz jazz-rock Kansas City style New Orleans style scat swing medieval musicians: meistersinger minnesinger minstrel troubadour trouvère wait membranophones: bass drum drum dùndún pressure drum friction drum

kettledrum snare drum tablā tambourine timpani tsuzumi music history, theory, and training: affections, doctrine of the Ars Antiqua Ars Nova colour music conservatory ethnomusicology musical societies and institutions musicology program music Roman de Fauvel schola cantorum musical schools and styles: Burgundian school concertato style empfindsamer Stil Franco-Netherlandish school Gebrauchsmusik gymel Mannheim school Notre-Dame school Postromantic music serialism Tin Pan Alley musical textures: heterophony homophony monophony polyphony non-Western music: ālāpa Carnatic music Chinese music dastgah gagaku gamelan Hindustani music Indian music Japanese music Korean music maqām nagauta raga tāla taqsīm Vedic chant

notation accidental clef mensural notation musical notation neume note score shape-note hymnal staff tablature time signature performance techniaue: bel canto conductor improvisation musical expression singing popular forms: bluegrass blues boogie-woogie bossa nova calypso country music gospel music kivela ragtime reggae rhythm and blues rock shanty spiritual rhythmic elements: accent aksak beat īdāʿāt **Biographies** composers—Ars Nova period: Adam de la Halle Landini, Francesco Machaut. Guillaume de Sachs, Hans composers—Baroque: Bach, Johann Sebastian Buxtehude. Dietrich Corelli, Arcangelo Couperin, François Couperin, Louis Ditters von Dittersdorf, Carl Frescobaldi, Girolamo Handel, George Frideric

isorhythm metre polyrhythm rhythm rhythmic mode rubato syncopation theatre music: ballad opera cabaletta Camerata cavatina drinking song incidental music intermezzo libretto music drama musical Nigerian theatre opera opera buffa opéra-comique opera seria operetta Singspiel verismo zarzuela vocal forms—sacred: Ambrosian chant Anglican chant anthem antiphon Armenian chant Byzantine chant canonical hours cantata canticle chorale Coptic chant Purcell, Henry Rameau, Jean-Philippe Scarlatti. Domenico Schein, Johann Hermann Schütz, Heinrich Telemann, Georg Philipp Vivaldi, Antonio composers—Classical period: Arne, Thomas Bach, Carl Philipp Emanuel Beethoven. Ludwig van Boccherini, Luigi

Boyce, William

Cherubini, Luigi

Ethiopian chant Gallican chant Gregorian chant hvmn kontakion liturgical music mass Mi-Sinai tune motet Mozarabic chant Old Roman chant oratorio Passion music plainsong psalm tone psalmody requiem mass responsory Sarum chant sequence Svrian chant Te Deum laudamus troparion trope vocal forms—secular: air de cour avre ballade balletto cantiga cantillation canzonet carnival song catch chanson frottola glee goliard

Clementi, Muzio Gluck, Christoph Willibald Havdn, Joseph Mozart, Wolfgang Amadeus Plevel, Ignace Joseph composers-modern: Barber, Samuel Bartók, Béla Berg, Alban Bernstein, Leonard Boulez, Pierre Cage, John Carter, Elliott Copland, Aaron Cowell, Henry Debussy, Claude Hindemith, Paul Honegger, Arthur

lied madrigal madrigal comedy mélodie musique mesurée nigun rondeau serenata song villancico villanella villota virelai work song yodel vocal registers: alto baritone bass castrato countertenor falsetto soprano tenor other: bull-roarer cantor change ringing computer music Greek music metronome music musical composition national anthem

> Ives. Charles Janáček, Leoš Krenek, Ernst Messiaen, Olivier Milhaud, Darius Penderecki, Krzysztof Poulenc, Francis Prokofiev, Sergey Ravel. Maurice Roussel, Albert Satie, Erik Schoenberg, Arnold Scriabin. Aleksandr Nikolayevich Shostakovich, Dmitry Stockhausen. Karlheinz

Strauss, Richard Stravinsky, Igor Villa-Lobos, Heitor Webern, Anton von Weill, Kurt Xenakis, Iannis composers—opera: Bellini, Vincenzo Bizet, Georges Britten, Benjamin Cimarosa. Domenico Donizetti, Gaetano Gounod, Charles Henze, Hans Werner Lully, Jean-Baptiste Massenet, Jules Menotti, Gian Carlo Meverbeer. Giacomo Offenbach, Jacques Paisiello, Giovanni Puccini, Giacomo Rossini, Gioacchino Scarlatti, Alessandro Sullivan, Sir Arthur Verdi, Giuseppe Wagner, Richard composers—popular: Arlen, Harold Billings, William Comden, Betty; and Green, Adolf Duke, Vernon Foster, Stephen Gershwin, George Handy, W.C. Lerner, Alan Jay Lloyd Webber, Sir Andrew Loewe, Frederick Mercer, Johnny Porter, Cole Rodgers, Richard Sondheim, Stephen Warren, Harry composers-Renaissance: Blow, John Byrd, William Cabezón, Antonio de Dowland, John Dufay, Guillaume Gabrieli, Andrea Gabrieli, Giovanni Gibbons, Orlando Isaac, Heinrich

Josquin des Prez Lasso, Orlando di Monteverdi. Claudio Morley, Thomas Ockeghem, Jean d' Palestrina, Giovanni Pierluigi da Tallis, Thomas Weelkes, Thomas Wilbye, John Zarlino, Gioseffo composers---Romantic period: Balakirev, Mily Berlioz, Hector Borodin, Aleksandr Brahms, Johannes Bruckner, Anton Chabrier. Emmanuel Chopin, Frédéric Delius, Frederick Dukas, Paul Dvořák, Antonín Elgar, Sir Edward Fauré, Gabriel Franck, César Glière, Reinhold Glinka, Mikhail Ivanovich Grieg, Edvard Holst, Gustav Indy, Vincent d' Liszt. Franz MacDowell, Edward Mahler, Gustav Mendelssohn, Felix Mussorgsky, Modest Paderewski, Ignacy Paganini, Niccolò Rachmaninoff, Sergey Respighi, Ottorino Rimsky-Korsakov, Nikolay Andreyevich Rubinstein, Anton Grigoryevich Saint-Saëns, Camille Schubert, Franz Schumann, Robert Sibelius, Jean Smetana, Bedřich Strauss, Johann, the Elder Strauss, Johann, the Younger

Tchaikovsky, Peter Ilich Vaughan Williams, Ralph Weber, Carl Maria von Wolf, Hugo conductors: Ansermet, Ernest Beecham, Sir Thomas. 2nd Baronet Beinum. Eduard van Bernstein, Leonard Damrosch, Walter Johannes Furtwängler, Wilhelm Goossens, Sir Eugene Karajan. Herbert von Klemperer, Otto Koussevitzky, Serge Mengelberg, Willem Monteux, Pierre Munch, Charles Nikisch, Arthur Ormandy, Eugene Reiner, Fritz Solti, Sir Georg Stokowski, Leopold Szell. George Thomas, Theodore Toscanini, Arturo Walter, Bruno Weingartner, Felix instrument makers: Amati family Boehm, Theobald Cavaillé-Coll. Aristide Cristofori, Bartolomeo Guarneri family Hammond, Laurens Stein, Johann Andreas Steinway, Henry Engelhard Stradivari, Antonio Willis, Henry Wurlitzer family musicians—blues, country, gospel, pop, rock: Acuff, Roy Baez, Joan

Beatles, the Carter family Charles, Ray Crosby, Bing Franklin, Aretha Guthrie, Woody Hendrix, Jimi Jackson, Mahalia Jolson, Al Lauder, Sir Harry Monroe. Bill Presley, Elvis Rainey, Ma **Rolling Stones** Seeger, Pete Sinatra, Frank Smith, Bessie musicians—ragtime, jazz, swing, bebop: Armstrong, Louis Basie, Count Blakev, Art Coltrane, John Davis, Miles Dorsey, Jimmy; and Dorsey, Tommy Ellington, Duke Gillespie, Dizzy Goodman, Benny Hines, Earl Holiday, Billie Horne, Lena Joplin, Scott Kenton, Stan Miller, Glenn Parker, Charlie Shaw, Artie Silver, Horace Whiteman, Paul musicologists: Adler, Guido Burney, Charles Chrysander, Karl Franz Friedrich Guido of Arezzo Hornbostel, Erich Moritz von Sachs, Curt Sharp, Cecil pianists; Bülow, Hans, Freiherr von Busoni, Ferruccio Cortot. Alfred-Denis Gieseking, Walter Godowsky, Leopold Hess, Dame Myra Horowitz, Vladimir Paderewski, Ignacy

Berganza, Teresa Rubinstein, Anton Grigorvevich Schnabel, Artur singers-baritones and basses. Chaliapin, Feodor singers-sopranos: Callas, Maria Fischer, Ludwig Fischer-Dieskau. Dietrich Lablache, Luigi Maurel. Victor Galli-Curci, Amelita Pinza, Ezio Robeson, Paul Warren, Leonard Grisi, Giulia singers-contraltos: Lind. Jenny Alboni, Marietta Anderson, Marian Butt, Dame Clara Nellie Ferrier, Kathleen Homer, Louise Pons, Lily Schumann-Heink. Ernestine Schumann, singers-Elisabeth mezzo-sopranos: Baker, Dame Janet

Gerhardt, Elena Horne, Marilyn Malibran, Maria Viardot, Pauline Destinn, Emmy Farrar, Geraldine Flagstad, Kirsten Garden. Mary Lehmann. Lotte Melba, Dame Nilsson, Birgit Ponselle, Rosa Price, Leontyne

Sills, Beverly Sutherland, Dame Ioan singers—tenors: Biörling, Jussi Caruso, Enrico Domingo, Plácido Duprez, Gilbert García, Manuel García. Manuel del Popolo Gigli, Beniamino McCormack, John Mario, Giovanni Matteo Nourrit, Adolphe Pavarotti, Luciano Pears. Sir Peter Raaff. Anton Reszke. Jean de Rubini, Giovanni Battista

Slezak, Leo Tucker, Richard violinists Auer, Leopold Elman, Mischa Heifetz, Jascha Joachim, Joseph Menuhin, Sir Yehudi Oistrakh, David Paganini, Niccolò Perlman, Itzhak Sarasate, Pablo de Ysaÿe, Eugène

INDEX: See entries under all of the terms above

#### Section 625. Dance

A. The art of dance

- 1. The nature of dance as art: its origins and functions
- 2. Choreography and dance notation
- 3. Diverse classifications of kinds of dance
- 4. Theoretical, critical, and descriptive writing about dance
- 5. The integration of dance with other arts: dance in the theatre, in motion pictures [see 622.C.2. and 623.B.]
- B. Ballet
  - 1. The nature of ballet as an art
  - 2. The integration of ballet with other arts; e.g., with opera, drama, motion pictures, television
  - 3. Major kinds of ballet: traditional, classical, modern, abstract, expressive
  - 4. The history of ballet
- C. Modern dance
  - 1. Principles underlying modern dance: expression and communication of feeling
  - 2. The relation of modern dance to other arts; e.g., to musical theatre, drama, motion pictures, television
  - 3. Development of modern dance: kinds, theories, techniques, and methods of modern dance
- D. Primitive, folk, and popular dance
- E. The history of dance
  - 1. The dance of Western peoples
  - 2. The dance of non-Western peoples

MACROPAEDIA: Major articles dealing with dance

Ronnebia. mujor un
African Arts
American Peoples,
Arts of Native
Central Asian Arts
Dance, The Art of
Dance, The History
of Western

East Asian Arts Folk Arts Oceanic Arts South Asian Arts Southeast Asian Arts

MICROPAEDIA: Selected entries of reference information

# General subjects

ballet:	hora	Indian classical	jazz dance
assemblé	hornpipe	dance:	jitterbug
ballet	huayño	bharata natya	mambo
Ballet comique de	hula	garabā	minuet
la reine	jarabe	kathak	passacaglia
ballet movement	jig	kathākali	passepied
ballet position	jota	kuchipudi	pavane
battement	juba	manipuri	quadrille
brisé	kolo	oŗissī	rumba
classical ballet	ländler	popular dance:	samba
entrechat	maypole dance	allemande	tango
fouetté en tournant	mazurka	basse danse	twist
International Ballet	Morris dance	bergamasca	volta, la
Competitions	polka	branle	waltz
pirouette	polska	cakewalk	other:
folk dance:	reel	cha-cha	bugaku
bourrée	rigaudon	chaconne	choreography
capoeira	sarabande	Charleston	dance
carole	seguidilla	contredanse	dance notation
clog dance	square dance	courante	eurythmics
country dance	sword dance	estampie	Labanotation
czardas	syrtos	fox-trot	modern dance
fandango	Virginia reel	galliard	tap dance
farandole	voladores, juego	gavotte	
flamenco	de los	gigue	
Biographies			
Balanchine,	Diaghilev, Sergey	Helpmann, Sir	Nurevey, Rudolf
George	Pavlovich	Robert	Pavlova, Anna
Baryshnikov,	Dolin, Sir Anton	Humphrey, Doris	Petit, Roland
Mikhail	Duncan, Isadora	Jooss, Kurt	Rambert, Dame
Bournonville,	Fokine, Michel	Laban, Rudolf	Marie
August	Fonteyn, Dame	Lifar, Serge	Saint Denis, Ruth
Cunningham,	Margot	Massine, Léonide	Tamiris, Helen
Merce	Graham, Martha	Nijinsky, Vaslav	Taylor, Paul
de Mille, Agnes		Nikolais, Alwin	Weidman, Charles

INDEX: See entries under all of the terms above

Section 626.	Architecture, Garden and Landscape Design, and Urban Design		
	A. The art of architecture		
	1. Elements of design and principles of composit	ion	
	2. Aesthetic aspects of building materials and constructional systems used in architecture [for technological aspects, see 733]		
	a. Building materials; e.g., stone, brick, wood, iron and steel, concrete		
	b. Constructional systems; <i>e.g.</i> , load-bearing warch, vault, dome, truss, framed structures	all and nonload-bearing wall, post and lintel,	
	<ol> <li>Diverse structural elements and details of buil doors, stairways</li> </ol>	dings: e.g., floors, walls, ceilings, roofs, windows,	
	4. Architectural ornamentation: mimetic orname	nt, applied ornament, organic ornament	
	5. Diverse kinds of architecture and building typ	es determined by their functions	
	a. Domestic, or residential, architecture; e.g.,	houses, apartments, castles, hotels	
	b. Religious and commemorative architecture tombs, shrines, memorials, monuments	; e.g., temples, churches, synagogues, mosques,	
	c. Governmental architecture; e.g., town halls	, capitols, courthouses, post offices	
	d. Recreational architecture; e.g., theatres, au	ditoriums, athletic facilities, museums, libraries	
	e. Educational and public welfare architecture aqueducts	e; e.g., schools and universities, hospitals, prisons,	
	f. Commercial and industrial architecture; <i>e.ş</i> refineries	g., office buildings, banks, stores, factories,	
	g. Agricultural architecture; e.g., barns, stables, silos		
	h. Military architecture; <i>e.g.</i> , forts, castles, armouries [see also 736]		
	6. Primitive and folk architecture [see also 613]		
	7. The history of architecture		
	a. Western architecture		
	b. The architecture of non-Western peoples [see also 613]		
	B. Garden and landscape design		
	C. Urban design: the artistic aspects of city planning [for the sociological, political, economic, and psychological a aspects, see 737.C.2.]	g spects of urban design, see 524.B.: for the technological	
	Suggested reading in the Encyclopædia Britannica:		
	MACROPAEDIA: Major articles dealing with architectu	are, garden and landscape design, and urban design	
	African Arts American Peoples, Arts of Native Architecture, The Art of Architecture, The History of Western Central Asian Arts East Asian Arts	Folk Arts Garden and Landscape Design Middle Eastern Arts and Architecture, Ancient Oceanic Arts South Asian Arts	
	Egyptian Arts and Architecture, Ancient	Southeast Asian Arts	
	MICROPAEDIA: Selected entries of reference informat	ion	

General subjects

architecture—	architecture—	fortification	acroterion
building materials	military:	kremlin	anthemion
and techniques:	alcázar	architecture—	brattishing
See Section 733 of	castle	ornamentation:	bucranium
Part Seven		acanthus	

candelabrum coffer diaper finial fluting and reeding fret frieze hoodmold ornament pinnacle pulvinated frieze reticulated work rinceau running-dog pattern scrollwork stalactite work strapwork stringcourse stuccowork swag terra-cotta architecturerecreational: amphitheatre auditorium furo Islāmic bath odeum stadium thermae Turkish bath architecturereligious: abbey aisle ambo ambulatory apse baldachin baptistery basilica campanile chancel chantry chapel chapter house chevet choir choragic monument church cloister confessional crypt gopura hagioscope hall church iconostasis jinja Lady chapel lantern of the dead lych-gate

minaret mosque narthex nave obelisk pagoda presbytery pulpit rood screen sacristy sedilia śikhara slype stave church stūpa temple torii transept triforium ziggurat architectureresidential: apartment house bungalow chalet château cliff dwelling desert palace domus hogan igloo inn insula lodge log cabin longhouse manor house palace pueblo ranch house saltbox tent tepee villa yurt architecture structural elements and building details: alcove anta arcade arch atlas atrium balcony balustrade bay window beam belvedere bema bond bracket

brise-soleil

buttress canopy cantilever capital carrel carvatid casement window ceiling chigai-dana chimneypiece clerestory colonnade column console corbel corbel table cornerstone cupola cusp dome door dormer entablature exedra fover framed building gable gallery gargoyle geodesic dome hip roof hypocaust intercolumniation lantern loft loggia louver lunette megaron moucharaby newel oriel Palladian window patio pedestal pediment pendant pendentive penthouse piano nobile pilaster podium porch porte cochere portico quoin retaining wall roof rose window rotunda salomónica setback spandrel

spire squinch staircase term thermal window tracerv truss tympanum vault wall window architecture styles. schools. and movements: African arts Akbar period architecture Art Deco Art Nouveau Baroque period Bauhaus Burgundian Romanesque style Byzantine art Carolingian arts Carpenter Gothic Central Asian arts Chicago School Churrigueresque chusimp'o style Cistercian style Classicism and Neoclassicism Composite order Constructivism Corinthian order Doric order Early Christian art Egyptian art Empire style Federal style Functionalism Futurism Gothic art Gothic Revival Greek Revival Henry IV style International Style Ionic order Isabelline Islāmic arts kara-yō Karnatic temple architecture Manueline Mozarabic art Mughal architecture New Brutalism Norman style North Indian temple architecture

Oceanic arts order Palladianism picturesque Plateresque Prairie style rocaille Rococo style Romanesque art Second Empire style Shāh Jahān period architecture shinden-zukuri Shingle style shoin-zukuri South Asian arts South Indian temple architecture Southeast Asian arts Stiil. De superposed order tap'o style **Biographies** architects: Aalto Alvar Adam, Robert Adler, Dankmar Alberti Leon Battista Behrens, Peter Bernini, Gian Lorenzo Blondel, Jacques-Francois Borromini. Francesco Boullée. Étienne-Louis Bramante, Donato Breuer, Marcel Brunelleschi, Filippo Bulfinch. Charles Butterfield. William Cerceau, du, family Chambers, Sir William Churriguera family Corbusier, Le Delorme, Philibert Fischer von Erlach. Johann Bernhard Fuller, R. Buckminster

Teniiku Tudor style türbe architecture other. architecture belfrv caravansary cenotaph cha-shitsu columbarium cortile court crannog entasis folly Gujarāt woodwork high-rise building hotel dieu hypostyle hall kiosk kiva mausoleum megalith module Garnier. Charles Gaudí, Antoni Gropius, Walter Guarini, Guarino Hoffmann, Josef Hunt, Richard Morris Jenney, William Le Baron Johnson, Philip C. Jones, Inigo Kahn, Albert Kahn, Louis I. Kent, William Klint, Kaare Latrobe, Benjamin Ledoux. Claude-Nicolas Loos, Adolf Lutvens, Sir Edwin Mansart, Francois Mansart, Jules Hardouin-Meigs, Montgomery C. Mendelsohn, Erich Michelozzo Mies van der Rohe, Ludwig Nervi, Pier Luigi Neutra, Richard Joseph Niemeyer, Oscar

orientation quadrangle skyscraper stela tholos tower triumphal arch landscape design: allée arbor broderie cascade conservatory English garden espalier fountain gazebo green theatre greenhouse grotto labyrinth landscape architecture nymphaeum orangery Olbrich, Joseph Palladio, Andrea Pei, I.M. Phidias Pietro da Cortona Pugin, Augustus Welby Northmore Richardson, Henry Hobson Root, John Wellborn Rudolph, Paul Saarinen, Eero Sangallo family Sansovino, Jacopo Schinkel, Karl Friedrich Serlio, Sebastiano Sinan Soufflot. Jacques-Germain Stone, Edward Durell Street, George Edmund Strickland. William Sullivan, Louis Tange Kenzö Upjohn, Richard Vanbrugh, Sir John

park parterre pavilion pergola topiary *urban design:* acropolis bastide boulevard civic centre forum garden city new town promenade urban planning

Venturi, Robert Vignola. Giacomo da Viollet-le-Duc. Eugène-Emmanuel Wagner, Otto Walter, Thomas Ustick White, Stanford Wren, Sir Christopher Wright, Frank Llovd urban planners: Brown, Lancelot Burnham. Daniel H. Fry, E. Maxwell; and Drew, Jane Le Nôtre, André L'Enfant, Pierre-Charles Loudon, John Claudius Nash, John Olmsted. Frederick Law Repton, Humphry Soleri, Paolo

INDEX: See entries under all of the terms above

# Section 627. Sculpture

- A. The art of sculpture
  - 1. Elements of design and principles of composition
  - 2. The iconography of sculpture
  - 3. Materials of sculpture; e.g., stone, wood, metal, clay, ivory, plaster, concrete, glass fibre, wax, paper
  - 4. Tools, methods, and techniques of sculpture; e.g., carving, modeling, casting and molding, surface finishing
- B. The diverse kinds of sculpture
  - 1. Kinds of sculpture distinguished by their spatial context; *e.g.*, sculpture in the round, relief sculpture, kinetic sculpture, environmental sculpture
  - 2. Kinds of sculpture distinguished by subject matter
    - a. Representational sculpture; e.g., human figures, devotional images and objects, portraits, still lifes, animal figures
    - b. Nonrepresentational sculpture
    - c. Decorative sculpture
  - 3. Kinds of sculpture distinguished by their special uses or functions
    - a. Ceremonial and ritualistic objects
    - b. Coins and medals
    - c. Commemorative sculpture; *e.g.*, monuments, tombs, tombstones, stelae [see also 626.A.5.b.]
    - d. Masks
  - 4. Primitive and folk sculpture
- C. The history of sculpture
  - 1. Western sculpture
  - 2. The sculpture of non-Western peoples

# Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with sculpture

African Arts	Middle Eastern Arts and Architecture,
American Peoples, Arts of Native	Ancient
Central Asian Arts	Oceanic Arts
East Asian Arts	Sculpture, The Art of
Egyptian Arts and Architecture, Ancient	Sculpture, The History of Western
Folk Arts	South Asian Arts
Islāmic Arts	Southeast Asian Arts
Masks	

MICROPAEDIA: Selected entries of reference information

#### General subjects

styles:	Eastern Indian	Massim style	Sukhothai style
Amarāvatī	bronze	Mathurā art	Tami style
sculpture	Fujiwara style	Northern Wei	Tempyo style
Baroque period	Futurism	sculpture	Tori style
beak style	Gandhāra art	Renaissance	U Thong style
Bhārhut sculpture	Jōgan style	Rococo style	Western Indian
Classicism and	korwar style	Romanesque art	bronze
Neoclassicism	Kushān art	Sānchi sculpture	types:
Cubism	malanggan style	South Indian	bieri
	Mannerism	bronze	

bird stone colossus cylinder seal Daedalic sculpture death mask environmental sculpture figurehead gigaku mask gisant	kachina kinetic sculpture kore kouros mbulu-ngulu minimalism moai figure mobile relief segoni-kun	stabile Tanagra figurine telum figure terra-cotta <i>other:</i> African arts armature Central Asian arts contrapposto Gothic art	lost-wax process modeling Oceanic arts sculpture South Asian arts Southeast Asian arts
Biographies			
Ammannati,	Donatello	Houdon,	Pisano, Nicola
Bartolommeo	Epstein, Sir Jacob	Jean-Antoine	Praxiteles
Bernini, Gian	Flaxman, John	Lehmbruck,	Puget, Pierre
Lorenzo	Gabo, Naum	Wilhelm	Rodin, Auguste
Berruguete, Alonso	Ghiberti, Lorenzo	Lipchitz, Jacques	Saint-Gaudens,
Brancusi,	Giacometti,	Lysippus	Augustus
Constantin	Alberto	Maillol, Aristide	Sluter, Claus
Brunelleschi,	Giambologna	Michelangelo	Smith, David
Filippo	Gill, Eric	Milles, Carl	Thorvaldsen,
Calder, Alexander	Girardon, François	Moore, Henry	Bertel
Canova, Antonio	Hepworth, Dame	Myron	Tinguely, Jean
Cellini, Benvenuto	Barbara	Oldenburg, Claes	Verrocchio,
Della Robbia,	Hildebrand,	Phidias	Andrea del
Luca	Adolf von	Pisano, Giovanni	

INDEX: See entries under all of the terms above

# Section 628. Drawing, Painting, Printmaking, and Photography

# A. Drawing

- 1. Elements of design and principles of composition
- 2. Drawing media: e.g., chalk, charcoal, crayon, ink, pastel, pencil, scratchboard, silverpoint, wash
- 3. Diverse kinds of drawing
  - a. Kinds of drawing determined by subject matter; e.g., portraits, landscapes, figure compositions, still lifes
  - b. Kinds of drawing determined by special uses [for aspects of drawing related to writing, see 629.C.4.]
    - i. Animation
    - ii. Caricature, cartoon, comic strip
    - iii. Cartography and mapping
    - iv. Drafting
- 4. The history of drawing
- B. The art of painting
  - 1. Elements of design and principles of composition
  - 2. The iconography of painting
  - 3. Painting media; e.g., acrylic, casein, encaustic, fresco, gouache, ink, oil, tempera, watercolour
  - 4. Related media and techniques
    - a. Calligraphy [see 629.C.4.a.]
    - b. Drawing [see A., above]
    - c. Mosaic

- d. Photography [see D., below]
- e. Printmaking [see C., below]
- f. Stained glass
- g. Tapestry
- 5. The kinds of painting
  - a. Kinds of painting determined by the type or form of the physical object on which the picture is painted
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    - ii. Movable objects: easel painting, fan painting, manuscript illumination, miniature painting, screen painting, scroll painting
  - b. Kinds of painting determined by subject matter
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- 6. The history of painting
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    - c. Surface media: lithography, monoprint, serigraphy
  - 3. Printmaking tools and techniques [see 735.E.4.]
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- D. Photography as an art
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  - 4. The history of photography

MACROPAEDIA: Major articles and biographies dealing with drawing, painting, printmaking, and photography

## General subjects

Caricature, Cartoon, and Comic Strip Drafting Drawing Folk Arts Mapping and Surveying Painting, The Art of Painting, The History of Western Photography Printmaking

# **Biographies**

Leonardo da Vinci Michelangelo Picasso Rembrandt Titian Velázquez

MICROPAEDIA: Selected entries of reference information

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drawing: aerial perspective anamorphosis animation blot drawing brush drawing caricature cartography cartoon chalk drawing charcoal drawing chiaroscuro comic strip crayon draperv drawing écorché foreshortening isometric drawing line-and-wash drawing metal point pastel pen drawing pencil drawing perspective sanguine scratchboard sgraffito silhouette sketch squaring wash drawing mosaics and stained glass: commesso Cosmati work emblema gemmail mosaic opus sectile opus tassellatum opus vermiculatum pebble mosaic pietra dura stained glass tessara tessellated pavement painting: aerial perspective anamorphosis bark painting casein painting drapery

encaustic painting gesso gouache grisaille oil painting painting panel painting perspective sand painting sizing tempera painting watercolour photography: albumen paper carte-de-visite Fotoform gelatin process Group f.64 Linked Ring Photo-Secession Group photomontage tintype vortograph prehistoric painting: Altamira Font-de-Gaume Franco-Cantabrian school Gargas Lascaux Grotto macaroni Tassili-n-Ajjer Trois Frères, Les X-ray style printing: aquatint bookplate cliché-verre decal drypoint embossing engraving etching ink intaglio linocut lithography mezzotint monotype printmaking relief printing rubbing stencilling

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styles of painting— Japanese: chinsō Kanō school Nan-ga nise-e scroll painting Shijō school suiboku-ga Tosa school Ukivo-e Yamato-e styles of painting-Western: Abstract Expressionism Abstraction-Création Action painting Ada group Antwerp Mannerists art brut Automatism Avignon school **Bambocciati** Barbizon school Biedermeier style Blaue Reiter, Der Bolognese school Brücke. Die Camden Town group Cobra Cubism Dada Danube school Düsseldorf school Eight, The English school Fauvism fête champêtre Flemish art Fontainebleau, school of fore-edge painting Futurism genre painting Geometric style Ghent-Bruges school Hiberno-Saxon style Hudson River school Impressionism

Intimism Italianate painters Jack of Diamonds Japanism London group Luminism Macchiaioli Metaphysical painting minimalism Moscow school Nabis Nazarene Neo-Expressionism Neo-Impressionism Neue Künstlervereinigung Neue Sachlichkeit New York school Norwich school

# Biographies

illustrators: Beardsley, Aubrey Beerbohm, Sir Max Crane, Walter Daumier. Honoré Gibson, Charles Dana Leech, John Nast, Thomas Pyle, Howard Rockwell, Norman Thurber, James painters—British: Burne-Jones, Sir Edward Coley Constable, John Gainsborough. Thomas Hogarth, William Lawrence, Sir Thomas Lewis, Wyndham Millais, Sir John Everett, 1st Baronet Palmer, Samuel Reynolds, Sir Joshua Rossetti, Dante Gabriel Stubbs, George Turner, J.M.W. Wilson, Richard painters—Dutch: Bosch. Hiëronymus Bouts, Dirck Cuvp, Aelbert Jacobsz

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# Section 629. Arts of Decoration and Functional Design

- A. The nature and scope of the arts of decoration and functional design
- B. The kinds of decorative arts and types of decorative objects classified by the materials and methods used to produce or decorate them, or both
  - 1. Clay; e.g., earthenware, stoneware, porcelain
  - 2. Fabrics
  - 3. Gems
  - 4. Glass
  - 5. Metals
  - 6. Paper; e.g., papier-mâché, wallpaper
  - 7. Stone

- 8. Wood
- 9. Other kinds of inorganic materials with special attention to
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  - b. Plastics and other synthetic materials
- 10. Other kinds of organic materials with special attention to
  - a. Flowers, foliage, and related botanical materials; *e.g.*, bouquets, garlands, wreaths [for garden and landscape design, see 626.B.]
  - b. Plant fibres, reeds, branches, and related materials; e.g., baskets, mats
  - c. Skins, furs, and related materials
  - d. Shell, horn, bone, ivory, and related materials
  - e. Wax
- 11. Special decorative finishing materials, processes, and techniques
  - a. Enamelwork; e.g., cloisonné, champlevé, painted enamels
  - b. Lacquerwork; e.g., carved lacquer, inlaid lacquer, laque burgauté
  - c. Inlay work; e.g., veneering, intarsia, marquetry
- C. The arts of functional design: kinds and types of artistic object classified by their function
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    - b. Jewelry
    - c. Body decoration: cosmetics; hairdressing and hair adornment; physical modification; perfumes, scents, and fragrances
  - 2. Industrial design
    - a. Industrial design as an art
    - b. Diverse kinds of industrial design classified by function; *e.g.*, design of commercial equipment, design of communications equipment, design of household appliances, design of transportation equipment
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    - b. The integration of interior design and decoration with architecture: the design and decoration of interior architectural elements
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      - ii. Floors
      - iii. Floor coverings; e.g., rugs, carpets, mats
      - iv. Walls; e.g., molding, paneling, wallpaper
      - v. Windows and doors
      - vi. Other interior architectural elements; e.g., heating units, stairs and staircases
    - Objects used for interior decoration: furniture and accessory furnishings [for technological aspects, see 732.B.4.]
  - 4. The design of materials and objects for communication and identification
    - a. Handwriting systems and styles: calligraphy, lettering, illuminating [for forms of writing, see 514.E.]
    - b. Printing arts: typography and printing design, illustration, bookbinding [for printmaking, see 628.C.]
    - c. Advertising art and design
    - d. The design of signs and symbols used primarily for identification; e.g., heraldic design
    - e. The design of exhibitions and displays; e.g., museum and gallery display

- 5. The design and decoration of diverse kinds of specialized functional objects
  - a. The design of coins and currency and of medals
  - b. The design and decoration of play materials
  - c. Automata: the design of decorative mechanical objects
  - d. The design and decoration of arms [for the technological aspects of arms, see 736]

MACROPAEDIA: Major articles dealing with the arts of decoration and functional design

African Arts	East Asian Arts	Printing,	Southeast Asian
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MICROPAEDIA: Selected entries of reference information

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Insular script	Mosan school	hosiery	enamelwork
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garnet gemstone granulation hei tiki iadeite iewelrv lapis lazuli lip ring, lip plug, and lip plate magatama nephrite netsuke nose ring onyx opal parure pearl pendant peristerite phenakite ring ruby ruby spinel sapphire sard and sardonyx topaz torque ts'ung turquoise variscite watch fob zircon lacquerwork and related techniques. chinkin-bori decoupage fundamiji gilding hiramaki-e hirameji iapanning Kamakura-bori kanshitsu lacquerwork laque burgauté maki-e nashiji raden rō-iro togidashi maki-e metalwork and metalware: Bīdrī ware britannia metal bronze work caudle cup chasing chia chien chüeh

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Chippendale.

Cressent, Charles

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Thomas

# Introduction to Part Seven: Knowing How and Knowing Why

by Lord Ritchie-Calder

Benjamin Franklin defined man as "the tool-making animal." If he had added the phrase "with foresight," he would have adequately described *Homo faber*, man the technologist.

Inventiveness was the indispensable condition for the survival of the human species. Without fur or feather, carapace or scale, ancestral man stood naked to the elements: and without fang or claw or tusk to fight his predators, without speed to elude them, without camouflage to deceive them or the ability to take to the trees like his cousin, the ape, he was physically at a hopeless disadvantage. What he developed to deal with his deficiencies was the capacity to invent. He possessed not only sensory perceptions (though these were less acute than those of many of his fellow creatures), he also possessed imagination and finger-skills. He did not just improvise to meet an emergency as an ape might in using a broken branch as a weapon; he also saw the need for keeping a club handy—he planned ahead. Other creatures had their inherited instincts, their built-in experience. Some, like the beaver or the weaverbird, with their biological tools, could contrive quite elaborate structures; others, like the bees or the ants, could evolve efficient organizations; others, like the squirrel, were provident in the sense of laying in stores. With nimbleness of brain and hand, a combination of gray matter and motor-cells, man could scheme to outreach, with club, or spear or sling, his natural enemies; he could manage nature and escape from the restraints of his environment. He clothed himself in pelts and moved to inhospitable climes, he mastered fire and dared to bring it into his dwelling for heating and cooking, he learned to cultivate and plant the soil, he domesticated animals, and he devised specialized tools like the hoe and the ax to improve the efficiency of his labour.

From earliest time and beginning with the simplest contrivances, every discovery and invention has depended on the fact that the human being is not only a perceptual but also a conceptual creature capable of observing, memorizing, and juxtaposing images. He can make a mental design, a techno-poetic fantasy, even when the means of actually producing it are not available. Seven hundred years ago Roger Bacon could imagine a power-driven ship, a horseless carriage, an airplane, the miniaturized servo-motor, "but one finger in length and one in width," and the bathysphere. The vision cannot materialize, however, unless man has the method. This is the process by which he makes an observation (perceptual); forms a hypothesis (conceptual); experiments to test this "hunch"; formulates a theory to justify his insights; and by further proofs produces "laws" according to which anyone can go on repeating the results. With spoken language, he can transfer experience, father to son, master to apprentice, generation to generation. With written language, he can produce the textbooks that are the ready-reckoners for other innovators who thereby do not have to rediscover Newton's laws or the laws of thermodynamics every few years. This systematic treatment of the arts and crafts is the simplest expression of the meaning of "technology," from the Greek roots *techne*, arts, and *logia*, words. The ancient Greeks had no such combined term because their philosophers divorced manual skills from intellectual pursuits. Plato berated Eudoxus and Archytas when by experiments and recourse to instruments they solved problems that the theorists considered insoluble. He accused them of "making use of matter which requires manual labour and is the object of servile trades."

This intellectual condescension still persists, although individual technologists have won recognition from scientific societies and learned academies. The prejudice is suggested by the acceptance of the term "science and technology." Yet both science and technology use the scientific method. Was Leonardo da Vinci, apart from being an artist, a scientist or a technologist? In terms of discovering and testing new knowledge he was a man of science, but his designs for practical innovations outnumbered those of Thomas Alva Edison. Edison, 400 years later, patented over 1,000 inventions. They included major ones, for which he is remembered, but also hundreds of bits of useful hardware. important in their way. He made only one scientific discovery, the Edison effect, which he patented but did not pursue. The rest were derived from scientific knowledge and developments. He saw the profitable relevancies that lesser men missed; he fitted the mental nut to the mental bolt and created things.

Customarily, science, or the scientific hierarchy, is divided into four categories:

*Pure, or academic, research* is the pursuit of knowledge for its own sake. It is mainly the work of an individual, or the group he leads. The pure scientist has to justify himself only before a jury of his peers. He is judged not by the usefulness but by the integrity of his work. He is the Maker Possible.

Oriented fundamental research is still basic science; that is to say, the scientist is still questioning nature, seeking to extend knowledge and understanding, but he is not a free agent indulging his curiosity. He is restrained within a frame of reference. For instance, in studying chemical reactions at high pressures he is not assuming that he is going to discover polyethylene, or if he is studying gases at high temperatures he is not necessarily thinking of jet engines or rockets; but he is compiling data that will be important in a general field and likely to have some foreseen applications. In the big corporations, this is called "speculative research." Such a scientist is likely to have adequate research facilities, endowments, or contracts. He is the Maker Probable.

Applied research is programmed research. The target is specified, and results are expected. The predicted yield is

the measure of the support. The scientist is held accountable in the annual report. He is the Maker to Happen.

Development is really technology, but coupling it with research (R and D) keeps it in the scientific hierarchy and away from the "rude mechanicals." It is the transfer of laboratory results, through the pilot plant, to the production line. R and D is far and away the most expensive scientific bracket because large-scale trial and error ("back to the drawing board") involves multimillions of dollars. The R and D scientist is the Maker to Work.

Through the craft guilds and their "mysteries" and their conversion to factory methods, technology had an evolutionary history in many cultures and many lands. Alfred North Whitehead claimed that "the greatest invention of the nineteenth century was the invention of the method of invention." Nowhere was this better demonstrated than at Edison's "invention factory" at Menlo Park, New Jersey, where, starting in 1876, Edison organized the first industrial research laboratory. In folklore, he is regarded as a "loner," who invented by intuition. In fact, he systematized the process of invention, coordinating and applying relevant knowledge through a hard-worked team that included mathematicians, physicists, chemists, and skilled mechanics. Invention was no longer the private indulgence of the gifted amateur or the rare professional; a techno-methodology had been created to guarantee commercial success. In Edison's case the result was often a "package deal"-not just the incandescent lamp, but the generating plant and the transmission system. In the case of Henry Ford, it was not just the Model T, but the assembly line, which he enlarged to a factory that was one-fifth of a mile long, with a conveyor-belt system that synchronized each stage of construction with the delivery of each part to the operator. He embodied scientific management, with its time-and-motion studies and production engineering.

The feedback system between the know-why (academic science) and the know-how (technology) is recalibrating the time-function of change. A new scientific discovery (explanation of a phenomenon) is seized by the technologists and put to work. In turn the technologists provide the instruments that, with greater refinements and speed, enable the scientists to make further discoveries. An outstanding example is cybernetics. The pencil-and-paper mathematicians had long known the principles of the computer, but they had to wait for the post-World War II electronic engineers to produce the "hardware." Now with instant responses, or nearly so, and vast computer capacities and prodigious "memories," with means not only for numerical calculation but for logical simulation, with feedback (like a burned finger signaling to the brain and the brain withdrawing the finger from the hot plate), scientists are not only able to do calculations so complex that they would not previously have attempted them, but they are also learning, from the engineers, about the nature of systems, including the systems of nature itself. Cybernetics deals with the information-processing aspects, as distinguished from the energy-transforming aspects, of all systems regardless of their physical nature. This has facilitated the development of automatic control, telecommunications, and computing; it is applicable also to systems engineering, economics, and neurophysiology.

Though we acknowledge the truth of Whitehead's apho-

rism, his essentially engineering approach to technology is too restrictive. Every advance in the practical arts from hunting to food-gathering to cultivation, to animal husbandry, to irrigation, to mining, and on through construction, transportation, food-processing, heating, power generation, lighting, communications, military engineering, and clinical medicine has produced social and cultural changes. The Neolithic Revolution was as climacteric as the Industrial Revolution. Moreover, the preoccupation with Western technology ignores the cultural origins of many major innovations and forgets that, historically, the European Dark Ages (not so dark as is often supposed) coincided with Golden Ages of material advances in China, India, and pre-Columbian America. Only in recent years have historians (Singer, Crombie, Lynn White, Hall, Needham, Forbes, and others) given serious attention to these facts. The anthropologists, looking at cultural influences, have been similarly remiss. Economists have been preoccupied with the "production function" and sociologists with the social effects of innovation (from television to freeways) and with work-force redundancy. The present distortions, produced by rapid technological change, obscure the fact that civilization itself derived from excess production and redundancy. When agriculture surpassed subsistence, fewer tillers were required to support the cities, with their artisans (specializing in other forms of production), their priesthoods, their scholars, their soldiery and warrior-kings, their tithe-gatherers, their merchants, and their money-changers. Technological displacement today, whether it is called unemployment, underemployment, leisure, or nonwork, similarly calls for social readjustments to find nonmanufacturing expressions of human capacities.

No explanation of the intrinsic or historic attributes of technology can convey the love-hate overtones that the term has acquired. In the ogre sense of the word, it has become a threat to lives and livelihoods and to the total environment. In the efficiency sense, it is hailed as the methodological solution of all our problems from government administration to the production of miracle grains to abolish hunger. Some, like Jacques Ellul and B.F. Skinner, claim that we are already the hostages of our man-made environment: the first maintaining that technology has taken over all of man's activities and not just his productive activities; the second, that autonomous man, with free will and freedom and dignity, is now an anachronism and has to be intentionally controlled by the "technology of behaviour."

Obviously this usage is stretching the meaning of "technology" beyond the foregoing derivations and descriptions—the etymology; the cultural origins; the scientific precedents; the nuts-and-bolts and something popularly promoted to capital letters as "The Machine." This usage expands even Harold Lasswell's accommodating version: "The ensemble of practices by which one uses available resources to achieve values." It is more consistent with the French *la technique*, which refers to any complex of standardized means for attaining predetermined ends. Thus it would apply to organization, government institutions, systems of politics or religions, or anything which reduces spontaneous or impulsive behaviour to a rationale. As was said of *la technique* of wartime operational research, "it ran the war by numerical thinking instead of gusts of emotion."

In adventurously exploring the three divisions and fifteen sections of the encyclopaedia's treatment of technology of which this introduction is, hopefully, the appetizer, the reader will find other interpretations and probably produce his own. In common usage, however, the preoccupation is with "The Machine" and the effects of its products on our lives.

Resentment against the replacement of men by machines goes back beyond Ned Ludd and the machine-wreckers of the Industrial Revolution, but present-day attitudes are of a different order of magnitude. They derive from the speed and scale of change. Hahn and Strassmann's laboratory discovery of uranium fission in 1938 was transformed into a nuclear bomb in 1945. If there is no nuclear war, history will consider the Manhattan Project, which produced the bomb, as important as the bomb it produced. It is the archetype of the crash program in which men, materials, and methods are mobilized to attain an objective in a given time. Man on the Moon by 1970 was another example, with the time-target beaten by six months. The time-lapse between a fundamental scientific discovery and its practical application has been reduced from centuries to decades to years to months. Since World War II, we have had the Atomic Age, the Cybernetic Age, the Space Age, and now the Bioengineering Age, in which not only by organ transplants but also by the deliberate manipulation of genes it may be possible to engineer the nature of man himself. Thus in the growing up of the postwar generation

there have been four major epochs nearly as significant as the Stone Age, the Iron Age, the Renaissance, and the Industrial Revolution. At the same time there has come the shocked awareness of the effects on the environment of the wastes of technology. Again this is a matter of scale and lack of prescience. (The ore miners and metal workers of Cyprus and Asia Minor were polluting the Mediterranean with heavy metals 5,000 years ago, but the effects were insignificant compared with volcanic debris.) When people complain, however, of "interference with the environment" they should be mindful that such interference has been the sine qua non of the survival of Homo sapiens. Moreover, when we try to get rid of our guilt-sense about the effects of misused technology and reject the gadgeting we ashamedly enjoy, we should not go too far and "throw out the baby with the bathwater." We cannot go back to the apes nor even to Arcadia.

The great problem is how to force ebullient technology and its transnational expansion to produce human well-being, not just in the quantity of artifacts but in improving the quality of life, including redressing of the mischief in the environment. This requires an enlightened and informed society that knows what it wants and is not cult-ridden or crash-programmed into accepting what it does not want or need. This cannot be achieved through programmed learning nor the technology of behaviour nor systems engineering. We are back with the know-why as the initiator and the monitor of the know-how.

# Part Seven. Technology

Several points should be noted about the relations of this part to other parts. Technology involves applications of the knowledge of nature dealt with in Parts One, Two, and Three and in turn has an influence on the development of that knowledge. It has a major role in relation to human communication and an influence on the cultural, social, economic, political, legal, and educational life of humankind, dealt with in Part Five; and a conditioning effect on the development of the fine arts, dealt with in Part Six. To a degree, technological developments affect developments in the religious life of humans, dealt with in Part Eight. Technology is a major dimension in the history of humankind, the subject of Part Nine. The branches of technology and of engineering have themselves become the subject of historical and

analytical studies. Those studies are presented in Section 10/37 of Part Ten.

Division I. The Nature and Development of Technology 265

- II. Elements of Technology 268
- III. Major Fields of Technology 280

#### Division I. The Nature and Development of Technology

The outlines in the two sections of Division I treat the scope and history of technology, and the organization of human work.

Section 711. Technology: Its Scope and History 265 712. The Organization of Human Work 266

### Section 711. Technology: Its Scope and History

- A. General conceptions or definitions of technology
- B. Relations between technology and other spheres of contemporary life
  - 1. Technology and wealth
  - 2. Technology and war [see 736]
  - 3. Technology and education
  - 4. Technology and art [see also 612.D.4.]
  - 5. Technology and social institutions [see also 512.B.3.]
  - 6. Technology and the underdeveloped regions: the export of Western technology [see also 512.B.3.]
  - 7. Effects of technology on the environment [see also 355.B.1.]
- C. History of technology: sociocultural consequences of technological changes [see also 512.B.]
  - 1. Technology in the ancient world
    - a. The beginnings of technology (to c. 3000 BC): emergence of the earliest communities, use of stone tools and weapons, beginnings of mining and agriculture
    - b. The urban revolution (c. 3000-500 BC): early civilization in the valleys of the Nile and Tigris-Euphrates river systems, waterworks for irrigation, urban manufacturing
    - c. Technological achievements of Greece and Rome (500 BC-AD 500): mastery of iron, invention of mechanical contrivances, architectural and constructional works

- 2. Technology from the Middle Ages to 1750
  - a. Medieval advances (AD 500-1500): harnessing of wind power and waterpower; construction of canals and bridges; construction of full-rigged ships; invention of printing
  - b. The emergence of Western technology (1500-1750): invention of early scientific instruments and tools, birth of steam power, development of agricultural and constructional techniques
- 3. The Industrial Revolution (1750-1900)
  - a. Advances in power technology: development of steam power, internal-combustion engine, and electric power; exploitation of mineral and fossil fuels
  - b. Development of industries: iron and steel, textiles, chemicals, transportation, communications
- 4. Technology in the 20th century
  - a. Early developments: exploitation of hydroelectric power; synthesizing of fibres, plastics, rubber, dyes, and drugs; rationalization of production
  - b. Space Age technology: nuclear power, automation and the electronic digital computer, rocketry and space exploration, advances in agricultural technology, advances in transportation and communication
  - c. Effects of technology on the environment

MACROPAEDIA: Major articles and a biography dealing with technology: its scope and history

Edison Technology, The History of

MICROPAEDIA: Selected entries of reference information

General subjects

industrial engineering Industrial Revolution industrialization manufacturing research and development safety safety engineering security and protection system service industry technology

#### **Biographies**

See Section 10/37 of Part Ten

INDEX: See entries under all of the terms above

#### Section 712. The Organization of Human Work

- A. The organization of work
  - 1. The organization of work in the prehistoric world [see also 512.B.1.]
    - a. Origin of division of labour based on age and sex differences, initial absence of class divisions
    - b. Communal organization: specialization required by the development of pottery, textiles, agriculture, and metallurgy
  - 2. The organization of work in the ancient world
    - a. Theories of civilization's development: explanations of the origin of hierarchical organization
    - b. Effect of social classes on the organization of labour
    - c. Organization of agricultural labour
    - d. Organization of industrial labour by craft
    - e. Organization of labour for large-scale construction
  - 3. The organization of work in the medieval world: the manor system, the craft guilds, organization of free labour for large-scale construction

- 4. Changes in production techniques from the 16th to the 18th century: foundations of modern industrial production
- 5. Mass production: the organization of labour by product rather than by process
- 6. The use of machines as replacements for labour

B. The application of scientific methods to managerial functions

- 1. Operations research: the application of scientific method to the management of organized systems
- 2. Systems engineering: the utilization of scientific and technological knowledge in planning and designing complex systems
- 3. Systems-design techniques, tools, and procedures
  - a. Techniques: use of flow charts and other symbolic models, precise formulation of suitable objectives
  - b. Tools: optimization theory, communication theory, queuing theory, game theory [see also 10/23.E. and F.]
  - c. Procedures: exploratory planning, development planning
- C. The relation between man and machine in industrial production
  - 1. The effects on mankind of the rationalization of work: psychological and social aspects of mass production and automation
  - 2. The human-factors approach: the design of machines, tools, and work environments with consideration for the capabilities and limitations of humans

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the organization of human work

Automation Industrial Engineering and Production Management Modernization and Industrialization Work and Employment

MICROPAEDIA: Selected entries of reference information

#### General subjects

assembly line	game theory	mathematical	systems engineering
automation	Hawthorne	programming	time-and-motion
critical path	research	operations research	study
analysis	human-factors	queuing theory	trade organization
domestic service	engineering	robot	work
domestic system	mass production	standardization	

#### **Biographies**

See Section 10/37 of Part Ten

INDEX: See entries under all of the terms above

#### Division II. Elements of Technology

[For Part Seven headnote see page 265.]

Division I is concerned with the nature and effects of technology as a whole. The outlines in the five sections of Division II deal with technical processes not specific to any of the major fields of technology. The technologies of the major fields are dealt with in Division III.

Section 721. Technology of Energy Conversion and Utilization 268

- 722. Technology of Tools and Machines 270
- 723. Technology of Measurement, Observation, and Control 271
- 724. Extraction and Conversion of Industrial Raw Materials 274
- 725. Technology of Industrial Production Processes 277

#### Section 721. Technology of Energy Conversion and Utilization

- A. Major types of energy useful to humankind
  - 1. Primary energy sources: thermonuclear reaction, nuclear fission, radioactivity
  - 2. Recurring energy sources: solar energy, natural thermal energy, wind and water energy, biomass
  - 3. Nonrenewable energy sources: coal, natural gas, oil
- B. Devices and techniques for the utilization of energy
  - 1. Devices for utilizing muscle energy: pulley, lever, block and tackle, treadmill [see also 722.B.1.]
  - 2. Devices for utilizing wind and water energy: sails and sailboats, windmills, waterwheels, wind and water turbines
  - 3. Devices for utilizing gravitational energy: pendulums, counterweight mechanisms
  - 4. Devices for utilizing strain and compression energy
    - a. Steam engines and steam power plants
    - b. Steam turbines [see B.2., above]
    - c. Compressed-air and compressed-gas tools and machines
    - d. Hydraulic devices
  - 5. Devices for utilizing magnetic and electrical energy
    - a. Magnets, electromagnets
    - b. Electric motors: induction motors, synchronous motors, commutator motors utilizing alternating current and direct current
  - 6. Devices for utilizing rotational energy: centrifuges, gyroscopes
  - 7. Devices for utilizing heat energy: heat exchangers, refrigeration equipment
  - 8. Devices for utilizing chemical energy
    - a. Internal-combustion engines: gasoline and gas turbine engines; diesel engines; jet, turbojet, fan-jet, and turboprop engines; rocket engines
    - b. Chemical explosives: black powder, nitroglycerin, dynamites, nitrocellulosic explosives, military explosives, other modern high explosives
  - 9. Devices and materials for utilizing nuclear energy: nuclear fission reactors, radioactive isotopes, nuclear fusion reactors [see also 112.B., C., F., and G.]
  - 10. Devices for utilizing solar energy
- C. Devices for energy conversion
  - 1. Thermoelectric devices
  - 2. Thermionic devices
  - 3. Magnetohydrodynamic power generators
  - 4. Batteries and fuel cells

- 5. Lamps and other lighting devices
- 6. X-ray tubes [see also 111.D.1.]
- 7. Devices for electric power generation: turbine-driven generators, engine-driven generators, nuclear-powered generators, hydraulic-turbine-driven generators, thermoelectric generators, dynamos, photovoltaic devices
- D. Devices for energy concentration and control
  - 1. Electron tubes
  - 2. Solid-state devices; e.g., transistors, semiconductor diodes, integrated circuits
  - 3. Optoelectronic devices; e.g., liquid-crystal displays, optical fibres, semiconductor lasers
- E. Devices for unlimited production of free energy: attempts to design perpetual motion machines

MACROPAEDIA: Major articles dealing with the technology of energy conversion and utilization

Electronics	Industries, Chemical Process
Energy Conversion	Industries, Extraction and Processing

MICROPAEDIA: Selected entries of reference information

#### General subjects

chemical explosives:	electronic devices:	evaporator	moving-fluid devices:
blasting	amplifier	heat exchanger	centrifugal pump
blasting cap	antenna	heat pipe	hydraulic
dynamite	band-pass filter	refrigeration	transmission
explosive	diode	internal-combustion	pump
firework	electric circuit	engines:	turbine
gunpowder	electric switch	carburetor	waterwheel
nitrocellulose	electron tube	choke	windmill
RDX	electronics	diesel engine	nuclear reactors:
compression energy 1	ferrite	fuel injection	breeder reactor
and its devices:	grid	gasoline engine	fusion reactor
bellows	ignitron	ignition system	nuclear reactor
cogeneration	integrated circuit	internal-combustion	rockets:
compressor	klystron	engine	Atlas rocket
piston and cylinder	laser	jet engine	Delta
pneumatic device	magnetron	ramjet	launch vehicle
propellant	microprocessor	rotary engine	rocket
steam engine	microwave oven	spark plug	Saturn
electrical devices:	photoelectric cell	supercharger	Thor rocket
battery	photomultiplier	turbojet	V-2 missile
cell	tube	turboprop	other:
electric generator	printed circuit	major types of energy:	blowpipe
electric motor	semiconductor	electric power	magnetohydro-
electrolytic cell	device	energy	dynamic power
electromagnet	transistor	fire	generator
fuel cell	heat exchange and	fossil fuel	perpetual motion
fuse	related devices:	geothermal energy	thermionic power
linear motor	boiler	hydraulic power	converter
magneto	cogeneration	hydroelectric power	thermoelectric
motor generator	condenser	solar energy	device
voltage regulator	cooling system	tidal power	transducer
		waterpower	

Biographies

Braun, Wernher von Goddard, Robert Carnot, Sadi Hutchings De Forest. Lee Nobel, Alfred Diesel, Rudolf Bernhard Evans, Oliver See also Section 10/37 of Part Ten Sperry, Elmer Ambrose Stevens, John Tesla, Nikola Watt, James Westinghouse, George

INDEX: See entries under all of the terms above

## Section 722. Technology of Tools and Machines

#### A. Hand tools

- 1. Early history of hand tools: Paleolithic and Neolithic stone tools, development of metal tools
- 2. Basic types of hand tools
  - a. Percussive tools: hammers, axes
  - b. Cutting, drilling, and abrading tools: knives, saws, files
  - c. Screw-based tools: screwdrivers, wrenches
  - d. Measuring and defining tools: levels, dividers, rules
  - e. Tool auxiliaries: workbench, vise
- 3. Power-driven hand tools: electric drills and circular saws, pneumatic hammers and riveters
- B. Machines and machine components
  - 1. Simple machines: lever, wedge, wheel and axle, pulley, and screw
  - 2. Machine mechanisms: devices that transmit motion by means of flexible connectors, rigid connecting links, or direct contact
  - 3. Machine components
    - a. Gears
    - b. Cams
    - c. Linkages
    - d. Flywheels
    - e. Belt and chain drives
    - f. Couplings
    - g. Clutches
    - h. Brakes
    - i. Bearings
    - j. Shafts and shaft accessories
    - k. Screws
    - 1. Springs
  - 4. Friction accommodation and reduction
    - a. Bearings [see B.3.i., above]
    - b. Lubricants and their functions, types, and properties
- C. Machine tools: stationary power-driven machines for shaping and forming parts made of metal or other materials
  - 1. History and characteristics of machine tools
  - 2. Operation of metal-cutting tools
  - 3. Basic machine tools: turning machines, shapers and planers, drilling machines, milling machines, grinding machines, power saws, and presses

- 4. Modifications of basic machines; e.g., turret lathes, production millers
- 5. Special-purpose machines; e.g., gear-cutting machines, broaching machines
- D. Computer-aided machining
  - 1. Computer numerical control
  - 2. Computer-aided design and computer-aided manufacturing (CADCAM)
  - 3. Robots
  - 4. Computer-integrated manufacturing
- E. Nonconventional methods of machining
  - 1. Electrical methods: electron-beam machining, electrical-discharge machining, electrochemical machining, ion beam machining, laser machining, plasma arc machining
  - 2. Other methods: ultrasonic machining, chemical machining, photochemical machining, water-jet machining

MACROPAEDIA: Major article dealing with the technology of tools and machines

Tools

MICROPAEDIA: Selected entries of reference information

#### General subjects

basic machine tools:	router	flexible shaft	transmission
auger	saw	flywheel	washer
boring machine	screwdriver	gear	simple machines:
drill press	vise	governor	capstan
grinding machine	wrench	linkage	crank
lathe	machine components:	machine	inclined plane
milling machine	air brake	mandrel	lever
planer	air spring	mechanism	pulley
punch press	automatic	nut	wheel
reamer	transmission	pin fastener	wheel and axle
router	ball bearing	rack and pinion	other:
shaper	bearing	ratchet	block and tackle
hand tools:	belt drive	Rolamite	divider
adz	bolt	roller bearing	jack
ax	brake	screw	level
brace and bit	cam	shaft coupling	lubrication
chisel	clutch	shaft seal	pantograph
drill	differential gear	slider-crank	square
file	eccentric-and-rod	mechanism	tool
hammer	mechanism	spring	tool and die
pliers	escapement	toggle mechanism	making
Biographies			-

See Section 10/37 of Part Ten

INDEX: See entries under all of the terms above

### Section 723. Technology of Measurement, Observation, and Control

- A. Theory of measurement [see 10/31.B.3.b.]
- B. Units and standards of measurement
  - 1. Systems of weights and measures: standards for the measurement of mass and length
  - 2. Standards and techniques for measurement of time

- C. Principles and processes by which instruments of measurement operate
- D. Common types of measuring instruments
  - 1. Instruments for measuring basic dimensions
    - a. Devices for measuring length: rules, calipers, micrometers
    - b. Devices for measuring mass and weight: scales, balances
    - c. Devices for measuring time: mechanical, electric, and atomic clocks
    - d. Devices for measuring temperature: gas, liquid, and electrical resistance thermometers
    - e. Devices for measuring electric current and other electrical properties: galvanometers, ammeters, voltmeters
    - f. Devices for measuring light intensity: photometers, light meters, exposure meters
  - 2. Instruments for measuring physical properties and relationships derived from basic dimensions
    - a. Instruments for measuring pressure: barometers, manometers
    - b. Instruments for measuring rate of flow: flowmeters, water meters, gas meters
    - c. Instruments for measuring position by angulation and direction finding
      - i. Compasses: magnetic compasses, gyrocompasses
      - ii. Surveying instruments: levels, transits, sextants
    - d. Instruments for measuring gravity: gravimeters
    - e. Instruments for making optical measurements: polarimeters, refractometers
    - f. Instruments for measuring ionizing radiation: Geiger counters, scintillation counters
    - g. Instruments for measuring volumetric and mechanical properties of materials, including density, viscosity, and mechanical strength
- E. Instruments used for observing and recording
  - 1. Instruments for observing phenomena
    - a. Microscopes: optical, electron, acoustic, and scanning tunneling microscopes
    - b. Telescopes: optical, radio, and other types (e.g., infrared, ultraviolet, X-ray, and gamma-ray systems)
    - c. Spectroscopes and spectrographs
    - d. Interferometers
  - 2. Instruments for recording phenomena: cameras [see also 735 G.]
- F. Special instruments and apparatus used in scientific research
  - 1. General laboratory equipment: filters, mixers, centrifuges
  - 2. Research reactors
  - 3. Particle accelerators; e.g., Cockcroft-Walton generators, Van de Graaff generators; linear resonance accelerators, betatrons, cyclotrons, synchrotrons, colliding-beam storage rings
  - 4. Mass spectrometers
  - 5. Chromatographs
  - 6. Seismographs [see also 213.B.6.]
  - 7. Particle detectors: bubble chambers, scintillation counters
- G. Major systems of measurement and observation
  - 1. Surveying [see also D.2.c.ii., above, and 733.A.2.]
  - 2. Mapping and cartography
  - 3. Hydrographic charting
  - 4. Oceanographic measurement [see also 222.B., E., F., G., and H. and 738.B.]
  - 5. Meteorological measurement [see also 221 and 223]

- 6. Astronomical observations
- 7. Navigational techniques and devices
- 8. Radiological techniques and devices

H. Instrumentation and control systems

- 1. Instrumentation systems: systems that operate or actuate control devices or record measurements automatically
- 2. Control systems
- 3. Telemetry systems: remote monitoring and control

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with technology of measurement, observation, and control

Analysis and Measurement, Physical and Chemical Calendar Climate and Weather Mapping and Surveying Measurement Systems

Microscopes Navigation Particle Accelerators Radar Telescopes Time

MICROPAEDIA: Selected entries of reference information

General subjects			
astronomical devices:	caliper	instruments and	projection
astrolabe	depth finder	techniques for	surveying
astronomical	gauge	measuring	theodolite
observatory	range finder	properties of liquids:	topographic map
Cassegrain reflector	strain gauge	hydrometer	triangulation
coronagraph	vernier caliper	Jolly balance	trilateration
Keplerian telescope	instruments for	pH meter	weather map
radio	measuring electrical	polarimetry	meteorology:
interferometer	and magnetic	viscometer	anemometer
radio telescope	quantities:	instruments and	barometer
Schmidt telescope	ammeter	techniques for	ceilometer
telescope	bridge	measuring	hygrometer
X-ray telescope	cathode-ray	radiation:	isentropic chart
calendars:	oscilloscope	actinometer	isobar
Aztec calendar	electrometer	bolometer	isotherm
Chinese calendar	galvanometer	cloud chamber	radiosonde
Dionysian period	magnetometer	coincidence	temperature-humidity
Egyptian calendar	ohmmeter	counting	index
French republican	oscillograph	densitometer	weather bureau
calendar	signal generator	dosimeter	wind rose
Greek calendar	voltmeter	frequency meter	World Weather
Gregorian calendar	watt-hour meter	ionization chamber	Watch
international date	instruments for	photometer	navigational
line	measuring force:	radiometer	techniques and
Jewish calendar	balance	solid-state detector	devices:
Julian calendar	gravimeter	spark chamber	celestial navigation
leap year	Roberval balance	wavemeter	compass
lunar calendar	spring balance	mapping and	consol
Mayan calendar	torsion balance	surveying:	dead reckoning
Muslim calendar	instruments for	aerial photography	direction finder
perpetual calendar	measuring motion	cartography	great circle route
Roman republican	and fluid flow:	contour mapping	inertial guidance
calendar	accelerometer	hydrography	system
solar calendar	airspeed indicator	isobar	loran
Tibetan calendar	anemometer	isotherm	loxodrome
instruments for	gas meter	itinerarium	navigation
measuring distance:	speedometer	map	navigation chart
altimeter	tachometer	metes and bounds	portolan chart
	venturi tube	photogrammetry	

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day foot furlong gal gauss gill gram gray me hertz g horsepower hour	stone talent ton volt watt week other: acoustic interferometer binocular control system dynamometer
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furlong gal gauss gill gram gray me hertz g horsepower hour	ton volt watt week other: acoustic interferometer binocular control system dynamometer
gal gauss gill gram gray me hertz g horsepower hour	volt watt week other: acoustic interferometer binocular control system dynamometer
gauss gill gram gray me hertz g horsepower hour	watt week other: acoustic interferometer binocular control system dynamometer
gill gram gray me hertz g horsepower hour	week other: acoustic interferometer binocular control system dynamometer
gram gray me hertz g horsepower hour	other: acoustic interferometer binocular control system dynamometer
gray me hertz g horsepower hour	acoustic interferometer binocular control system dynamometer
me hertz g horsepower hour	interferometer binocular control system dynamometer
g horsepower hour	binocular control system dynamometer
hour inch	control system
inch	dynamometer
	aynamomotor
me Internationa	al flight recorder
System of	Units fluidics
kelvin	incubator
kilogram	instrumentation
league	log
light-year	measurement
litre	microscope
ne metre	optical
metric syste	em interferometer
erds mile	periscope
t: mina	photomicrography
mole	Richter scale
newton	seismograph
ohm	servomechanism
	sound-level meter
unit ounce	stroboscope
unit ounce eight parsec	telemetry
unit ounce eight parsec pascal	
unit ounce reight parsec pascal al phon	
unit ounce reight parsec pascal al phon pint	
unit ounce reight parsec pascal al phon pint	
unit ounce reight parsec pascal al phon pint ard Lawrence, J	Ernest Lovell, Sir Bernard
1	veight parsec pascal ial phon pint

See also Section 10/37 of Part Ten

INDEX: See entries under all of the terms above

## Section 724. Extraction and Conversion of Industrial Raw Materials

- A. The world's physical and biological resources
  - 1. The identification and distribution of natural resources
  - 2. The management of resources
    - a. Conservation of natural resources
    - b. Salvage operations

## B. Technology of the extraction industries

- 1. Mining and quarrying
  - a. Processes: underground mining, surface mining, solution mining
  - b. Products: coal, salt, stone, metal ores, sulfur, phosphates [see also C.2. and 3., below, and 214.A.4.c. and B.5.a.]
- 2. Petroleum and natural gas production [see also C.1., below, 214.B.5.b. and d., and 732.D.2.]

- C. Primary conversion of raw materials
  - 1. Petroleum refining [see also B.2., above, 214.B.5.b., and 732.D.2.]
  - 2. Coal utilization: production of coke, coal tar, light oil, gas, and chemicals [see also B.1.b., above, and 214.B.5.a.]
  - 3. Production and processing of metal ores and metals [see also B.l.b., above, and 214.A.4.c.]
    - a. Aluminum
    - b. Calcium
    - c. Chromium
    - d. Cobalt
    - e. Copper
    - f. Gold
    - g. Iron
    - h. Lead
    - i. Magnesium
    - j. Mercury
    - k. Nickel
    - l. Platinum
    - m. Silver
    - n. Sodium and potassium
    - o. Steel and steel alloys
    - p. Tin
    - q. Titanium
    - r. Tungsten
    - s. Uranium
    - t. Zinc
    - u. Rare-earth metals
    - v. Metal alloys
  - 4. Production of synthetic gemstones and industrial crystals
  - 5. Processing of stone, sand, clay, and gravel
    - a. Manufacture of conventional and special types of glass and glass products
    - b. Manufacture of cement, gypsum plasters, and plastic cements
    - c. Manufacture of industrial ceramics
    - d. Manufacture of bricks and tiles
  - 6. Processing of water to obtain salt, magnesium, oxygen, hydrogen, and other elements
  - 7. Processing of air to obtain oxygen, nitrogen, noble gases, and other gases
  - 8. Processing of plant and animal products
    - a. To obtain paper and pulp [see also 732.D.3.]
    - b. To obtain roundwood, sawn wood, veneer, plywood and laminated constructions, particleboard, and fibreboard [see also 732.C.8.]
    - c. To obtain tobacco and other nonfood products
    - d. To obtain leather and hides [see also 732.C.3.]
    - e. To obtain furs [see also 732.C.4.]
    - f. To obtain natural fibres [see also 732.C.1. and 732.D.9.]

- g. To obtain pharmaceuticals [see also 732.D.4.]
- h. To obtain oils, fats, and waxes
- i. To obtain resins and other products

MACROPAEDIA: Major articles dealing with the extraction and conversion of industrial raw materials

Conservation of Natural Resources Forestry and Wood Production Industrial Ceramics Industrial Glass Industries, Extraction and Processing

MICROPAEDIA: Selected entries of reference information

General subjects

alloys:	coke	forest products—	wrought iron
alloy	lignite	other:	zinc
aluminum bronze	forest products—	cork	mineral sources of
amalgam	lumber:	lignin	nonmetals:
babbitt metal	balsa	paper pulp	asbestos
brass	ebony	tannin	barite
bronze	fir	glassmaking:	chrysotile
calamine brass	greenheart	Bakewell glass	feldspar
cupronickel	hardwood	blow molding	fluorite
Duralumin	lancewood	fibreglass	graphite
electrum	logging	flint glass	gypsum
ferroalloy	mahogany	glassblowing	kaolin
misch metal	narra	Jena glass	kimberlite
pewter	oak	mirror	limestone
stainless steel	pine	Orrefors glass	mica
steel	rosewood	Pitkin glass	phosphorite
animal fibres, furs,	seasoning	Pittsburgh glass	pumice
and hides:	spruce	plate glass	quartz
alpaca	teak	Pyrex	shale
camel hair	wood	safety glass	spodumene
cashmere	forest products—oils	silvering	sulfur
fur	and resins:	soda-lime glass	mining and
horsehair	balsam	South Jersey glass	quarrying:
leather	copal	metals of major	Frasch process
mohair	dammar	economic	mining
rabbit hair	dragon's blood	importance:	placer mining
silk	drying oil	aluminum	quarry
wool	gamboge	calcium	stoping
ceramics:	gum	cast iron	surface mining
adobe	lac	chromium	oils, fats, and
brick	mastic	cobalt	waxes—edible:
cement	myrrh	copper	See Section 731
ceramics	naval stores	gold	oils, fats, and
firebrick	pine oil	iron	waxes—inedible:
kiln	resin	lead	castor oil
mullite	rosin	magnesium	Chinese wax
porcelain	tall oil	mercury	drying oil
enamelling	turpentine	nickel	essential oil
portland cement	wood tar	platinum	fat
pottery	forest products—	potassium	fish oil
refractory	rubber:	silver	grease
tile	balata	sodium	lanolin
coal and its	chicle	tin	lavender
processing:	gutta-percha	titanium	linseed
coal	latex	tungsten	oil cake
coal tar	rubber	uranium	oil extraction

oil palm	chromite	naphtha	treated gem
oil plant	cobaltite	natural gas	Verneuil process
perilla oil	cuprite	oil shale	zone melting
pine oil	dolomite	paraffin wax	vegetable fibres:
sperm oil	erythrite	petrochemical	abaca
spermaceti	galena	petroleum	bast fibre
tall oil	halite	reforming	bombax cotton
wax	hematite	salt dome	cantala
whale oil	limestone	tar sand	coir
ore processing:	linnaeite	well logging	cotton
basic oxygen	magnesite	pharmaceuticals from	flax
process	magnetite	plant and animal	hemp
Bessemer process	pitchblende	sources:	henequen
blast furnace	pyrite	alkaloid	jute
crucible process	rutile	Apocynaceae	kapok
cupola furnace	scheelite	belladonna	kenaf
electroplating	sphalerite	Cinchona	leaf fibre
flotation	tetrahedrite	cocaine	Mauritius hemp
hydrometallurgy	uraninite	codeine	milkweed floss
ingot	wolframite	Colchicum	phormium
matte	petroleum recovery,	Ephedra	ramie
metallurgy	refining, and	foxglove	sisal
mineral processing	products:	heroin	sunn
open-hearth	alkylation	insulin	urena
process	asphalt	morphine	other:
parting	bitumen	Strophanthus	kiln
patio process	distillation	Strychnos	man-made fibre
reverberatory	drilling machinery	vitamin A	natural fibre
furnace	gasoline	reclamation and	pitch
smelting	kerosine	salvage:	prospecting
ores of major metals:	liquefied	materials salvage	retting
bauxite	natural gas	scrap metal	tanning
carnallite	liquefied	synthetic gems and	
carnotite	petroleum gas	crystals:	
cassiterite	microcrystalline	assembled gem	
chalcocite	wax	paste	
chalcopyrite	mineral oil	synthetic diamond	

### Biographies

See Section 732

INDEX: See entries under all of the terms above

### Section 725. Technology of Industrial Production Processes

- A. Materials processing: the operations that are used to transform industrial materials from a raw-material state into finished parts or products
  - 1. Preliminary processing of raw materials
    - a. Mechanical processing; e.g., crushing, mixing, blending, separating, grading
    - b. Chemical processing; e.g., leaching, smelting, coagulation, polymerization
  - 2. Forming: processes in which parts are produced by casting or molding liquid materials or by applying pressure to solid materials
    - a. Processing liquid materials
      - i. Casting metals; e.g., sand casting, die casting
      - ii. Casting and molding nonmetals; e.g., slip casting, injection molding
    - b. Processing solid materials; e.g., rolling, forging, stamping, pressing
  - 3. Material removal: processes for shaping parts by removing portions of a solid piece of material

- 4. Joining: processes for bonding materials to each other
  - a. Thermal joining: welding, brazing, and soldering [see B.4., below]
  - b. Adhesive bonding: natural and synthetic adhesives and their uses
- 5. Property modification: alteration or improvement of the properties of materials
  - a. Thermal processing
    - i. Basic heat-treating operations: annealing, stress relieving, and hardening
    - ii. Radio-frequency heating: induction and dielectric heating
    - iii. Zone melting: zone refining and other techniques
    - iv. Exposure to cryogenic temperatures
  - b. Processing of materials by exposure to physical conditions other than heat or cold
    - i. Processing of materials in a vacuum
    - ii. Use of ultrasonic and infrasonic waves
    - iii. Other processes; e.g., exposure to radiation
  - c. Mechanical and chemical processing
- 6. Finishing processes: modification of the surfaces of materials
  - a. Mechanical and chemical processes; e.g., cleaning, polishing, embossing, coating
  - b. Electrochemical processes: electroplating
- B. Metallurgy
  - 1. Mineral processing: crushing and grinding of ores, concentration of metallic minerals
  - 2. Extractive metallurgy: separation of metallic elements from mineral form
    - a. Pyrometallurgy: processes that involve the use of heat
      - i. Roasting: oxidizing, reducing reactions
      - ii. Smelting: processes for removing molten metal from molten slag
      - iii. Converting: techniques for making steel, blister copper
      - iv. Refining: techniques for purifying copper, lead, gold, and other extracted metals
    - b. Electrometallurgy: processes that involve electrochemical reactions
      - i. Electrolytic smelting; e.g., the Hall-Héroult process
      - ii. Electrowinning: techniques for recovering metals from solution
      - iii. Electrorefining: techniques for purifying copper, silver, and other metals
    - c. Hydrometallurgy
      - i. Leaching: techniques for dissolving metallic minerals
      - ii. Recovery techniques; e.g., solvent extraction, chemical precipitation
  - 3. Physical metallurgy
    - a. Cold and hot working; e.g., forging, rolling, drawing
    - b. Foundry processes; e.g., sand casting, die casting
    - c. Surface treatments; e.g., galvanizing, carburizing
    - d. Powder metallurgy: powder manufacture, processes, and products
    - e. Nuclear engineering metallurgy: production, fabrication, and application of uranium and other metals of importance in nuclear engineering
    - f. Heat treatment; e.g., annealing, quenching, tempering
    - g. Metallography [see also 125.D.1.]
    - h. Inspection and testing: mechanical and nondestructive testing

- 4. Welding, brazing, and soldering
  - a. Basic principles: the metallurgy of metal joining
  - b. Welding processes; e.g., forge welding, arc welding, resistance welding, brazing, soldering
  - c. Types of joints; e.g., fillet welds, brazed joints
  - d. Weldability of metals
  - e. Testing and inspection of welds: nondestructive and destructive methods
  - f. Applications; e.g., construction of bridges, storage tanks, and ships
  - g. Recent developments; e.g., plasma welding, laser welding, ultrasonic welding
- C. Materials handling in the production process
  - 1. Types of materials-handling systems by process
  - 2. Materials-handling equipment; e.g., wheeled carts, power trucks, trailer trains, racks, bins, conveyors
  - 3. Transportation of materials [see also 734]
  - 4. Technology of storage and warehousing
- D. Technology of packaging

MACROPAEDIA: Major article dealing with the technology of the industrial production processes

Industries, Extraction and Processing

# MICROPAEDIA: Selected entries of reference information

#### General subjects

adhesives, fasteners, and joining processes:	conveyor hose industrial truck	sintering wire metal treating and	packaging: aerosol container barrel
adhesive	materials handling	finishing: annealing	bottle
brazing	stoker	anodizing	packaging
cement	storage	electroless plating	thermal processing:
joint	metal forming:	electroplating	cryogenics
mortar	anvil	galvanizing	dielectric heating
rivet	die-casting	plating	induction heating
screw	forging	porcelain	radio-frequency
soldering	founding	enamelling	heating
water glass	grinding machine	surface hardening	zone melting
welding	investment casting	tempering	
materials handling:	mint	terneplate	
Archimedes screw containerization	powder metallurgy rolling	tinplate	

#### **Biographies**

See Section 732

INDEX: See entries under all of the terms above

#### Division III. Major Fields of Technology

[For Part Seven headnote see page 265.]

Division I of Part Seven is concerned with the nature and effects of technology as a whole. Division II deals with technical processes not specific to any of the major fields of technology. The outlines in the eight sections of Division III deal with the major fields of technology, differentiated by the various needs, purposes, products, and services that have elicited technological development.

Section 731. Agriculture and Food Production 280

- 732. Technology of the Major Industries 283
- 733. Construction Technology 286
- 734. Transportation Technology 288
- 735. Technology of Information Processing and of Communications Systems 290
- 736. Military Technology 293
- 737. Technology of the Urban Community 296
- 738. Technology of Earth and Space Exploration 297

#### Section 731. Agriculture and Food Production

- A. The history of agriculture
- B. Farm management
  - 1. Basic management problems and practices
  - 2. Farm labour, draft animals, and farm machinery
  - 3. Farm buildings
  - 4. Farming in relation to other disciplines; e.g., weather, pollution control
- C. Crop farming
  - 1. Soil preparation and care
  - 2. Plant propagation, seeding and cultivation
  - 3. Harvesting and crop processing
  - 4. Specialized crop farming techniques: dryland farming, tropical farming, hydroponic farming, greenhouse farming
  - 5. Control of pests and disease organisms [see also 321.E.2.]
  - 6. Major crops
    - a. Horticultural crops: vegetables and legumes, fruits and nuts, flowers
    - b. Cereals
    - c. Forest crops: trees, rubber
    - d. Production of other major field crops; e.g., coffee, tea, cocoa, sugar, tobacco
- D. Livestock farming
  - 1. Animal breeding
  - 2. Major flock and stock animals
    - a. Cattle
    - b. Swine
    - c. Sheep and goats
    - d. Horses
    - e. Poultry
    - f. Bees
    - g. Other livestock; e.g., buffalo, asses and mules, camels
  - 3. Disease and pest control
- E. Technology of hunting and fishing, whaling

### F. Food processing

- 1. Fruit and vegetable processing
- 2. Cereals, cereal products, and other starch products
- 3. Bakery products: basic ingredients, types of products and production methods, market preparation, quality maintenance and testing
- 4. Confectionery and candy production
- 5. Meat and meat products
- 6. Fish and marine products
- 7. Dairying and dairy products
  - a. Milk production and handling techniques
  - b. Dairy products: fluid and concentrated milk, dried milk, ice cream, butter, and cheese
- 8. Beverage production
  - a. Technology of brewing
  - b. Technology of wine making
  - c. Technology of producing distilled liquor
  - d. Technology of producing nonalcoholic beverages: soft drinks, coffee, tea
- 9. Spices, herbs, and flavourings
- 10. Cane sugar, beet sugar, and other sweeteners
- 11. Oils, fats, and waxes
- 12. Eggs and egg products
- 13. Cocoa and chocolate products
- G. Food preservation
  - 1. Methods of preservation
    - a. Low-temperature preservation: refrigeration and freezing
    - b. Preservation by drying and by smoking
    - c. High-temperature preservation: canning and pasteurization
    - d. Fermentation and pickling
    - e. Chemical preservation
    - f. Preservation by heat radiation and by ionizing radiation
  - 2. Food storage and packaging
- H. Techniques for controlling the quality of food
  - 1. Evaluation of food quality: sensory evaluation; objective evaluation by chemical, instrumental, and microbiological methods
  - 2. Control of food quality
  - 3. Regulation of food quality by legislation, grading, and inspection
- I. Food sources and new product development
  - 1. History and development of new foods and new food products
  - 2. Utilization of new food sources; e.g., oilseeds, leaves, grasses, single-cell protein
  - 3. Development of new market forms
  - 4. Development of special foods; e.g., for space exploration

MACROPAEDIA: Major articles dealing with agriculture and food production

Agricultural Sciences Agriculture, The History of **Beverage Production** Farming and Agricultural Technology Fishing, Commercial Food Processing

Forestry and Wood Production Gardening and Horticulture Gastronomy Industries, Extraction and Processing Public Works

butter

MICROPAEDIA: Selected entries of reference information

### General subjects

beekeeping:	paella	harrow	seafood
beekeeping	pasta	hog house	shellfish
beeswax	polenta	millstone	sponge
honey	tamale	plow	tuna
nectar	cooking:	reaper	whaling
beverages:	baking	scarecrow	food preservation:
absinthe	boiling	silo	canning
alcoholic beverage	braising	thresher	dehydration
aquavit	broiling	tractor	fermentation
beer	cookbook	windrower	food preservation
brandy	frving	farming techniques:	freezing
champagne	leavening agent	chinampa	pasteurization
cider	pressure cooker	contour farming	preservative
coffee	sauce	crop rotation	refrigeration
compac	shortening	drainage	smoking
distilled liquor	tandoori oookaru	dry farming	horticulture
	wok	fortilizor	aroft
gin	work	having de	hortioulture
nqueur	egg and dairy	hacienda	norneuture
mate	products:	nydroponies	pruning
proof	butter	irrigation	transplant
pulque	butterfat	Nortolk	livestock and jeeas:
rum	buttermilk	tour-course	cattle
sake	candling	system	feed
soft drink	cheese	open-field system	goat
tea	churn	organic farming	hay
tequila	cream	paddy	livestock
vodka	dairying	ranch	pig
whiskey	egg	shifting agriculture	sheep
wine	ice cream	spraying and	silage
cereal crops:	milk	dusting	meat products:
barley	yogurt	tenant farming	aspic
buckwheat	farm equipment and	terrace cultivation	bacon
cereal	buildings:	three-field system	beef
corn	barbed wire	till-less agriculture	frankfurter
millet	barn	fishing and sea	game
oats	cellar	products:	gelatin
popcorn	combine	agar	ham
rice	corn harvester	ambergris	hamburger
rve	cotton gin	aquaculture	lamb
sorghum	cotton harvester	baleen whale	meat
wheat	cream separator	bêche-de-mer	pork
cereal grain products:	crib	caviar	sausage
bran	crop duster	commercial fishing	veal
breakfast cereal	cultivator	factory ship	venison
COUSCOUS	farm machinery	fishery	oils, fats and
dumpling	fence	lobster pot	waxes—edible
hominy	grain drill	net	habassu nalm
nonlle	grain elevator	roe	heeswax
noour	Brain vierator	100	o e e o m un

oil plant cocoa butter perilla oil cod-liver oil sperm oil cohune oil spermaceti wax whale oil cottonseed oils, fats, and waxes-inedible: See Section 724 sugars: margarine honey maple syrup molasses oil extraction sugar sugar beet

#### **Biographies**

butterfat

copra

fat

oil

corn oil

fish oil

linseed

oil cake

oil palm

Burbank, Luther Carver, George Washington See also Section 10/34 of Part Ten

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sugarcane sweetener tobacco: chewing tobacco cigar cigarette pipe smoking snuff tobacco other: additive arrowroot emulsifier food colouring

food processing food processor forestry fowl fruit pectin sago spice and herb straw tapioca tree ear vegetable vinegar

McCormick, Cyrus Hall

#### Section 732. **Technology of the Major Industries**

- A. Principles of organization of work and production [see 712]
- B. Major manufacturing industries
  - 1. The aerospace industry
  - 2. The automotive industry
  - 3. The clothing and footwear industry
  - 4. The furniture industry
- C. The major fabrication industries
  - 1. The textile industry
  - 2. The steel industry [see also 725.B.]
  - 3. The leather and hide industry
  - 4. The fur industry
  - 5. The floor-covering industry
  - 6. The electronics industry
  - 7. The tool and die industry
  - 8. The lumber industry
  - 9. The cutlery industry
  - 10. The abrasives industry
- D. The major processing industries
  - 1. The chemical industry
  - 2. The petroleum industry [see also 724.B.2.]
  - 3. The paper industry
  - 4. The pharmaceuticals industry [see also 10/35.C.4.]
  - 5. The plastics industry

- 6. The rubber industry
- 7. The surface-coating industry
- 8. The dye and pigment industry [see also 122.G.1.t.]
- 9. The man-made fibre industry
- 10. Production of industrial and residential gases [see also 724.C.7.]
- 11. The cosmetics and personal care industry
- E. The construction industries [see 733]
- F. The service industries
  - 1. Hotels and motels
  - 2. Restaurants
  - 3. Food service systems
  - 4. The transportation industry [see 734]
  - 5. Security and protection systems
- G. The utilities industries
- H. The merchandising and marketing of consumer goods [see 533.H.5.]
- I. Industrial research and development
- J. Technology of industrial safety

MACROPAEDIA: Major articles and a biography dealing with the technology of the major industries

Dress and Adornment
Ford, Henry
Forestry and Wood Production
Industrial Ceramics
Industrial Glass

Industries, Chemical Process Industries, Extraction and Processing Industries, Manufacturing Industries, Textile

## MICROPAEDIA: Selected entries of reference information

# General subjects

abrasives:	blimp	turbojet	chemical processing:
abrasive	Delta	turboprop	ammonia-soda
corundum	flight simulator	V-1 missile	process
emery	fuselage	V-2 missile	autoclave
silicon carbide	glider	wind tunnel	contact process
synthetic diamond	helicopter	zeppelin	detergent
aerospace:	instrument landing	automotive:	drug
air-cushion	system	automobile	dye
machine	jet engine	bus	fertilizer
airframe	launch vehicle	diesel engine	Haber-Bosch
airplane	monoplane	electric automobile	process
airport	ramjet	gasoline engine	man-made fibre
airship	rocket	motorcycle	paper
Atlas rocket	Saturn	tire	pigment
autogiro	seaplane	tractor	pitch
automatic pilot	STOL airplane	truck	retort
balloon	supersonic flight	vehicular safety	
biplane	Thor rocket	devices	

rubber soap surface-active agent clothing and footwear industry: button fur glove hat hosierv leather needle sewing machine shoe zipper cosmetics and personal care: ambergris attar of roses cologne cosmetic emollient lavender musk mvrrh perfume cutlery: cleaver cutlerv flatware razor scissors sword dves and pigments: acid dve anthraquinone anthraquinone dye azo dve carmine catechu cochineal Congo red direct red dve India ink indigo naphthol pigment quercitron bark sulfur dye vat dye electronics: See Section 721 floor coverings: See Section 629 of Part Six food service and lodging: café cafeteria

hotel inn motel public house tavern vouth hostel furniture. bed cabinet chair chest of drawers couch cupboard davenport desk home appliance settee stool table gases, industrial and domestic: argon carbon dioxide carbon monoxide chlorine fluorine helium hvdrogen liquefied natural gas liquefied petroleum gas natural gas nitrogen oxygen sulfur dioxide industrial safety: fire prevention and control flash point safety safety engineering sprinkler system lumber: chipboard ebonv fibreboard fir greenheart hardwood lancewood mahogany narra oak particleboard nine plywood rosewood softwood spruce teak

wood

man-made fibres and films: azlon cellonhane cellulose acetate metallic fibre modacrylic nylon polvacrylonitrile polvester polvolefin polyurethane ravon spinneret papermaking: Fourdrinier machine kraft process paper paper pulp parchment sulfite process vetroleum: See Section 724 nlasties. Bakelite celluloid foamed plastic Formica Lucite melamine nvlon plastic polyacrylonitrile polychlorotrifluoroethylene polyolefin polystyrene polysulfone polytetrafluoroethylene polyurethane polyvinyl alcohol resin silicone urea-formaldehvde resin rubber: accelerator foam rubber hose tire vulcanization security and protection: barbed wire cipher code cryptology fence kev lock

police security and protection systems steel basic oxvgen process Bessemer process blast furnace Cowper stove crucible process cupola furnace ingot open-hearth process ore dressing smelting stainless steel steel surface coatings: black varnish Brunswick black drving oil Formica paint porcelain enamelling shellac varnish textile industry: hatik bleach braiding dve felting knitting loom mercerization plain weave resist printing sizing spinning spinning wheel textile twisting weaving varn textiles: bombazine calico cambric canvas cheviot cordurov crash crepe crepe de Chine damask duck flannel fustian

gabardine	pile	transportation:	postal system
gauze	taffeta	See Section 734	public enterprise
gingham	tweed	utilities:	public utility
khaki	tool and die industry:	broadcasting	regulatory agency
muslin	See Section 722	electric power	
Biographies			
Bagehot, Walter	du Pont family	Nuffield, William	Siemens,
Beach, Alfred Ely	Ford, Henry	Richard Morris,	Werner von
Bessemer, Sir	Guggenheim, Meyer	Viscount	Siemens, Sir
Henry	and Daniel	Pew, J. Howard;	William
Carnegie, Andrew	Hughes, Howard	and Pew, Joseph	Squibb, E.R.
Drake, Edwin	Hunt, H.L.	N., Jr.	Thyssen family
Laurentine	Kelly, William	Rockefeller, John D.	Yerkes, Charles
		Schwab, Charles M.	Tyson

INDEX: See entries under all of the terms above

#### Section 733. **Construction Technology**

- A. General building construction
  - 1. Preconstruction planning: design programming, drafting
  - 2. Surveying procedures: techniques for laying out building foundations [see also 723.D.2.c.ii.]
  - 3. Building materials
    - a. Earth, clay, and sod
    - b. Vegetable matter: thatch, reeds, and other materials
    - c. Lumber
    - d. Bricks and tiles: other fired clay and ceramics [see 724.C.5.d.]
    - e. Stone
    - f. Mortar, cement, portland cement, and plaster [see 724.C.5.b.]
    - g. Metals; e.g., iron, steel, aluminum, copper [see 724.C.3.]
    - h. Glass [see 724.C.5.a.]
    - i. Concrete, reinforced concrete, and prestressed concrete
    - j. Composition materials, plastics
    - k. Structural fabrics
  - 4. Testing of building materials
  - 5. Construction machinery
    - a. Transport machinery
    - b. Lifting machinery; e.g., cranes, cables, ropes
  - 6. Construction techniques
    - a. Wood and timber construction
    - b. Masonry construction
    - c. Concrete construction
    - d. Steel construction
  - 7. Building components
    - a. Foundations and footings
    - b. Structural systems
    - c. Floor systems

- d. Roof systems
- e. Space-enclosure systems
- f. Interior finishes: e.g., carpeting, hardware, ceiling systems
- g. Auxiliary systems
  - i. Plumbing systems
  - ii. Heating, ventiliating, and air-conditioning systems
  - iii. Electrical wiring
  - iv. Systems for illumination: interior and exterior lighting
  - v. Vertical transport systems; e.g., elevators, moving stairways
  - vi. Life-safety systems
- 8. Acoustics and sound-control techniques
- B. Construction of civil engineering works
  - l. Dams
  - 2. Aqueducts
  - 3. Bridges
  - 4. Underground construction
  - 5. Harbour and hydraulic works
  - 6. Lighthouses and lightships
- C. Prefabrication and shop fabrication

MACROPAEDIA: Major articles dealing with construction technology

Analysis and Measurement, Physical and Chemical Building Construction Drafting Public Works

MICROPAEDIA: Selected entries of reference information

# General subjects

bridges:	scaffold	putty	fan
bridge	skyscraper	rammed earth	fireplace
covered bridge	truss	shingle	furnace
military bridge	wall	shotcrete	heat pump
movable bridge	wattle and daub	tile	heating
pontoon bridge	building foundations:	wallboard	hypocaust
suspension	cofferdam	construction	stove
bridge	pier	machinery:	ventilating
viaduct	retaining wall	bulldozer	lighting devices:
building construction:	settling	crane	arc lamp
beam	shoring	dredge	Argand burner
box frame	soil mechanics	drilling machinery	candle
construction	building materials:	power shovel	electric discharge
cantilever	aggregate	engineering graphics:	lamp
carpentry	brick	blueprinting	flare
ceiling	cement	drafting	flash lamp
Chicago School	clapboard	isometric drawing	fluorescent lamp
drywall	concrete	heating, ventilating,	incandescent lamp
construction	lath	and air-conditioning	kerosine lamp
framed building	mortar	systems:	lamp
half-timber work	nail	air-conditioning	lantern
hypostyle hall	paris, plaster of	chimney	rushlight
log cabin	portland cement	chimneypiece	safety lamp
post-and-lintel	pozzolana	electric heater	
system			

searchlight spotlight masonry construction: arch cyclopean masonry masonry mortar pointing reticulated work	roof types: dome geodesic dome hip roof roof vault tunneling: air lock caisson	tunnel tunneling shield other: acoustics aqueduct construction dam dock drydock	escalator gate hippodrome jetty levee plumbing porch prefabrication stadium
rubble masonry		elevator	

Biographies

Brunel, Sir Marc Isambard Eads, James Buchanan Fuller, R. Buckminster

See also Section 10/37 of Part Ten

INDEX: See entries under all of the terms above

# Section 734. Transportation Technology

- A. History of transportation
  - 1. Primitive transportation; e.g., travois, slide car, sledge, pack animal, dugout
  - 2. The wheel and the road: development of the vehicle wheel, roads of the ancient world, beginnings of the modern road

Nervi, Pier Luigi

Roebling, John Augustus

Roebling, Washington Augustus

- 3. Sails and oars: beginnings of shipping and shipbuilding, growth of inland waterways
- 4. Steam transportation
  - a. The railroad: the first locomotives, the spread of railways, the construction of railroad bridges and tunnels
  - b. Steam navigation: the first steamships, introduction of iron ships, decline of sailing fleets
- 5. Development of modern transportation
  - a. Construction of road vehicles, roads, bridges, and tunnels
  - b. Development of mass urban transport and traffic networks
  - c. Development of the air transport industry
- B. Roads and highways and their construction
- C. Vehicles and devices for transportation across country and on roads and highways
  - 1. Nonwheeled transportation devices; e.g., bridles, saddles, harnesses, stirrups
  - 2. Animal-drawn wheeled vehicles: wagons, coaches, and carriages
  - 3. Bicycles
  - 4. Automobiles
  - 5. Trucks and buses
- D. Rail transportation
- E. Stationary conveyance systems; *e.g.*, pipelines, conveyor belts [see 725.C.2.]
- F. Water transportation
  - 1. Types of ships and other waterborne vessels
  - 2. Ship design and construction
    - a. Ship design: hydrodynamic and hydrostatic factors that influence ship stability and maneuverability, structural strength and safety considerations
    - b. Shipbuilding, shipyard layout and construction; planning, fabrication, and assembly; launching, outfitting, and trials

- c. Power units for propulsion: steam generators, internal-combustion engines, gas turbines, and nuclear reactors
- 3. Canals and inland waterways
- 4. Harbour works: docks and quays, bulk terminals [see 733.B.5.]

#### G. Air transportation

- 1. Aircraft: configurations, flight characteristics, missions, and special uses
  - a. Lighter-than-air craft: balloons, airships
  - b. Heavier-than-air craft: fixed-wing aircraft, rotary-wing aircraft, experimental and research aircraft
  - c. Air-cushion machines
- 2. Airports
- 3. Air transport industry
- 4. Space travel [see 738.C.]
- 5. Aeronautical and space research
- H. Traffic control: history, problems associated with traffic, government regulations, conventional and computerized techniques of control

# Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major article dealing with transportation technology

Transportation

# MICROPAEDIA: Selected entries of reference information

General subjects

air transport:	Conestoga wagon	rail transport:	raft
air-cushion	curricle	coach	rigging
machine	gig	freight car	road at sea, rules
airframe	hansom cab	locomotive	of the
airplane	harness	marshalling yard	rowboat
airport	horsecar	railroad	rudder
airship	landau	sleeping car	sail
autogiro	one-horse shay	turbo train	ship
automatic pilot	phaeton	unit train	shipyard
balloon	post chaise	water transport:	square sail
biplane	ricksha	anchor	steamboat
blimp	rockaway	buoy	tanker
glider	saddle	canal	trawler
helicopter	sedan	canoe	tugboat
instrument landing	stage wagon	castle	umiak
system	stagecoach	clipper ship	other:
monoplane	sulky	fog signal	aqueduct
seaplane	troika	gondola	bicycle
STOL airplane	wagon	harbour	containerization
supersonic flight	highway transport:	hydrofoil	elevator
Zeppelin	automobile	jib	escalator
animal-powered	boulevard	kayak	litter
transport:	bus	keel	livery company
brougham	electric automobile	lateen sail	pipeline
buggy	expressway	lifeboat	Roman road
carriage	motorcycle	lighthouse	system
cart	road	lightship	shipping route
chaise	tire	lock	Silk Road
chariot	tractor	longship	tonnage
coach	truck	motorboat	traffic control
Concord coach		ocean liner	transportation
		paddle wheel	velocipede

#### **Biographies**

Cooper, Peter	MacCready, Paul	Piccard, Auguste	Tupolev, Andrey
Ford, Henry	Beattie	Sage, Russell	Nikolayevich
Fulton, Robert	Montgolfier,	Sikorsky, Igor Ivan	Wright, Orville
Gibbs, William	Joseph-Michel	Stephenson,	and Wilbur
Francis	and	George	
Langley, Samuel P.	Jacques-Étienne	Trevithick,	
Lear, William P.	Oberth, Hermann	Richard	
Lindbergh,	Julius		
Charles A.			

INDEX: See entries under all of the terms above

#### Technology of Information Processing and of Communications Systems Section 735.

- A. Communication and information theory [see 10/23.F.]
- B Calculating devices: the abacus, tally sticks, mechanical and electromechanical calculators [see C.2., below]
- C Office machines
  - 1. Writing and reproducing machines: typewriters, dictating and transcribing machines, word processors, duplicating machines and processes, copying machines and processes
  - 2. Calculating and accounting machines
  - 3. Miscellaneous office machines

#### D. Computers

[see also 10/23.A.6. and 7.]

- 1. Types of computers: analogue and digital computers, hybrid computer systems
- 2. Programming systems: the encoding and entering of instructions into computer memory, the concept of software, the systems approach to writing computer programs
- 3. Computer languages
- 4. Applications of microcomputers, minicomputers, and supercomputers
- 5. Developments in artificial intelligence: devising expert systems; natural-language processing; computer vision; robotics
- E. General information-recording devices
  - 1. Simple recording implements and devices; e.g., writing implements, slates, chalkboards
  - 2. Typewriters and word processors
  - 3. Printing machines and processes
  - 4. Production of printing plates: engraving and other techniques
    - a. Mechanical techniques: woodcut, mechanical engraving, etching, lithography
    - b. Photomechanical techniques: photoengraving
- F. Sound and video recording and reproducing devices [see also 128.E.]
  - 1. Mechanical systems: phonographs
  - 2. Magnetic systems: audio tape recorders, video tape recorders and players
  - 3. Optical systems: audio and video disk players
  - 4. Auxiliary equipment
  - 5. High-fidelity concepts and systems
- G. The technology of photography
  - 1. Still photography [see also 628.D.]

- 2. Motion-picture and television photography [see also 623.A.]
- 3. Holography: laser photography [see also 128.B.4.c.]
- H. Information processing and systems
  - 1. Elements of information processing
    - a. Analog and digital forms of information
    - b. Recording and storage: image scanning and optical character recognition; mass storage via electromagnetic and electro-optical media (*e.g.*, magnetic and digital-audio tape and disk, and optical disk, respectively)
    - c. Organization and retrieval: indexes and indexing; bibliographic and numeric databases; computerized catalogs in libraries and library networks
    - d. Display and dissemination: television monitors and interactive computer terminals; electronic mail, bulletins, and teleconferencing; electronic printing and photocomposition; speech synthesis
  - 2. Types of information systems
    - a. Organizational systems
      - i. Management-oriented systems (executive, command and control, and decision support)
      - ii. Administration-oriented systems (financial, personnel, and project management)
      - iii. Service-oriented systems (manufacturing, transaction processing, and expert)
    - b. Public information utilities (on-line database search systems)
    - c. Educational and reference systems
      - i. Dictionaries and lexicons
      - ii. Encyclopaedias
      - iii. Atlases and map collections
- I. Major systems of communication
  - 1. Book, newspaper, and magazine publishing
  - 2. Postal systems and equipment
  - 3. Telegraph systems and equipment
  - 4. Telephone and telecommunications systems and equipment
  - 5. Radio communications systems and equipment
  - 6. Television communications systems and equipment
  - 7. Communications satellite systems and equipment
  - 8. Electronic networks
  - 9. Encryption and decryption techniques and devices: signal security and message authentication, history of cryptology
- J. Major systems of detection and remote sensing
  - 1. Radar systems and equipment
  - 2. Sonar systems and equipment
- K. Electronic components and techniques used in communications
  - 1. Components
    - a. Active components: vacuum and gas-filled tubes, semiconductor devices
    - b. Passive components: resistors, capacitors, and inductors; other solid-state devices; antennas and waveguides
    - c. Integrated circuits: miniature arrays of interconnected active or passive circuit elements (*e.g.*, microprocessors)
  - 2. Sensing devices and transducers; e.g., piezoelectric devices, photomultiplier tubes
  - 3. Circuitry

MACROPAEDIA: Major articles dealing with the technology of information processing and of communications systems

Broadcasting	Encyclopaedias and	Libraries	Printing, Typography,
Computer Science	Dictionaries	Motion Pictures	and Photoengraving
Computers	Information	Photography	Publishing
Cryptology	Processing and	Postal Systems	Telecommunications
Electronics	Information Systems		Systems

MICROPAEDIA: Selected entries of reference information

# General subjects

computers:	shortwave radio	photography and	incunabula
abacus	superheterodyne	cameras:	ink
analog computer	reception	animation	intaglio
artificial	telecommunications	camera	letterpress printing
intelligence	system	camera lucida	Linotype
computer	telegraph	camera obscura	lithography
computer-aided	telephone	CinemaScope	mezzotint
engineering	teleprinter	Cinématographe	Monotype
computer-assisted	television	Cinerama	offset printing
instruction	Telex	collotype	photocomposition
computer program	Telstar	dye-transfer process	photoengraving
computer	UHF	electrophotography	printing
programming	undersea cable	enlarger	proofreading
languages	VHF	exposure meter	rotary press
computer science	video tape recorder	filter	rotogravure
differential	videocassette	fluorescence	printing
analyzer	recorder	photography	typesetting
differentiator	videodisc	holography	machine
digital computer	videophone	Kinetoscope	publishing:
harmonic analyzer	waveguide	microform	book
input/output	libraries and their	minicam	codex
device	organization:	motion picture	colophon
integrator	archives	motion-picture	gazette
microcomputer	<b>Bliss</b> Classification	camera	journalism
microprocessor	bookmobile	negative	little magazine
supercomputer	Colon	photography	news agency
time-sharing	Classification	shutter	newsletter
word processing	Dewey Decimal	speed	newspaper
electronic	Classification	Technicolor	newspaper syndicate
communication	library	viewfinder	pamphlet
systems and devices:	classification	wet collodion	publishing
amateur radio	Library of	process	royalty
broadcasting	Congress	postal systems:	yellow journalism
cable television	Classification	airmail	sound recording and
citizen's band	library science	Penny Post	sound devices:
radio	Universal Decimal	postal system	cassette
Comsat	Classification	Thurn and Taxis	compact disc
Echo	office machines:	postal system	digital sound
facsimile	accounting	ZIP Code	recording
transmission	machine	printing and printing	flutter and wow
Intelsat	calculator	materials:	loudspeaker
loading	cash register	burin	magnetic recording
minicam	dictating machine	colour printing	microphone
modem	duplicating	computerized	phonograph
modulation	machine	typesetting	sound recording
Morse Code	hectograph	embossing	sound track
multiplexing	mimeograph	engraving	stereophonic sound
radio	photocopying	etching	system
satellite	machine	flatbed press	other:
communication	typewriter	gravure printing	database

galam dictionary information pen encyclopaedia processing pencil quill public-address eraser information stylus science system **Biographies** Alembert, Jean Le Macmillan, Daniel: Pearson, Drew Fessenden. Rond d' **Reginald Aubrey** and Macmillan, Popov, Aleksandr Girardin, Émile de Alexander Stepanovich Armstrong. Edwin H. Gollancz, Sir Manutius, Aldus, Pulitzer, Joseph Beaverbrook, Sir Victor the Elder Sarnoff. David Maxwell Aitken. Greeley, Horace Marconi. Siemens. 1st Baron Gutenberg. Guglielmo Werner von Siemens, Sir Bell, Alexander Johannes Morse, Samuel F.B. Haley, Sir William Murdoch, Rupert William Graham Benton, William Harper brothers Muybridge, Stone, I.F. Burnham, Edward Hearst, William Eadweard Thomas, Lowell Nelson, William Levy-Lawson, 1st Randolph Vincent of Baron Josephson, Rockhill Beauvais Caxton, William Brian D. Niepce, Nicéphore Wallace, DeWitt Cotta family Knopf, Alfred A. Northcliffe, Alfred and Lila Bell Charles William Cowles family Land, Edwin Acheson Dana, Charles A. Herbert Harmsworth, Webster, Noah Diderot, Denis Lippmann, Walter Viscount Winchell, Walter Dimbleby, Richard Luce, Henry R. Ochs, Adolph Zworykin, Disney, Walt Lumière, Auguste Simon Vladimir Kosma and Louis Page, Walter Hines Ferrié, Paley, William S. Gustave-Auguste

INDEX: See entries under all of the terms above

# Section 736. Military Technology

- A. Offensive weaponry
  - 1. Early hand-powered weapons
    - a. Construction materials: wood, stone, precious metals, copper, bronze, iron
    - b. Shock weapons: e.g., mace, axe, sword, halberd
    - c. Missile weapons: e.g., spear, javelin, sling, arrow
    - d. Mechanical weapons: e.g., ballista, catapult, ram, crossbow
  - 2. Gunpowder weapons
    - a. Construction materials: cast bronze, cast or wrought iron, forged or stamped steel
    - b. Loading: muzzle-, breech-, automatic
    - c. Artillery: e.g., cannon, howitzer, mortar
    - d. Small arms: e.g., musket, rifle, pistol, machine gun
    - e. Ammunition
      - i. Propellant: black powder, smokeless powder
      - ii. Projectiles: e.g., cannonball, shrapnel, shell, bullet
  - 3. Explosives: e.g., grenades, mines, depth charges, bombs, missile warheads
  - 4. Self-propelled missiles
    - a. Basing
      - i. Land: e.g., barrage rocket, ballistic missile, surface-to-air missile
      - ii. Sea: e.g., torpedo, submarine-launched ballistic missile, antiship missile
      - iii. Air: e.g., air-to-air missile, cruise missile
    - b. Propulsion: rocket, jet, propeller
    - c. Guidance: free-flight, energy-sensing, command, inertial

- d. Payloads: e.g., single-warhead, cluster bomblet, multiple reentry vehicle
- e. Warheads: e.g., high-explosive, antipersonnel, nuclear
- 5. Nuclear weapons
  - a. Energy sources: fission, fusion
  - b. Effects: blast, heat, radioactive fallout
- 6. Chemical and biological weapons
- B. Defensive weaponry
  - 1. Personal protection
    - a. Armour against early hand-powered weapons
      - i. Materials: e.g., leather, bronze, iron
      - ii. Construction: e.g., mail, scale, brigandine, plate
      - iii. Protection: e.g., shield, helmet, cuirrass, greave
    - b. Armour against gunpowder and explosive weapons
      - i. Materials: steel, plastic, Kevlar
      - ii. Protection: e.g., helmet, flak jacket, bullet-proof vest
    - c. Protection against nuclear and chemical weapons: e.g., gas mask, overgarments
  - 2. Fortification
    - a. Field fortification: e.g., log breastwork, wooden pallisade, trench, foxhole
    - b. Permanent fortification before gunpowder: masonry citadel, motte-and-bailey castle
    - c. Permanent fortification in the early gunpowder era: sunken profile, bastioned trace
    - d. Permanent fortification in the modern gunpowder era: *e.g.*, concrete bunkers, pillboxes, reinforced aircraft hangars
    - e. Antinuclear fortification: e.g., hardened missile silos, bomb shelters
  - 3. Missile defense: surface-to-air missiles, rapid-fire guns

#### C. Weapon platforms

- 1. Land vehicles
  - a. Animal mounts: e.g., horses, elephants, camels
  - b. Man- and animal-powered vehicles: e.g., chariots, siege towers
  - c. Steam power: e.g., railroad cars, early tanks
  - d. Internal combustion: e.g., tanks, armoured personnel carriers
- 2. Surface ships and craft
  - a. Oar-powered: e.g., galleys, longboats
  - b. Sail-powered: e.g., galleons, ships of the line, frigates
  - c. Steam-powered: e.g., battleships, cruisers, aircraft carriers
  - d. Internal combustion: e.g., gunboats, landing craft
  - e. Nuclear-powered: e.g., aircraft carriers, cruisers
- 3. Submarines
  - a. Propulsion: steam turbine, diesel-electric, nuclear
  - b. Vessels: attack, strategic missile launching
- 4. Aircraft
  - a. Fixed-wing airplanes
    - i. Propulsion: internal combustion/propeller, jet
    - ii. Configuration: e.g., biplane, monowing, variable-geometry wing
    - iii. Types: e.g., fighter, bomber, early warning
  - b. Helicopters
    - i. Propulsion: internal combustion, gas turbine
    - ii. Types: e.g., attack, naval antisubmarine, transport
# D. Engineering

- 1. Tactical support: e.g., fortification
- 2. Strategic support: e.g., roads, bridges, ports, airfields
- 3. Ancillary support: e.g., maps, bomb disposal
- E. Logistics: supply, transport, lodging, services
- F. Electronics
  - 1. Electromagnetic sensors and transmitters: the use of radio, radar, infrared, ultraviolet, optical, and laser technology in communication, navigation, warning and detection, and weapon guidance
  - 2. Electronic countermeasures: radar jammers, infrared flares, chaff

# Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with military technology

War, The Technology of

War, The Theory and Conduct of

MICROPAEDIA: Selected entries of reference information

explosives and	B-24	monitor	ram
incendiaries:	B-29	ship of the line	repeating rifle
atomic bomb	B-52	submarine	revolver
bomb	bomber	U-boat	rifle
depth charge	<b>F</b> -4	weapons:	sling
grenade	F-16	AK-47	spear
napalm	F-86	antiaircraft gun	Spencer carbine
neutron bomb	fighter aircraft	antitank weapon	Springfield rifle
nitroglycerin	Fw 190	Armalite rifle	Sten gun
nuclear weapon	Harrier	artillery	submachine gun
PETN	Hurricane	assault rifle	sword
shell	Ilyushin Il-2	automatic pistol	Thompson
smart bomb	Ilyushin Il-76	automatic rifle	submachine gun
thermonuclear	Me 109	battering ram	Uzi
bomb	MiG	bayonet	submachine gun
torpedo	Mirage	bazooka	weapon
launch vehicles and	Mosquito	Big Bertha	other:
rockets:	P-38	bow and arrow	Agent Orange
Atlas rocket	<b>P-47</b>	Bren machine gun	alcázar
cruise missile	P-51	Browning	ammunition
missile	Spitfire	automatic rifle	biological warfare
Nike missile	Stuka	cannon	camouflage
Peacekeeper	torpedo plane	carbine	chemical warfare
missile	trainer	catapult	flintlock
Polaris missile	Zero	coastal artillery	fortification
Poseidon missile	personal protective	crossbow	matchlock
rocket	equipment:	dagger	military bridge
Thor rocket	armour	flame thrower	military
Trident missile	chain mail	Gatling gun	engineering
V-1 missile	gas mask	Greek fire	sapper
V-2 missile	helmet	gun	shrapnel
mechanized ground	warships:	lance	snorkel
warfare:	aircraft carrier	Lee-Enfield rifle	stealth
armoured vehicle	battleship	Luger pistol	Strategic Defense
panzer division	cruiser	machine gun	Initiative
tank	destroyer	MAG	strategic weapons
military aircraft:	frigate	machine gun	system
attack aircraft	galleon	Mauser rifle	tactical weapons
AWACS	galley	MG42	system
B-1	ironclad	musket	
B-17	minesweeper	pistol	

Biographies

Abelson, Philip
Hauge
Braun,
Wernher von
Brialmont,
Henri-Alexis
Browning, John
Moses
Bushnell, David
Chappe, Claude
Colt, Samuel
Congreve,
Sir William
Curtiss, Glenn
Dornier, Claudius
Drebbel, Cornelis
Fermi, Enrico
Fokker, Anthony
Herman Gerard

Forsyth, Alexander John Fulton, Robert Gatling, Richard Jordan Goddard, Robert Hutchings Heinkel, Ernst Heinrich Holland, John Philip Ilyushin, Sergey Vladimirovich Krupp, Alfred Krupp von Bohlen und Halbach. Alfried Krupp von Bohlen und Halbach. Gustav

Lake, Simon Lewis, Isaac Newton Mannlicher. Ferdinand, Ritter von Maxim, Sir Hiram Maxim, Hudson Messerschmitt, Willy Minié, Claude-Étienne Oppenheimer, J. Robert Remington, Eliphalet Rickover, Hyman G. Robins, Benjamin

Rodman, Thomas Jackson Sopwith, Sir Thomas Octave Murdoch Teller, Edward Tupolev, Andrey Nikolayevich Vauban, Sébastien Le Prestre de Whitehead, Robert Whittle, Sir Frank Zeppelin, Ferdinand, Graf von

See also Section 544 of Part Five

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# Section 737. Technology of the Urban Community

- A. Basic engineering services of the city
  - 1. Water-supply systems
  - 2. Sanitation systems
    - a. Development and operation of sewage disposal systems
    - b. Construction and operation of street clearance and refuse disposal systems
  - 3. Urban transportation systems
  - 4. Interurban transportation systems [see 734]
  - 5. Technology of electric power [see 721.C.7.]
  - 6. Fire prevention and control
- B. Technology of the basic social services of the city
  - 1. Police technology
    - a. Traffic control technology [see 734.H.]
    - b. Crime control technology
  - 2. Design, construction, and maintenance of recreational facilities; *e.g.*, parks, stadiums, racetracks, planetariums, aquariums
- C. Technological responses to new urban problems
  - 1. Control of air, water, land, and other pollution
  - 2. The planning of cities and urban environments: the systems approach to urban design and construction, the development of new towns

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with technology of the urban community

Conservation of Natural Resources Police Public Works Transportation

#### MICROPAEDIA: Selected entries of reference information

#### General subjects

fire prevention and	police technology:	pollution
control:	criminal	smog
fire alarm	investigation	urban transport:
fire engine	electronic	elevated transit
fire escape	eavesdropping	line
fire extinguisher	fingerprint	monorail
fire fighting	handcuffs	streetcar
fire prevention and	lie detector	subway
control	pollution control:	taxicab
fireboat	acid rain	trolleybus
halon	electrostatic	waste disposal:
smoke detector	precipitation	activated-sludge
sprinkler	emission-control	method
system	system	incinerator
	muffler	

refuse disposal system sedimentation tank sewage system water-supply systems: conduit desalination qanat reservoir water purification water softener water-supply system

#### Biographies

See Section 10/37 of Part Ten

INDEX: See entries under all of the terms above

#### Section 738. Technology of Earth and Space Exploration

- A. Techniques and equipment of surface and underground exploration
  - 1. Types and purposes of exploration
    - a. Scientific exploration: the determination of the properties of the Earth's interior
    - b. Resource exploration: the discovery of sources of ores, building materials, fuels, water, and geothermal energy
    - c. Exploration for construction: the planning of tunnels, foundations, and other works
  - 2. Methods of exploration
    - a. Indirect methods: geophysical and geochemical methods
    - b. Direct methods: on-site testing by means of excavation, boring, and sampling of soil and rock

#### B. Techniques and equipment of undersea exploration

- 1. Platforms for exploratory work
  - a. Surface vessels; e.g., deep-sea drilling ships and twin-hull vessels
  - b. Submersibles
  - c. Aircraft and satellites: application of remote sensing and satellite telemetry
  - d. Buoys and other unmanned units; e.g., the Self-Propelled Underwater Research Vehicle (SPURV)
- 2. Navigational methods and systems for establishing the precise location of discoveries
- 3. Developments in oceanographic sampling and measurement techniques; *e.g.*, acoustic methods and solid-state microelectronic ocean-current measuring devices
- C. Techniques and equipment of space exploration
  - 1. History of space flight prior to Sputnik I: early speculations and fictional accounts, development of space flight theory and technology during the 20th century
  - 2. Space programs since 1957
    - a. Space launch vehicles: rockets designed to provide orbital or escape velocity for manned or unmanned spacecraft
    - b. Unmanned space probes
      - i. The use of sounding rockets to explore the upper atmosphere of Earth

- ii. The use of orbiting satellites for scientific purposes; *e.g.*, to study the natural phenomena of space, to test instrumentation and communication techniques
- iii. The use of unmanned spacecraft to probe the Moon and the planets and their satellites
- c. Manned space programs: the Mercury, Gemini, Apollo, and Space Shuttle programs of the U.S.; the Vostok, Voskhod, and Soyuz/Salyut programs of the U.S.S.R. and the Russian Federation
- d. The use of Earth-oriented satellites: communications, Earth survey, and navigation satellites
- 3. Elements of space flight
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  - b. Technology of spacecraft subsystems
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    - i. Types of trajectories: suborbital, Earth orbital, Earth escape, and interplanetary
    - ii. Navigation in space
    - iii. Rendezvous and docking
    - iv. Reentry and recovery
- 4. Contributions of space exploration to advances in the physical sciences
- D. Techniques of life-support systems for exploration
  - 1. Systems used in undersea exploration
  - 2. Systems used in space exploration

MACROPAEDIA: Major articles dealing with the technology of Earth and space exploration

Exploration

Public Works

MICROPAEDIA: Selected entries of reference information

#### General subjects

launch vehicles:	Surveyor	unmanned Earth	Vanguard
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launch vehicle	ocean and seafloor	Aryabhata	other:
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Apollo program	bathyscaphe	Discoverer	exploration
astronaut	bathysphere	Earth satellite	Hohmann orbit
Gemini	Challenger	Landsat	interplanetary
life-support system	Expedition	Orbiting	exploration
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Mir	Glomar Challenger	Observatory	Aeronautics
Skylab Program	mesoscaphe	Orbiting	and Space
Soyuz	translunar probes:	Geophysical	Administration
space shuttle	Galileo	Observatory	prospecting
space station	Helios	Pegasus	seismic survey
Voskhod	Mariner	satellite	sounding rocket
Vostok	Pioneer	observatory	space exploration
Moon probes:	Venera	Seasat	spacecraft
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# Introduction to Part Eight: Religion as Symbolism

by Wilfred Cantwell Smith

There is more to human life than meets the eye. More to oneself; more to one's neighbour; more to the world that surrounds us. There is more to the past out of which we come; and especially, it would seem, more to the present moment, maybe even infinitely more. There is more to the interrelationships that bind us together as persons. And the further we probe, we have always found, the deeper the mystery, or the reward, or the involvement. It is this "more," perhaps, that provides at least one of the bases for human religion. We have seldom been content to be "superficial," to remain on the surface, to imagine that reality does not transcend our finite grasp; and throughout most of our history on this planet we have ordered our lives, both personal and cultural, in terms of that transcendence.

Yet how is one to point to what one does not visually see? How to resort to a milieu beyond all space? How to talk or to think about what transcends not only words but the reach of the mind? How even to feel about what one does not touch? Man's inherent and characteristic capacity to do these things finds expression through his special relation to symbols. These have proven over the centuries sometimes more, sometimes less, adequate to such a task, but in any case indispensable, and ubiquitous. Such symbols, it turns out, have the power not merely to express man's otherwise inchoate awareness of the richness of what lies under the surface, but also to nurture and to communicate and to elicit it. They have an activating as well as a representational quality, and an ability to organize the emotions and the unconscious as well as the conscious mind, so that into them we may pour the deepest range of our humanity and from them derive an enhancement of the personality. Without the use of symbols, including religious symbols, man would be radically less than human.

Quite diverse types of things have served the purpose: a beaver, the sky, a ceremonial procedure, silence; erotic love, or austere asceticism; the Qur'an; a historical figure; reason. The variety has been immense, different groups having chosen different things to serve them as symbols, not all equally successful. Virtually universal, however, is that peoples have found it possible to designate some item from within the visible world and to sacralize it in such a way that it becomes then for them the symbol or locus of the invisible, the transcendent. In Japan, a simple open gateway (torii) marks off the shrine precincts: one passes through it, leaving behind psychologically, symbolically, the humdrum ordinary world to enter the sacred space of the temple; and after worship, one again moves through the gate in the other direction, to reenter now the realm of everyday life, but as a renewed person. Virtually all peoples have set aside some portion of what outsiders would regard as ordinary terrain to serve for them as sacred space, erecting in it temple, church, or shrine whereby is then represented for them, often with great force, quite another dimension of reality.

Similarly with time: the Jew, for instance, sets apart one day in seven, whereby the other six days symbolize the mundane world with its bitter imperfections, perhaps its devastating pain, and at best its transient successes, while the Sabbath creatively represents the inviolate splendour of transcendence—with which therefore the other six days, however bleak, cannot keep him out of touch. Every people has its festivals, weekly or seasonal or occasional, its sacred times when life in its empirical and work-a-day aspects is transcended and life in its timeless dimension is reaffirmed, reactivated: moments when truth, significance, worth are recognized and cultivated—and carried back then into the ordinary world.

We are somehow aware, if only through imaginative vision or sensibility or our special capacity for hope, not only of what is but also of what ought to be. We have sensed that the status quo (nowadays, the fluxus quo) is not the final truth about man or the world. We have felt, to take one example, that social justice and concord, personal righteousness, health, joy stand over against the current observable condition of strife, loneliness, wickedness, poverty, and sorrow not as fancy against truth, wishful and irrational dreaming against reality, but in some fashion vice versa—as a norm by which the present imperfect world is judged, in some sense a truth in relation to which empirical actuality is in some sense an error. This too has been affirmed symbolically. One rather common way of doing so has been by representing a more perfect world elsewhere. Some have located their utopias chronologically in the past ("Once upon a time"; or Golden Age theories, as in Greece and India); or in the future (millennialisms, a coming just ruler, secular ideas of progress, a life after death); or geographically, somewhere else (the medieval Irish "Isle of the Blessed" in the then inaccessible Western Sea); or high above the sky (heaven, the heaven of heavens); or in a domain beyond time (Paradise); or in another realm than this universe (a metaphysical order, idealist realities).

However it be symbolized and articulated, a moral dimension to human life has been perceived and affirmed. Man has been aware not only of the profitable and the disadvantageous but also of the better and the worse, and has been inspired by some power to pursue the better; he has known that some actions are right, some wrong, and that it matters. At most times and most places, morality has been an integral part of the religious complex (although situations have on occasion arisen when the two have become historically dislocated—when a given form of religion has seemed not good; or to put it another way, when man's sense of what is worthwhile, and the inherited symbols by which worth used to be formulated, have no longer converged). If the panorama of man's religious life is, in its outward form, selected mundane data symbolizing the more than mundane, then the task of the student of religion is to know those data but to consider them not in themselves but in their role in our lives. Our concern is not primarily the doctrines and scriptures and prayers and rites and institutions; but rather, what these do to us. Not the tribal dance, so much as what happens to the African dancing; not the caste system, so much as what kind of person the Hindu becomes within it, or without it; not the events at Sinai, so much as what role the recounting of these events has played in both Jewish and Christian life over the centuries since; not the Qur'ān, so much as what the Qur'ān means to a Muslim.

In illustration, let us consider as an example a statue of the Buddha, and take note specifically of one small part of it, the pose of the right hand. Among several such stylized poses used throughout the Buddhist world, we may choose just one, the abhaya mudra ("fearlessness pose"), in which the right arm is somewhat raised, that hand held straight up, palm facing out. Over and above the more universal significance of such a gesture (power, authority, benediction), in the Buddhist case this represents also an incident from the life of the Buddha, in which reputedly a wild elephant charging him and his group was stopped in its tracks when the Teacher raised his hand so, and became tame. The gesture gives artistic expression, then, to the Buddha's fearlessness in the face of the threat, and also to his conferring of fearlessness, and of grounds for fearlessness, on his disciples: his serene triumph over danger.

To say that this particular feature of sculpture symbolizes for Buddhists the overcoming of fear is to indicate not merely that it depicts an event in someone else's life, but also that it effects a change in one's own-since, to repeat, symbols not only represent but activate. The animal in its fury in the remembered anecdote may itself be taken as symbolic, representative of the pressures and assaults of life, which faith in the Buddha gives one the inner resources to withstand: the passions, for instance, to which such faith bestows on one the power quietly to say "no." To understand this particular item in the religious life of Buddhists, accordingly, is to know the history of how a Japanese emperor or a Thai merchant or a Chinese peasant through contemplating it in some nearby temple has had his life transformed, his fear removed, his personality healed. A parallel may be observed of the role in the lives of Christians, over the centuries, of the story of Christ's stilling of the tempest. His words, "Peace, be still!" read in the Lesson, and the portraval of the scene in stained-glass windows, have served to symbolize, for people of faith, on the one hand Christ's power over the elements in his own life, and on the other hand the power that their faith in Him has in their lives, they have then found, to confer peace, to quell storms.

A special sort of symbolization, developed characteristically in, for instance, the Western world but by no means only there, has been the conceptual. A few recent philosophers have itched to legislate that concepts must be used to refer only to the sensible or phenomenal world; that it is illegitimate to use them symbolically to refer to a transcendent order. It would be manifestly stultifying to apply so austere a restriction to art or to most other human pursuits, apart from the natural sciences (from which these persons have learned it). Such an orientation has seemed to work rather well with the "objective" world—better, with the objective facets of the world (at least, until one raises moral questions about atomic bombs or ecology); but it appears stubbornly to misunderstand life in its distinctively human form.

One of the most powerful symbols in human history has, without question, been the concept "God." This concept, like other religious and other human symbols, has demonstrably meant different things to different persons and groups and ages; yet it is hardly too drastic an oversimplification to suggest that the concept has on the whole at least subsumed, integrated, deepened, and made operationally effective in the lives of many hundreds of millions of persons and in the life and social cohesion of many thousands of communities their awareness and their potential awareness of the entire range of transcendence with which they are surrounded or endued-of grandeur, order, meaning, aspiration, awe, hope, virtue, responsibility, rapport, integrity, worth, renewal. The highest, deepest, most comprehensive that they were capable of attaining, individually and socially, was organized, focused, and nurtured in and through this concept. (Given the distinction, observed by all believing theorists, between God and people's ideas of God, such theorists may themselves make this same point by saying that God has used the idea of God to enter people's lives; that the concept has served as a sacrament. More recent developments, with the concept "God" no. longer serving so effectively, as a symbol, for many, will be touched on below.)

Although correlative conceptualizations are virtually worldwide and history-long, this particular concept was developed in its most powerful and characteristic form in the Near East and has permeated, at times dominated, the civilizations that have emerged from there to cover almost half the planet, especially the Islāmic and the Judeo-Christian. The Indian counterpart has been in many respects closely similar; in many, subtly different. China and Japan, although also employing symbolic concepts richly, have tended toward other religious and cultural patterns than this particular one.

Even so major a symbol, however, as the concept "God," however all-embracing it may seem, is in the end significant not in isolation but within a whole system of ideas, practices, values, and the like, forming a pattern of which it is no doubt the keystone but not the totality. Certainly minor symbols like the pose of the right hand in a piece of sculpture or medium ones like the ceremonial holiness of the Sabbath, however significant they have been in the lives of many millions of persons, derive their meaning and their power from each being one item within a large pattern of symbolic structures, such as the Buddhist complex or the Christian.

And even these great complexes, each of which has an elaborate and ever-changing history, constitute systems to be understood not in themselves, as structures to be looked at, but rather in terms of the ambience that they make available for men and women to live within. "In order to understand Buddhists, one must look not at something called Buddhism, but at the universe, so far as possible through Buddhist eyes." It is not the symbols themselves that one must grasp, so much as the orientation that they induce: how the whole complex of symbols enables those who live in terms of it to see a sunset, a broken marriage, prosperity, the onset of cancer, one's election to public office.

The religious history of the Hindu community is a history, in part, of traditional ceremonial and ideological and sociological patterns. Yet in more significant part it is a history, however difficult this may be to discern, of fortitude and of quiet humaneness, of a conviction that life is worth living and death worth dying, that goals are worth striving for, that the immediate is caught up in the eternal. The Buddhist metaphors have served to kindle in the mind and heart of the Buddhist the perhaps unconscious awareness that one's own fortune is not a reason for gloating, or one's neighbour's fortune, for envy; that knowledge is more important than wealth, and wisdom than knowledge; that the world is to be appreciated and not merely exploited; that one's fellow is to be treated as an end, not merely as a means; that sorrow is not a reason for despair. Islamic law, theology, architecture, and the rest have been symbols that at their best have crystallized and nurtured, for Muslims, the courage and serenity, the sense of order and the aspiration to justice, the forbearance, the humility, the participation in community, that the Islāmic system traditionally inspired. Christian symbols have given both form and actuality, among Christians, to many things, including for instance the ability of human suffering to become redemptive.

Of course, religious symbols and sets of symbols have been used also for mean and destructive purposes. Man's wickedness, and not only his capacity for virtue, has been expressed and even encouraged by his symbol systems, at times. Through them he has found his freedom, his transcendence of the immediately given, his ability to move beyond being merely an organism reacting to its environment; but sometimes he has used these destructively, or has become a victim of their inherent ambiguities. Nothing has turned a society into a community so effectively as religious faith: to share common symbols is about the most powerful of social cohesions. And yet few gulfs have been greater than those that separate differing religious communities, few hostilities so fierce as those between groups whose symbols differ.

Religious symbols do not raise man above the human level; only to it.

A final word about history. The history of religion has at times been mistaken for the history of its symbols; but this is superficial. The same symbols have discernibly changed their meanings over time, and indeed from person to person, and even within one person's life; also, persisting or widespread orientations and perceptions have been expressed in strikingly different symbolizations. The true history of religion is more deeply personalist—not in the sense of individualist: the personal is also the social, and especially so in the religious realm. The true history of religion, not yet written, is the history of the depth or shallowness, richness or poverty, genuineness or insincerity, splendid wisdom or inane folly, with which men and women and their societies have responded to such symbols as were around them. It is also, however, the tale, and to some degree this can be told, of when and in what fashion they have forged new symbols, or neglected or found themselves unable to respond to old. And nowadays it is also the story of how they deal or fail to deal with a plurality of symbolisms.

One's faith is in some sense the meaning that religious symbols have for one; but more profoundly, it is the meaning that life has, and that the universe has, in the light of those symbols. For religious symbols do not "have" meanings of their own; they crystallize in various ways the meaning of the world, of human life. There is a history of their varying ability to do this, at various times and places (or of people's varying ability to have them do it). How new symbols or patterns of symbols emerge is too complex or controversial a question to be summarized here; but how they develop once launched, how they are reinterpreted (sometimes radically) over the centuries, how their success in pointing beyond themselves often gives way to a rigidity and narrowness in which they or their institutions are prized or defended simply in themselves; how iconoclastic movements arise, to shatter the symbols (literally, smashing idols; or figuratively, attacking concepts and mores), whether in the name of something higher or out of misunderstanding, and often both; saddest of all, how a time may arrive when the symbols no longer serve a community, no longer communicate a transcendent vision, and then a profound malaise settles on the society and life comes to seem without meaning, and people become alienated from each other and even from themselves and from the world in which they live-all this the historian can trace.

In recent Western history an aberrational tendency has arisen to imagine that human life is fundamentally or naturally "secular," and that religion has been an added extra, tacked on here and there to the standardly human. This view now appears to be false. Rather, the various religious systems have expressed varying ways of being human. The unbiased historian cannot but report that it has been characteristic of man to find that life has meaning and to formulate that meaning in symbolic ways, whether grostesque or sublime.

# Part Eight. Religion

The outlines in the eleven sections of Part Eight set forth studies of religion in general and studies of the particular religions. The ways in which religion is related to studies of human society, the fine arts, the history of civilizations, and science and philosophy are dealt with in Parts Five, Six, Nine, and Ten.

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# Division I. Religion in General

The outlines in the two sections of Division I deal with diverse views of the nature, purpose, validity, and value of religion, and with the problems, methods, and results of the empirical, comparative, and phenomenological study of religions and of religious experience.

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# Section 811. Knowledge and Understanding of Religion

- A. The philosophy of religion: diverse views of the nature and characteristics of religion
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    - b. The attributes of the divine or sacred
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- D. Theology as an attempt to understand and state the rationale of religious belief
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    - b. Doctrine and dogma
    - c. Articles of faith: religious creeds
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- ii. Deism
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- 4. Doctrines of creation
- 5. Angelology
- 6. Doctrines of divine government and providence
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# G. Religion in relation to other aspects of human experience

- 1. Religion and art [see also Part Six]
- 2. Religion and science
- 3. Religion and society [see also 521.D.6.]
- 4. Religion and morality [see also 10/52.B.6.]
- 5. Religion and philosophy [see also Part Ten, Division V]

# Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the knowledge and understanding of religion

Doctrines and Dogmas, Religious	Religions, The Study and Classification of
Myth and Mythology	Religious and Spiritual Belief, Systems of
Occultism	Sacred Offices and Orders
Philosophies of the Branches of Knowledge	Theology

# MICROPAEDIA: Selected entries of reference information

basic concerns and	heaven	sacrament	conceptions of the
phenomena of	hell	sacrifice	divine or sacred:
religion:	immortality	salvation	agnosticism
covenant	miracle	scripture	animism
creation myth	moral theology	sin	anthropomorphis
creed	mysticism	soul	atheism
eschatology	myth	superstition	Deism
evil, problem of	paradise	theodicy	deus otiosus
faith	prayer	theology	dualism
first cause	prophet	theophany	extrinsicism
free will	revelation		

fideism High God monotheism	Neo-Paganism pantheism polytheism	religious syncretism	secularism theism
Biographies			
Campbell, Joseph Durkheim, Émile Eliade, Mircea Evans-Pritchard, Sir Edward (Evan) Frankfort, Henri	Frazer, Sir James George James, William Jung, Carl (Gustav) Lang, Andrew Lévi-Strauss, Claude	Malinowski, Bronisław Müller, (Friedrich) Max Otto, Rudolf Söderblom, Nathan	Tiele, Cornelius Petrus Tylor, Sir Edward Burnett Wach, Joachim Weber, Max

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  - 2. Art and architecture, religious symbolism and iconography
  - 3. Ceremonial and religious objects, the sacraments
  - 4. Religious dress and vestments

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the religious life: institutions and practices

Doctrines and Dogmas, Religious Religious Experience Religious Symbolism and Iconography Rites and Ceremonies, Sacred Sacred Offices and Orders MICROPAEDIA: Selected entries of reference information

General subjects			
disciplines and practices: asceticism celibacy fasting feast human sacrifice meditation pilgrimage prayer sacrament sacrifice tonsure	places of worship: altar church high place mosque synagogue religious offices, orders, and personages: abbot canonization hagiography hermit martyr	monasticism priesthood prophet sacred kingship saint shaman rites of passage and associated practices: anointment Baptism burial circumcision clitoridectomy	cremation embalming marriage passage rite purification other: amen aniconism confession of faith creed idolatry relic scripture
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MACROPAEDIA: Major articles dealing with prehistoric religion and primitive religion

Doctrines and Dogmas, Religious Prehistoric Peoples and Cultures Sacred Offices and Orders

# MICROPAEDIA: Selected entries of reference information

#### General subjects

amulet	devarāja	moon worship	sun dance
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animals, master	Earth Mother	phallicism	taboo
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animism	headhunting	Rice Mother	tjurunga
Blessing Way	hieros gamos	sacred clown	totemism
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MACROPAEDIA: Major articles dealing with religions of ancient peoples

Doctrines and Dogmas, Religious	Mystery Religions
European Religions, Ancient	Pre-Columbian Civilizations
Middle Eastern Religions, Ancient	Zoroastrianism and Parsiism

MICROPAEDIA: Selected entries of reference information

Anatolian religions:	Laima	Aton	Egyptian worship,
Hebat	lauma	Atum	practices, and
Kubaba	Mēness	Bastet	institutions:
Tarhun	Pērkons	Bes	Book of the Dead
Teshub	Saule	Buto	Canopic jar
Arabian religion	Celtic religion:	Geb	Egyptian religion
(pre-Islāmic):	Belenus	Нарі	Heb-Sed festival
hanif	Brân	Hathor	Hermetic writings
Ilumquh	Brigit	Horus	mortuary temple
Lāt, al-	Celtic religion	Hu, Sia, and Heh	Opet
Aztec religion;	Cernunnos	Isis	reanimation rite
Chicomecóatl	Dôn	Khnum	scarab
Coatlicue	Druid	Maʿat	Finno-Ugric religion:
Huitzilopochtli	Esus	Mont	Finno-Ugric
nagual	Llyr	Mut	religion
Ometecuhtli	Lugus	Nekhbet	haltia
Quetzalcóatl	Medb	Nun	Kekri
Tezcatlipoca	Ogmios	Nut	kobdas
Tlaloc	Pwyll	Osiris	lud
Tlazoltéotl	Sucellus	Ptah	maa-alused
Tonatiuh	Teutates	Re	Madderakka
Xipe Totec	Tuatha Dé Danann	Sarapis	Manala
Xiuhtecuhtli	Egyptian pantheon:	Sebek	noiade
Baltic religion:	Amon	Seth	Peko
Baltic religion	Anubis	Shu	saivo
Dievs	Apis	Taurt	sampo
gabija	Apopis	Thoth	Tapio
Kalvis			tietäjä

Ved-ava Veralden-radien voršud Germanic mythology: Aurgelmir Balder Fenrir Frevja Germanic religion and mythology Heimdall Hel Idun Kvasir Loki Midgard Mimir Norn Odin Ragnarök Skadi Svadilfari Thor Tyr Ull Valhalla Valkyrie Yggdrasill Gnosticism. Manichaeism, and related movements: aeon Archon Cainite Carpocratian Cathari Docetism Encratite Gnosticism Hellenistic religion Mandaeanism Manichaeism Marcionite Mazdakism Ophite Paulician Greek mythology and legend: Acestes Achilles Actaeon Admetus Adonis aegis Agamemnon Aglauros Ajax Alcmaeon Amazon Amphitrite

Amphitryon Ananke Ancaeus Andromache Andromeda Antigone Antiope Arethusa Argonaut Ariadne Arion Asclepius Atalanta Athamas Atlas Atreus Autolycus Bellerophon Briareus **Britomartis Busiris** Cadmus Caeneus Calchas Calliope centaur Cephalus Cyclops Daedalus Danaus Daphne Daphnis demon Dido Echo Endymion Eos Erechtheus Erigone Fama Fate Fury Galinthias Gorgon Greek mythology Hector Hecuba Helen Helenus Heracles Hesperides Hyacinthus Hyperborean Hypnos Idomeneus Ilos Io Iphigeneia Ixion Jason Laocoön

Laomedon Leto Leucothea Linus Lotus-Eater Lycaon Marsyas Medea Medusa Meleager Memnon Menelaus Midas Minos Minotaur Myrmidon Narcissus Neoptolemus Niobe Nisus nymph Odysseus Oedipus Orestes Orion Palamedes Pandora Paris Pegasus Peleus Pelias Pelops Penelope Perseus Phaethon Philoctetes phoenix Pirithous Pleiades Polyphemus Priam Protesilaus Proteus Pyramus and Thisbe Sarpedon Satyr and Silenus Scylla and Charybdis Semele Seven Against Thebes Sibyl Siren Sisyphus Tantalus Theseus Thetis Tiresias Titan

Trojan horse Typhon Uranus Greek pantheon: Aphrodite Apollo Ares Aristaeus Artemis Asclepius Athena Chaos Cronus Demeter Dionysus Eros Fury Grace Hades Hebe Hecate Helios Hephaestus Hera Hermes Hestia Hippolytus Hora Hygieia Muse Nemesis Nereus Nike Pan Persephone Poseidon Priapus Prometheus Rhea Selene Themis Tyche Zeus Greek worship, practices, and institutions: Anthesteria **Bacchanalia** baetylus Daphnephoria Delphi Didvma Dodona Eleusinia Greek religion Heraeum herm lectisternium oracle Palladium

Panathenaea **Pyanopsia** Scirophoria Thargelia Thesmophoria Inca religion: Chosen Women huaca Inti Pachacamac Viracocha Iranian religion (pre-Islāmic): Mithra Mithraism Yima Mayan religion: Ah Kin Bacab Chac Cizin Dresden Codex Itzamná Madrid Codex Paris Codex Popol Vuh Mesopotamian pantheon: Adad Anu Ashur Bel Belit Damu Ea Ereshkigal Ishkur Ishtar Lamashtu Marduk Mesopotamian religion Nabu Nergal

Ninsun Ninurta Shamash Sin Tammuz Mesopotamian religious literature and mythology: Adapa Enmerkar Eridu Genesis Etana Epic Gilgamesh Lahmu and Lahamu Lament for the Destruction of Ur Mesopotamian mythology mystery religions: Andania mysteries Attis Cabeiri Corvbantes Eleusinian **Mysteries** Eumolpus galli Great Mother of the Gods hierophant Iacchus Jupiter Dolichenus mystery religion Orpheus Taurobolium Roman pantheon: Aeneas Ascanius Asclepius Bona Dea Cacus and Caca Camilla Ceres

Diana Dioscuri Faunus Fides Fortuna Furv Janus Juno Jupiter Lar Liber and Libera Libitina Mars Mercury Minerva Neptune Penates Picus Psyche Quirinus Salus Saturn Silvanus Sol Venus Vesta Roman worship, practices, and institutions: fetial flamen genius Haruspices lectisternium Lupercalia Matronalia Parilia pontifex Roman religion Salii supplicatio Vestal Virgin Slavic religion: domovov leshy

Kartēr Mani Valentinus Zoroaster

Perun rusalka Svrian and Palestinian pantheon: Anath Asherah Astarte Atargatis Baal Dagon El Kothar Melgart Resheph Shadrafa Tanit Yamm Zoroastrianism: Ahriman Ahura Mazdā amesha spenta A vesta fravashi Gabar Gahanbar Gayōmart haoma magus Nōrūz Parsi Rashnu Saoshvans Sraosha Verethraghna Vohu Manah vazata Zoroastrianism Zurvanism

# Biographies

Ningishzida

Ninhursag

Akhenaton Anquetil-Duperron, A(braham)- H(yacinthe) Basilides Imhotep

Cupid

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Hinduism Indian Philosophy Jainism Sikhism MICROPAEDIA: Selected entries of reference information

#### General subjects

Hinduismcaste system: Agarwālā Bania bhāīband Brahman Camār caste Christian caste Dāsa Devadāsī Dom dvija gotra Islāmic caste jajmānī system jāti Kshatriya kul Mahar Marāthā Nambūdiri Nāvar outcaste panchayat sabha Sudra untouchable Vaisva varna Hinduism-deities and mythology: Aditi Agni Ardhanārīśvara Balarāma bhut Brahmā Candī churning of the milky ocean Dharma-Thākur Durgā Ganeśa Garuda grāmadevatā Hanumān Harihara Indra Jagannātha Kālī Kalkin Kāma Krishna Kubera Kūrma Laksmī Manasā Manu

Matsva Meru. Mount Murugan naga Nandi Narasimha Natarāja Paraśurāma Pārvatī Praiānati Rādhā rakshasa Rāma Rāvana Saptamātrkā Sarasvatī Sītā Śiva Skanda Sūrva vāhana Vāmana Varāha Varuna Vāsudeva Vishnu vaksha Yama yuga Hinduism—forms, sects, movements, and orders: Ājīvika Arya Samaj Bhāgavata bhakti Brahmo Samaj Caitanya movement daśnāmī sannyāsin Gānapatya Kānphata Yogi Kāpālika and Kālāmukha Kashmir Śaivism Lingāyat Nātha Pāñcarātra Pāśupata Prarthana Samaj Rādhā Soāmi Satsang sadhu and swami Śaiva-siddhānta Śaivism sampradāya sannyasi Satnāmī sect

Saura sect Shaktism Smārta sect Śrīvaisnava Swāmī-Nārāvanī Tantric Hinduism Tenkalai Vadakalai vairāgin Vaishnavism Vaisnava-Sahajiyā Vallabhācārva Hinduism philosophy and doctrine: Advaita ahankara ānanda anumāna artha asana ashrama āstika atman avatar bhedābheda brahma Cārvāka chakra cow, sanctity of the deva dharma Dvaita Hatha Yoga indriva inana karma kundalinī mārga maya Mimamsa nirguna Nyāya prakriti pramāna prana prāņāyāma pratyaksa purusha śabda samadhi Samkhyā samsāra tat tvam asi upādhi

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Rāmāyaņa	Bāhubali	Pārśvanātha	gurdwārā
Smrti	Digambara	Paryuşana	Gurū
Śrauta-sūtra	dravya	Rşabhanātha	Harimandir
Śruti	gaccha	Siddha	Khālsā
sūtra	guņasthāna	Sthänakavāsī	Nāmdhārī
Tantra	Jaina canon	Śvetāmbara	Nirankārī
Upanishad	Jaina vrata	syādvāda	Rām Rāiyā
Veda	Jainism	Tirthankara	Sikhism
Jainism:	jiva	Sikhism:	Singh Sabhā
ahimsa	Kālakācāryakathā	Ādi Granth	Udāsī
ajiva	Kalpa-sūtra	Akāl Takht	other:
Arișțanemi	leśyā	Akālī	Parsi
astamangala	nirjarā	Dasam Granth	Vedic religion
Biographies			
Aurobindo, Śrī	Gobind Singh	Mīrā Bāī	Rāmānuja
Caitanya	Keshab	Nānak	Roy, Ram Mohun
Dādū	Chunder Sen	Ram Singh	Śańkara
Dayananda	Madhva	Ramakrishna	Tara Singh
Sarasvati	Mahāvīra	Ramana Maharshi	Vivekananda
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Buddhism, The Buddha and

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deities and	Ni-ö	Nichiren	offices and
mythology:	Samvara	Buddhism	personages:
Amitābha	Shih Wang	Pure Land	bhikku
Avalokiteśvara	Tārā	Buddhism	bodhisattva
Bhaisajya-guru	Vairocana	Reiyū-kai	cakravartin
brahma-loka	Vajrapāņi	Rinzai	Dalai Lama
dharmapäla	Vajrayoginī	Risshö-Kösei-kai	lama
Dhyäni-Buddha	yi-dam	Ritsu	mahäsiddha
Five Great Kings	forms, sects, schools,	Rnying-ma-pa	Nechung oracle
Hārītī	and orders:	Sammatīya	Panchen Lama
Hevajra	Bka'-brgyud-pa	Sarvästivāda	pratyeka-buddha
Ksitigarbha	Dge-lugs-pa	Sautrāntika	upāsaka
lokapāla	eighteen schools	Shingon	philosophy and
Lumbinī	Hīnayāna	Söka-gakkai	doctrine:
Mahā Māyā	Jõjitsu	Sōtō	Abhidharmakośa
mahāpurusa	Kegon	Theravāda	abhijñā
Maitreya	Kusha	Tibetan Buddhism	akriyāvāda
Mañjuśrī	Mādhyamika	T'ien-t'ai	ālaya-vijnāna
Māra	Mahāsaṅghika	Vajrayāna	anatta
Myō-õ	Mahāyāna	Yogācāra	arhat
nāman		Zen	ariya-puggala

	arūpa-loka	Buddhist	Bhadracaryā-	butsudan
	āsrāva	meditation	praņidhāna	caitya
	bhava-cakra	dhāranī	bhāņavāra	Gandhāra art
	bhūmi	gcod	Bka'-'gyur	Jõgan style
	bodhi	gtor-ma	Bstan-'gyur	kapāla
	brahma-loka	koan	Buddhacarita	kara-yō
	brahmacharya	kyūdō	Dhammapada	Mai-chi-shan
	brahmavihāra	mandala	Diamond Sütra	mandala
	dharma	mantra	gsung-'bum	Mathurā art
	dukkha	mudra	Guhyasamāja	mudra
	Eightfold Path	nang-mchod	Tantra	Northern Wei
	Four Noble Truths	pabbajjā	Heart Sütra	sculpture
	kammatthāna	pāramitā	Jātaka	pagoda
	karman	pātimokkha	Khuddaka Nikāya	prayer wheel
	Kegon	phyi-mchod	Lalitavistara	Sānchi sculpture
	Kusha	sadhana	Lankāvatāra-sūtra	stūpa
	Mädhyamika	sangha	Lotus Sütra	Sukhothai style
	mahämudrā	Smon-lam	Mahāvairocana-	Tempyō style
	Nirvāņa	chen-mo	sütra	Tenjiku
	pāramitā	upasampadā	Mahāvastu	thang-ka
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	Pramāņa-vārttika	vassa	dhotpäda-śāstra	T'ien-lung Shan
	, pratītva-samutpāda	vihāra	Milinda-pañha	Tödai Temple
	pratvava	zazen	Mülamadhyama-	U Thong style
	puñña	sacred and secular	kakārikā	vajra
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	samsāra	Pitaka	Pure Land Sūtra	other:
	samvrti-satva	Abhidhammattha-	Satvasiddhi-śāstra	Abhavagiri
	Sarvästivāda	sangaha	sūtra	Bon
	Satori	Abhidhammāvatāra	Sutta Pitaka	Buddhist council
	sīla	Abhidharmakośa	Suttanipäta	Mahāvihāra
	skandha	Abhisamavālan-	Ta-ts'ang Ching	mappõ
	smrtvupasthäna	kārāloka	Tipitaka	rock edicts
	Triratna	Amitävur-dhvāna-sūtra	Vinava Pitaka	
	trisvabhäva	angă	symbolism.	
n	vractices and	atthakathā	iconogranhy, and	
P	institutions:	Avadāna	ritual objects:	
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	Asanaa	Fo brien	Huineng	Padmacambhava
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Chinese Literature	Shintō
Confucianism, Confucius and	Taoism
Japanese Literature	

#### MICROPAEDIA: Selected entries of reference information

Chinese deities and	shen	Shu Ching	Susanoo
mythology:	Shen Nung	Ssu shu	tengu
Chang Kuo-lao	Shou Hsing	Ta hsüeh	ujigami
Ch'ang O	Shun	Tso chuan	Ukemochi no
Ch'eng Huang	Ta Yü	Wu ching	kami
ch'i-lin	T'ien	Japanese deities and	Yama-no-kami
Chih Nü	Ts'ai Shen	mythology:	Yorimitsu
Chung-li Ch'üan	Tsao Chün	Amaterasu	Japanese religious
feng-huang	Ts'ao Kuo-chiu	Amenouzume	movements:
Fu Hsi	Tsao Shen	Benten	Hito-no-michi
Fu Shen	T'u-ti	Daikoku	Hõtoku
Han Hsiang	Wen Ti	Ebisu	Kirishitan
Ho Hsien-ku	Wu hsing	Fukurokuju	Kokugaku
Hou Chi	Yao	goryō	Konkō-kyō
Hou I	Yü Ti	Hachiman	Kurozumi-kyō
Hou T'u	Confucianism—	hitogami	Neo-Confucianism
Hsi Wang Mu	philosophy:	Ho-musubi	Ōmoto
Huang-ti	Confucianism	Inari	PL Kyōdan
Kuan Ti	hsiao	Izanagi and	Shinbutsu shūgō
K'uei Hsing	jen	Izanami	Shingaku
Lei Kung	li	Jimmu	Tenshō Kōtai
Li T'ieh-kuai	T'ien Ming	kami	Jingū-kyō
Lu Hsing	Confucianism—	kappa	Korean religion:
Lü Tung-pin	sacred literature:	Kusanagi	Ch'ŏndogyo
lung	Chou li	Ninigi	mudang
Men Shen	Ch'un-ch'iu	Ōkuninushi	P'alkwanhoe
Nü Kua	Chung yung	Sarudahiko	Poch'ŏngyo
Pa Hsien	I Ching	Shichi-fuku-	p'ungsuchirisol
P'an Ku	Li chi	jin	Sansin
p'an-t'ao	Lun yü	Sugawara	Shintō—precept and
San Kuan	Mencius	Michizane	practice:
She Chi	Shih ching	Sukunahikona	harai

jinja	shinten	Sannō Ichijitsu	hsü
kami	shōzoku	Shintō	Huai-nan-tzu
kamidana	tamaya	Shrine Shintō	Lieh-tzu
Kojiki	torii	Shugen-dö	p'o
matsuri	ujigami	State Shintõ	p'u
musubi	Shinto—sects and	Tajong-gyo	tao
Nihon shoki	schools:	Tangun	Tao-te Ching
norito	Fukkom Shintō	Tenrikyō	te
Shichi-go-sar	Ise Shintō	Taoism:	tzu-jan
shinsen	Kyõha Shintō	Five Pecks of Rice	wu-wei
shinshoku	Ryõbu Shintõ	hsien	
shintai	-	hsin-shu	
Biographies			
Ch'eng Hao	and Havashi Razan	Lieh-tzu	Tung Chung-shu
Ch'eng I	Hsün-tzu	Mencius	Wang Ch'ung
Chu Hsi	Lao-tzu	Motoori Norinaga	Wang Yang-ming
Chuang-tzu	Liang Shu-ming	Shao Yung	Yen Yüan

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# Section 826. Judaism

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    - a. Canons, texts, and vernacular versions of the Bible; e.g., Septuagint, Targum
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    - c. Noncanonical literature: Apocrypha, pseudepigrapha
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e. Eschatology: views about the future age of mankind and the world, the King-Messiah and his reign

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  - b. Uses of the visual arts in ceremony and ritual: ceremonial objects, synagogue architecture, paintings, manuscript illumination
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  - d. Literature: traditional legends and poetic exegesis, later religious poetry and tales

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles and a biography dealing with Judaism

Doctrines and Dogmas, Religious Judaism Moses

MICROPAEDIA: Selected entries of reference information

beliefs and doctrines:	Dead Sea Scrolls	Jonah, Book of	Solomon, Song of
agunah	Deuteronomy	Joshua, Book of	Ten
'avera	Ecclesiastes	Judges, Book of	Commandments
chosen people	Eden, Garden of	Ketuvim	Torah
Derekh Eretz	Esther, Book of	Kings, books of	Zechariah, Book of
eschatology	Exodus	Lamentations of	Zephaniah,
Gehenna	Ezekiel, Book of	Jeremiah	Book of
gemilut hesed	Ezra and	Leviticus	forms, sects, and
Halakhah	Nehemiah,	Malachi, Book of	movements:
Hebraic law	books of	Masoretic text	Ashkenazi
Israeli law	Genesis	Micah, Book of	Bene-Israel
messiah	Habakkuk,	Nahum, Book of	Conservative
minhag	Book of	Nevi'im	Judaism
mitzvah	Haggai, Book of	Noahide laws	Falasha
ʻolam ha-ba	Hexapla	Numbers	Hasidism
Shekhina	Holiness, Code of	Obadiah, Book of	Oriental Jew
Bible:	Hosea, Book of	Old Testament	Orthodox Judaism
Amos, Book of	Isaiah, Book of	Proverbs	Reconstructionism
Babel, Tower of	Israel, Kingdom of	Psalms	Reform Judaism
Chronicles, books	Jeremiah, Book of	Ruth, Book of	Samaritan
of the	Job, Book of	Samuel, books of	Sephardi
Daniel, Book of	Joel, Book of	Septuagint	Zionism

history: Ammonite amora Ark of the Covenant Asher **Babylonian** Exile Benjamin Bethel Boethusian Canaan Dan Diaspora Elohim ephod Ephraim Essene Gad gaon golden calf Hasidean Haskala high priest Holocaust Holy of Holies Issachar Jerusalem, Temple of Judah Judaism K'ai-feng Jew Karaism Kenite Kneset ha-Gedola Levite ma'amadot Manasseh manna Midianite Mitnagged Moabite Musar Naphtali Nazirite Pharisee Qumrān Rechabite red heifer Reuben Sadducee Samaritan **Biographies** Biblical figures: Abraham Amos

David

Deborah

Ezekiel

Isaiah

Jacob

Sambation sanhedrin savora scapegoat shewbread Simeon Sinai, Mount Sodom and Gomorrah sofer Tabernacle tanna Ten Commandments Ten Lost Tribes of Israel Therapeutae Twelve Tribes of Israel Western Wall Yahweh Zealot Zebulun Zion mysticism: ba'al shem Habad Kabbala kavvanah maggid Merkava Sefer ha-bahir Sefer ha-temuna Sefer ha-zohar Sefer Yetzira sefira Shabbetaianism tzaddiq rites, practices, and institutions: 'alenu aliyah amidah anno mundi arba' kanfot ark Avinu Malkenu Bar Mitzvah berakha bet din bimah

> Jeremiah Jezebel Jonah Jonathan Joseph Melchizedek Moses Noah

cantor chief rabbinate cohen David. Star of derasha Elijah's cup etrog exegesis gabbai gematria genizah get Haftarah halitza Hallel hands. imposition of Hanukka Havdala Hebraic law hermeneutics hol ha-mo'ed Kaddish kashruth ketubba Kiddush Kol Nidre kosher Lag ba-'Omer maarib mahzor matzeva menorah mezuzah middot mikvah minhah minvan musaf neilah Paschal lamb Passover peshat phylactery pidyon ha-ben piyyut prosbul Purim rabbi Rosh Hashana Sabbath

Samson Samuel Saul Solomon commentators. scholars, teachers: Akiba ben Joseph Ba'al Shem Țov

seder selihoth Shabuoth shadkhan shaharith Shema shivah siddur sidra Simhath Torah siyyum Sukkot synagogue takkanah tallit Tammuz, Fast of tashlik terefah Three Weeks Tisha be-Av vahrzeit veshiva vizkor Yom Kippur Talmud and Midrash: Baraita Gemara Midrash Mishna Mishne Torah Mo'ed Nashim Neziqin Oodashim responsa Shulhan 'arukh Talmud Thirteen Articles of Faith Tohorot tosafot Tosefta Zera'im other: anti-Semitism Gentile I-Thou Jew

> Eleazar ben Judah of Worms Elijah ben Solomon Heller, Yom <u>Tov</u> Lipmann ben Nathan ha-Levi Hillel

Hirsch, Samson	Jewish philosophers:	Baeck, Leo	Josephus, Flavius
Raphael	Buber, Martin	Dubnow,	Kohler, Kaufmann
Ishmael ben Elisha	Israeli, Isaac ben	Simon M.	Maccabees
Johanan ben	Solomon	Ezra	Magnes, Judah
Zakkai	Maimon, Salomon	Frank, Jacob	Leon
Judah ben Samuel	Maimonides,	Günzburg, Horace,	Manasseh ben
Judah ha-Nasi	Moses	Baron	Israel
Karo, Joseph ben	Mendelssohn,	Günzburg, Joseph,	Shabbetai Tzevi
Ephraim	Moses	Baron	Wise, Isaac Mayer
Luria, Isaac ben	Philo Judaeus	Hertz, Joseph	Zuckerman,
Solomon	Rosenzweig, Franz	Herman	Itzhak
Moses de León	other:	Herzl, Theodor	
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Sa'adia ben Joseph	Mordecai	ben Saul	

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    - b. Doctrinal controversies that occasioned the further development of Christian theology: reaction to the teachings of Novatian, Donatus, Pelagius, and Arius; the role of St. Augustine; the councils of Nicaea (AD 325), Constantinople (381), Ephesus (431), and Chalcedon (451)
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  - c. The Catholic Reformation and Counter-Reformation
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    - c. The Reformation in Switzerland, France, and the Low Countries [see also 961.A.5.c., g., and h.]
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    - e. Expansion of the Reformation to Scandinavia, the Baltic states, and eastern, central, and southern Europe
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    - h. The wars of religion: church-state relations and the gradual development of the concept of religious liberty during the 16th and 17th centuries [see also 961.A.4.]
  - 2. The transition era: from the Peace of Westphalia to the French Revolution (17th-18th century)
    - a. Political developments affecting the continental Protestant churches
    - b. Developments in German Protestantism
    - c. The challenge of rationalism, Deism, and the Enlightenment: the Protestant response
    - d. Developments in English Protestantism
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  - 5. Writings of the post-patristic theologians, reformers, and church leaders

- 6. Christian mysticism
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- F. Beliefs, practices, and institutions of Christianity
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    - b. The self-revelation of God: the understanding of God as Creator, Sustainer, and Judge
    - c. Christology: teachings concerning the person of Jesus Christ
    - d. Eschatology: political and apocalyptic messianic concepts, expectation of the Kingdom of God [see also 826.C.1.e.]
    - e. The role of the Holy Spirit in the church: the tensions between continuity and revolution, institutional authority and charismatic activity, and order and freedom
    - f. The doctrine of the Holy Trinity
  - 2. Doctrines concerning intermediary beings, powers, or principles; *e.g.*, the angels, Satan [see also 811.D.5.]
  - 3. Doctrines concerning the physical world
  - 4. Doctrines concerning mankind
  - 5. Doctrines concerning the church: Scripture, tradition, creeds, and confessions as normative expressions of Christian belief; the nature and role of doctrine and dogma
  - 6. Practices and institutions common or predominant among the various traditional forms of Christianity
    - a. The structure of church institutions: canon law and church polity
    - b. The role and characteristics of the liturgy: the church as a worshiping community, the church year
    - c. Forms of Christian life: monasticism, the saintly life
  - 7. Art and iconography
    - a. Major eras, regions, and schools of Christian art
    - b. The expression of Christian faith and themes in the arts
- G. The major traditional forms of Christianity
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    - c. Teachings, forms of worship, and principles of organization that distinguish Eastern Christianity from Roman Catholicism and Protestantism
    - d. The relationship of Eastern Orthodoxy to recent social movements
    - e. Traditional (national) Eastern Orthodox churches; e.g., the Russian Orthodox Church, the Church of Greece
    - f. Eastern Christian Independent churches: Syrian Orthodox Patriarchate of Antioch; Armenian Apostolic Church; Coptic Orthodox Church; Ethiopian Orthodox Church; Malabar Christians; Nestorian (Assyrian) Church
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- d. The response of the Catholic Church to recent social and political developments
- e. The Eastern rite (Uniate) churches: ethnically and nationally distinct churches in canonical communion with the Roman Apostolic See
- f. Old Catholic churches: churches that separated from the see of Rome after the first Vatican Council
- 3. Protestantism
  - a. The Reformation and the historical development of Protestantism [see D., above]
  - b. Teachings, forms of worship, and principles of organization distinguishing the Protestant heritage from that of Roman Catholicism and Eastern Orthodoxy
  - c. The influence of Protestantism on modern political and social thought
  - d. Major forms of Protestantism: historical development, teachings, forms of worship, and organization
    - i. Lutheran churches
    - ii. Reformed and Presbyterian churches: Calvinism
    - iii. The Anglican Communion
    - iv. The Free churches: Baptists, Disciples of Christ, Congregationalists, Methodists
  - e. Variations of the traditional forms of Protestantism
    - i. Holiness churches
    - ii. Pentecostal churches
    - iii. Millenarian churches: Adventists, Jehovah's Witnesses
    - iv. Society of Friends (Quakers)
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    - vi. Old-line Protestant sects and their derivations: Mennonites (including Amish and Hutterites), the Moravian Church, Brethren
    - vii. Other independent churches: various fundamentalist, evangelical, and other sectarian groups
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- H. Sects and movements tangentially related to traditional Christianity
  - 1. New Thought: Unity and other groups
  - 2. Christian Science
  - 3. Mormonism
- I. Ecumenical, interdenominational, and intradenominational associations

MACROPAEDIA: Major articles and biographies dealing with Christianity

Biblical Literature and Its Critical	Jesus: The Christ and Christology
Interpretation	Luther
Calvinism, Calvin and	Paul, The Apostle
Christianity	Protestantism
Doctrines and Dogmas, Religious	Roman Catholicism
Eastern Orthodoxy	Sacred Offices and Orders

# MICROPAEDIA: Selected entries of reference information

belief, doctrine, and	Alpha and Omega	apostasy	atonement
dogma:	anathema	apostolic	benefice
absolution	angel	succession	blasphemy
adiaphorism	Annunciation	Ascension	bull
agape	Antichrist	Assumption	catechism

catechumen catholic charity cherub Christ, two natures of concordat confession consubstantiation deadly sin Erastianism eschatology evangelical church faith God, Kingdom of grace heaven hell heresy Holy Spirit hope Immaculate Conception imprimatur Incarnation indulgence Inner Light iustification kervgma and catechesis Last Judgment limbo logos Mariology martyr millennium miracle monophysite moral theology mystical body of Christ nomocanon original sin orthodox predestination purgatory resurrection Sacred Heart saint saints. communion of Satan Second Coming seraph sin soul stigmata Theotokos tongues, gift of transubstantiation Trinity Turin, Shroud of

Virgin Birth Visitation canon law. annates benefice canon law Codex Inris Canonici conclave concordat consistory Corpus Juris Canonici decretal dispensation ecclesiastical court encyclical excommunication False Decretals Gratian's Decretum legate nomocanon nuncio ordination papal infallibility penitential book simony church polity and ecclesiastical hierarchy: almoner archbishop archdeacon autocephalous church bishop Bishops, Synod of cardinal cathedral chaplain church churchwarden clergy collegiality conciliarism congé d'élire congregation council deacon diocese elder episcopacy episcopus vagans free church holy order metropolitan ministry papacy parish patriarch pope

prelate presbyter presbyterian priest primate Roman Curia schism secular institute synod vicar churches-Eastern Indevendent: Armenian Apostolic Church Christians of Saint Thomas Coptic Orthodox Church Ethiopian Orthodox Church Nestorian Svrian Orthodox Patriarchate of Antioch churches-Eastern Orthodox: Bulgarian Orthodox Church Cyprus, Church of Eastern Orthodoxy Ecumenical Patriarchate of Constantinople Georgian Orthodox Church Greece. Church of Greek Orthodox Patriarchate of Alexandria Greek Orthodox Patriarchate of Antioch and All the East Greek Orthodox Patriarchate of Jerusalem Japanese Orthodox church Jerusalem, Synod of Old Believer Orthodox Church in America Orthodox Church of Czechoslovakia Orthodox Church of Finland Orthodox Church of Poland

Renovated Church Romanian Orthodox Church Russian Orthodox church Serbian Orthodox Church churches-Protestant (Anglican Communion): Anglican Church of Australia Anglican Communion Anglican Evangelical Anglican religious community Anglo-Catholicism Broad Church Canada, Anglican Church of Canterbury, archbishop of Canterbury and York. Convocations of Church Army Church Commissioners Church Missionary Society England, Church of **Episcopal** Church in Scotland Ireland, Church of Lambeth Conference New Zealand. Church of the Province of Nonjuror Oxford movement Protestant **Episcopal** Church South Africa, Church of the Province of Wales, Church in churches-Protestant (Baptist): American Baptist Association American Baptist Churches in the U.S.A. **Baptist Baptist Federation** of Canada

**Baptist Missionary** Association of America Baptist Union of Great Britain and Ireland National Association of Free Will **Baptists** National Baptist Convention of America National **Primitive Baptist** Convention. Inc. Southern Baptist Convention churches—Protestant (Congregationalist): Congregational Church of England and Wales Congregational Council for World Mission Congregationalism churches-Protestant (Disciples of Christ): Disciples of Christ churches-Protestant (Friends): Friends, Society of Friends World Committee for Consultation churches—Protestant (Holiness): Christian and Missionary Alliance God (Anderson Ind.), Church of Holiness movement Nazarene, Church of the Pillar of Fire United House of Praver for All People Wesleyan Church churches—Protestant (Lutheran): American Evangelical Lutheran Church Augustana Evangelical Lutheran Church **Batak Protestant** Christian Church

Evangelical Church in Germany. The Evangelical Church of Czech Brethren Evangelical Lutheran Church in America Evangelical Lutheran People's Church of Denmark Finland, Church of Lutheran Church in Württemberg Lutheran Church-Missouri Svnod Lutheran Church of Oldenburg Lutheran Council in the United States of America Lutheran Synodical Conference Lutheran World Federation Lutheranism National Church of Iceland Norway, Church of Sweden, Church of United Evangelical Lutheran Church United Evangelical Lutheran Church of Germany Wisconsin Evangelical Lutheran Synod churches—Protestant (Methodist): African Methodist Episcopal Church African Methodist **Episcopal** Zion Church Christian Methodist **Episcopal** Church Evangelical United Brethren Church Methodism United Methodist Church World Methodist Council churches-Protestant (millenarian): Adventist Christadelphian

Christian Catholic Church Jehovah's Witness Plymouth Brethren Shaker churches—Protestant (old-line): Amish Bohemian Confession Brethren Ephrata Community Hussite Hutterite Mennonite Moravian church Taborite Unitas Fratrum Utraquist churches—Protestant (Pentecostal): Assemblies of God God, Church of God in Christ, Church of International Church of the Foursquare Gospel Jesus Only Latter Rain revival Pentecostal Assemblies of the World, Inc. Pentecostal Church of God of America. Inc. Pentecostal Holiness Church, Inc. Pentecostalism churches—Protestant (Reformed and Presbyterian): Christian **Reformed Church** in North America Cumberland Presbyterian Church **Dutch Reformed** Church Dutch Reformed Church in Africa **Dutch Reformed** Mission Church in South Africa Evangelical Church in Germany, The

Evangelical Church of Czech Brethren Free Church of Scotland Iona Community Netherlands Reformed Church, The Presbyterian Church (U.S.A.) Presbyterian Church in Ireland Presbyterian Church of England Presbyterian Church of Wales Presbyterian churches Reformed church Reformed Church in America Reformed Church of France Reformed Church of Hungary Reformed Churches in The Netherlands Scotland. Church of United Church of Canada United Free Church of Scotland United Presbyterian Church churches—Protestant (Unitarian and Universalists): Unitarian Universalist Association Unitarianism Universalism churches—Protestant (United Church of Christ): Evangelical and Reformed Church General Council of Congregational Christian Churches United Church of Christ churches-Protestant (other): Christ, Church of

Conservative Baptist Association of America Evangelical Alliance **Evangelical Free** Church of America National Association of Evangelicals New Church Protestantism Undenominational Fellowship of Christian Churches and Churches of Christ churches—Roman and Eastern Catholic Armenian Catholic Church **Bulgarian** Catholic Church Chaldean Catholic Church **Coptic Catholic** Church Eastern rite church Italo-Albanian Church Malabarese Catholic Church Malankarese Catholic Church Maronite Church Old Catholic church Old Catholic Church of The Netherlands Polish National Catholic Church of America Roman Catholic Church of Romania Roman Catholicism Russian Catholic church Svrian Catholic Church Ukrainian Catholic Church churches-other: Christian Science Mormon

New Apostolic Church Peace Mission Reorganized Church of Jesus Christ of Latter-day Saints Unity School of Christianity heresies: Adoptionism Albigenses Anomoean Aphthartodocetism Arianism Cathari Macedonianism Monarchianism Montanism Pelagianism Sabellianism semi-Arianism history: Acacian Schism Anabaptist anticlericalism antinomianism Antioch. Council of antipope Apology of the Augsburg Confession Apostle Ariminum. Council of Arles, Council of Arminianism Augsburg, Peace of Augsburg Confession Augsburg Interim auto-da-fé Avignon papacy Barmen, Synod of Basel. Council of **Belgic Confession** Brest-Litovsk, Union of caesaropapism Cambrai, League of Cameronian camp meeting Chalcedon, Council of Children's Crusade Chinese Rites Controversy Christian Socialism circuit rider Clergy Reserves collegia pietatis Confessing Church Constance. Council of Constantine. Donation of Constantinople. Council of Counter-Reformation covenant theology Covenanter crusade Dead Sea Scrolls Death of God movement Desert Fathers devotio moderna Diamper, Synod of Donatist Dort. Synod of Douai-Reims Bible Dukhohor Eastern Orthodoxy Ebionite ecumenism Eight Saints, War of the Elvira, Council of Ephesus. councils of Familist Ferrara-Florence, Council of fundamentalism Gallican Confession Gallicanism Geneva Bible Geneva Catechism German Christian Great Awakening Guarantees. Law of Half-Way Covenant Hampton Court Conference Helvetic Confession Holiness movement Holy League Homoean homoousian Hsi-an monument Huguenot Iconoclastic Controversy Independent

Inquisition Institutes of the Christian Religion Investiture Controversy Jerusalem Council of Jesuit Estates controversy Lambeth Ouadrilateral Lateran Council Lateran Treaty Lollard Lutheranism Lvon, councils of Marburg, Colloguy of Marprelate Controversy Melchite Milan, Edict of mission Modernism Monothelite Moral Re-Armament Neoorthodoxy Nicaea, Council of Ninety-five Theses Nisibis, School of Oneida Community Orange, councils of Oxford movement Papal States Parliament. Admonition to Paschal controversies Penal Laws pentarchy Pietism **Pilgrim Fathers** Pisa, Council of Pistoia, Synod of Pragmatic Sanction of Bourges Protestant Orthodoxy Puritanism Ouietism Quinisext Council Raskol Reformation **Reformed** League regium donum

revivalism Roman Catholicism Saint Bartholomew's Day, Massacre of Sandemanian Sardica, Council of Savoy Conference Savoy Declaration Schmalkaldic Articles Schmalkaldic League Schwabach, Articles of Scots Confession Seeker semi-Pelagianism Social Gospel Socinian Solemn League and Covenant Sonderbund stylite Sunday school 1054, Schism of theological liberalism Thirty-nine Articles Treatise on the Power and Primacy of the Pope Trent, Council of Troubles. Council of Twenty-five Articles of Religion Ultramontanism Unigenitus Vatican Council, first Vatican Council. second Vienne. Council of Vulgate Waldenses Warsaw, Compact of Western Schism Westminster Assembly Whitby, Synod of Worms, Concordat of Worms, Diet of

literature-New Testament: Acts of the Apostles, The Beatitude Benedictus Colossians. The Letter of Paul to the Corinthians. The Letter of Paul to the Diatessaron Ephesians, Letter of Paul to the Galatians. The Letter of Paul to the Gethsemane Golden Rule Gospel Hebrews, Letter to the James. The Letter of John, Gospel According to John, letters of Jude, Letter of Lord's Prayer Luke, Gospel According to Magnificat Mark, The Gospel According to Matthew, Gospel According to Nazarene New Testament Nunc Dimittis Peter, letters of Philippians, Letter of Paul to the Revelation to John Romans, Letter of Paul to the Thessalonians, letters of Paul to the Timothy. The Letter of Paul to Titus, The Letter of Paul to literature-New Testament apocrypha: John. Acts of Paul, Acts of

Peter. Apocalypse of Peter, Gospel of Protevangelium of James literature-Old Testament. Amalekite Ammonite Amos, Book of Babel, Tower of **Bethel** Chronicles, books of the Daniel The Book of Deuteronomy **Ecclesiastes** Eden, Garden of Elohim Esther, Book of Exodus Ezekiel The Book of Ezra and Nehemiah. books of Genesis golden calf Habakkuk, The Book of Haggai. The Book of Hexapla Holiness. Code of Hosea. Book of Isaiah, Book of Israel Jeremiah. The Book of Job. The Book of Joel, Book of Jonah. Book of Joshua. Book of Judges, Book of Kenite Ketuvim Kings, books of Lamentations of Jeremiah, The Leviticus Malachi. The Book of Masoretic text Micah, Book of Midianite Moabite Nahum, Book of Nevi'im Numbers Obadiah, Book of

Old Testament Proverbs. The Psalms Rechabite Ruth. Book of Samuel, books of Septuagint Sodom and Gomorrah Solomon, Song of Ten Commandments Torah Yahweh Zechariah. Book of Zephaniah. Book of literature— **Old Testament** apocrypha: Adam and Eve, Life of Ahikar. The Story of Aristeas, Letter of Azariah. The Prayer of Baruch, Apocalypse of Baruch, Book of Damascus Document Ecclesiasticus Enoch, First Book of Enoch, Second Book of Esdras, First Book of Esdras, Second Book of Genesis Apocryphon Isaiah, Ascension of Jeremiah, The Letter of Jubilees, Book of Judith, Book of Maccabees. The Books of the Manual of Discipline Moses, Assumption of Prophets, The Lives of the Solomon. Psalms of Solomon. Wisdom of

Tobit Twelve Patriarchs. Testaments of the War of the Sons of Light Against the Sons of Darkness. The literature—patristic: Ambrosiaster Apologist Apostolic Constitutions Apostolic Father Barnabas, Letter of Clement, First Letter of Clementine literature Didachē Diognetus, Letter to Hippolytus, Canons of Saint Martyrdom of Polycarp patristic literature Peregrinatio Etheriae Shepherd of Hermas Testamentum Domini literature—other: apocalyptic literature apocrypha biblical criticism Douai-Reims Bible exegesis Geneva Bible hermeneutics Imitation of Christ logia Mormon, Book of Peshitta Philokalia polyglot Bible Targum Vulgate liturgical year: Advent All Saints' Day All Souls' Day Ascension of the Lord, Feast of the Ash Wednesday Candlemas Christmas church year Corpus Christi, Feast of

Easter Ember Days and Ember Weeks Epiphany Good Friday holy days of obligation Holy Family, Feast of the Holy Innocents, Feast of the Holy Saturday Holy Week Jubilee, Year of Lent Maundy Thursday Michaelmas Palm Sunday Pentecost Reformation Dav Rogation Days Shrove Tuesday Sunday Transfiguration, Feast of the religious communities and orders: Anglican religious community Augustinian Basilian Benedictine Bridgettine Camaldolese Capuchin Carmelite Carthusian Charity of Saint Vincent de Paul, Daughters of Christian Brother Cistercian Common Life. Brethren of the Divine Word Missionary Dominican Franciscan Good Shepherd Sister Grandchamp and Taizé communities Hesychasm Holy Ghost Father Jesuit Knights of Malta Little Brothers of Jesus and Little Sisters of Jesus Marianist

Marist Brother Marist Father Mary Immaculate. Oblates of Maurist Mechitarist mendicant Mercedarian Mercy, Sisters of Minim monasticism Oratorian Passionist Poor Clare Premonstratensian Redemptorist Sacred Heart, Society of the Salesian Servite Spiritual Templar Teutonic Order Trappist Trinitarian Ursuline Vincentian Visitandine White Father Zoe worship, liturgy, ritual. and iconography: acolyte Agnus Dei Alexandrian rite anointment Antiochene rite Apostles' Creed Armenian rite Athanasian Creed Baptism Basil, Liturgy of Saint bell, book, and candle benediction breviary Byzantine rite cantor Chaldean rite chalice chrismation Common Order, Book of Common Prayer, The Book of Concord, The Book of confession confessional

Confessions. Book of confirmation creed cross cross, sign of the Cross, Stations of the crucifixion Dies Irae divine office doxology Ecce Homo epiclesis Eucharist exorcism feet, washing of godparent Hail Mary hands. imposition of Heidelberg Catechism Holy Family Holy Sepulchre holy water icon iconostasis James, Liturgy of Saint Jesus prayer kanōn Last Supper lector Liturgical Movement Lord's Prayer Madonna Magnificat mass missal monstrance Nativity Nicene Creed orant Pietà praver Preconsecrated Offerings, Liturgy of the procession рух rosarv Sabbatarianism sacrament shepherds, adoration of the thurible tithe troparion
True Cross vespers Westminster Catechism **Biographies** early Christian figures-to 1054: Ambrose, Saint Anastasius the Librarian Ansgar, Saint Anthony of Egypt. Saint Aphraates Aristedes Arsenius the Great Athanasius, Saint Athenagoras Augustine, Saint Augustine of Canterbury, Saint Basil the Great. Saint Bede the Venerable. Saint Benedict Biscop, Saint Benedict of Nursia. Saint Berengar of Tours Chad. Saint Chrysostom, Saint John Clement I. Saint Clement of Alexandria, Saint Colman of Lindisfarne, Saint Cuthbert, Saint Cyprian, Saint Cyril and Methodius, Saints Cyril of Alexandria, Saint Damasus I, Saint Diadochus of Photice Dionysius of Alexandria, Saint Dustan of Canterbury, Saint Ennodius, Magnus Felix Ephraem Syrus, Saint Erigena, John Scotus Eusebius of Caesarea

Westminster Confession See Section 624 of Part Six for sacred music Eusebius of Nicomedia Euthymius I Euthymius the Great, Saint **Evagrius** Ponticus Fursey, Saint Gennadius of Marseilles Germanus I. Saint Germanus of Auxerre Saint Gregory I. Saint Gregory VII, Saint Gregory of

Nazianzus, Saint

Gregory of Nyssa,

Gregory of Tours,

Thaumaturgus,

Saint

Saint

Gregory

Saint

Hesychius of Jerusalem

Hilarion, Saint

Hippolytus of

Honorius 1

Candida

Ignatius of

Saint

Saint

Saint

Saint

Liberius

Lucifer

Rome, Saint

Humbert of Silva

Antioch, Saint

Irenaeus, Saint

Isaac the Great.

Isidore of Seville,

John of Damascus,

John of Jerusalem

Justin Martyr,

Kenneth, Saint

Leo I, Saint

Leo III. Saint

Leo IX, Saint

Macarius the

Mark the Hermit

Egyptian

Jerome, Saint

Joan, Pope

Hincmar of Reims

other

Christianity

Orthodoxy

liberation theology

Eastern

Martin of Tours Saint Nemesius of Emesa Nestorius Nicephorus I, Saint Nicetas of Remesiana Nicholas, Saint Nicholas I Nicholas I, Saint Nilus of Ancvra. Saint Ninian, Saint Novatian Origen Oswald of York. Saint Palladius Paschal L Saint Paschasius Radbertus, Saint Patrick, Saint Pelagius I Pelagius II Philoponus, John Philostorgius Philoxenus of Mabbug Photius, Saint Polycarp, Saint Priscillian Rabanus Marus Sergius I. Saint Severian of Gabala Severus of Antioch Simplicius, Saint Sophronius Stephen VI Sulpicius Severus Symmachus, Saint Tertullian Theodore Ascidas Theodore of Canterbury, Saint Theodore of Cyrrhus Theodosius of Alexandria Theodūrus Abū Ourrah Theophilus of Alexandria, Saint Ulfilas Vigilius

Protestantism Roman Catholicism World Council of Churches

Vincent of Lérins. Saint Wilfrid, Saint Willibrord, Saint Zosimus, Saint Christian figures medieval and Renaissance: Aelred of Rievaulx, Saint Ailly. Pierre d' Alexander III Alexander VI Arnold of Brescia Benedict (XIII) Bernard of Clairvaux, Saint Bonaventure, Saint Boniface VIII Borgia, Cesare, duc de Valentinois Catherine of Siena. Saint Celestine V. Saint Clare of Assisi, Saint Clement V Clement VI Dominic, Saint Duns Scotus, John Eckhart, Meister Edmund of Abington, Saint Francis of Assisi, Saint Gerson, Jean de Gilbert of Sempringham, Saint Gregory VII, Saint Gregory IX Gregory of Rimini Honorius III Hus, John Innocent II Innocent III Innocent IV Isaac of Stella Jerome of Prague Joachim of Fiore John XXII John (XXIII) John of Avila, Saint

Labadie, Jean de

John of Matha. Saint John of Mirecourt John of Salisbury Inline II Malachy Saint Martin V Milíč, John Nicholas III Nicholas IV Nicholas V Nicholas of Clémanges Nicholas of Cusa Nicholas of Hereford Paschal II Paul II Peter Lombard Petrus Aureoli Pius II Prester John Rokvcana, Jan Savonarola, Girolamo Seven Holv Founders Sixtus IV Suso, Heinrich Thomas Aquinas, Saint Urban II Urban VI William de la Mare William of Auvergne William of Auxerre William of Champeaux William of Hirsau William of Saint-Amour William of Saint-Thierry Wycliffe, John Eastern Orthodox figures—from 1054: Akindynos, Gregorios Alexis I Alexis II Anthony of Kiev Antony Khrapovitsky Bartholomew I Bulgakov, Macarius Cydones, Demetrius

Dimitrios Eugenikos. Markos Euthymius of Τύτηονο Gemistus Plethon George Gennadios II Scholarios Gregory of Sinai Isidore of Kiev Ieremias II John XI Becchus Joseph of Volokolamsk. Saint Maximus the Greek Mogila, Peter Nicephorus Callistus Xanthopoulos Nikon Palamas, Saint Gregory Planudes. Maximus Prokopovich. Feofan Sergius Theophylactus of Ochrida Tikhon. Saint New Testament figures: Anne and Joachim, Saints James, Saint John the Apostle, Saint John the Baptist, Saint Joseph, Saint Judas Iscariot Luke, Saint Mark, Saint Mary Mary Magdalene, Saint Peter the Apostle, Saint Pilate, Pontius Stephen, Saint Thomas, Saint Old Testament figures: Abraham Amos David Deborah Ezekiel

Isaiah Jacob Jeremiah Jezebel Jonathan Joseph Melchizedek Moses Noah Samson Samuel Saul Solomon Protestant figures: Agricola, Johann Arminius, Jacobus Ballou. Hosea Baxter, Richard Beecher, Henry Ward Beza. Theodore Biddle, John Bonhoeffer. Dietrich Bucer. Martin Bultmann, Rudolf Bunvan, John Bushnell, Horace Carey, William Chalmers, Thomas Channing, William Ellerv Clauberg, Johann Cranmer, Thomas Dávid, Ferenc Eddy, Mary Baker Edwards, Jonathan Erastus, Thomas Farel, Guillaume Flacius Illyricus, Matthias Fox, George Franck, Sebastian Harnack. Adolf von Hembyze, Jan van Henderson, Alexander Hooker, Richard Huntingdon, Selina Hastings, Countess of Jewel, John Joris, David Judson, Adoniram Karlstadt. Andreas Rudolf Bodenstein von Keble, John Knox, John Kuyper, Abraham

Lefèvre d'Étaples. Jacques Leighton, Robert McPherson, Aimee Semple Mather, Cotton Mather, Increase Mather, Richard Maurice, Frederick Denison Melanchthon. Philipp Melville, Andrew Menno Simonsz. Müntzer, Thomas Niebuhr, Reinhold Ochino. Bernardino Oecolampadius, John Penn, William Ritschl, Albrecht Robinson, John Rogers, John Schaff, Philip Schleiermacher. Friedrich Schwenckfeld, Kaspar Servetus, Michael Smith, Joseph Socinus, Faustus Spalatin, Georg Spener, Philipp Jakob Strauss, David Friedrich Swedenborg, Emanuel Tait. Archibald Campbell Tillich, Paul Ussher, James Vermigli, Peter Martyr Wesley, Charles Wesley, John Whitman, Marcus Williams, Roger Young, Brigham Zinzendorf, Nikolaus Ludwig, Graf von Zwingli, Huldrych Roman Catholic figurespost-Reformation: Arnauld, Antoine Arnauld family Bellarmine, Saint Robert

Benson, Edward	Jansen, Cornelius	Paul III	Uganda,
White	Otto	Paul IV	Martyrs of
Bérulle, Pierre de	John XXIII	Paul VI	Urban VIII
Borromeo, Saint	John of Saint	Pius IV	Wiseman, Nichola
Charles	Thomas	Pius V, Saint	Xavier, Saint
Bossuet,	John of the Cross,	Pius VI	Francis
Jacques-Bénigne	Saint	Pius VII	
Cajetan	John Paul II	Pius IX	
Calasanz, Saint	Lacordaire, Henri	Pius X, Saint	
Joseph	Lamennais,	Pius Xl	
Carroll, John	Félicité	Pius XII	
Clement VII	Laval, François de	Ricci, Matteo	
Clement XI	Montmorency	Sarpi, Paolo	
Clement XIII	Lefebvre, Marcel	Sixtus V	
Coindre, André	Leo X	Smet.	
Döllinger, Johann	Leo XII	Pierre-Jean de	
Joseph Ignaz von	Leo XIII	Stein, Edith	
Drexel, Katharine,	Loyola, Saint	Teilhard de	
Blessed	Ignatius of	Chardin, Pierre	
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Innocent XI,	Henry	Tyrrell, George	
Blessed	Paul II		

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- 2. The origin of Islām in the life and teachings of the Prophet Muhammad, the Messenger of Allāh (6th-7th century AD)
- 3. The foundations of the Islāmic community and the early expansion of Islām beyond Arabia (7th and 8th centuries)
- 4. The development of Islāmic religion, culture, and society during the first centuries of the caliphate of the 'Abbāsids (8th-11th century)
- 5. The Middle Ages of Islām: developments in theology, law, and culture (11th-18th century)
- 6. Islām in the modern world (18th-20th century)
- 7. Islām today
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  - 3. Islāmic law: Sharī'ah, fiqh
  - 4. Islāmic theology and philosophy: philosophic and antiphilosophic trends in Islām, the major schools of Islāmic philosophy
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- C. Beliefs, practices, and institutions of Islām
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- g. Social and ethical doctrines
- 2. The forms of Islām: the orthodox community and its variations
  - a. Khārijism: the doctrines of the Khārijīs and Ibādīs
  - b. Mu<sup>s</sup>tazilism
  - c. Sunnism
  - d. Shī'ism and its subsects: the Ismā'ilīs and other Ismā'ilī sects
  - e. Religious groups of Islāmic origin, now considered non-Islāmic; e.g., Druzes, Bahā'ī faith [see 829.E.]
  - f. Variations among the urban and rustic Sūfi orders
- 3. Practices and institutions
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  - b. Sacred places and days: the mosque and festivals in public worship
  - c. The family: Islāmic teaching regarding marriage, divorce, chastity, and inheritance
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MACROPAEDIA: Major articles dealing with Islām

Islām, Muḥammad and the Religion of Islāmic World, The

#### MICROPAEDIA: Selected entries of reference information

belief, law, and	isnād	tawhid	mihnah
philosophy:	istiņsān	uşūl al-fiqh	Murji'ah
ʿādah	istişlāh	history:	Qarmatian
Ahl al-Kitāb	jihād	Almohads	rāshidūn, ar-
Allāh	kalām	Almoravids	Sālimīvah
'aqil	kasb	Badr, Battle of	myth, legend,
ʿārīyah	kiswah	Bāținīyah	eschatology:
bidʻah	Mälikīyah	caliph	Barsīsā
Dahriyah	mufti	Caliphate	Burãq
diyah	Mu'tazilah	Companions of the	Daijāl, ad-
fayd	Qadarīyah	Prophet	Dhū al-faqār
ghaybah	qādī	Dīn-i Ilāhī	ghūl
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Hanābilah	raḍāʿ	Hāshimīyah	hātif
Hanafiyah	rahbānīyah	Hegira	houri
ʻiddah	Shāfiʿīyah	Hudaybiyah, Pact	Iblīs
ijmā'	Sharī'ah	of Al-	Idrīs
ijtihād	shirk	Ikhwān aṣ-Ṣafā'	ifrit
ikhtilāf	taqlid	imam	lsrā'
ʻilm al-ḥadīth	tashbīh	jizya	Isrāfīl
imam		kharāj	'Izrā'īl

iahannam Hegira Iibrīl ʻid iinnī ihram Khidr. aliihād mahdī ium'ah Mīkāl Ka'bah mi'rāi khitān shaitan khutbah Sheba. Oueen of mawlid Yājūj and Mājūj minaret offices and orders: minbar caliph mosque imam muezzin marabout mullah ourrā' mut'ah Shādhilīvah purdah Our ānic literature: qibla basmalah raim fātihah Ramadān fawātih salat Qur'ān sawm surah savvid ritual, practice, sharif observance: subhah adhān sunna 'Āshūrā' tafsir Black Stone of tahajjud talbīyah Mecca crescent taqiva ghusl tazia 'umrah hajj **Biographies** Jalāl ad-Din leaders and teachers: 'Abd Allāh ar-Rūmī Abū Bakr Jamāl ad-Dīn Ahmad Khan, Sir al-Afghānī Junayd, Shaykh Savvid Ahsā'ī, al-Mahdī, al-'Alī Muhammad Ghaznavid Rashīd Ridā Dvnastv Sha'rānī, ash-Hallāj, al-'Umar I Ja'far ibn 'Umar II Muhammad 'Umar Tal

zakat zāwivah zivārah zuhd sects, schools, branches: 'Alawite Bohrā Deoband school Dönme Druze Ismā'īlīte Ithnā 'Asharīvah Khārijite Mahdist Mäturidīvah Mu'tazilah Rāfidah Shīfite Sūfism Sunnite Wahhābī Yazīdī Sūfism: Ahmadīvah Bektashi Chishtīvah dervish dhikr fakir fana theologians and philosophers: 'Abduh. Muhammad Abū Hanīfah Ahmad ibn Hanbal Ash'arī, Abū al-Hasan al-Averroës Avicenna Fakhr ad-Dīn ar-Rāzī

hāl haqiqah kashf khiraah Malāmatīvah maqām Mawlawīvah mujāhadah mushāhadah Nagshbandīvah Oādirīvah Oalandarīvah Rifā'īvah samā' shath Shattārīyah Subud Sūfism Suhrawardīyah tariga other: Ahl-e Haqq American Muslim Mission Islām Muhammadiyah **M**'zabite sheikh ulama

> Ghazālī, al-Hasan al-Başrī, al-Ibn al-'Arabī Ibn 'Aqīl Ibn Hazm Ibn Taymīyah Muḥāsibī, al-Sirhindī, Shaykh Aḥmad Suhrawardī, as-Ṭabarī, aṭ-

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MACROPAEDIA: Major articles dealing with other religions and religious movements in the modern world

Doctrines and Dogmas, Religious Occultism

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#### General subjects

Aladura alchemy anthroposophy astrology Azalī Bahā'ī faith Braid movement Cao Dai cargo cult divination Druze Ethiopianism faith healing Ghost Dance Handsome Lake	Hare Krishna Harris movement Hauhau healing cult hudūd, al- I Am movement Iglesia ni Kristo Indian Shaker Church Islam, Nation of Kimbanguist church Kuga Sorta Macumba magic	Maria Legio mashriq al-adhkār Moorish Science Temple of America Native American Church New Thought Peace Mission Psychiana Rastafarian Ratana church Religious Science Ringatu	Rizalist cult Rosicrucian Santería Scientology spiritual assembly Telakhon theosophy Unification Church 'uqqāl voodoo witchcraft Zionist church
Biographies			
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### Introduction to Part Nine: The Point and Pleasure of Reading History by Jacques Barzun

Everything that we call the arts and the humanities comes out of some natural desire and acquires value by satisfying it. Painting and music and literature are important not because there are museums and concert halls and libraries to be kept supplied but because human beings want to draw and sing and tell stories as well as enjoy seeing others fulfill these native and universal impulses.

Among the humanities, history holds a special place in that its origin within each of us is not even dependent on impulse. A person may lack altogether the wish to sing or the knack of telling a story, but everybody without exception finds occasion to say: "I was there; I saw it; I remember it very well." In saying (or even thinking) these words, every man is a historian. History is inescapably a part of consciousness. The Greeks expressed this truth by describing Clio, the muse of history, as the daughter of memory.

Without going into the subtleties of how we are able to remember and what the contents of memory actually are, it is clear that as soon as we take thought about our experiences, whether the farthest back or the nearest and most immediate, we are dealing with what is past. The so-called present vanishes in the very act of reflecting upon it, and the future is all surmise and imagination. Hence the greater our interest in the facts and truths of human existenceour own existence included-the greater, necessarily, is our concern with the past. "To live in the past" ought not, therefore, to be the phrase of reproach that it commonly is. The larger part of the thoughtful life that one leads during the intervals of action cannot be anything but some form of living in the past. If this part of our lives is to be criticized, it should be in words different from the cliché. One should ask, How does he or she live in the past? What past does he or she recall, prefer, imagine?

It is at this point that history as the organized story of the whole human past comes in to contribute its pleasures and its illumination to the thoughtful life. A person who remembered only his own past would be pretty poor indeed-living on a starvation diet. Actually, it is a question whether such a life is not an impossible supposition. Everybody remembers pieces of other people's pasts; everybody, whether he means to or not, finds that he has learned about his country, his town, his street, his business office, or his factory many things that came to pass well before his time. To possess that information, if it is accurate, is in essence a knowledge of history. It differs in extent but not in kind from a knowledge of how Rome rose and fell. And this relation tells us what reading history affords in the first instance. Just as knowing about our neighbours' and friends' histories adds to our sense of reality, so does reading history: it gives us vicarious experience.

If we add to the habitual, unconscious intake of personal and local history the daily filling of the mind by news reports—which is contemporary history and which usually brings with it fragments of a remoter past—we begin to see that every man who lives in a modern, communicative society is forced to become in some sense a conscious historian. His interest begins with himself and his environment, but it is soon stretched out, haphazardly, into such domains of history as chance or special interests have developed. And special interests need not mean explicitly intellectual ones; baseball and chess, model trains and furniture, pottery and boat-building have their heroes and revolutions too, and whoever cares about these activities or artifacts for themselves inevitably becomes engrossed in their histories.

It is of course true that when we ordinarily speak of someone having an interest in history we mean the political, social, or cultural history of great civilizations; and for a long time history was arbitrarily taken to mean the sequence that leads from the ancient civilizations of the eastern Mediterranean to the modern ones of the West. It is a tremendous spectacle, even though concentrated on a relatively small territory. But now that certain dynamic elements of Western civilization have aroused the rest of the world to both imitation and resistance, it has become imperative to widen the panorama and see behind the vast and confused modern scene the several histories of the great Eastern civilizations as well as the traditions and vicissitudes of the African societies.

Two questions readily occur at the mere thought of so much to know. Can a reader who is not a professional historian find his way in this huge maze of names, dates, and facts? And if he can, why should he? The answer to the first question is the old reply of the mathematician to the nervous student: "What one fool can do, another can." A real compliment is concealed in this gruff retort, for what it implies is that given an interest, a motive, any man can inform himself about any part of world history through secondary accounts such as are digested in an encyclopaedia. There is no obligation to master every detail, to dispute or criticize sources-in a word, to ape the professional, who, for the best of reasons, limits himself to a small segment of the whole. A reader of history is one who follows with his mind the steps another took on his voyage of discovery; and this is easier in history than in mathematics, for history is told in plain words and deals with ordinary human relationships.

So the main difficulty lies in the second question: Why embark on the journey? The answers are numerous and varied, for temperaments differ, as do "special interests" in the sense referred to above. But there is one answer that covers the rest; it is the answer suggested by what was said earlier about every man's unconscious absorption of haphazard fragments of history. The best motive for reading history deliberately is curiosity about the portions missing from one's own picture of the past. Curiosity: How did things come to be as they are? How was it when they were different? Is it true that once upon a time men did thus and so? History deals with particulars, and most recorded particulars contain puzzles, contradictions, enormities, all of them spurs to curiosity: the Hudson River in the state of New York was named after the navigator often called Hendrik Hudson, who first sailed up the stream. But why Hendrik and not Henry? Well, Henry was his baptismal name; how did he acquire the other and why? The full answer leads really to a comprehensive view of exploration and colonization by the national states at the dawn of the modern age-the aims, drives, desires, errors, follies, cruelties, and incalculable consequences of a great movement that occupies two and a half centuries and that has continued in different forms down to the landings on the Moon.

The most striking feature of history is its fusion of purposeful direction and unexpected drift. For example, read about Plato, Aristotle, and the ancient mathematicians, and you will discover how their speculations and discoveries have been transformed and amplified into the methods and systems that we still work with. But you will also be told how at various times these same streams of thought or belief generated entirely new and remote, strange and absurd consequences. Again, ancient astrology led to the science of astronomy, and science (as we think) replaces superstition. Yet astrology fills columns in 20th-century newspapers and the minds of their millions of readers. What is the explanation? We lack the pythoness of Delphi, in whom Socrates believed or affected to believe, and we have no official college of augurs to scan the entrails of birds as a guide to future political action, but fortune-tellers are never out of business and we do have Gallup polls. Truly, the wonders of cultural history are infinite.

To conjure up these beliefs and institutions in this comparative fashion is not to equate them with one another or across the centuries; it is rather to stress the identity in diversity that is the principle of human affairs and that makes human history accessible to any willing reader. In different times and places, men are the same and also different. The differences are due to the varying emphases given by one people at one time to some element of life and feeling or to some form of its expression. This is most easily seen in the plastic arts. Think of the representations of the human body in Egypt, Greece, medieval Europe, the west coast of Africa, pre-Columbian America, and the art galleries of world capitals in the second half of the 20th century: is it the same human body or different? The question is really idle, for it is both and neither. In paint or marble there is strictly no human body, only a view of it, a feeling about it. Similarly, what we see in history is not so much Man distorted in one way or another as men who existed only as we see them; that is, in their society and culture, under their skies and gods, never staying put for more than a short time, never to be reduplicated elsewhere or at a later time, even when the effort to imitate is strong and shrewd-as in the Italian Renaissance, which tried to restore the ancient culture of Greece and Rome.

Despite this irreducible plasticity, diversity, and restlessness, we draw historical parallels, we make comparisons. That we can do so is what persuades us of the unity and continuity of history. When we find the Celtic druids and the Aztecs making human sacrifices to their gods we say we recognize a human tendency, though we profess to abhor it. Yet some future reader of history might be tempted to compare with those ancient peoples our contemporary revolutionists, who sacrifice 400,000 kulaks (or some other hapless group) for the good of the tribe and its eternal prosperity. But we also notice a strange difference: we know that fanatical faith presides over each type of human sacrifice, ancient and modern, but even as we condemn we think we understand the modern more readily: we know its background, have heard its advocates. It is one of the illuminations of history, not merely to know abstractly, but, by learning the local shape of things, to feel how the reality of each time and place differs; how the faiths diverge in contents and origins and thus in persuasiveness. We may now lump together the Celts and the Aztecs, but they were far apart in thought and character: in short, nothing is truly comparable; in history everything is sui generis.

The wise reader of history keeps his equilibrium between these two extremes of likeness and difference. He tries to see the unfamiliar in the familiar, and vice versa. He stands away from his own prejudices and satisfies his curiosity by trying to sympathize with what is farthest away or most alien. This is very hard to do when what is before us is a bloody sacrifice, a massacre, a piece of treachery or cynical greed that violates our sensibilities as well as our moral principles. But to sympathize is not to condone or approve, it is only to acknowledge in oneself the ever-present possibility of the same feeling or action. Certainly the enlightened 20th century has no warrant for looking down on times and places where treachery and massacre were commonplace. And it is a sobering observation to find in both past and present the evidence that inhumanities have been and are being committed by the brutish and civilized alike, the ignorant and the educated, the cynical and the devout, the selfish and the heroic.

A principal good derived from history is thus an increase in self-knowledge, through a fellow-feeling with men singly and in groups as history tells about them. That self-knowledge in turn makes the reader of history less ready to find "monsters of error" in his own time and place. Let it be said again, he need not condone or accept with indifference, but he is spared one of the very errors that perpetuates man's inhumanity to man—fanatical self-righteousness.

On the constructive side, what history tells is the long series of efforts to overcome the constraints of nature and the difficulties of living in society. Those efforts we call civilizations. They start small. In the West they first take the form of city-states. They clash, with one another or with the barbarians "outside." Trade and war, war and trade expand the scope of power, government, and law. Great men introduce broader conceptions of citizenship, morals, and religions. Others invent practical devices of administration, manufacture, and-again-war. Still others discover the workings of nature, create mathematics or art or systems of philosophy. A concentration of such activities over a given territory is what is meant by a high civilization—Egypt, Greece, the Hellenistic Age, Rome, the Saracens, the High Middle Ages, the Renaissance. And also China, Japan, the Khmers, India, the Mayas, the Incas, and so on.

Along this hazardous and always violent course, innumerable characters rise and play their parts. Their fates provide stories within the story. Visibly, biographies are the bricks of which history is made, for the story of mankind can only be the stories of men. But by a paradox of man's social existence, the life of communities is not a simple sum of individual lives. The reader of history must therefore imagine from the printed page characteristic acts, moods, errors, disasters, achievements that are nobody's doing and everybody's doing. This imagining is another important good bestowed by historical reading, for it dispels the illusion that H.G. Wells called the "governess view" of history: They (the bad people) are doing this terrible thing to Us (the good people). The fallacy in it is to suppose that any large group acts as with one mind, clear in purpose and aware of consequences. Such a projection of the single ego upon whole masses is a form of provincialism that is encountered in most political discussions and certainly in all social prejudices: "If the President would only act ... if those people would only see reason...." A reader of history is cured of this simple-mindedness by developing a new sense-the historical sense-of how mankind in the mass behaves, neither free nor fatally pushed, and in its clearest actions mysterious even to itself.

It is this peculiarity that, while marking the difference between history and biography (where acts can be deemed individual and responsible), has led many minds to postulate a meaning in history, a meaning discoverable but obscured by the multiplicity and confusion of facts. A famous passage in Cardinal Newman's *Apologia* records in admirable prose the feelings that lead to the elaboration of philosophies of history; for Newman it is of course the traditional Christian interpretation that unifies the multiplicity and resolves the confusion:

To consider the world in its length and breadth, its various history, the many races of man, their starts, their fortunes, their mutual alienation, their conflicts; and then their ways, habits, governments, forms of worship; their enterprises, their aimless courses, their random achievements and acquirements, the impotent conclusion of long-standing facts, the tokens so faint and broken, of a superintending design, the blind evolution of what turn out to be great powers or truths, the progress of things, as if from unreasoning elements, not towards final causes, the greatness and littleness of man, his far-reaching aims, his short duration, the curtain hung over his futurity, the disappointments of life, the defeat of good, the success of evil, physical pain, mental anguish, the prevalence and intensity of sin, the pervading idolatries, the corruptions, the dreary hopeless irreligion, that condition of the whole race, so fearfully yet exactly described in the Apostle's words, "having no hope and without God in the world,"-all this is a vision to dizzy and appal; and inflicts upon the mind the sense of a profound mystery, which is absolutely beyond human solution.

Other famous philosophies, from Vico's and Hegel's to Marx's and Spengler's, discover a direction in history, or a principle of action, and often a goal or terminus (as in Marx), after which history as we know it shall cease and a kind of second Eden be restored.

To the practical writer or reader of history these philosophies appeal mainly by their suggestiveness; they are valued for their scattered insights and analogies. As systems they negate the very spirit of history, which seeks the concrete and particular, the opposite of system and abstraction. True, there have been historians who took a middle course and attempted to find empirical regularities in historyagain with occasionally suggestive results-but very soon their methods begin to do violence to the facts in order to group them and count them and treat them like identities in physical science. When the physical world itself has not yet been fully systematized, to assume or "find" a system in history without the means and the liberties that science uses is to think like neither a scientist nor a historian. It is in fact an attempt to remove the difficulty of history at the cost of destroying its unique merit and interest.

By the "liberties" that science takes is meant the experimenter's elimination of all but a very few components in a given trial, so as to ascertain precisely the nature and amount of a given effect. When this is done, the result is usually stated in causal terms—so much of this, under such and such conditions, will produce so much of that. Hardly anyone needs to be told that history defies a similar treatment. Its elements cannot be exactly measured, and although each historical situation presents to the discerning eye a variety of clear conditions or factors, the isolating of *a* cause for what happens is beyond reach.

That is but another way of saying that history is and must remain a story. And a story, if properly told, is a whole, to be understood as a whole-synthetically, not analytically. History in this regard resembles the arts. We say we "analyze" a work of art, but that is to speak metaphorically. We can enjoy and understand the products of art only as wholes. In history, the artful story is offered as a true story, and great pains are taken to see that it is true. But except in the broadest sense, the historical wholes are not given as such in the record; they are devised by the historian, to make the welter of facts intelligible and hence able to be remembered. Clio was not only the muse of history but also of eloquence, by which the Greeks meant good, intelligible prose, to be spoken before an audience unused to books. The same requirements still hold; written history must be readable with pleasure, or Clio is defeated.

But, it will be said, from many diverse writers will come divergent stories, rival interpretations. That is true, for only a divine mind could know "how it actually happened." But this limitation of history is also a merit, for it can thereby be written and read over and over again in as many versions as are plausible or accessible. There is and will be no final statement; the perspective forever changes, and with it the interest of history renews itself into infinity. As the philosopher William James once remarked, "What has been concluded that we should conclude about it?"

# Part Nine. The History of Mankind

The outlines in the thirty-nine sections, in seven divisions, of Part Nine deal with the history of the peoples and civilizations of the world.

Certain points should be noted about Part Nine.

History, like philosophy, has developed methods applicable to the subject matter of other disciplines. The results of these applications are set forth in other parts. Each of the nine sections of Division II of Part Six includes a historical treatment of each of the arts. Similarly, each of the nine sections of Division II of Part Eight includes a historical treatment of each of the particular religions dealt with. Certain sections of the five divisions of Part Ten set forth the history of logic and mathematics; the history of science generally; the history of each of the natural and social sciences; the history of medicine; the history of technology; the history of philosophy; the history of humanistic scholarship; and the history of historiography and of the study of history itself.

It should also be noted that here and in the other portions of the Outline of Knowledge that treat historical matters, the level of detail is greater than that elsewhere. This reflects the editors' belief that an outline of history imposed upon a geographical or chronological base requires a high degree of particularization.

The topical breakdown of the history of mankind into seven divisions and thirty-nine sections reflects more or less traditional judgments—judgments regarding the regional divisions of world history; the identification of peoples and civilizations; the temporal periodization in historical accounts of particular civilizations; and the periods of relative isolation and of relative confluence of different civilizations.

The titles of the seven divisions in this part indicate the regional and temporal divisions used. Introductory headnotes for each of the seven divisions indicate the temporal periodizations used in the accounts of particular civilizations.

Division I. Peoples and Civilizations of Ancient Southwest Asia, North Africa, and Europe 343

- II. Peoples and Civilizations of Medieval Europe, North Africa, and Southwest Asia 356
- III. Peoples and Traditional Civilizations of East, Central, South, and Southeast Asia 375
- IV. Peoples and Civilizations of Sub-Saharan Africa to 1885 388
- V. Peoples and Civilizations of Pre-Columbian America 394
- VI. The Modern World to 1920 396
- VII. The World Since 1920 443

#### Division I. Peoples and Civilizations of Ancient Southwest Asia, North Africa, and Europe

The outline in Section 911 first treats of the geography of the regions covered in the section, the sources for the history of the peoples in these regions, and the character and achievements of ancient Near Eastern, Aegean, and North African civilizations. It then deals separately with the history of each of the peoples in these regions in ancient times.

The outline in Section 912 begins with the history of the peoples of non-Classical ancient Europe. It then deals with the whole course of the Classical Greece-Roman civilization, extending from the emergence of Classical Greece from Archaic Greece, through the Hellenistic Age and the history of republican Rome, to the history of the Roman Empire up to AD 395.

Section 911. Early Peoples and Civilizations of Southwest Asia and Egypt, the Aegean, and North Africa 344

912. Peoples of Ancient Europe and the Classical Civilizations of the Ancient Mediterranean World to AD 395 350

### Section 911. Early Peoples and Civilizations of Southwest Asia and Egypt, the Aegean, and North Africa

- A. The character and achievements of ancient Near Eastern, Aegean, and North African civilizations; the geography of these regions; archaeological and documentary historical sources; historiographic problems
- B. Mesopotamia and Iran to c. 1600 BC
  - 1. Development of river valley civilization in Mesopotamia
    - a. The Late Neolithic, Chalcolithic, and protohistoric (pre-urban) periods
    - b. The Sumerians from their origins to the end of the Early Dynastic Period (c. 2350 BC)
      - i. Their conjectured origins: literary and other historical sources (king lists and invention of cuneiform writing), early kings and legendary figures (Gilgamesh)
      - ii. Foundation of city-states (e.g., Kish, Ur, Uruk, Lagash, Mari, Umma): rivalry among the cities, the temple city and theoracy, social and economic organization, contacts with Egyptian and Indus Valley civilizations, Sumerian culture
    - с. Sumer and Akkad from c. 2350 to 2000 вс
      - i. The ascendancy of the Semitic Akkadians under Sargon I of Akkad and his successors, invasions and the fall of the dynasty
      - ii. The unification of Sumer, Akkad, and Elam under the 3rd dynasty of Ur (c. 2112-2004 BC): administration and composition of the empire, Ur in decline
    - d. The Old Babylonian Period and the early history of Assyria
      - i. Isin and Larsa: rivalry and political fragmentation, literary texts, decentralization
      - ii. Early Assyria: Ashur, Nineveh, and Urbilum; Akkadian inscriptions and language; the economy; the reign of Shamshi-Adad I (c. 1813–1781 BC)
      - iii. Establishment of the Old Babylonian Empire under the dynasty of Hammurabi (c. 1792-1750 BC): law, society, and literature
    - e. Hurrian expansion to c. 1600 BC and the decline of the Old Babylonian Empire after c. 1750 BC
  - 2. Early Elam (Iran): cultural ties and political and military interaction with Mesopotamia
- C. Emergence of river valley civilization in Egypt (to c. 1600 BC)
  - 1. The Predynastic Period (to c. 2925 BC) and the Early Dynastic Period (1st through 3rd dynasties, c. 2925-c. 2575 BC): unification of Upper and Lower Egypt under King Menes (Narmer), capital at Memphis
  - 2. The Old Kingdom (c. 2575-2130 BC) and the First Intermediate Period (c. 2130-1939 BC)
    - a. The Old Kingdom (4th-8th dynasties, c. 2575-c. 2130 BC): divine kingship; the building of the great pyramids near Memphis; centralized government; class structure; foreign trade; increased provincialization; instability of the throne
    - b. The First Intermediate Period (9th-11th dynasties, c. 2130-1939 BC): governmental decentralization; collapse of the Old Kingdom and ensuing disunity and foreign raids; reunification by Mentuhotep I under the 11th dynasty, ruling from Thebes
  - 3. The Middle Kingdom (1938-c. 1600 BC) and the Second Intermediate Period (c. 1630-1540 BC)
    - a. The Middle Kingdom (12th-14th dynasties, 1938-c. 1600 BC): the cult of Amon; developments in the monarchical institutions; the conquest of Lower Nubia; trade; immigration
    - b. The Second Intermediate Period (15th-17th dynasties, c. 1630-1540 BC): internal decentralization and the Asiatic Hyksos occupation
- D. Early civilizations in Syria and Palestine, Anatolia, and the Aegean to c. 1600 BC
  - 1. Emergence of civilization in Syria and Palestine
    - a. The Stone Age cultures and their transition from the Neolithic to the Early Bronze Age until c. 2300 BC, agricultural and technological developments, Proto-Urban settlements, Jericho
      - i. Paleolithic and Mesolithic periods: development of horticulture and the domestication of animals

- ii. Pre-Pottery Neolithic areas, grouped houses and town walls, arrival of new peoples and their rectangular architecture, Pottery Neolithic areas, molded plaster vessels, dark-faced burnished ware and the spread of its associated culture
- iii. The Chalcolithic Period and the Early Bronze Age: migrations and spread of Halafian culture, development of trade, beginnings of urbanization, Early Bronze Age cities
- b. The Intermediate Period (c. 2300-c. 1900 BC) and the Middle Bronze Age (c. 1900c. 1525 BC): revival of trade and connecting link between the greater states; e.g., Aleppo, Byblos, Alalakh in Syria
  - i. The Amorite invasion: breakup of settled areas by nomadic peoples, bronze weapons and votive objects
  - ii. Reappearance of urban civilization in the Middle Bronze Age: hieroglyphics, clay tablets, development of new pottery in Canaan
- 2. Emergence of civilizations in Anatolia, Cyprus, and the Aegean
  - a. Anatolia: the Neolithic, Chalcolithic, and Bronze ages; settlement by the Hittites
    - i. Neolithic farming communities: house styles, tools and weapons, pottery, foodstuffs
    - ii. Appearance of painted pottery in the Chalcolithic Period, uses of metal
    - iii. Bronze Age culture; *e.g.*, Troy, Alaca Hüyük: jewelry, pottery, burial customs, metalworking, weaponry, migrations
    - iv. The Hittite occupation of Anatolia and establishment of the Old Hittite Kingdom (c. 1700-c. 1500 BC): expansion into northern Mesopotamia and Syria under Hattusilis and Mursilis, the Hurrian invasions, the Middle Kingdom
  - b. The Late Neolithic, Chalcolithic, and Bronze ages in Cyprus
  - с. The early Aegean civilizations (to с. 1450 вс)
    - i. The Paleolithic, Neolithic, and Chalcolithic ages in Greece, Crete, and the Aegean islands; the pre-Greek (Early Bronze or Helladic) population of Greece from *c*. 3000 BC; the Early Bronze or Cycladic Age in the Aegean islands; the shaft grave period on the mainland
    - ii. The Minoan civilization on Crete: the period of the Early Palaces (c. 2200–1700 BC), cultural efflorescence, Kamáres ware, commerce, Knossos, Middle Cycladic culture, period of the Later Palaces (c. 1700–c. 1450 BC) on Crete, the arts, Linear A tablets
- E. The era of the Egyptian and Hittite empires (c. 1600–1050 BC): the expansion of the Indo-Europeans
  - 1. The New Kingdom of Egypt (18th-20th dynasties, 1539-1075 BC)
    - a. The 18th dynasty (1539-1292 BC): the emergence of strong centralized administration, territorial expansion, religious and cultural developments
      - i. Expulsion of the Hyksos from Egypt under Ahmose (1539–1514 BC): cult of Amon-Re, expansion into Syria and Palestine, contacts with the Aegean and its arts
      - ii. Egyptian culture and prosperity in the reigns of Amenhotep III (1390–1353 BC) and Akhenaton (Amenhotep IV; 1353–1336 BC): domination over Nubia, erection of new temples at Thebes, cult of the god Aton, subsequent eclipse of the dynasty
    - b. The 19th and 20th dynasties (1292–1075 BC): political shift to the north, new construction, foreign policies
      - i. Reassertion of Egyptian power: campaigns against the Hittites and Libyans, succession disputes
      - ii. The reign of Ramses III (1187–1156 BC) and subsequent decline of the 20th dynasty, campaigns against the Sea Peoples, growth of influence of the priests of Amon-Re
    - c. Society and culture in the New Kingdom: the king as the embodiment of the state; the civil service: the military; the priesthood; the artisans, common people, and slaves; trade and commerce
  - 2. The Hittite Empire and its conflict with Egypt; Syria and Palestine under Egyptian and Hittite domination; the period of the migrations of new peoples
    - a. The Hittite Empire (c. 1525-1190 BC)
      - i. Expansion of the Hittite Empire under Suppiluliumas I into Syria (c. 1365 BC): reduction of the Mitannian state, ensuing conflicts and treaties with Egypt, relations with neighbouring states

- ii. The capital of the Hittite Empire at Hattusa (Boğazköy): geographical position, architecture, invasions from the West, fall of the empire and destruction of the capital (c. 1190 BC), emergence of the Indo-European Phrygians as the chief Anatolian power
- b. Syria and Palestine under Egyptian, Mitannian, and Hittite domination, and the period of the migrations of new peoples (c. 1550–1200 вс)
  - i. The development of Levantine seafaring trade: the Levantine city-states (*e.g.*, Ugarit), political organization, economy, culture, development of the linear alphabet by the Canaanites and the spread of its use
  - ii. The origins of the Hebrews in the patriarchal age and their sojourn in and Exodus from Egypt in the 13th century BC, their conquest of Palestine, the Sea Peoples and the Philistine conquest of the Palestinian littoral
  - iii. The Syro-Hittite states and the migration of the Semitic Aramaeans into Syria and Palestine c. 1100 BC and their foundation of states in Syria: spread of the Aramaic language, trends in religion and the arts
- 3. Mesopotamia from c. 1600 to c. 900 BC
  - a. The Kassites in Babylonia (c. 1595-c. 1155 BC): their conjectured origins, their adoption of Mesopotamian culture, Elamite and Assyrian invasions after c. 1250, the fall of the Kassites
  - b. The kingdom of the Hurrians and the Mitanni (c. 1500-1360 BC) in northern Mesopotamia, its displacement by Assyria
  - c. The rise of Assyria (c. 1360–1076 BC): expansion under Ashur-uballit I (c. 1365–c. 1330 BC), conquest of Babylon, continued expansion to Tiglath-pileser I (c. 1115–c. 1077 BC), temporary eclipse of Assyria (to c. 900 BC)
- 4. The Elamite kingdom and its struggle with Babylonia in the 13th and 12th centuries BC
- 5. Mycenaean (Achaean, Late Helladic) civilization in Greece (c. 1450–1100 BC): the eruption of Thera (c. 1500 BC), the conquest of Minoan Crete (c. 1450 BC), and the arrival of the Greeks
  - a. The overthrow of the existing social order, introduction of new artistic styles, conquest of the Cyclades, the evidence of the Linear B tablets, destruction of the palace at Knossos and period of the Mycenaean Empire
  - b. The end of the Bronze Age in the Aegean: destruction of Mycenaean centres, invasion from the north and the coming of the Greeks
  - c. The people of the Bronze Age Aegean: physical types, dress, society, economy, warfare, religion, and arts
- F. The era of the new states of Southwest Asia: the beginning of the Iron Age (c. 1050-700 BC)
  - 1. Egypt and Babylonia in decline, further Assyrian expansion
    - a. Egypt under the 21st-25th dynasties (c. 1075-656 BC): loss of influence in Syria, disunity and the diminution of royal power, Libyan domination, civil war and Kushite (Ethiopian) rule, the Assyrian conquest (671-664 BC)
    - b. Babylonia (c. 1050–750 BC): the brief resurgence of Babylonian power under Nebuchadrezzar I (1124–1103 BC); the cult of Marduk; Aramaean, Assyrian, and Chaldean invasions from the 11th to the 9th century BC
    - c. Emergence of Assyria as the dominant Mesopotamian state after c. 900 BC: internal dissension and the challenge of Urartu in the 8th century BC
  - 2. Palestine, Syria, Anatolia, and Iran
    - a. Development of Canaanite–Phoenician commercial city-states from c. 1100 to c. 700 BC (e.g., Tyre, Sidon): trade and colonization, Phoenician civilization
    - b. The Hebrew kingdom (c. 1020-c. 700 BC): subjection of the Philistines, territorial expansion in Syria and Palestine
      - i. The reigns of David and Solomon in the 10th century, growth of separate kingdoms of Judah (south) and Israel (north, conquered by Assyria in 722 BC)
      - ii. The cult of Yahweh and biblical literature, social and political structure, arts
    - c. The neo-Hittite states of southeastern Anatolia: Carchemish, Milid (Malatya), Tabal, and Que (c. 1180–700 BC); conquest by the Aramaeans and Assyrians
    - d. Foundation of Urartu in about the 13th century BC, rise of the Urartian kingdom (c. 840c. 744 BC), Assyrian influences, the Cimmerian invasion (c. 714 BC) and destruction of the kingdom (c. 609 BC), influence of the Urartian state, the Armenian Empire under the Artaxiads

- e. Phrygia in central and western Anatolia (c. 1180-c. 700 BC): capital at Gordium, relations with Assyrians and Luwians, the Cimmerian invasions in the beginning of the 7th century, the cult of Cybele
- f. The Aramaean kingdoms (e.g., Damascus) and their cultural and commercial role: conquest by Assyria
- g. The Neo-Elamite period: the occupation of Iran by the Indo-European Medes and Persians by the 9th century BC
- G. The era of the Assyrian and Neo-Babylonian empires and the Achaemenid Persian Empire (746-250 BC)
  - 1. The first imperial unification of the ancient Near East under the Assyrian Empire (746-609 BC)
    - a. Assyrian culture in the context of the Mesopotamian tradition: the great cities; e.g., Nineveh
    - b. Expansion of the empire under Tiglath-pileser III (744–727 BC), Sargon II (721–705 BC), and Sennacherib (704–681 BC); decline from the reign of Ashurbanipal (668–627 BC); conquest by the Medes (625–609 BC)
  - 2. The interval between Assyrian and Achaemenid hegemony (610-539 BC)
    - a. The Neo-Babylonian Empire (636-539 BC): conquests, treatment of Jews, decline of the empire
      - i. The reign of Nebuchadrezzar II (604–562 BC): subjection of Syria and Palestine, the Babylonian Exile of the Jews and the post-Exile period, building activities
      - ii. The last kings of Babylonia: internal dissension and early relations with Persia, surrender to Cyrus II the Great (539 BC)
    - b. The Anatolian kingdom of Lydia (c. 700-c. 547 BC): early relations with Assyria, the Cimmerian invasions, suzerainty over the Greeks in Anatolia, Greco-Lydian culture, growth of independent Cilicia in the late 7th century, conquest by Persia
    - c. Saite Egypt (26th dynasty, 664–525 BC) and its reassertion of independence after Assyrian rule; revival of traditional Egyptian culture, subjection to Persia
    - d. The Kingdom of the Medes in Iran (c. 700-550 BC) and the establishment of the Achaemenid Persian Empire
      - i. Conjectured origins of the Median state, expulsion of the Scythians, extension of control over the other Iranian peoples and into Armenia and eastern Anatolia after the downfall of Assyria
      - ii. Cyrus II the Great's (550-529 BC) establishment of his rule from Anatolia to east of Iran, relative generosity toward subject peoples
  - 3. The Achaemenid Persian Empire (529–330 BC) under the successors of Cyrus II the Great, Greek rule to c. 250 BC
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    - b. Xerxes' weak successors: continued involvement in Greek affairs; internal disunity in the 4th century, resulting in conquest by Alexander III the Great (330 BC)
    - c. Achaemenid society and culture: Zoroastrianism, Persepolis and other capitals, social structure and economy
    - d. Seleucid rule to c. 250 BC, movement of Iranian peoples, revolt of the high satrapies
- H. The Parthian and Sāsānian empires (c. 250 BC-AD 651), Armenia
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    - b. The "Anti-Hellenistic Period" (AD 2-162): Parthian government under Artabanus III (AD 12-38), dissolution of the Parthian state
    - c. Roman invasions and the end of the Parthian Empire (AD 162-226)
  - 2. Extension of Iranian power under the Sāsānian Empire
    - a. Foundation of the empire: the rise of Ardashīr I in the early 3rd century AD, the wars of Shāpūr I (AD 241-272), organization of the empire

- b. Religious developments: Zoroastrianism, Christianity, Manichaeism; art and literature
- c. Foreign policy: conflicts with the Romans, Byzantines, and Turks under Khosrow I (AD 531-579) and Khosrow II (AD 590/591-628); subsequent decline and extinction of the empire with the Arab conquest (AD 636/637-651)
- 3. Armenia: client status under the Iranian empires of Rome in the period dominated by the Arsacids
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  - 2. Egyptianization and the Kingdom of Kush (c. 1786–751 BC), conquest of Egypt (c. 730 BC) and later expulsion by the Assyrians (by 654 BC), conquest by Aksum (AD 350)
  - 3. Pre-Islāmic South Arabia: the kingdoms of Ma'īn, Saba', Qatabān, Hadramawt, and the tribes of central and northern Arabia; economic activities; religion; foreign relations
  - 4. Ethiopia to c. AD 650
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    - b. The Aksumite Empire (2nd century AD): the Abyssinian peoples, maritime trade, Ezana's rule (4th century AD), reign of Ella-Asbeha (6th century AD) and relations with Persia
  - 5. North Africa until the Roman conquest
    - a. Emergence of civilization in North Africa: the Early Neolithic culture in the Maghrib and Libya, the Berbers, the influence of Egypt, the advent of the mercantile Phoenicians and their foundation of Carthage c. 814 BC (Utica, 1101 BC?), the Greeks in Cyrenaica from c. 630 BC
    - b. Emergence of Carthage as the leading western Mediterranean power: conflicts with the Greeks in the western Mediterranean, extension of Carthaginian power into Spain and the clash with Rome in the Punic Wars resulting in the destruction of Carthage (146 BC)
    - c. Roman penetration into North Africa: the native kingdoms of Numidia and Mauretania and their eventual incorporation into the Roman Empire

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#### Section 912. Peoples of Ancient Europe and the Classical Civilizations of the Ancient Mediterranean World to AD 395

- A. Non-Classical ancient Europe
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  - 2. Europe before the Iron Age
    - a. Spread of Neolithic farming communities throughout all of Europe by c. 2000 BC
    - b. Spread of Bronze Age industry throughout Europe by c. 1500 BC: population movements into southeastern Europe and southwestern Asia in the 2nd millennium BC, the Indo-Europeans
  - 3. Non-Classical Europe in the Iron Age (c. 650 BC-c. AD 100)
    - a. The Etruscans and other Italic peoples, the non-Greek peoples of the Balkan Peninsula
      - i. Conjectured Etruscan origins; Etruscan language and writing; cities; government and society; art and religion; maritime expansion; foreign relations with the Greeks, Carthaginians, and other Italic peoples; decline after c. 500 BC and eventual Roman conquest in the mid-3rd century
      - ii. Other Italic peoples: the Umbro-Sabellians, Oscans, Apulians, Latins, Siculi, Ligurians, Veneti, and Piceni; their cultures; their relations with the Greeks, Etruscans, and Carthaginians; eventual absorption by Rome
      - iii. Non-Greek peoples of the Balkan Peninsula; e.g., Illyrians, Thracians: their culture and relationship to Classical civilizations
    - b. Trans-Alpine Europe and the Iberian Peninsula
      - i. The Celts: the Hallstatt Period (7th-6th centuries BC); Celtic occupation of Europe from the Danube to the Iberian Peninsula and the British Isles by c. 500 BC; Celtic penetration of Italy, the Balkan Peninsula, and Anatolia during the La Tène period (after c. 500 BC); subjugation in Gaul by Rome by 50 BC and later by the Germans by the 5th century AD; Celtic art, religion, and social and political organization
      - ii. The Germans: their acquisition of Iron Age culture, migration into the Elbe-Rhine region by c. 500 BC, pressure on the Celts and Rome, inundation of the western half of the Roman Empire by the 5th century AD, Germanic social and political organization, religion and mythology
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    - с. The Archaic Period (с. 750-с. 500 вс)
      - i. General trends in the *poleis:* displacement of monarchy by aristocracy, development of a money economy, socioeconomic crises and the rise and fall of tyranny, the colonization movement, relations among the *poleis* (*e.g.*, leagues, wars)

- ii. The *poleis* of mainland Greece: the emergence of Spartan dominance over the Peloponnese and of a military-oriented polity and repression; aristocracy and tyranny at Athens, the reforms of Solon, and the institution of democracy under Cleisthenes; tyranny, aristocracy, and economic expansion at Corinth; the other *poleis* of the Peloponnese, the Isthmus, Euboea, and Boeotia
- iii. The Greeks in Asia Minor (Anatolia): Dorian and Aeolian cities; Miletus, Ephesus, and other Ionian cities; their commercial and cultural efflorescence
- iv. The Greek islands: the Cyclades, Sporades, Crete, Cyprus, and the Ionian Islands
- v. The Greek colonies and emporia in the West and Africa: southern Italy and Sicily (*e.g.*, Cumae, Syracuse), Gaul and the Iberian Peninsula (*e.g.*, Massilia), Cyrene and Naukratis
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  - g. Greek culture in the 4th century BC: developments in philosophy and the arts
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The outlines in the four sections of Division II deal with the civilizations directly descendant from those of the ancient Near East and of Classical antiquity, which are treated in the two sections of Division I. The general period covered in Division II is the Middle Ages, beginning with the death of Theodosius I in AD 395, conventionally taken as marking the permanent division of the Roman Empire into East and West, and extending to c. 1500, conventionally taken as the starting point of modern history.

The sectional organization of this division and the outlines in its four sections reflect significant cultural and political interaction between the Eastern Christian, Western Christian, and Islāmic spheres, and also involve some breaking points in the history of each sphere.

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    - iii. The growth of communes in northern Italy, the status of German imperial power, the political role of the papacy in Italy, the commercial expansion of Italian cities (*e.g.*, Genoa, Pisa), continued growth of Venetian maritime power
  - b. The empire under the Hohenstaufen dynasty and after its extinction to c. 1300, the papacy and Italy
    - i. Steady inroads of the German princes into German monarchical authority: colonization of Slavic territory, development of commercial centres (*e.g.*, Lübeck), the reign of Frederick I Barbarossa and Frederick II, extinction of the Hohenstaufen dynasty and the Great Interregnum (1250–73), the election and reign of Rudolf of Habsburg
    - ii. The Kingdom of Sicily: centralized government, ethnic mixture, Palermo, control by the Hohenstaufens (1194-1266), the Angevin conquest and expulsion (1282), the advent of Aragonese control
    - iii. The decline of German imperial control in northern Italy and the continued development of the communes (*e.g.*, Milan, Pisa, Florence, Siena): their internal and external conflicts
    - iv. Continued commercial expansion of Italian cities: Venetian expansion in the Levant and aid to the Normans in the conquest of Byzantium (1204), commercial inroads into the Levantine trade by Genoa and Pisa
- 3. The growth of the Kingdom of France under the later Capetian dynasty (1180–1328), the Low Countries
  - a. Growth of the power of the French kings and extension of the territory under their control
    - i. Philip II Augustus (1180–1223): acquisition of territory and consolidation of the realm, royal administration, feudal policies
    - ii. Louis VIII (1223-26) and Louis IX (1226-70): institution of the granting of appanages to younger sons of kings, the Albigensian Crusade, rise of bureaucracy, attitudes toward the clergy and the lay nobility; Louis's efforts for peace, justice, and morality; his canonization in 1297
    - iii. The later Capetians: Philip IV the Fair (1285–1314), claims of the monarchy, beginnings of the States General, conflict with Boniface VIII, suppression of the Templars
    - iv. Foreign relations: conflict with the Holy Roman Empire under Philip II, the religious crusades of Louis IX, the wars of Philip IV
    - v. Economy, society, and culture in the 13th century: increase in population, growth of towns and urban prosperity, rural life, religion, culture and learning
  - b. The Low Countries: development of the territorial principalities and the rise of towns; *e.g.*, Ghent, Bruges
    - i. Secular and spiritual principalities
    - ii. Struggle for independence, French and British influence
    - iii. Social and economic structure
- 4. The Spanish Christian kingdoms of Castile and Leon, Aragon (including Barcelona and Catalonia), Portugal, and Navarre (1035-c. 1260): their expansion into Muslim territory, their mutual rivalries, their ethnic-cultural mixtures, and their internal political development; the role of the church

- a. The medieval empire (1035-1157): the division of the kingdoms and the emergence of Portugal as an independent state
- b. The rise of Castile and Aragon and the expulsion of the Muslims, led especially by the rulers of Aragon (James I, 1213-76) and Castile (Ferdinand III, 1217-52)
- c. Society, economy, and culture: administration of the Spanish kingdoms; development of feudalism, growth of towns, and appearance of trade and industry; establishment of the Cortes; foundation of the universities of Valencia and Salamanca
- 5. The Kingdom of England and its continental dependencies from the Norman Conquest to the death of Edward I; Scotland, Wales, and Ireland (1066-1307)
  - a. The Norman Conquest: introduction of feudalism and the development of royal administration under William I the Conqueror (1066-87) and his immediate successors
    - i. Church-state relations and the place of the clergy in the feudal structure, the Domesday survey
    - ii. Strengthening of central government under William's successors: relations with the church in their reigns
    - iii. The period of the Anarchy (1135-54): Matilda and Stephen, civil war
  - b. The early Plantagenets
    - i. The reign of Henry II (1154-89): military and administrative reforms, Henry's conflict with the church and the struggle with Becket, the rebellions of Henry's sons
    - ii. Richard I (1189-99): administration in Richard's absence, the Saladin Tithe, attempts to establish a standing army
    - iii. The reign of John (1199–1216): loss of French possessions, John's conflict with Innocent III, the revolt of the barons and Magna Carta
    - iv. Henry III (1216-72) and Edward I (1272-1307): Simon de Montfort and the Barons' War; Edward's restoration of royal power and his legal, administrative, and military policies; the growth of Parliament, development of Oxford and Cambridge universities
  - c. Scotland, Wales, and Ireland: relations between the Kingdom of Scotland and the English crown, the extent of English control in Wales and Ireland
    - i. The unification of Scotland and the development of the monarchy
    - ii. Norman infiltration in Wales, the three kingdoms, internal conflicts and the Edwardian settlement
    - iii. Ireland: the Anglo-Norman invasion and its effects, establishment of the Irish Parliament
- 6. Scandinavia (c. 1050-c. 1300): establishment of the kingdoms of Denmark, Norway, and Sweden
  - a. The trend toward unity and strong monarchy: political developments in the three kingdoms
  - b. Expansion into Finland, Iceland, and Greenland: introduction of feudalism, economic developments and influence of the Hanseatic League, society
- 7. The Slavic and Magyar states of Western Christendom (c. 1050-c. 1300)
  - a. Poland: the reigns of Bolesław II (1058–79) and Bolesław III (1102–38), the division of Poland between Bolesław III's sons, the seniority system, territorial losses, the early role of the Teutonic Order in eastern Europe, internal developments
  - b. Bohemia under the later Přemysl rulers (1055–1306): struggles within the ruling family, privileges secured from the Holy Roman emperor, territorial expansion, losses to Rudolf of Habsburg
    - i. German interference in Bohemia: attacks upon the position of the Prague princes by Frederick I Barbarossa, the Golden Bull of Sicily (1212)
    - ii. Political and economic growth: German immigration, founding of urban communities, expansion under Otakar II (1253-78) into Austria, silver mining and coinage
  - c. Hungary: the early kings, expansion into Transylvania and Dalmatia, the nobility, Golden Bull (1222), Mongol invasion (1241), extinction of the Árpád dynasty in 1301
- B. The decline of medieval European political institutions, economy, and culture and the incipient transition to the modern age (c. 1300-c. 1500)
  - 1. The culture of the late Middle Ages in western Europe

- a. The early Renaissance in Italy: historiographic problems, the contribution of the city-states, developments in literature and the fine arts
  - i. Revival of Greek studies and the formation of Classical libraries in Italy: Humanism, relationship of Humanism to Christianity
  - ii. New concepts and techniques in painting, sculpture, and architecture: patronage of the arts by the papacy
- b. The late Gothic style in northern Europe
- Late medieval intellectual developments: political theory, law, and the decline of ideals of imperial unity and papal supremacy; the rising power of national monarchies; decline of Scholasticism; science; witchcraft
- 2. Late medieval society and economy
  - a. The exaggeration of chivalry and declining importance of the feudal nobility in the face of changing military technology and organization: growing influence of the bourgeoisie, growth of royal government
  - b. Gradual inflation and continued development of capitalism: peasant revolts; economic, social, and political effects of the Black Death (1347-50) and subsequent epidemics of the plague; development of a great maritime trade between the North Sea and the Mediterranean; progress of enclosures; recovery of the population and economy after the middle of the 15th century
- 3. The church in the later Middle Ages: papal monarchy and taxation, the Avignon papacy (1309–77) and the Great Schism (1378–1417), the conciliar movement and other reform movements with regard to the church, mysticism
- 4. Germany, Bohemia, and the Swiss Confederation (c. 1300-c. 1500)
  - a. Limitations on the imperial office and the continued ascendancy of the princes in Germany: internal strife between the cities and the princes, the Habsburg and Luxemburg emperors, the division of the Habsburg lands and the enhancement of Habsburg power and influence in Europe by 1500
    - i. Development of the individual states
    - ii. Society, economy, and culture in the 14th and 15th centuries
  - b. Bohemia in the later Middle Ages: political and religious developments
    - i. The Luxemburg dynasty (1310-1437): territorial expansion under Charles I, growth of the city of Prague, Wenceslas IV
    - ii. Beginning of the religious reform movement (c. 1360): the Chapel Bethlehem's preachers, the activities of Jan Hus and his execution at the Council of Constance (1415)
    - iii. The struggle between Sigismund and the Hussites: the Four Articles of Prague, Žižka's leadership of the Hussites, the Hussite preponderance (1437-71), George of Poděbrady
    - iv. The Jagiellon kings (1471–1526): the decline of royal authority, growth of power of the first two estates
  - c. Early Swiss history, development of the Swiss Confederation after 1291, struggle against the Habsburgs, the French invasion and the Peace of Constance (1446)
- 5. Italy in the late Middle Ages and the Renaissance
  - a. Social and political developments in the period 1300–1400: withdrawal of imperial and papal authority, Italian society, the crises of the 14th century (*e.g.*, the Black Death, economic decline, urban unrest)
  - b. The Italian states in the 14th century: forms of rule, use of mercenaries, cultural developments
    - i. Milan: the Visconti family, rule at home, expansion in northern Italy, Visconti attitudes toward the state
    - ii. Florence: republicanism, the cloth industry, banking, movement into the city from the countryside, plots against the republic
    - iii. Venice: republican institutions, economic prosperity and commercial empire
    - iv. The Papal States: their locations and proprietors, breakdown of papal control during the Avignon papacy and the Great Schism (1378-1417)
    - v. Naples, Sicily, and the other Italian states (e.g., Angevin rule in Naples until its union with Sicily in 1442 under Alfonso V of Aragon); Savoy; Genoa; Verona

- c. The Italian states in the 15th century: expansion of the major Italian powers, Italy as a political system, cultural developments
  - i. The crisis of Florentine republicanism: the threat from Gian Galeazzo Visconti of Milan and his successors, Florentine historiography, rule by the Medici
  - ii. The Papal States: papal policy to strengthen its position, reliance of the popes on their relatives to control the domains
  - iii. Despotisms: Alfonso I (Alfonso V of Aragon) in Naples and Sicily and division of the territory on his death in 1458, the Sforza in Milan
  - iv. Venice: the stability of Venetian life, increased interests in activities on the Italian peninsula
- 6. France and the Low Countries (c. 1300-c. 1500)
  - a. The period of the Hundred Years' War: the stages of the war, the role of the French kings in the conflict, the war's significance
    - i. Remote and proximate causes of the war: the problem of English lands in France, the problem of the French succession, the Flemish revolt
    - ii. From the outbreak of the war (1337) to the Treaty of Brétigny (1360): the reign of Philip VI (1328–50), the Crécy campaign and its aftermath (1346–54), negotiations during John II the Good's captivity (1356–60), burgeoning power of the estates and revolt of the peasants; the Parisian revolt and the bourgeois leader Etienne Marcel (1358)
    - iii. From the Treaty of Brétigny (1360) to the accession of Henry V of England (1413): Charles V (1364–80), the dispute over Flanders, temporary peace, Charles VI (1380– 1422), struggle between Burgundians and Armagnacs
    - iv. From the accession of Henry V (1413) to the siege of Orléans (1428–29): Charles VII (1422–61); France divided between the dauphin Charles, Philip the Good of Burgundy, and Henry V of England
    - v. Recovery and reunification (1429–83) and the expulsion of the English: Joan of Arc and the stirring of French national feeling, reconquest of Maine and Normandy, conquest of Guyenne (1453), final settlement at Picquigny (1475)
  - b. Administrative and military reforms and the strengthening of royal power vis-à-vis the nobility and towns under Charles VII (1422–61) and Louis XI (1461–83): foreign, fiscal, and ecclesiastical policies; social and cultural developments; the States General (1484) and the failure of representative monarchy
  - c. The Low Countries: continued growth of towns, industry, and commerce, with attendant class conflicts and interference by the French monarchy; unification under the House of Burgundy; Burgundian administration
- 7. England, Wales, Scotland, and Ireland (c. 1307-c. 1500)
  - a. Royal decline under the later Plantagenets and the struggle for the crown between the Lancastrians and Yorkists
    - i. Royal decline under Edward II (1307–27) and its restoration under Edward III (1327– 77): the Hundred Years' War, domestic achievements, the crises of Edward III's later reign
    - ii. Richard II (1377–99): the Peasants' Revolt (1381), the influence of John Wycliffe, later political struggles and Richard's deposition
    - iii. Henry IV (1399–1413), Henry V (1413–22), and Henry VI (1422–61 and 1470–71): rebellions under Henry IV and his relations with Parliament, domestic rivalries and the loss of France under Henry VI, Cade's rebellion and the Wars of the Roses
    - iv. The reigns of Edward IV (1461-70 and 1471-83) and Richard III (1483-85): England in the late Middle Ages
  - b. Scotland: the wars of independence, relations with the English crown, Bruces and Stewarts, Scotland in the 15th century
  - c. Establishment of English suzerainty over Wales, fluctuating English influence in Ireland and the rise to power of the earls of Kildare
- 8. Spain and Portugal (c. 1300-c. 1500)
  - a. Castile and Leon: continued pressure on the Muslims under Alfonso XI (1312–50), increasing power of the Cortes, development of the woolen industry, literary achievements

- b. The Aragon Confederation (Aragon, Catalonia, and Valencia): acquisition of Sicily (1282) and growth of Aragon as a Mediterranean power; the Cortes, law, and administration; acquisition of the Kingdom of Naples (1442) under Alfonso V (1416-58)
- c. Creation of a united Spain and expansion of Spanish dominance in the early Age of Discovery
  - i. The union of Aragon and Castile-Leon under Ferdinand and Isabella: strengthening of their positions vis-à-vis the nobility, the Inquisition and the treatment of Jews, conquest of Granada (1492) and acquisition of Naples (1503)
  - ii. Spanish explorations and territorial acquisitions: colonial policy in the New World, the Atlantic trade
- d. Portugal: development of the monarchy under the House of Avis (1383-1580); alliance with England; consolidation of the monarchy and establishment of its overseas empire under John I (1385-1433), Prince Henry the Navigator, and Manuel I (1495-1521)
- 9. The Scandinavian kingdoms (c. 1300-c. 1500)
  - a. Developments in the 14th century leading to the formation of the Kalmar Union (1397–1523), Scandinavia under the union
  - b. Developments in Denmark, Norway, and Sweden during the union: decline of Norway and rise of Sweden
- 10. Hungary, Poland-Lithuania, and the Teutonic Order
  - a. Hungary under foreign kings: foreign affairs, economy, and society under the Angevins and Sigismund; the reign of Matthias Corvinus (1458-90)
  - b. Poland-Lithuania, the Teutonic Order, and the Baltic peoples
    - i. The Mongol invasions (1241-42) and reestablishment of the Kingdom of Poland (1253-1382): Władysław I and the struggle with the Teutonic Order; Casimir III and Louis I of Hungary; social classes, the church, and policies toward the Jews
    - ii. The Jagiellon dynasty (1382–1492): the union of Poland and Lithuania (1385–86), extension of the empire, growth of parliamentarianism dominated by the nobility

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Amsterdam	Finland	Ireland	Poland
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European politics and	Hundred	Teutonic Order	Barnet, Battle of
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Dupplin Moor, Battle of Hastings, Battle of Lollard Magna Carta Norman Conquest Northampton, Assize of Ordainer Oxford. Provisions of Paston Letters Peasants' Revolt Roses, Wars of the tanistry Tewkesbury, Battle of Towton, Battle of Westminster. Statutes of (1275 - 90)national affairs—east central Europe: Cuman Golden Bull of 1222 Koszyce, Pact of Mazovia Moravia national affairs— France: Albigenses Aquitaine Brittany Burgundy Hundred Years' War Jacquerie **Biographies** 

> British Isles and Angevin Empire: Alexander I (Scotland) Alexander II (Scotland) Alexander III (Scotland) Beaufort, Henry Becket, Saint Thomas Bruce family Clarence, George Plantagenet, duke of David I (Scotland) David II (Scotland) David ap Llywelyn Despenser, Hugh Le; and Despenser, Hugh Le

Normandy Praguerie Provence national affairs-Germany and Low Countries: Bavaria Brabant Brandenburg Burgundy elector Flanders Golden Bull of Emperor Charles IV Hainaut Hanseatic League Holland Holv Roman Empire imperial city Limburg Namur Saxony Swabia national affairs-Iberian veninsula: Alarcos, Battle of Almohads Almoravids Andalusia Aragon Castile Catalonia converso Granada Leon

> Edward (the Confessor; England) Edward I (England) Edward II (England) Edward III (England) Edward IV (England) Edward V (England) Edward the Black Prince Eleanor of Aquitaine Fortescue, Sir John Gaveston, Piers Glendower, Owen

Medina del Campo, Treaty of Morisco Mudejar Navarre Navas de Tolosa. Battle of Las Reconquista Tordesillas, Treaty of Valencia national affairs— Italy: Ciompi, Revolt of the Eight Saints, War of the Guelf and Ghibelline Lodi, Peace of Lombard League Naples, kingdom of papacy **Papal** States Sicilian Vespers Two Sicilies. Kingdom of the Venetia national affairs-Scandinavia and Baltic states: Birka Birkarlar Brothers of the Sword, Order of the Courland

> Gloucester Plantagenet, Humphrey, Duke of Gloucester, Thomas of Woodstock, Duke of Henry I (England) Henry II (England) Henry III (England) Henry IV (England) Henry V (England) Henry VI (England) Henry VII (England) Henry the Young King Hubert Walter James I (Scotland)

Danewirk Kalmar Union Lithuania, grand duchy of Livonia Norman national affairs-Switzerland: Everlasting League Morat, Battle of Morgarten, Battle of Näfels, Battle of Sempach, Battle of Stans. Diet of Toggenburg Succession society and commerce: Black Death commune craft guild feudal land tenure feudalism Hanseatic League knight manorial court manorialism merchant guild Middle Ages Renaissance serfdom vassal

> James II (Scotland) James III (Scotland) James IV (Scotland) John (England) John (Scotland) John of Gaunt Lancaster. House of Lanfranc Langton, Stephen Llywelyn ap Gruffudd Llywelyn ap Iowerth Malcolm III Canmore Margaret (Scotland) Margaret of Anjou Matilda
Meath. Hugh de Lacy, 1st Lord of Montfort family Montfort. Simon de Normandy, House of Northumberland. Henry Percy, 1st Earl of Oldcastle, Sir John Owain Gwynedd Pembroke. Richard **FitzGilbert** 2nd Earl of Pembroke. William Marshal. 1st Earl of Percy family Percy, Sir Henry Plantagenet. House of Richard I (England) Richard II (England) Richard III (England) Robert II (Normandy) Robert I (Scotland) Robert II (Scotland) Robert III (Scotland) Roderic O'Connor Stephen Tudor, House of Tyler, Wat Wallace, Sir William Warwick, Richard Neville, 1st Earl of William I (England) William II (England) William I (Scotland) William the Aetheling Wycliffe, John York, House of York, Richard, 3rd duke of east central Europe: Andrew II (Hungary)

Árpád dynasty Béla III (Hungary) Béla IV (Hungary) Bolesław II (Poland) Bolesław III (Poland) Břetislav I (Bohemia) Casimir I (Poland) Casimir II (Poland) Casimir III (Poland) Casimir IV (Poland) Charles I (Hungary) Daniel Romanovich George (Bohemia) Hunyadi, János Jadwiga Jagiellon dynasty John (Bohemia) John I Albert (Poland) Ladislas I (Hungary) Ladislas IV (Hungary) Ladislas V (Hungary) Louis I (Hungary) Matthias I (Hungary) Oleśnicki, Zbigniew Otakar I (Bohemia) Otakar II (Bohemia) Piast dynasty Stanislaus of Kraków, Saint Stephen V (Hungary) Vladislas II (Bohemia) Wenceslas I (Bohemia) Władysław I (Poland) Władysław II Jagiełło (Poland) Władysław III Warneńczyk (Poland) France: Berry, Jean de France, duc de Blanche of Castile Caboche, Simon Capetian dynasty

Charles (Burgundy) Charles IV (France) Charles V (France) Charles VI (France) Charles VII (France) Charles VIII (France) Coeur, Jacques Gondi family Guesclin. Bertrand du Henry I (France) Joan of Arc. Saint John (IV) (Brittany) John IV (or V) (Brittany) John (Burgundy) John II (France) La Trémoille, Georges de Louis VII (France) Louis VIII (France) Louis IX (France) Louis X (France) Louis XI (France) Lusignan family Marcel, Étienne Montfort family Philip II (Burgundy) Philip III (Burgundy) Philip I (France) Philip II (France) Philip III (France) Philip IV (France) Philip V (France) Philip VI (France) Rais, Gilles de René I (Anjou) Richemont, Arthur. Constable de Suger Valois dynasty Germany and the Low Countries: Adalbert (Bremen) Adolf (German king) Albert I (Brandenburg) Albert III Achilles (Brandenburg) Albert I (German king) Albert II (German king)

Artevelde, Jacob van Charles IV (emperor) Conrad III (German king) Conrad IV (German king) Frederick I (Brandenburg) Frederick I (emperor) Frederick II (emperor) Guy (Flanders) Habsburg, House of Henry II Jasomirgott (Austria) Henry X (Bavaria) Henry III (emperor) Henry IV (emperor) Henry V (emperor) Henry VI (emperor) Henry VII (emperor) Henry (VII) (German king) Henry III (Saxony) Henry Raspe Hermann von Salza Hohenstaufen dvnastv Jacoba of Bavaria Lothair II (or III) (emperor) Louis II (Flanders) Louis IV (emperor) Otto IV (emperor) Philip (German king) Rudolf I (German king) Rupert (German king) Sigismund (emperor) Welf dynasty Wenceslas (German king) Wettin dynasty Wittelsbach. House of

Iberian peninsula: Afonso I (Portugal) Afonso II (Portugal) Afonso V (Portugal) Alfonso I (Aragon) Alfonso II (Aragon) Alfonso III (Aragon) Alfonso IV (Aragon) Alfonso V (Aragon) Alfonso VI (Castile/Leon) Alfonso VII (Castile/Leon) Alfonso IX (Castile/Leon) Alfonso X (Castile/Leon) Alfonso XI (Castile/Leon) Charles II (Navarre) Cid, the Edward (Portugal) Ferdinand II (Aragon) Ferdinand I (Castile/Leon) Ferdinand II (Castile/Leon) Ferdinand III (Castile/Leon) Ferdinand IV (Castile/Leon) García V (Navarre) Henry II (Castile/ Leon) Henry III (Castile/ Leon) Henry IV (Castile/ Leon) Isabella I James I (Aragon) James II (Aragon) John I (Aragon) John II (Aragon) John II (Castile/ Leon) John I (Portugal) John II (Portugal) Muhammad XI (Granada) Peter II (Aragon) Peter III (Aragon) Peter IV (Aragon)

Peter I (Castile/ Leon) Ramón Berenguer I Ramón Berenguer II Ramón Berenguer III Ramón Berenguer IV Sancho III Garcés (Navarre) Urraca Italy and the papacy: Adorno family Alberti family Alexander III (pope) Amadeus VI (Savov) Amadeus VII (Savoy) Amadeus VIII (Savoy) Bardi family Bentivoglio family Boccanegra family Bonacolsi family Boniface VIII (pope) Borgia family Carrara family Castracani. Castruccio Charles I (Naples) Charles II (Naples) Charles III (Naples) Charles I (Sicily) Cola di Rienzo Colonna family Contarini family Corsini family Dandolo, Enrico Dandolo, Vincenzo Dandolo family della Scala family Drogo de Hauteville Este. House of Ezzelino III da Romano Fieschi family Gherardesca family Gonzaga dynasty Gregory VII (pope) Gregory IX (pope) Gregory X (pope) Grimaldi family Innocent III (pope) Joan I (Naples) Joan II (Naples)

Ladislas (Naples) Lauria. Ruggiero di Leo IX (pope) Louis (Naples) Malaspina family Malatesta family Martin I (Sicily) Medici, Cosimo de' Medici Lorenzo de' Medici. Piero di Cosimo de' Medici, Piero di Lorenzo de' Medici family Mocenigo family Montefeltro family Morosini family Ordelaffi family Orsini family Paschal II (pope) Pepoli family Peruzzi family Piccinino, Niccolò Piccolomini family Polenta family Polo, Marco Robert (Apulia) Robert (Naples) Roger (Apulia) Roger I (Sicily) Roger II (Sicily) Sambuccio d'Alando Savonarola, Girolamo Sforza, Francesco Sforza, Ludovico Sforza family Spinola family Uguccione della Fagginola Urban II (pope) Visconti family Visconti, Gian Galeazzo Visconti, Matteo I William I (Sicily) William II (Sicily) William de Hauteville Scandinavia and the Baltic States: Absalon Algirdas Canute VI (Denmark) Christian I (Denmark) Christopher I (Denmark)

Christopher III (Denmark) Erik V (Denmark) Erik VI (Denmark) Erik VII (Denmark) Erik XIII (Sweden) Eskil Gediminas Haakon I Haakon IV Haakon V Haakon VI Inge I John (Denmark) Kestutis Magnus I (Norway) Magnus III (Norway) Magnus IV (Norway) Magnus V (Norway) Magnus VI (Norway) Magnus I (Sweden) Magnus II (Sweden) Margaret I (Denmark) Mindaugas Olaf II (Norway) Olaf III (Norway) Olaf (Sweden) Sigurd I Sverrir Sigurdsson Sweyn II (Denmark) Valdemar II (Denmark) Valdemar IV (Denmark) Valdemar (Sweden) Vytautas the Great Władysław II Jagiełło Switzerland: Brun, Rudolf Bubenberg. Adrian von Nicholas of Flüe, Saint Stüssi, Rudolf Waldman, Hans

# Section 924. The Crusading Movement, the Islāmic States of Southwest Asia, North Africa, and Europe, and the States of Eastern Christendom from c. 1050 to c. 1480

- A. The expansion of western Europe in the crusading movement and the Muslim response, the states of Eastern Christendom and the crusader states from c. 1050 to c. 1480
  - 1. The crusading era and the states of Eastern Christendom (c. 1050-c. 1480)
    - a. The First Crusade (1096-99) and the establishment of the Latin states
      - i. Background of the First Crusade: overcrowding in Christian Latin Europe and the wish of the church to divert the violence between Christians to an attack on the infidels, religious renewal in Europe, disruption of the pilgrimage routes by the Muslims, role of papal leadership at the Council of Clermont (1095), preparations for the Crusade and its participants
      - ii. The sieges of Antioch (1097-98) and Jerusalem (1099): establishment of the crusader states
    - b. The Second (1147–48) and Third (1188–92) crusades: Christian colonization in the East and export of feudalism, the crusader states to 1187, the institutions of the First Kingdom, the magnates of the Third Crusade
    - c. The Byzantine Empire from 1081 to 1204, policies aimed at revival implemented by Comnenus dynasty
      - i. Alexius I Comnenus and the First Crusade: pressures from the Seljuqs and Pechenegs
      - ii. The later Comneni and fluctuating relations with the Venetians, Normans, and crusaders
      - iii. The Fourth Crusade (1202-04) and the establishment of the Latin empire
    - d. The later crusades: decline of the crusading movement and of the Latin enclaves, results of the crusades
      - i. The Latin East after the Third Crusade: the Fifth (1218–21) and Sixth (1227–29) crusades; oriental politics of Emperor Frederick II
      - ii. The crusades of Louis IX of France (1248-50, 1270), final loss of the crusader states, Kingdom of Cyprus; survival of the spirit of the crusades among Latin Christians
    - e. Russia (1054–1300): the lands of Rus and the rise of new centres (*e.g.*, Novgorod, Vladimir, Galicia), the Mongol invasion (1223) and Tatar rule
    - f. The Second Bulgarian Empire under the Asenid dynasty from c. 1185, decline after 1241
  - 2. The Slavic states of Eastern Christendom from c. 1300 to c. 1500
    - a. Russia: the rise of the Muscovite state under the suzerainty of the Golden Horde and its later successful revolt (1380), expansion and establishment of Moscow as the leading Russian power under Tsar Ivan III (1462–1505), foreign policy
    - b. The Balkans: growing strength of Serbia vis-à-vis the Byzantine and Bulgarian empires; subjugation of Albania, Macedonia, and Bulgaria under Stefan Dušan in the 14th century; Romania; subjection to the Ottoman Turks by 1453
  - 3. Restoration of the Byzantine Empire under the Palaeologus dynasty (1261), efforts to restore Byzantine power in the Balkans, foreign relations, cultural life
    - a. Michael VIII (1261-82) and attempts to revive the empire, threats from the West, relations with the papacy
    - b. The successors of Michael VIII: cultural revival, civil wars
    - c. Turkish expansion, limited recovery by the Byzantine Empire before the final Turkish assault, the fall of Constantinople (1453)
- B. The Islāmic states of Southwest Asia, North Africa, and Europe (c. 1050-c. 1480): Turkish and Kurdish dynasties, the Mongol invasions, and the rise of the Ottoman Empire
  - 1. Southwest Asia before the Mongol invasions
    - a. The Great Seljuq Empire in Syria, Iraq, and Iran (c. 1050-c. 1190)

- i. Origins and conversion of the Seljuqs to Islām and their establishment in Khorāsān (c. 1000) under Maḥmūd of Ghazna, the foundation of the Seljuq state under Toghrīl Beg (1038-63), his conquest of Iran and Iraq and establishment of a protectorate over the 'Abbāsid caliphate
- ii. Extension of Seljuq hegemony into Syria and Palestine and victory over the Byzantines, partition and partial breakup of the empire after 1092, Seljuq restoration of Sunnī supremacy and patronage of the Iranian cultural revival
- b. Great Seljuq successor states (c. 1100-c. 1250): the Zangīd *atabegs* in Syria and northern Iraq, the Ismāʿīlī Assassins in Iran and Syria (c. 1090-c. 1250), the Khwārezm shahs of Iran and Central Asia (1097-1234), other dynasties
- c. The foundation of the independent sultanate of Rum from territory conquered from Byzantium in Anatolia (from 1071), commercial prosperity and territorial expansion in the 13th century, the Turkish Dānishmendid state in northern Anatolia (c. 1071–1177) and its absorption by the Seljuqs
- 2. The Mongolian invasions of eastern Europe and Southwest Asia in the 13th century
- 3. Southwest Asia and eastern Europe after the Mongolian invasions
  - a. Mongol successor states (c. 1250-c. 1480)
    - i. The Mongolian II Khans in Iraq and Iran (c. 1250-1353): trade, administration, and eventual conversion to Islām; the Timurids and other II Khan successor states
    - ii. The khanate of the Golden Horde in eastern Europe (from 1240): adoption of Islām, gradual absorption of the Mongols into the Turkish *ulus* to form the Tatar people, the zenith of the empire in the early 14th century, Timur's invasion (1395) and its later partition
  - b. Turkish Anatolia and the rise of the Ottoman Empire to 1481
    - i. Origins and expansion of the Ottoman state (c. 1300–1402): its expansion in Anatolia and conquest of Serbia and Bulgaria in the 14th century, defeat by Timur (1402), restoration of the empire and beginning of the Ottoman challenge to the European states by the invasion of Hungary (1434), conquest of Constantinople (1453) and conquest of Anatolia
    - ii. Development of Ottoman administrative and military institutions
- 4. North Africa and Muslim Spain (c. 1050-c. 1490)
  - a. The decline of the Fātimids (c. 1050-1171) in the face of Seljuq and crusader invasions
  - b. The Ayyūbids and Mamlūks in Egypt and Syria (1171-c. 1500)
    - i. Establishment of the Ayyūbid dynasty in Egypt and expansion of its control over Muslim Syria under Saladin (1171-93): conflict with the crusader states, pacific policies of his successors
    - ii. Displacement of the Ayyūbids by the Turkish Mamlūks in 1250, the Bahri Mamlūks' resistance to the Mongols and extension of European power in Syria under Quţuz and Baybars I (1260–77), their displacement by the Burjī Mamlūks in 1382, Mamlūk administration and military institutions, the continued maintenance of Sunnī orthodoxy
  - c. The Berber Almoravid and Almohad empires in northwest Africa and Spain (1056-1269)
    - i. Almoravid origins as a religious reform federation in the western Sudan, conquest of Morocco and western Algeria under Abū Bakr and Yūsuf ibn Tāshufīn (1062–92), the latter's intervention in Spain against the expanding Christian states, Almoravid conquest of Muslim Spain (1090–91), weakness and decline in the face of the renewal of the Reconquista and the Almohad revolt in North Africa (c. 1123)
    - ii. The Almohad religious reform movement under the Berber Muhammad ibn Tūmart (d. 1130) and the extension of Almohad control over Muslim Spain (capital at Seville) and the Maghrib (1145–72), initial containment of the Reconquista and later disintegration of the empire in Spain after 1212, subsequent eclipse in the Maghrib, Almohad patronage of philosophy and the arts
  - d. The east medieval dynasties of North Africa (13th-15th century): political and cultural developments
    - i. The Hafşids in Tunisia, the 'Abd al-Wādid kingdom of Tilimsān, the Marīnids in eastern Morocco and their problems with the Arabs, political life, the Nașrid kingdom of Granada
    - ii. Religious, intellectual, and artistic life: Şūfism, literary and artistic influences from Muslim Spain

MACROPAEDIA: Major articles dealing with the crusading movement, the Islāmic states of Southwest Asia, North Africa, and Europe, and the states of Eastern Christendom from c. 1050 to c. 1480

Balkan States	Crusades, The	Jerusalem
Byzantine Empire,	Egypt	North Africa
The History	Islāmic	Russia
of the	World, The	Spain

MICROPAEDIA: Selected entries of reference information

### General subjects

Balkans: **B**ogomil Epirus, Despotate of Kosovo, Battle of (1389)Kosovo, Battle of (1448)Maritsa River, Battle of the Moldavia Morea, Despotate of Serbia Thrace Vlach Walachia Zara, Siege of eastern Europe: Crimea, khanate of the Golden Horde **Biographies** Christians—Balkans and Russia: Alexander Nevsky, Saint Ivan III Ivan Asen I Ivan Asen II Kotromanić dynasty Rurik dynasty Stefan Dušan Vasily I Christians-Byzantine Empire: Alexius I Comnenus Isaac II Angelus John III Ducas Vatatzes

Kipchak Kulikovo, Battle of Moscow, Grand Principality of Neva, Battle of the Novgorod. Treaty of Pechenegs Rus Rvazan Suzdal Tver Ugra, Battle of the veche Volhvnia Iberia and northwestern Africa: Almohads Almoravids Barghawāțah Granada

Manuel I Comnenus Manuel II Palaeologus Metochites. Theodore Michael VIII Palaeologus Christians—Crusader states: Bohemond I John (Constantinople) Lusignan family Mézières, Philippe de Raymond (Antioch) Reginald of Châtillon

Moor Morisco Mozarab Navas de Tolosa, Battle of Las Reconquista Valencia Middle East-Crusades: Antioch Arsūf. Battle of Children's Crusade crusade Hattin, Battle of Holy Lance Jerusalem, Assizes of Jerusalem. Kingdom of Nicopolis, Battle of Saracen

Muslims—Iberia and northwestern Africa: 'Abd al-Mu'min Hafsid dynasty Hammūdid dynasty Hūdid dynasty Zīrid dynasty Muslims—Middle East Alp-Arslan Artuqid dynasty **Baybars** I Dānishmend dynasty Eldegüzid dynasty Ghāzān, Mahmūd Khwārezm-Shāh dynasty

Syria Transcaucasia Turkey and Ancient Anatolia

Middle East—other: Ak Kovunlu Anatolia Ankara, Battle of Assassin bashi-bazouk Jalāvirid Kara Koyunlu Little Armenia Mamlūk Myriocephalon, Battle of Nicaea, empire of Seljuq other: Bari, Siege of pronoia system

> Mehmed II Murad I Murad II Niẓām al-Mulk Saladin Salghurid dynasty Sanjar Toghril Beg Zangid dynasty

# Division III. Peoples and Traditional Civilizations of East, Central, South, and Southeast Asia

[For Part Nine headnote see page 343.]

For each nation or group of peoples covered in this division, the outline treats first of the geography and ethnography and then moves into the chronology of the respective civilization: Sections 931 and 932 outline the Chinese dynasties from the Ch'in through the late Ch'ing (mid-19th century).

Section 933 deals with the peoples of inner Asia and the steppe and covers the early histories of Manchuria, Turkistan, and Afghanistan; of the Mongol Empire and its successor states; and of Tibet and Nepal.

Section 934 outlines the character and achievements of the Japanese and Korean civilizations from their beginnings until the Meiji Restoration of 1868 and the Japanese annexation of Korea in 1910.

Sections 935 and 936 treat of the civilizations of the Indian subcontinent, of the early political units of India and Ceylon, the period of Muslim hegemony, the Mughal and Marāthā empires, and, for Ceylon, the arrival of the Portuguese in 1505.

Section 937 deals with the peoples and civilizations of Southeast Asia, including the histories of Burma, Siam, Cambodia, Vietnam, and Malaya, as well as the islands of the Indonesian Archipelago, until c. 1600.

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# Section 931. China to the Beginning of the Late T'ang (AD 755)

- A. The character and achievements of Chinese civilization, the geography and ethnography of China, archaeological and documentary historical sources, historiographic problems
- B. The emergence of traditional Chinese civilization
  - 1. The prehistoric period
    - a. The Paleolithic and Mesolithic stages in North China: industries in the Ordos region, microlithic tools
    - b. The Neolithic stage: pebble tools and domesticated animals, "Mongolian Neolithic"
    - c. The Yang-shao Painted Pottery culture
      - i. Stratigraphy: villages of Hsi-yin-ts'un and Yang-shao-ts'un, pottery styles
      - ii. Painted pottery styles, sites in Kansu, ornamental designs, stone implements
    - d. The Lung-shan Black Pottery complex and western limits of Black Pottery culture, the Late Neolithic Period in South China and the Early Bronze Age in North China, bronze objects in the Ordos region
  - 2. The beginnings of the Chinese civilization: the early dynasties
    - a. Origins of the Chinese people and culture: legends and cultural centres, the Hsia dynasty (c. 2205-c. 1766 BC)
    - b. The Shang, or Yin, period (c. 1766-c. 1122 BC): Chengchow site as early capital and cultural centre at Anyang, social system, early calendar, warfare, industry and commerce, script
    - c. The Western (early) Chou (1122–771 BC): the conquest of Shang under Wen Wang and Wu Wang (1111 BC). Chou feudal system
    - d. The Eastern (later) Chou (771-481 BC), also called the Chun Ch'iu period; internal chaos; period of the Warring States (481-221 BC)
      - i. Breakdown of the Chou feudal system: capital at Loyang, rivalry among Chou states, various Chou successor states in the Warring States period (481–221 BC)
      - ii. Social, political, and cultural changes: decline of feudalism, urbanization and assimilation, rise of monarchy under Wen Kung, economic development
    - e. The Classical period of Chinese literature and philosophy: Chinese religion and cosmology, Confucianism and Taoism, the "hundred schools" (the Naturalists, the Dialecticians, Mo-tzu, Meng-tzu [Mencius], Chuang-tzu, the Legalists)

- C. The unification of China under the Ch'in and Han dynasties (221 BC-AD 220)
  - 1. Establishment of the Ch'in empire (221–206 BC): development of central government, fall of the dynasty after death of Shih Huang Ti
    - a. Early successes of the Ch'in under Mu Kung, reforms of Hsiao Kung and Shang Yang
    - b. Ch'in strategy, unification of China by the Ch'in (221 BC), abolition of feudal system, highway building and construction of the Great Wall in the reign of Shih Huang Ti, the minister Li Ssu, political repression
  - 2. The Han dynasty
    - a. Western (Former or Earlier) Han (206 BC-AD 8) and the Wang Mang usurpation (AD 9-23)
      - i. Establishment of the dynasty by Liu Pang (Han Kao Tsu): the capital at Ch'ang-an, reign of Liu Heng (Han Wen Ti) from 179 to 157 BC, consolidation of Imperial power
      - ii. Expansion under Han Wu Ti (140-87 BC) into southern China and Central Asia, dynastic crisis (91-87 BC), ascendancy of the Wang family and Wang Mang's usurpation of throne (AD 9-23)
    - b. The Eastern (Later) Han: restoration of the dynasty by Liu Hsiu (Han Kuang Wu Ti) (AD 25-57), capital at Loyang, domestic and foreign policy, decline of government after AD 125
    - c. Political developments, foreign relations, and cultural attainments in the Han period
      - i. The Han political system: the structure and the practice of government
      - ii. Relations with other peoples: the Hsiung-nu of Central Asia, Pan Ch'ao's campaigns in Central Asia
      - iii. Han cultural life: educational developments, invention of paper, prose writing, developments in music and the visual arts, introduction of Buddhism
- D. The breakdown and revival of the empire
  - 1. The Six Dynasties period (AD 220-589)
    - a. The division of the empire into the Three Kingdoms of Wei (North China), Shu Han (Szechwan), and Wu (South China): era of barbarian invasions and rule, the period of the Sixteen Kingdoms (304–589)
    - b. Intellectual and religious trends: decline in Confucianism, Taoist resurgence, spread of Buddhism
  - 2. The reunification of China under the Sui and early T'ang dynasties
    - a. The Sui (581-618): Sui founder Yang Chien (Sui Wen Ti), institutional reforms
    - b. The reign of Yang Ti (605-618): integration of the South, foreign affairs, military reverses and collapse of the dynasty
    - c. The early T'ang (618-624) and the period of T'ang power (626-755)
      - i. Li Yüan's (618–626) establishment of the dynasty: resistance to T'ang conquest, administration of the state, fiscal and legal system
      - ii. The era of good government in the reign of T'ai Tsung (626–649): educational and administrative reforms, conquest of eastern Turks, Kao Tsung (649–683) and influence of Empress Wu, conquest of Oxus Valley and later military reverses
      - iii. Prosperity and progress in the reign of Hsüan Tsung (712-756): internal reforms, military reorganization

MACROPAEDIA: Major articles dealing with China to the beginning of the late T'ang (AD 755)

Asia China Nanking

MICROPAEDIA: Selected entries of reference information

# General subjects

ancient cultures and historic sites: Ch'i-chia culture Ch'in tomb Great Wall of China Hsiung-nu Lung-shan culture Pan-p'o-ts'un Sha-ch'ing dynastic capitals: An-yang

Hsien-yang Lo-yang Nanking Sian Ta-t'ung Yang-chou dynasties, periods, and states: Ch'i Chin dynasty Ch'in dynasty	Chou dynasty Ch'u Han dynasty Hsia dynasty Lu Nangnang Shang dynasty Six Dynasties Southern Dynasties	Spring and Autumn Period Sui dynasty T'ang dynasty Three Kingdoms Warring States Wei dynasty government and society: Bamboo Annals censor	Chinese civil service equal-field system Five Pecks of Rice fu-ping Hanlin Academy well-field system
Biographies			$\mathbf{T}^{\mathbf{r}}_{\mathbf{r}}$ ; the $(\mathbf{T}^{\mathbf{r}}_{\mathbf{r}}, \mathbf{r})$
officials and military	Shang Yang	rulers:	I al-tsung (I ang)
leaders:	Ts'ao Ts'ao	Hsiao-wen ti	Wang Mang
Chao Kao	philosophers:	Hsüan Tsung	Wen-ti (Sui)
Hsiang Yü	Chuang-tzu	(T'ang)	Wu Hou
Li Ssu	Confucius	Kao-tsu (Han)	Wu-ti (Chin)
Lü Pu-wei	Lao-tzu	Kao-tsu (T'ang)	Wu-ti (Han)
Pan Ch'ao	Mencius	Kuang-wu ti	Yang Ti
	Mo-tzu	Shih huang-ti	-

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# Section 932. China from the Late T'ang (AD 755) to the Late Ch'ing (c. 1839)

- A. The late T'ang dynasty, the Ten Kingdoms, the Five Dynasties, and the Sung dynasty
  - 1. The late T'ang and the Northern Sung
    - a. The late T'ang (755-907): the rebellion of An Lu-shan (755-757) and its effects, provincial separatism, attempts to restore central authority, growth in power of provincial warlords
    - b. T'ang cultural life: the growing influences of Buddhism, developments in music and the visual arts
    - c. Social and economic developments: the decline of the aristocracy and social mobility, agricultural advances and expansion of trade
    - d. The period of the Five Dynasties and the Ten Kingdoms (907-960)
      - i. The short-lived Five Dynasties in North China: the Liang dynasty, advance of talented bureaucrats in government posts
      - ii. The more permanent Ten Kingdoms: the Tanguts; the Khitan, or Liao, empire; the kingdoms of Wu, the Southern T'ang, the Southern P'ing, the Ch'u, the Earlier and Later Shu, the Min, the Southern Han, and the Wu-yüeh
    - e. The Northern Sung (960-1126): foundation of the dynasty and its expansion under T'ai-tsu and T'ai-tsung and their successors
      - i. Unification and centralization of the empire: development of the Imperial civil service in T'ai-tsu's reign (960–976), further consolidation under Chen Tsung (998–1022)
      - ii. Reforms in the reign of Shen Tsung (1068-85): leadership of Wang An-shih, criticism of the reforms leading to the decline and fall of the dynasty
  - 2. The Southern Sung (1127-1279): survival and consolidation, defeat by the Juchens and removal of the Sung to South China under Kao-tsung
  - 3. Sung cultural and economic developments; *e.g.*, resurgence of Neo-Confucianism, visual arts and music, scholarship, historiography, invention of printing, manufacturing advances
- B. Mongol-Chinese rule under the Yüan dynasty (1279-1368)
  - 1. The Mongol conquest of China: imposition of Mongol government and policies
    - a. Genghis Khan's conquest of the Chin (1211-34), invasion of the Sung and the establishment of the Yüan dynasty under Kublai Khan
    - b. Mongol government and administration: transfer of the capital to Ta-tu (Peking), nonassimilation with the Chinese, expansion of trade

- 2. Religious and intellectual life, relations with the West, decline of Mongol rule
  - a. Religious toleration and patronage of Buddhism, the status of the Confucian scholar, developments in the arts
  - b. Yüan China and the West: commercial and cultural contacts, arrival of Catholic missionaries
- C. The Ming and Ch'ing dynasties to c. 1839: the tribute system, relative stability, ethnocentrism, and emphasis on cultural unity
  - 1. The Ming dynasty (1368-1644)
    - a. Foundation of the Ming and its political and social structure
      - i. Peasant uprisings and the foundation of the dynasty (1368) by Chu Yüan-chang (Hung-wu): pattern of dynastic succession, gradual degeneration of Ming government
      - ii. Government and administration: local and central government, later innovations to coordinate central government and regional administration
    - b. Developments in foreign relations and economic policy
    - c. Cultural life in the Ming period: philosophy and religion, developments in the visual arts, music, literature, and scholarship
  - 2. The Ch'ing (Manchu) dynasty to c. 1839
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      - i. Manchu entrance in Peking and territorial conquest ending with the seizure of Taiwan (1683): early Ch'ing institutions
      - ii. Early foreign relations in Asia, contacts with the West
    - b. Mid-Ch'ing social and economic developments: the role of religious associations, expansion of industry, social unrest, intellectual and cultural advances
      - i. Advances in agriculture through increased rice cultivation and introduction of new crops, expansion of crafts and industries, commerce and finance
      - ii. Population growth and immigration, religious associations, the White Lotus Rebellion (1796-1804)
      - iii. Cultural developments; *e.g.*, government interference in scholarship; introduction of Western sciences; advances in music, literature, and the visual arts
    - c. Dynastic degeneration and widespread governmental corruption beginning in the 1760s; economic decline, famine, and social unrest in the early 1800s

MACROPAEDIA: Major articles and a biography dealing with China from the late T'ang (AD 755) to the late Ch'ing (c. 1839)

Asia	Genghis Khan
Canton	Nanking
China	Peking
Chungking	

MICROPAEDIA: Selected entries of reference information

### General subjects

capital cities:	Yang-chou	Sung dynasty	kowtow
Canton	dynasties, kingdoms,	T'ang dynasty	pao-chia
Ch'ang-sha	and states:	Ten Kingdoms	Tung-lin
Ch'eng-tu	Chin dynasty	Yüan dynasty	other:
Chungking	(Juchen)	government and	Cathay
Hang-chou	Ch'ing dynasty	society:	Hakka
Lo-vang	Five Dynasties	Banner system	Nerchinsk,
Nanking	Hsi Hsia	Canton System	Treaty of
Peking	Liao dynasty	Chinese civil	White Lotus
Sian	Ming dynasty	service	Rebellion

biographics			
emperors:	T'ai-tsu (Sung)	Cheng	Wu San-kuei
Cheng-te	T'ai-tsung (Sung)	Ch'eng-kung	Yüeh Fei
Chia-ch'ing	Yung-cheng	Cheng Ho	Westerners:
Ch'ien-lung	(Ch'ing)	Dorgon	Polo, Marco
(Ch'ing)	Yung-lo (Ming)	Hsü Kuang-ch'i	Ricci, Matteo
Hung-wu	statesmen and	Huang Tsung-hsi	
K'ang-hsi (Ch'ing)	military leaders:	Nurhachi	
<ul> <li>Kublai Khan</li> </ul>	An Lu-shan	Ou-yang Hsiu	
Shen Tsung (Sung)		Wang An-shih	

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# Section 933. Inner (Central and Northeast) Asia to c. 1750

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- B. The peoples and states of Inner Asia to c. AD 1200
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  - 2. The Manchurian tribes: attempts at unification, fluctuating relations with the Chinese until the advent of the Mongols
    - a. The Tung-hu tribes and Chinese presence in Manchuria to the 3rd century BC, ascendancy of the Hsien-pei and establishment of the Yin kingdom by Mu-jung Hui (AD 352), the Parhae (P'o-hai) kingdom (AD 712)
    - b. The Khitan and Juchen empires: penetration into China, Korea, and Mongolia; Juchen conquest of Chinese Sung territory; capital at Yen-ching (Peking); conquest by Mongols in 1234
  - 3. Development of West and East Turkistan to c. 1750
    - a. West Turkistan: the early empires, Muslim rule, the Chagatai khans and Timurids, the Uzbek and Kazakh khanates
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  - 4. The Mongolian and Tungusic states from the 10th to the 13th century: the Liao (Khitan) empire and the later Chin (Juchen) state in North China and Manchuria (947–1125), the Western Liao (Kara khitai) of Turkistan (1124–1211)
  - 5. The development of Afghanistan to c. 1700: rule by Achaemenians and Greeks to c. 1st century AD, various nomadic rulers, advent of Muslim control in the 7th century, Mongol conquest (1221), later rule by Timurids and Mughals
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  - 2. The Mongol successor states
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- e. Mongolia from the 13th to the 18th century: internecine strife, the revival of Buddhism, subjection to Yüan China and later autonomy and disunity until the ascendancy of the Manchus (Ch'ing China) in the 18th century
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    - b. Tibetan disunity from the 9th to the 14th century: eclipse and resurgence of Buddhism, conquest by Mongols, developments in literature and the visual arts
    - c. Rule by the Dge-lugs-pa (Yellow Hat) monastic order, unification of Tibet (1642), Tibet under Chinese overlordship (1720)
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- E. The waning of nomad power from the 16th to the 18th century: the Manchu conquest of China and parts of Inner Asia, the Afghans as the last nomad power in Inner Asia, Russian expansion into Siberia and western Turkistan

MACROPAEDIA: Major articles and a biography dealing with inner (Central and Northeast) Asia to c. 1750

Asia Central Asia China Genghis Khan Mongolia Nepal Steppe, The History of the Eurasian

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dynasties and	Uzbek dynasty	Oyrat	Samarkand
empires:	Yüan dynasty	Yüeh-chih	Sogdiana
Chin dynasty	peoples:	other:	Turkistan
(Juchen)	Chahar	Karakorum	
Golden Horde	Hsiung-nu	Parhae	
Gtsang dynasty	Juan-juan	Qarluq	
Liao dynasty	Mongol	confederation	
Biographies			
Abahai	Möngke	Phag-mo-gru	Timur
Batu	Mozaffarid dynasty	family	Timurid dyna
Il-Khanid dynasty	Nurhachi	Qarakhanid	Willem van
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- B. Early Japan
  - 1. Prehistoric cultures: nonceramic cultures in the Paleolithic Period, Jōmon (5th or 4th millennium to c. 250 BC) and Yayoi (c. 250 BC-AD 250) Neolithic pottery cultures. agriculture and the influx of Chinese culture
  - 2. The ancient period: unification of Japan under the Yamato court and subsequent governments (c. 250-710)
    - a. The rise and fall of the Yamato court: relations with Korea, internal power struggles, introduction of Buddhism
    - b. The governmental reforms of Shōtoku Taishi of the Soga family: theories of ideal government, the 12 court rank and the "Seventeen Article Constitution," relations with China, spread of Buddhism
    - c. The Taika reforms (645), elimination of the Soga family, land reform, intervention in Korea, the *ritsu-ryō* system of social and land reform
  - 3. The Imperial state from 710 to 1185
    - a. Government-directed religious and cultural developments in the Nara period (710-784): flowering of Buddhism; Chinese and Indian influences on literature, music, and the visual arts
    - b. The Heian period (794–1185): changes in the *ritsu-ryō* system, ascendancy of the Fujiwara family and growing importance of the aristocracy
      - i. Failure of Taika land reforms, power struggles among the nobility, growth of Fujiwara control over government, rise of Japanese literature and rejection of Chinese culture
      - ii. Government by "cloistered" emperors: decline of Fujiwara power and rise of the samurai class, the Högen (1156) and Heiji (1159) uprisings, introduction of feudalism

# C. Feudal Japan

- 1. The Kamakura period (1192-1333)
  - a. Minamoto Yoritomo and the founding of the *bakufu* (shogunate) at Kamakura (1192), the samurai *shugo* as feudal lords
  - b. The rise of the Hōjō family from 1199: the Jōkyū Disturbance (1221), Hōjō Yasutoki's (1224-42) administrative reforms, the Jōei law code
  - c. Resistance to the Mongol invasions of Japan (1274 and 1281), the Kamakura *bakufu* and feudal administration of farming regions
  - d. Buddhist culture during the Kamakura period (*e.g.*, growth of Zen) and Neo-Confucianism; literature, philosophy, and the visual arts
  - e. Decline of Kamakura society: economic problems leading to the rise of daimyo (domain lord) class and decline of *bakufu*
- 2. The second feudal era: the Muromachi, or Ashikaga, period (1338-1573)
  - a. The Kemmu Restoration (1333) and return to direct Imperial rule: the emperor Go-Daigo, the Kemmu legal code, Ashikaga Takauji and the dual dynasties (1336–92)
  - b. Yoshimitsu's establishment of the Muromachi *bakufu* (1378) and unification of the dual dynasties (1392): taxation and strong military governors, feudal warfare after 1428
  - c. Increased trade with China: piracy, the Ōnin War (1467–77), provincial self-government and growing influence of farmers
- 3. The period of the "warring country" and the beginning of unification under the Oda regime
  - a. Unification under *sengoku* (civil war) daimyo league leaders: development of commerce and guilds
  - b. Arrival of the Portuguese (1543) and Spanish (1549): opening of trade, Catholic Jesuit missionary activity (1549)

- c. Cultural development in the 15th and 16th centuries: the influence of Zen Buddhism on philosophy, drama, literature, and the visual arts
- d. The Azuchi-Momoyama period (1574–1600): unification under Oda Nobunaga (1549–82) and Toyotomi Hideyoshi (1582–98)
- 4. The Tokugawa period (1603-1867): military-bureaucratic rule
  - a. Establishment (1603) and consolidation of the Tokugawa (Edo) shogunate by Tokugawa Ieyasu: Japanese policy of national seclusion (1630s) from Christian missionaries and most European traders
  - b. The Tokugawa postfeudal military-bureaucratic system: class structure and bakuhan system
  - c. Industrial and commercial developments, advances in literature and the visual arts
  - d. The weakening of the bakuhan system and its eventual collapse
    - i. Economic crises: impoverishment of small farmers and commercial problems, political reform, opening of Japan to Western influences (1840s)
    - ii. Cultural developments in the 18th and 19th centuries: Confucianism and the Shinto revival, Buddhism, literature and the visual arts
    - iii. The Tempō reforms and downfall of the *bakuhan:* economic and administrative measures, pressure from Europe and the U.S.

# D. Korea to 1910

- 1. The prehistoric origins of the Korean people, the use of ironware and emergence of tribal states in the Bronze Age
- 2. The Three Kingdoms of Korea (Koguryŏ, Paekche, and Silla) and their interactions (c. 57 BC-AD 668), introduction of Buddhism, literature and the visual arts
- 3. The unification of Korea under Silla control (668-935): adoption of Chinese governmental organization and land tenure system, emergence of provincial magnates, cultural developments
- 4. The Koryŏ dynasty (935–1392): social and cultural developments, military rule, land reform and social change after the Mongol invasions (1231–c. 1261)
- 5. The Yi (Chosŏn) dynasty (1392-1910)
  - a. The establishment of a Confucian state: royal bureaucratic government, decline of Buddhism and emergence of Confucian culture, introduction of printing
  - b. Invasions by Japan (1592–98) and the Manchu (c. 1619–1636): Korea as a Ch'ing (Manchu) vassal, Silhak scholarship and cultural development, introduction of Roman Catholicism
  - c. Relations with foreign countries: growth of Japanese influence, the Tonghak Revolt (1894) and government reform, Japanese supremacy in Korea (1910)

### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with Japan to the Meiji Restoration (1868), and Korea to 1910

Asia Japan Korea Kyōto Ōsaka-Kōbe Metropolitan Area Tokyo-Yokohama Metropolitan Area

MICROPAEDIA: Selected entries of reference information

# General subjects

Japan—government	han	shugo	Högen Disturband
and society:	kabane	tennō	Jinshin-no-ran
be	kampaku	uji	Ōnin War
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chōnin	rangaku	za	Battle of
daimyo	rōnin	Japan—historic	Shimabara
Dajōkan	samurai	events:	Rebellion
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Japan—historic	Nara period	Taihô code	yangban
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Azuchi-Momoyama	Yayoi culture	and society:	Kaya
period	Japan-laws and	Hwarangdo	Koguryŏ
Bunka-Bunsei	treaties:	kolp'um	Nangnang
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Kamakura period	Seventeen Article	Unified Silla	
Muromachi period	Constitution	dynasty	
Biographies			
Japan—emperors:	Tokugawa	Ii Naosuke	Japan—other:
Antoku	Hidetada	Kusunoki	Dōkyō
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Himiko	Tokugawa Ieyasu	Maeda family	Nichiren
Kammu	Tokugawa	Matsudaira	Tokugawa
Sanjo, Go-	Yoshimune	Sadanobu	Mitsukuni
Shirakawa	Japan—warriors and	Minamoto	Yamaga Sokō
Shirakawa, Go-	statesmen:	Yoshitsune	Korea:
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Tadayoshi	Michinaga	Shōtoku, Taishi	Son Pyŏng-hi
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Ashikaga	Hayashi Shihei	Taira family	Harris, Townsend
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# xtion 935. The Indian Subcontinent and Ceylon to c. AD 1200

- A. The character and achievements of traditional Indian civilizations and their influence on Ceylonese and Southeast Asian civilizations, the geography and ethnography of the Indian subcontinent and Ceylon, archaeological and documentary historical sources, historiographic problems
- B. India from the prehistoric period to AD 300: the emergence of civilization in the Indus River Valley, the growth of kingdoms and the great empires
  - 1. Late Stone Age hunters and Neolithic settlement in Baluchistān and the Indus Valley, first settlements east of the Indus
  - 2. Indus civilization (c. 2300-c. 1750 BC): social, economic, and cultural developments
    - a. Development of urban centres; e.g., Mohenjo-daro, Harappā, Kalibangan, Lothal
    - b. Developments in agriculture, animal husbandry, metalwork and pottery, transportation, and trade
    - c. Developments in languages, religion, and the visual arts
  - 3. The development of the Indo-Aryan states (c. 1500-600 BC): urbanization at Kāśī (Vārānasi) and elsewhere in the Ganges Valley, other cultures in the Indian subcontinent
    - a. Early Ganges cultures to c. 1200 BC: social organization and religious development
    - b. Later Ganges cultures to c. 600 BC: development of the caste system and emergence of Brahman, Kşatriya, Vaiśya, and Śūdra castes

- 4. Pre-Mauryan states (c. 600-150 BC): development of political and economic systems, Taxila as a cultural centre
  - a. The early development of Buddhism and Jainism, beginning of Magadha ascendancy
  - b. Invasion by Alexander the Great (327 BC) and establishment of Greek settlements
- 5. Development of the Mauryan empire (c. 321-185 BC): the capital at Pāțaliputra (Patna)
  - a. Establishment of the empire by Candra Gupta (c. 321-c. 297 BC) and consolidation by Aśoka (c. 265-238 BC)
  - b. Mauryan economic, social, and administrative developments, evolution of the concept of the state
- 6. The rise of small kingdoms in the north (150 BC-AD 300): Indo-Greek and Asian rulers, various local republics and kingdoms (Śuńga, Kalińga)
- 7. South Indian civilizations to AD 300
  - a. Development of guilds, banking systems, and extensive maritime trade with the West
  - b. Cultural and religious development; e.g., patronage of religious art and literature, growth of sects in Hinduism and Jainism, assimilation of foreigners into caste society
- C. North India, the Deccan, and South India (AD 300-750)
  - 1. The Guptas of North India (AD 320-540): expansion and administration of territory, invasions by the Hūņas (c. mid-5th century), successor states to the Guptas
  - 2. Various kingdoms of the Deccan: the Vākāțaka dynasty, the Cālukyas, and the Rāstrakūțas
  - 3. The Pallavas in South India: developments in religious art and architecture, literature, and science
- D. North India (750-1200), the Deccan, and South India (750-c. 1330): new dynasties and centres of power
  - 1. The tripartite struggle in North India, the Rājpūt kingdoms, Turkish control in Ghazna from 998
  - 2. The decline of the Cālukyas in the Deccan and the rise of the Cōlas in the 10th century, later Hoysalas and Pāṇḍyas control, relations with the south
  - 3. Social, economic, and cultural developments; *e.g.*, feudalism and economic decentralization, partial social mobility, growth of Tantrism, literature and the visual arts
- E. Ceylon from the prehistoric period to the end of the Classical Age (AD 1200)
  - 1. Prehistoric settlements in Ceylon, colonization by Indo-Aryan tribes in the 5th century BC, conversion to Buddhism (c. 3rd century BC)
  - 2. Ceylon in the Classical Age (c. 200 BC-AD 1200): the Polonnaruva dynasties, growth of Sinhalese political institutions, social and agricultural developments

MACROPAEDIA: Major articles dealing with the Indian subcontinent and Ceylon to c. AD 1200

Asia India Nepal Sri Lanka

MICROPAEDIA: Selected entries of reference information

### General subjects

historic regions and	Gedrosia	Patna	kingdoms and states:
sites:	Halebīd	Śrāvastī	Anurādhapura
Bhārhut	Kalibangan	Taxila	Avanti
Brahmarși-deśa	Kalinga	Vaišālī	Kosala
Gandhāra	Mālwa	Valabhī	Magadha
Gauda	Nālanda	Vārānasi	Mauryan empire

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Aśoka	Ganga dynasty	Mahendra	Śaiśunāga dynasty
Buddha	Gurjara-Pratihāra	Maitraka dynasty	Śaka satrap
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# Section 936. The Indian Subcontinent from c. 1200 to 1761, and Ceylon from c. 1200 to 1505

- A. North India under Muslim hegemony (c. 1200-1526)
  - 1. The completion of the Ghūrīd conquest; the Delhi sultanate (1206-1526): the military and administrative policies of the five dynasties
    - a. The consolidation of the conquest of North India by the Slave dynasty (1206-90)
    - b. The revival of efficient administration by the Khalji dynasty (1290–1320)
    - c. The Tughluq dynasty (1320-1413): administrative reforms by Muhammad ibn Tughluq (1325-51), Mughal invasion (1398) and decline of Tughluq control
    - d. Tenuous control by the Sayyid dynasty (1414-51), expansion and decline of Lodī dynasty (1451-1526)
    - e. Cultural and religious developments during the Delhi sultanate; *e.g.*, Islāmic and Hindu movements and education
  - 2. The 14th-century rise of regional kingdoms in the north: Bengal, Mālwa, Gujarāt, Jaunpur, and Kashmir
- B. The Deccan (c. 1320-1627) and South India (1336-1646)
  - 1. The Deccan (c. 1320-1627): the Bahmani dynasty and the five Deccan sultanates
    - a. The Bahmanī dynasty (1347-c. 1527): introduction of Muslims into the Deccan and their relations with the Hindus
    - b. The rise (c. 1500) of the five sultanates of Ahmadnagar, Berār, Bīdar, Bijāpur, and Golconda; Muslim-Hindu relations; Mughal conquests in the Deccan in the 16th century
  - 2. The Hindu Vijayanagar empire (1336-1646) in South India
    - a. Foundation of the state (1336) and its expansion in South India: conflicts with Muslim dynasties in the Deccan, decentralization and decline of state
    - b. Administrative and social organization of the empire, cultural and religious development
- C. The beginning of the political and administrative unification of the subcontinent under the Mughal Empire (1526-1761)
  - 1. The origins of the Mughals: the conquest of North India under Bābur, the Mughals' use of firearms
  - 2. Extension and consolidation of empire by Akbar (1556–1605)
    - a. Subjection of neighbouring territories: the conquest and annexation of Bihār, Bengal, Afghanistan, and Kashmir
    - b. Akbar's administrative, fiscal, military, judicial, and religious policies

- 3. The empire under Jahängīr (1605–27), Shāh Jahān (1628–58), and Aurangzeb (1659–1707): developments in the arts and agriculture
- 4. Mughal decline in the 18th century: dynastic disputes and weakness after 1707 culminating in foreign invasions (1731-61)
- D. The emergence of the Marāthā empire in Mahārāshtra: rise to power and decline after 1761
  - 1. The foundation (1674-80) of the dynasty by Šivājī: his challenge to Mughal authority in the Deccan, the Marāthā war of independence
  - 2. The Marāṭhās as the major power in India in the early 18th century: the contribution of the peshwas (chief ministers) to Marāṭhā success, struggle with the Portuguese, establishment of the Marāṭhā confederacy
- E. Ceylon from c. 1200 to the arrival of the Portuguese (1505)
  - 1. Political and economic changes in the Sinhalese state: collapse of central authority, foreign invasions, growth of foreign trade
  - 2. Developments in culture and the Buddhist religion

MACROPAEDIA: Major articles dealing with the Indian subcontinent from c. 1200 to 1761, and Ceylon from c. 1200 to 1505

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MICROPAEDIA: Selected entries of reference information

General subjects

government and	historic events:	Bharatpur	kingdoms and
society:	Barāri Ghāt,	Bijāpur	states:
Ashta Pradhan	Battle of	Chandragiri	Bahmanī
Cūlavaṃsa	Gogūnda, Battle of	Golconda	sultanate
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Habshī	Karnāl, Battle of	Kāmarūpa	Delhi sultanate
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Bābur	Lodī dynasty	Tughluq	Sirāj-ud-Dawlah
Humāyūn	Mughal dynasty	Mu'izz-ud-Dīn	Śivājī
Jahāngīr	Slave dynasty	Muhammad ibn	
Shäh Jahān	others:	Sām	
ruling families:	Gobind Singh	Qutb-ud-Din	
'Ādil Shāhī dynasty	Husayan Shāh	Aybak	
Āravīdu dynasty	'Ala' ad-Dīn		

# Section 937. The Peoples and Civilizations of Southeast Asia to c. 1600

- A. The character and achievements of traditional Southeast Asian civilizations, South and East Asian influences, the geography and ethnography of Southeast Asia, archaeological and documentary historical sources, historiographic problems
- B. Mainland Southeast Asia to c. 1600
  - 1. Myanmar (Burma) from the Anyathian culture (c. 5000 BC-AD 1600)
    - a. Origins of civilization in Myanmar: the Anyathian Stone Age culture, the Mons of southern Myanmar (c. 3rd century BC-11th century AD), Indian trade and cultural influences
    - b. The Tibeto-Burmese invasions of the Upper Irrawaddy Valley and the establishment of the Pyu state of northern Myanmar (c. 100 BC-AD 800)
    - c. The city kingdom of Pagan (849-1287): the influence of Theravāda Buddhism, Pagan as a cultural centre, destruction by the Mongols (1287)
    - d. Myanmar from c. 1300 to c. 1600: reunification and expansion
  - 2. The Tai people and the kingdom of Siam to c. 1500
    - a. The origins and settlement of the Tais: the kingdom of Nanchao in Yunnan (8th century AD)
    - b. Establishment of Tai power at Sukhothai (c. 1220): social and cultural developments
    - c. Establishment of the Tai state of Ayutthaya (1350): organization of administrative, social, and legal systems; wars with Lan Na during the reign of King Trailok (1448-88)
    - d. Laos to c. 1600: the Lao as a branch of the Tai people, establishment of the Lan Xang kingdom by Fa Ngum (1353-73), later rulers to 1571, successful Burmese invasion (1574)
  - 3. Cambodia from the prehistoric period to c. 1500
    - a. Prehistoric peoples in Cambodia, mythological origins of kingdom of Funan (c. AD 100) and the influence of Indian culture
    - b. Emergence of the state of Chenla and the decline of Funan in the 6th century
    - c. Establishment of the Khmer state of Angkor (c. 800), religion and the concept of kingship, social and administrative structures, the reign of Suryavarman II (1113-c. 1150), period of instability
    - d. Jayavarman VII (1181-c. 1218) and the reestablishment and extension of Khmer authority
    - e. Decline of the Angkor kingdom after 1220, introduction of Theravāda Buddhism, Tai invasions (1369 and 1389) and fall of city of Angkor (1431)
  - 4. Vietnam from the prehistoric period to c. 1516
    - a. The legendary and historical origins of the Vietnamese people, the influence of Chinese rule (from 111 BC) on Vietnamese society
    - b. The states of Funan (c. 1st-6th century AD) and Champa (AD 192-1471) in southern Vietnam
    - c. Chinese political and cultural domination of Nam Viet from 111 BC to AD 939; independence under Ly, Tran, and Le dynasties; political unification of Nam Viet and Champa (1471); government and society in precolonial Vietnam
  - 5. Malaya to the 16th century AD
    - a. Rise of Indianized states and their role in the formation of Malaya: the advent of Islām and the rise of the sultanate of Malacca (c. 1400-1511)
    - b. Early European intrusions: the Portuguese conquest of Malacca (1511), social and political developments in the 16th century
- C. Islands of the Indonesian Archipelago to c. 1600
  - 1. The settlement of the Indonesian Archipelago: the introduction of Hinduism by Indian Brahmins
  - 2. The Malay kingdom of Śrivijaya in southeast Java: the influence of Buddhism, the importance of the maritime trade with China from the 7th to the 12th century
  - 3. Central Java in the 8th and 9th centuries: cultural, religious, and economic development during the Shailendra dynasty

- 4. Eastern Java and the rest of the archipelago from 1019 to 1292: political and cultural developments, the Singhasāri empire of Kertanagara and the royal cult
- 5. The Majapahit empire in eastern Java (1319-89): religious and cultural developments
- 6. The spread of Islām in Indonesia: the rise of Muslim states in Sumatra and Java (c. late 13th century), conflicts between Islām and older Indonesian cultures

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Asia Indonesia Southeast Asia

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General subjects

Myanmar, Malaya, and Siam: Dvaravati Hlutdaw Mon kingdom Mrohaung, Arakanese Kingdom of Nanchao	Ngasaunggyan, Battle of Nong Sa Rai, Battle of Pagan Sadki Na grades Indochina: Angkor Champa	Dong Son culture Funan Lovek Nam Viet Vyadhapura Indonesian Archipelago: Buginese Kadiri	Majapahit empire priyayi Singhasāri Śrivijaya empire Tarumanegara
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# Division IV. Peoples and Civilizations of Sub-Saharan Africa to 1885

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The history of North Africa, because of its early involvement with Europe and Islām, is dealt with up to c. 1480 in Sections 911 and 924; and it is carried to the 19th century in Section 962. The history of Nilotic Sudan to c. AD 550 and of Ethiopia to c. AD 650 is dealt with in Section 911.

With those exceptions, the five sections of Division IV deal first with the geography and ethnology and then with the histories of the peoples and civilizations of the African continent to c. 1885.

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- 942. The Nilotic Sudan and Ethiopia from c. AD 550 to 1885 390
- 943. East Africa and Madagascar to c. 1885 391
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# Section 941. West Africa to c. 1885

- A. The geography and ethnography of West Africa, definition of the region, the archaeological and documentary historical sources and historiographic problems, the character and achievements of civilizations in West Africa
- B. West Africa until the advent of the Europeans (c. AD 1500)
  - 1. Development of the West African monarchies of Ghana and Kanem to c. AD 1000
  - 2. Development of the western Sudan empires
    - a. Emergence of the Keita dynasty of the Mali empire (c. 1235): Timbuktu as the cultural and commercial centre of Mali, fall of the Mali empire (c. late 15th century) and rise of the Songhai empire of Gao
    - b. The migrations of the Fulani people, migrations and military conquests of the Mande-speaking peoples, development of trade routes by the Dyula
- C. The precolonial period of European activity (c. 1400-c. 1885): exploration, development of the slave trade, and eventual collapse of indigenous states
  - 1. Portuguese trade with the Guinea states from c. 1460
  - 2. The rise and expansion of the Atlantic slave trade (c. 1600-c. 1860): the pattern and development of European slave trade routes, the African slave merchant class
  - 3. The Islāmic revolutions in the western Sudan: spiritual and military leadership of Sīdī Mukhtār (d. 1811) and Usman dan Fodio (d. 1817), the Fulani and Hausa *jihād*s (holy wars) and conquests
  - 4. West Africa from 1800 to c. 1885
    - a. The Guinea coastlands and the European antislavery movements
    - b. British colonial settlements from c. 1800: the colonies of Sierra Leone, the Gold Coast, Lagos, and Ashanti
    - c. 19th-century British and French exploration of the West African interior, establishment of colonies, and exploitation of trade

### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with West Africa to c. 1885

Africa Western Africa

MICROPAEDIA: Selected entries of reference information

### General subjects

Akan states	British West Africa	Hausa states
Akwamu	Dahomey	Kanem-Bornu
Ashanti empire	Djénné	Kumbi
Audaghost	Fanti confederacy	Mali
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Beecroft, John	Osei Tutu	Sumanguru
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- A. The Nilotic Sudan from c. 550 to 1885
  - 1. The medieval Christian kingdoms of Nobatia, Magurrah, and Alwah; the Beja people
  - 2. The spread of Muslim domination from c. 639: Mamlūk attacks in the 13th and 14th centuries, invasion of nomadic Arabs in the 15th century and intermarriage with Nubians, kingdom of 'Alwah as the last Christian barrier until its conquest (c. 1500)
  - 3. The rise of the Funj (c. 1500), the spread of Islām
  - 4. The Egyptian occupation from 1820 to 1885: the administration of Muhammad Alī and his successors, Ismā'īl Pasha and the growth of British influence
- **B.** Ethiopia and Eritrea from c. AD 650 to 1855
  - 1. The decline of the Christian Aksum empire (c. 600-c. 976): cordial relations with Islāmic states to the 8th century, conflicts with neighbouring peoples in the 9th century
  - 2. The Zagwe dynasty (c. 12th-13th century), the Solomonid restoration (1270), the influence of the Coptic Church on culture and religion
  - 3. Contacts with the Portuguese (1520-c. 1632), Muslim invasion under Ahmad Grāñ (1531-43) and establishment of Turkish garrisons in the 16th century, brief conciliation with the Roman Catholic Church (early 17th century)
  - 4. Gonder Ethiopia (1632-1855): alliance with Egyptian Coptic Church, friendly relations with Muslims, rivalry between the Oromo and Tigrayans

### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the Nilotic Sudan and Ethiopia from c. AD 550 to c. 1885

Africa Eastern Africa Sudan, The

MICROPAEDIA: Selected entries of reference information

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Aḥmad Grāñ
Amda Tseyon
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Gordon, Charles George

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Sahle Selassie Yohannes IV

# Section 943. East Africa and Madagascar to c. 1885

- A. The geography and ethnography of East Africa and Madagascar: definition of the region, the archaeological and documentary historical sources and historiographic problems, the character and achievements of civilizations in East Africa
- B. East Africa to c. 1856 and Madagascar to c. 1810
  - 1. The development of the coastal regions and of Madagascar and other offshore islands
    - a. Medieval commercial contacts of Azania with Arabia, India, and the Mediterranean: the development of coastal trading cities
    - b. The Shirazi dynasty (c. late 12th-15th century): the spread of Islām and growth of towns
    - c. The Portuguese invasions and occupation from 1502: gradual expulsion of the Portuguese (1631–98), the Omani influence (c. 1700–1856)
    - d. Madagascar from c. AD 1000 to 1810: early Indonesian settlement, later Muslim and African influx, kingdoms of Sakalava and Merina (1500–1810)
  - 2. The peoples and states of the East African interior to c. 1800
    - a. The Stone Age origins of the East African interior peoples in the Rift Valley (now Kenya, Tanzania, and Uganda)
    - b. The Iron Age settlements in the Rift Valley, the Bantu migrations and the Chwezi peoples
    - c. The Somali and Galla invasions (c. 10th-15th century), migrations of Nilotic and Kushitic peoples (c. 16th-18th century)
- C. East Africa from 1856 to c. 1900 and Madagascar from 1810 to 1896
  - 1. Internal developments in East Africa
    - a. Development of political institutions and military kingships as defenses against Ngoni raids and Masai raiders: expansion of Rwanda and Buganda
    - b. The rise of Zanzibar as the leading East African coastal power: the slave trade
    - c. European exploratory and missionary activities
  - 2. Formation of the Kingdom of Madagascar (1810-61), English and French influences in the late 19th century

### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with East Africa and Madagascar to c. 1885

Africa Eastern Africa

MICROPAEDIA: Selected entries of reference information

### General subjects

Boina	Bunyoro	Merina	Somaliland
Buganda	Menabé	Sakalava	Zanzibar
Biographies			
Barghash	Livingstone, David	Mutesa I	Zwangendaba
Kirk, Sir John	Mirambo	Sa'īd ibn Sulțān	

# Section 944. Central Africa to c. 1885

- A. The geography and ethnography of Central Africa, definition of the region, the archaeological and documentary historical sources and historiographic problems, the character and achievements of civilizations in Central Africa
- B. Central Africa to c. 1885
  - 1. The origins of Central African cultures in the Stone Age, emergence and expansion of the Bantu-speaking peoples
  - 2. The development of the Bantu states from c. AD 1400: the Kongo kingdom, the Luba and Lunda kingdoms, the Mongo people
  - 3. Development of Portuguese hegemony over Central Africa from the 1470s: trade and missionary activity, military support of the Kongo kingdom, control of the slave trade, influence on Central African unity

### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with Central Africa to c. 1885

Africa Central Africa

MICROPAEDIA: Selected entries of reference information

### General subjects

Anziku, Kingdom of Association Internationale Africaine Bagirmi, Kingdom of	Berlin West Africa Conference Congo Free State Kakongo Kazembe	Kongo kingdom Kuba Loango, Kingdom of	Luba-Lunda states Lunda empire Ngoy Wadai
Biographies			
Afonso I Brazza, Pierre- Paul-François- Camille Savorgnan de	Livingstone, David Msiri Stanley, Sir Henry Morton Tippu Tib		

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# Section 945. Southern Africa to c. 1885

- A. The geography and ethnography of southern Africa: definition of the region, the archaeological and documentary historical sources and historiographic problems, the character and achievements of civilizations in southern Africa
- B. Southern Africa before c. 1500
  - 1. Origins of mankind and development of culture in the Stone Age and Iron Age, the migrations of Bantu-speaking peoples in southern Africa from c. AD 200-400
  - 2. The southeast coast trade in the Late Iron Age and interior trade routes to Mapungubwe (northeastern South Africa), Great Zimbabwe (southeastern Zimbabwe), and Ingombe Ilede (Zambia)
- C. Southern Africa from c. 1500 to c. 1885
  - 1. Portuguese expansion (1530s) into the Zambezi valley and defeat of the Mwene Mutapa's empire in 1629: Portuguese defeats (1690s) by the Rozwi empire
  - 2. The Portuguese in west central Africa: conquests over the Kongo kingdom (1665) and the Ndongo kingdom (1671), control of the slave trade
  - 3. The Dutch settlement at the Cape of Good Hope from 1652: expansion toward the Orange River and subjugation of the Khoisans

- 4. Early 19th-century African migrations and rise of the Zulu Empire (1816) under Shaka, later black migrations into Rhodesia
- 5. The slave and ivory trade north of the Zambezi in the 19th century: decline of the slave trade in some areas and increased commerce in ivory, Yao migration into present-day Malawi, influence of missionaries
- 6. The Cape eastern frontier: Boer and Xhosa resistance to the British, the Boer Great Trek (1835-54) into the interior, continued friction with the British
  - a. Relations between Boers and the black population in the Transvaal
  - b. Establishment of the Orange Free State, the British colonies of Natal and Cape Colony
- 7. The era of mineral discoveries and confederation: diamonds and gold, Transvaal-Pedi and Zulu wars
- Portuguese loss of control in Angola and Mozambique in the mid-19th century, Portuguese reemergence of control in the early 20th century, German annexation of South West Africa (1884)

MACROPAEDIA: Major articles dealing with Southern Africa to c. 1885

Africa Southern Africa

MICROPAEDIA: Selected entries of reference information

### General subjects

Afrikaner Bond	Gun War	Maravi	Rozwi
Blood River,	Imbangala	Confederacy	Sand River and
Battle of	Isandhlwana and	Matamba	Bloemfontein
Cape Frontier	Rorke's Drift,	Mfecane	conventions
Wars	Battles of	Mozambique	uitlanders
Gaza	Kaffraria	Conventions	Zimbabwe
Great Trek	Kasanje	Mwene Matapa	Zulu War
Griqua	Lunda empire	Ndongo	Zululand
Biographies			
Brand, Sir	Gungunhana	Mswati	Rhodes, Cecil
Johannes	Joubert, Petrus	Mzilikazi	Robinson, Sir
Henricus	Jacobus	Philip, John	Hercules
Burgers, Thomas	Kruger, Paul	Potgieter, Hendrik	Sebetwane
François	Livingstone, David	Pretorius, Andries	Shaka
Cetshwayo	Lobengula	Pretorius,	Shepstone, Sir
D'Urban, Sir	Mackenzie, John	Marthinus Wessel	Theophilus
Benjamin	Mshweshwe	Retief, Piet	Sobhuza I

# Division V. Peoples and Civilizations of Pre-Columbian America

[For Part Nine headnote see page 343.]

The subject in Section 951 is Andean civilization to c. 1540. The outline begins with the character and achievements of Andean civilization, with the ethnography and geography of the Andean region, and with archaeological and documentary historical sources. It goes on to the history of the pre-Inca cultures and states in the Andean region. It then deals with the empire of the Incas to the time of the Spanish conquest (1532–40).

The subject in Section 952 is Meso-American civilization to c. 1540. The outline begins with the geography and ethnography of Meso-America and with the character and achievements of Meso-American civilization. It goes on to the history of Meso-American civilizations until their conquest and destruction by the Spanish.

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# Section 951. Andean Civilization to c. AD 1540

- A. The character and achievements of Andean civilization, the geography and ethnography of the Andean region, archaeological and documentary historical sources, historiographic problems
- B. Pre-Inca cultures and states
  - 1. Late Preceramic (c. 3500-c. 1800 BC) cultures: development of agriculture
  - 2. Initial (c. 1800-c. 1000 вс) and Early Horizon, or Chavin and Paraeas (c. 1000-c. 200 вс), cultures in Peru: development of textiles, pottery, and ceremonial architecture
  - 3. Early Intermediate (Florescent, or Classic) Period (c. 200 BC-c. AD 600): metallurgy, pottery, and textile production in the Nazca and Moche cultures
  - 4. Middle Horizon Period (c. AD 600-c. 1000): the Huari and Tiahuanaco cultures, urban settlements, cultural decline after c. AD 800
  - 5. Late Intermediate Period (c. AD 1000-c. 1400): pottery and the introduction of bronze, the Chimu Empire (c. 1300-c. 1460) located at Chan Chan, spread of urban settlements
- C. The empire of the Incas (c. 1400-c. 1540)
  - 1. The origins and development of the Inca Empire
    - a. The autochthonic mythical origins of the Inca dynasty, establishment of the Cuzco Valley settlement (c. 1400)
    - b. The reigns of Capac Yupanqui, Inea Roca, Yahuar Huacac, and Viracocha Inca: Inca expansion into the Urubamba Valley and Titicaca Basin, the Chancas invasion (1438)
    - c. Inca victory over the Chancas (1438). Incan civil war between Cuzco and Calca factions, Cuzco victory and reign of Pachacuti Inca Yupanqui (1438–c. 1471), renewed battles with Chancas (c. 1445). further conquest of Titicaca Basin region, victory over Chimú Empire
    - d. Inca conquests during the reign of Topa Inca Yupanqui (c. 1471-c. 1493): annexation of highland Bolivia, northern Chile, northwestern Argentina, and southern Peru
    - e. Reign of Huayna Capac (c. 1493-c. 1525): conquest of northeastern Peru and northern Ecuador. Atahuallpa's victory (1532) over Huascar in civil war
    - f. The Spanish conquest of the Incas (1532–40): Pizarro's execution of Atahuallpa and support of Topa Huallpa (1533), later support of Manco Inca (1533–35); Manco's rebellion and defeat (1536), Spanish consolidation of power
  - 2. Incan government, society, and culture
    - a. Divine monarchy and the royal corporations, administrative hierarchy, taxation, the census and the quipu system of numerical records
    - b. The settlement of people loyal to the lncas in newly conquered territories, the spy system, religious practices, military policy and organization, technology, agriculture, transportation system, calendar, oral narratives

MACROPAEDIA: Major articles dealing with Andean civilization to c. AD 1540

Argentina		Latin America, The	Latin America, The History of	
Bolivia		Lima		
Chile		Peru		
Colombia		Pre-Columbian Civi	Pre-Columbian Civilizations	
Ecuador				
MICROPAEDIA: Selected ent	ries of reference inform	mation		
General subjects				
Andean civilization	Chavin	Inca	Pachacamac	
Araucanian	Chimú	Machu Picchu	Paracas	
Atacama	El Paraíso	Moche	Quechua	
Chan Chan	Huari	Nazca	Tiahuanaco	
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Almagro, Diego de	Huascar	Pizarro, Gonzalo		

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### Section 952. Meso-American Civilization to c. AD 1540

Atahualpa

- A. The character and achievements of Meso-American civilization, the geography and ethnography of Meso-America, archaeological and documentary historical sources, historiographic problems
- B. Meso-America in the Pre-Classic and Classic periods
  - 1. The development of Meso-American civilization in the Pre-Classic periods

Pizarro, Francisco

- a. Late Pleistocene and Early Hunter (c. 21,000-c. 6500 BC) peoples of Meso-America, development of agriculture (c. 6500-c. 1500 BC)
- b. Early Formative Period (c. 1500-c. 900 BC): the Ocós and Caudros settlements, the Olmec civilization at San Lorenzo (c. 1150-c. 900 BC) and development of its stone monuments
- c. Middle Formative Period (c. 900-c. 300 BC): the Olmecs at La Venta (c. 800-c. 400 BC); ceremonial architecture, pottery, and writing system; colonization and trade; pre-Maya villages in Guatemala
- d. Late Formative Period (c. 300 BC-c. AD 100): regionalism and cultural integration, the Cuicuilco-Tilcomán cultures in the Valley of Mexico, the Zapotecs of Oaxaca, the Izapan civilization, Mayas of the Chicanel in northern Petén
- 2. Maya and non-Maya Meso-America in the Classic Period (c. AD 100-c. 900)
  - a. Early Classic Period (c. AD 100-c. 600)
    - i. Teotihuacán cultural and urban development, ceremonial architecture and pottery, the Zapotecs at Monte Albán
    - ii. The Cotzumalhuapo culture in the Maya highlands, Tzakol and Tepeu cultures in lowland Maya civilization (c. AD 300-c. 900)
  - b. Late Classic non-Maya Meso-America (c. AD 600-c. 900): decline of Teotihuacán political and cultural influence, rise of Xochicalco culture, the Mixtecs of northern Oaxaca
  - c. Late Classic lowland Maya culture (c. AD 600-c. 900)
    - i. Urban settlements, temple-pyramids and palaces, Maya art, the calendar and writing system
    - ii. Maya religion, social and political life, the collapse of the Maya civilization (c. AD 900)
- C. Post-Classic Period in the Valley of Mexico and the Yucatán Peninsula (c. 900-c. 1519)
  - The rise and decline of the Toltec state in southern Mexico and the Yucatán Peninsula (c. 900c. 1200): secular and religious institutions, art and architecture, the legend of Quetzalcóatl, Toltec-Maya culture of Tollan (Tula) and Chichén-Itzá

- 2. The development of the Aztec state and extension of Aztec rule over the Valley of Mexico (c. 1325-1519): military campaigns of Itzcoatl, Montezuma I, and Ahuitzotl; administrative techniques under Montezuma II (1502-20)
- 3. Aztec culture and society up to the time of the Spanish conquest; *e.g.*, agriculture and technology, political organization, governmental structure, militarism, economy, religion, art and architecture
- D. The Spanish conquest of the Aztec state and the Yucatán Peninsula (1519-c. 1540): destruction of Aztec government and culture, imposition of Spanish colonial policies and religion

MACROPAEDIA: Major articles dealing with Meso-American civilization to c. AD 1540

Latin America, The History of Mexico Mexico City Pre-Columbian Civilizations

MICROPAEDIA: Selected entries of reference information

# General subjects

cultural centres:	Palenque	peoples:	Yucatec Maya
Chapultepec	Teotihuacán	Chichimec	Zapotec
Chichén Itzá	Texcoco	Chol	other:
Dos Pilas	Tikal	Lacandón	Aztec calendar
Kaminaljuyú	Tula	Maya	Mayan calendar
Mayapán	Uxmal	Olmec	Quetzalcóatl
Mitla	Xochicalco	Toltec	
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Biographies			
Alvarado, Pedro de	Cuauhtémoc		
Cortés, Hernán,	Griljalba, Juan		

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Marina

Montezuma II

# Division VI. The Modern World to 1920

[For Part Nine headnote see page 343.]

marqués del Valle

de Oaxaca

The theme of western expansion, imperialism, and colonialism pervades Division VI. The separation of the history of the modern world (c. 1500–c. 1920) into eleven sections reflects conventional regional analyses of modern history, and, within each of those sections, conventional judgments regarding turning-point dates of the regional histories.

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  - 1. The later Renaissance in Italy and northern Europe
    - a. The influence of Italian statecraft and political theory: Machiavelli and the principle of *raison d'état*
    - b. Cultural and intellectual life in the later Renaissance
  - 2. The Scientific Revolution: the emergence of modern science and technology in the 16th and 17th centuries
  - 3. The emergence of a religiously divided Europe in the 16th century
    - a. The Protestant Reformation and its political and social consequences
    - b. The Catholic Reformation and Counter-Reformation
  - 4. International diplomacy and warfare (1494-1648)
    - a. The Italian Wars (1494–1516) and the concept of balance of power: French and Austro-Spanish expansionism in Italy
    - b. French and Austrian struggles for supremacy in Europe (1515-59): French anti-Habsburg alliances with England, German Lutheran princes, and the Turks
    - c. Conflicts between Catholic and Protestant powers after c. 1555: religious wars in France and the Low Countries, conflict with the Ottoman Empire
    - d. The Thirty Years' War (1618-48) and the Peace of Westphalia: the end of religious struggles and resecularization of international affairs
  - 5. National and dynastic states (c. 1500-1648)
    - a. Italy in the 16th and 17th centuries: political, economic, social, and cultural developments
      - i. The French invasion (1494) and conquests of Naples (1495) and Milan (1499), the influence of Savonarola, the anti-French League of Venice and the Spanish defeat of France (1525)
      - ii. Italy under Spanish domination: Catholic religious reforms; Spanish Habsburg rule in Naples, Sicily, Sardinia, and Milan
      - iii. Relations between Spain and the independent states of Italy: Savoy, Genoa, Tuscany, Venice, and the Papal States
    - b. Spain from 1516 to 1665
      - i. Establishment of the Habsburg dynasty (1516) by Charles I (Holy Roman Emperor Charles V), Spanish hegemony in Europe and the Americas, domestic and foreign policies of Philip II (1556–98), the Armada (1588), cultural developments in Spain's Golden Age
      - ii. Political and economic decline during the reigns of Philip III (1598-1621) and Philip IV (1621-65): expulsion of the Moriscos (1609), Olivares' administration, loss of Portugal (1640)
    - c. Portugal from c. 1500 to 1640: domination of East Indian trade, union with Spain (1580), independence under House of Bragança (1640)
    - d. The British Isles (c. 1485-1649)
      - i. Henry VII (1485–1509): dynastic unity in England after the Wars of the Roses; political, judicial, social, and economic developments
      - ii. Henry VIII (1509–47): foreign and domestic policies; the divorce question, the English Reformation, and the establishment of the Church of England; Edward VI (1547–53) and Mary I (1553–58)
      - iii. Elizabeth I (1558-1603): social and cultural developments; domestic policies; dynastic challenge of Mary, Queen of Scots; struggle with Spain
      - iv. James I (1603–25) of England (James VI of Scotland) and establishment of the Stuart dynasty: developments in religious doctrine, foreign relations, economic policy, and the arts; conflicts between crown and Parliament

- v. Charles I (1625–49) and the English Civil War (1642–51): economic and political disputes between crown and Parliament; royal personal rule (1629–40); persecution of Puritans; the Long Parliament, Oliver Cromwell, and the Civil War; execution of Charles I (1649)
- vi. Scotland in the 16th and 17th centuries: reigns of James IV and James V; Mary, Queen of Scots (1542–67), and the Scottish Reformation; John Knox and Calvinism; James VI (1567–1625) of Scotland (James I of England, 1603–25) and personal union of the two crowns
- vii. Ireland in the 16th and 17th centuries: subjugation of Ireland by Henry VIII and Elizabeth I, the Irish revolt of 1641, Cromwell's invasion and anti-Catholic policies during the Commonwealth
- e. France from 1483 to 1643
  - i. Development of a standing army and a professional bureaucracy in the reigns of Charles VIII (1483–98), Louis XII (1498–1515), Francis I (1515–47), and Henry II (1547–59)
  - ii. The Protestant Reformation and the French Wars of Religion (1562–98): the reigns of Catherine de Médicis (1560–74) and Henry III (1574–89), religious compromise and restoration of strong monarchy under Henry IV of Bourbon (1589–1610)
  - iii. The reign of Louis XIII (1610-43) and Cardinal de Richelieu: suppression of the Huguenots and the nobles, French success in the Thirty Years' War
- f. Germany and the Holy Roman Empire from c. 1500 to 1648: the Reformation, Counter-Reformation, and Thirty Years' War
  - i. Maximilian I (1493–1519), Martin Luther, and the origins of Lutheranism; Charles V and the Diet and Edict of Worms (1521); the Peasants' Revolt (1524–25); diets of Speyer (1526 and 1529) and Augsburg (1530); the Schmalkaldic League and ensuing wars; abdication of Charles V (1555) and Peace of Augsburg
  - ii. Ferdinand I (1556-64) and Maximilian II (1564-76), internal disunity under their successors, the Thirty Years' War (1618-48), political and religious settlements of the Peace of Westphalia (1648)
- g. The Swiss Confederation from 1474 to 1648
  - i. Swiss victory over Charles the Bold in the Burgundian War (1474–77), military prestige of the confederation, victory over Maximilian I (1499), the Italian campaigns (1499–1516)
  - ii. The Swiss Reformation: Zwingli and Calvin, the Counter-Reformation and emergence of Catholic and Protestant cantons, neutrality in the Thirty Years' War (1618–48), European recognition by the Peace of Westphalia (1648)
- h. The Low Countries from 1494 to 1648
  - i. Habsburg unification of the Low Countries (1494); further consolidation under the future emperor Charles V (1506–55); economic, cultural, and religious developments; revolt of the provinces (1567–79)
  - ii. Establishment of the United Provinces of the Netherlands (the Dutch Republic) in 1579: leadership of the House of Orange, continued war against Spanish Habsburg power
  - iii. Commercial supremacy of the Dutch Republic, intermittent wars with Spain (1621-48)
  - iv. Cultural, social, religious, and economic developments in the Dutch Republic
- i. Scandinavia from 1523 to 1648: separation of Sweden from Denmark-Norway (1523) and Dano-Swedish conflicts; Christian III of Denmark (1534–59), Gustav I Vasa (1523–60), and Gustavus II Adolphus (1611–32) of Sweden; participation in Thirty Years' War (1618–48)
- B. European overseas expansion and commercial development from c. 1400 to 1763
  - 1. The beginning of European imperialism: rapid expansion of European trade with and control over the non-European world after c. 1450
    - a. Advances in geographical knowledge and technological improvements; *e.g.*, ship design, navigational instruments, cartography
    - b. Voyages of discovery and exploration: establishment of colonial empires
      - i. Discovery, exploration, and early settlement of the Americas

- ii. Discovery, exploration, and early settlement of the coastal regions of Africa, Australia, India, and the East Indies
- 2. The decline of the feudal system and growth of commercial activity
  - a. The changing relationship between tenant and landlord, agricultural developments, evolving role of the guilds, decline of Hauseatic League, demographic movements
  - b. The decline in Mediterranean trade and growth of Antwerp and Amsterdam as international trade centres, the growth of a landed merchant class
- 3. Technological advances and pre-Industrial Revolution manufacturing systems: development of "putting-out" system and decline of guild power
- 4. The impact of colonial expansion on Europe
  - a. Economic effects
    - i. Development of new business organizations to direct colonial exploitation: joint-stock and chartered companies
    - ii. The "price revolution": the relationship between the influx of precious metals from the Americas to Europe and the price rise in the 16th century
    - iii. Growth of mercantilism: theories and policies of economic nationalism developed by European powers, the concept of the balance of trade
    - iv. Increase in volume of world trade: the growth of the luxury trade (silks, spices, precious metals), the agricultural trade (tobacco, sugar, and coffee), the raw materials trade
    - v. Development and importance of the slave trade
  - b. Political effects: the relationship between Spain's status as an international power and its colonial possessions in the 16th century, colonial rivalries among European powers in the 17th and 18th centuries
- C. France and Great Britain as the dominant powers in Europe, the emergence of Prussia and Austria as European powers
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    - b. Development of the alliance system: the balance of power
      - i. The Quadruple Alliance: the emergence of Prussia and Austria as European powers, the War of the Austrian Succession (1740–48)
      - ii. The Seven Years' War (1756–63) and France's defeat and loss of colonial territory in the Americas
      - iii. French recovery and Franco-Spanish cooperation (1778–81) against Britain in the U.S. War of Independence, Russian-Prussian partition of Poland (1772)
  - 2. The European states (c. 1648-c. 1790)
    - a. Great Britain from 1649 to c. 1790
      - i. Oliver Cromwell, the Commonwealth, and the Protectorate (1649–60); the Stuart Restoration (1660) under Charles II (1660–85) and James II (1685–88); the Glorious Revolution of 1688 and end of crown rule without Parliament
      - ii. Limited monarchy under William III (1689–1702) and Mary II (1689–94) and Anne (1702–14); growth of Whig and Tory political parties (1689–1714); the Hanoverian succession and emergence of the cabinet system under George I and George II; Whig supremacy and political stability to 1760; ministries of Walpole, Pelham, and Pitt
      - iii. Early years of George III's reign (1760–1820) to c. 1790: eelipse of Whig power and political instability (1760–70), failure of colonial policies and U.S. War of Independence, beginning of parliamentary and reform movements
      - iv. Economic, cultural, and social developments: agricultural innovations, population growth, origins of the Industrial Revolution and factory system, influence of Methodism
      - v. Formal union of England and Scotland (1707), Edinburgh's status as an intellectual centre, Protestant Ascendancy in Ireland and growth of Irish patriotism among the Anglo-Irish, Wales in the 18th century
    - b. France from c. 1650 to c. 1790

- i. The Fronde, Louis XIV's minority (1643-61), and Mazarin's control of government to 1661
- ii. The Age of Louis XIV (1661-1715): development of the central government, the Versailles court, military policies, mercantilist policies of Colbert
- iii. Louis's religious and political policies: revocation (1685) of the Edict of Nantes and the Huguenot emigration, political influence of Jansenism, royal absolutism
- iv. French cultural development in the 17th century
- v. The *ancien régime* (1715–89): the close relationship between society and the state, the new urban class, the decline of the monarchy under Louis XV (1715–74) and Louis XVI (1774–92), power of the *parlements*, agricultural and industrial growth, domestic and colonial trade
- vi. The reform movement: the influences of nationalism and individualism; attacks on political, social, and economic policies of the *ancien régime;* conflict between the nobility and bourgeoisie; the financial crisis and attempts at reform by Necker and Turgot; the States General and the beginning of the Revolution (1789)
- c. The lands ruled by the Austrian Habsburgs (1648-1790)
  - i. Austrian consolidation and expansion under Leopold I (1658–1705), Joseph I (1705– 11), and Charles VI (1711–40): conquest of Hungary and penetration of the Balkans in the Austro-Turkish wars (1683–99 and 1716–18), War of the Spanish Succession (1701–14) and acquisition of the Spanish Netherlands (1713)
  - ii. The Pragmatic Sanction and the accession of Maria Theresa (1740); War of the Austrian Succession (1740-48) and loss of Silesia to Prussia (1741); military, administrative, and educational reforms of Maria Theresa; acquisition of Polish Galicia (1772); failure of Joseph II's (1765-90) foreign policies and his enlightened domestic reforms (1780-90)
- d. Germany and the rise of Prussia (c. 1640-c. 1790)
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  - ii. Frederick II the Great (1740-86): War of the Austrian Succession (1740-48), Seven Years' War (1756-63), partitions of Poland (1772-95), development of Idealism (Kant), enlightened reform and benevolent despotism
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#### Suggested reading in the Encyclopædia Britannica:

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Afghanistan	Eastern Africa	North Africa	Transcaucasia
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Arabia	Iran	Southern Africa	Ancient Anatolia
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Arabian peninsula:	Egypt and the Nilotic	Wafd	Ottoman Empire:
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Pleven, Siege of Rumelia Saint-Jean-de-Maurienne. Agreement of San Stefano, Treaty of Serbo-Turkish War Sèvres, Treaty of Straits Ouestion Tanzimat Young Ottomans Young Turks sub-Saharan Africa: Adowa, Battle of Afrikaner Bond Belgian Congo Berlin West Africa Conference

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uitlander Vereeniging, Peace of Wadai Zanzibar Treaty other: Durand Line King-Crane Commission Mizraḥi Zionism

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The first of the eight sections in Division VII, reflecting the increasing internationalization since 1920, broadly treats major developments in contemporary world history. The remaining seven sections deal separately with the histories, since 1920, of the several regions of the world.

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Fiume question	(1932)	San Remo,	Organization of
German-Soviet	Little Entente	Conference of	Antarctic Treaty
Nonaggression	Locarno, Pact of	Spanish Civil War	Arab-Israeli wars
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Great Depression	Conference	Vilnius dispute	Bandung
Italo-Ethiopian	Maginot Line	Washington	Conference
War	mandate	Conference	Berlin blockade

Young Plan

and airlift

Central Treaty Organization CERN Cold War Colombo Plan Cuban Missile Crisis Economic Cooperation and Development, Organisation for Eisenhower Doctrine Eurocommunism Europe, Council of European Coal and Steel Community European Community European Court of Justice European Defense Community European Free Trade Association European Parliament European Union General Agreement on Tariffs and Trade Geneva Accords Helsinki Accords Indochina wars International Bank for Economic Cooperation International Finance Corporation **Biographies** Adenauer, Konrad Ben-Gurion, David Brezhnev, Leonid Ilich Chamberlain. Neville Chou En-lai Churchill, Sir Winston Dulles, John Foster

International Investment Bank International Monetary Fund iron curtain Korean War Maoism Marshall Plan Mutual Economic Assistance. Council for North Atlantic Treaty Organization Nuclear Test-Ban Treaty Nürnberg Trials **Outer Space Treaty** Palestine Liberation Organization Persian Gulf War Petroleum Exporting Countries, Organization of San Francisco Conference Security and Cooperation in Europe, Conference on Southeast Asia Treaty Organization Southern African Development Coordination Conference

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Asia	Japan	Nanking	Tientsin
Canton	Korea	Peking	Tokyo-Yokohama
China	Mao Zedong	Shanghai	Metropolitan
Hong Kong	Mongolia	Taiwan	Area

MICROPAEDIA: Selected entries of reference information; see also Sections 96/10 and 971

#### General subjects

China. Chinese Communist Party Cultural Revolution **Eighth Route** Army Gang of Four Great Leap Forward Karakhan Manifesto **Kiangsi Soviet** Long March Maoism May Fourth Movement **Biographies** China: Chang Kuo-t'ao Chang Ping-lin Chen Boda Chen Duxiu Chiang Ching-kuo Chiang Kai-shek Deng Xiaoping Feng Yü-hsiang

Guo Moruo

Hu Han-min

Hu Yaobang

Hua Guofeng

Jiang Qing

Li Dazhao

Liu Shaoqi

Qu Qiubai

Mao Zedong

Lin Biao

Kang Sheng

Kuo T'ai-ch'i

Hu Shih

## May Thirtieth Incident Nationalist Party Open Door policy Red Guards Sian Incident Sun-Joffe Manifesto Three Principles of the People warlord Japan Clean Government Party Democratic Socialist Party Japan Communist Party

Soong, T.V. Soong Ch'ing-ling Sun Yat-sen Ts'ai Yüan-p'ei Tuan Ch'i-jui Wang Ching-wei Yüan Shih-k'ai Zhao Ziyang Zhou Enlai Zhu De Japan: Akihito Araki Sadao D'Aquino, Iva Toguri Hamaguchi Osachi Hatoyama Ichirō Hirohito Hosokawa Morihiro Ikeda Hayato

kamikaze Keidanren Liberal-Democratic Party Minseitō Rikken Seiyūkai State Shintō Twenty-one Demands zaibatsu Korea: Korean Provisional Government Korean War March First Movement Pueblo Incident

> Inukai Tsuyoshi Ishibashi Tanzan Katō Takaaki Kawakami Hajime Kishi Nobusuke Konoe Fumimaro Miki Takeo Minobe Tatsukichi Miyazawa Kiichi Nagano Osami Nosaka Sanzō **Ökawa** Shūmei **Okuma** Shigenobu Satō Eisaku Shidehara Kijūrō Taishō Tanaka Giichi Tanaka Kakuei Tōjō Hideki Ugaki Kazushige Yamagata Aritomo

Singanhoe 38th parallel Sino-Japanese relations: Lytton Commission Marco Polo Bridge Incident Mukden Incident Nanking Massacre Shantung question Sino-Japanese War (1937-45) Twenty-one Demands United Front

Yamamoto Gonnohvõe Yamamoto Isoroku Yoshida Shigeru Yoshino Sakuzō Korea: Chun Doo Hwan Kim Chong Il Kim Dae Jung Kim Il-sung Kim Young Sam Park Chung Hee Rhee, Syngman Roh Tae Woo other: Hurley, Patrick J. MacArthur, Douglas Reischauer, Edwin O. Stilwell, Joseph W.

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- b. Foreign policy: Nehru's policy of nonalignment, conflicts with Pakistan over Kashmir (1947-49 and 1965-66) and over East Pakistan (Bangladesh) in 1971, border conflict with China and Chinese incursion (1962), Indian peace-keeping troops in Sri Lanka (1987-1990)
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  - b. Military government of Ayub Khan (1958-69); economic and political reforms; border conflict with India; administration of Yahya Khan (1969-71); civil war between East and West Pakistan, secession of East Pakistan (since 1971, Bangladesh); administrations of Zulfikar Ali Bhutto (1971-77), Mohammad Zia-ul-Haq (1977-88), Benazir Bhutto (1988-90, 1993-96), and Nawaz Sharif (1990-93, 1997-)
- 4. Bangladesh since 1971: emergence of nation, government of Mujibur Rahman (1972-75), adoption of presidential form of government (1975), martial-law administrations of Zia ur-Rahman (1975-81) and Hossain Mohammad Ershad (1982-90), election of Begum Khaleda Zia ur-Rahman as prime minister and return to parliamentary system (1991)
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  - a. Post-World War I escape from unequal treaties, problems of kingship and repression, the coup d'etat of 1932 and the establishment of constitutional monarchy, militaristic and pro-Japanese nationalism, the Japanese occupation during World War II
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Bangkok	Gandhi	Jakarta	Southeast Asia
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Bombay	India	Nepal	
Calcutta		Pakistan	

MICROPAEDIA: Selected entries of reference information; see also Sections 968, 969, and 971

## General subjects

Indian subcontinent:	<b>Rowlatt Acts</b>	Viet Cong	Hare-Hawes-
Delhi Pact	Servants of India	Viet Minh	Cutting Act
Donoughmore	Society	Viet Nam Quoc	Hukbalahap
Commission	Sinhala Maha	Dan Dang	Rebellion
dyarchy	Sabha	Vietnam War	Tydings-
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Non-cooperation	Indochina	Agreement	Revolution
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Biographies			
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Abdullah Sheikh	Narayan Jaya	Nguyen Van Thieu	Phibunsonekhram
Muhammad	Prakash	Norodom	Luang
Avub Khan	Nehru Jawaharlal	Sihanouk	Pridi Phanomyong
Mohammad	Nehru Motilal	Phan Boi Chau	San Sava
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SWRD	Pandit Vijava	Ratanavonosa	Saw U
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Liaquat Ali Kahn	Sasorith	Khuang	Recto, Claro Mayo
Menon, V.K.	Ngo Dinh Diem	Aphaiwong	Roxas, Manuel
Krishna	Nguyen Cao Ky	Ne Win, U	

other: Birendra Bir Bikram Shah Dev Brooke Decoux, Jean Lee Kuan Yew Linlithgow, Victor Alexander John Hope, 2nd marquess of Nūr al-Hilmī, Burhanuddin bin Muhammad Tan Cheng Lock

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  - 3. Post-World War II reorganization: economic and social effects of UN trusteeship administrations, movements toward autonomy among the indigenous peoples, independent island states, dissolution of Trust Territory of the Pacific Islands (1986)
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  - 2. Political and economic developments from 1945: Labor government of Chifley (1945-49), growing prosperity and educational development under Liberal-Country coalition of Menzies (1949-66), Liberal-Country rule under Gorton (1968-71) and McMahon (1971-72), expansion of social services and development of Aboriginal and women's programs under Labor government of Whitlam (1972-75), constitutional crisis and dismissal of Whitlam (1975), Liberal-National Country coalition of Fraser (1975-83), return of Labor government under Hawke (1983-91) and Keating (1991-96), coalition under Howard (from 1996), deregulation of the economy and privatization of state-owned enterprises, Aboriginal land-claims legislation (1993)
  - 3. International relations from 1945: participation in regional security alliances and military involvement in the Korean and Vietnam wars, recognition of China (1972) and North Vietnam (1973), independence of Papua New Guinea (1975), strengthening of economic and political ties to Asian and Pacific nations, opposition to French nuclear testing in the South Pacific
  - 4. Social developments from 1945: growing urban affluence, Aboriginal-rights issues, demographic and cultural changes resulting from large-scale European and Asian immigration, feminism and the women's movement, the environmental movement
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  - 2. New Zealand since 1945: National and Labour governments, increased participation in Pacific and Asian affairs, Maori nationalism, cuts in social welfare programs

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with Australia and Oceania since 1920

Australia	Pacific Islands
Melbourne	Sydney
New Zealand	United States of America: Hawaii

MICROPAEDIA: Selected entries of reference information; see also Section 967

#### General subjects

Australian Democrats Australian Labor Party New Zealand National Party

Biographies			
Australia:	Hawke, Robert	New Zealand:	Lar
Bruce, Stanley	Howard, John	Bolger, James	Mu
Melbourne Bruce,	Winston	Brendan	Na
Viscount	Keating, Paul	Forbes, George	Ng
Chifley, Joseph	Lyons, Joseph	William	Т
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  - 2. Atatürk's one-party government: secularization, social and economic reforms
  - 3. Turkey since 1938: World War II and the postwar period, the republic since 1961
    - a. Wartime neutrality until alignment (1945) with the Allies: postwar problems with the Soviet Union, political developments
    - b. Turkey under the Democrats (1950-60): economic growth and political repression, the army coup (1960)
    - c. New constitution (1961) and government under the Republican Peasants' and Justice parties, period of martial law (1971-73), military coup (1980) and return to civilian government (1983), urbanization and industrialization, economic growth, mixed relations with East and West and with the Arab world, increasing violence of Kurdish separatist guerrillas and government counterinsurgency campaigns from the early 1990s
  - 4. Cyprus since 1920: British administration to 1960, the Republic of Cyprus, political disunity over the question of union with Greece, Turkish invasion (1974) and division of island, unilateral declaration of Turkish Republic of Northern Cyprus (1983)
- B. Development of the Arab states and Israel in Southwest Asia and Egypt
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    - b. Iraq from 1918 to 1945: British occupation and mandate, independence (1932), political unrest and the role of the military, World War II and British intervention (1939-45)
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  - 3. The Arab states in the Fertile Crescent, Egypt, and Israel since 1945
    - a. Lebanon: the multireligious political system, the Khuri regime (1943-52), the presidency of Chamoun and the 1958 crisis, later regimes and the civil war of 1975-76, Syrian military intervention (1976) and subsequent occupation of central and eastern territories, Syrian predominance in Lebanese affairs, the Israeli invasion of 1982 and establishment of Israeli-occupied "security zone" (1983), renewed civil war, de facto division into spheres of influence, government of national unity (1984), continuing civil disorder, cross-border raids by Hezbollah guerrillas and Israeli forces from the early 1990s

- b. Syria: political instability in the postwar decade, temporary union with Egypt (1958-61), the secessionist regime (1961-63), the Ba'thist coup of 1963, conflicts with Israel, role in the Lebanese civil wars, relations with Palestine Liberation Organization, domestic unrest
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      - ii. Somalia as an Italian trust territory (1950), problems in British Somaliland

- iii. Crises of colonial rule in the 1950s: Mau Mau resistance in Kenya; independence movements in Uganda, Tanganyika, and Zanzibar
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  - iv. Uganda: independence (1962) and economic growth, the Obote and Amin governments, reign of terror and economic decline, deposition of Amin (1979), reinstatement (1980) and overthrow (1985) of Obote, government of Museveni from 1986, constitution of 1995
  - v. Kenya: independence (1963) and presidency of Kenyatta (1964-78), death of Kenyatta and succession of arap Moi (1978), establishment of one-party state (1982-1992)
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- 7. Central Africa after World War II: the intensification of nationalist movements and the acquisition of independence by the former European colonies
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    - ii. Central African Republic: independence (1960); regimes of Bokassa (1965-79), Dacko (1979-81), and Kolingba (1981-93); presidential election of Patassé (1993)
    - iii. Republic of the Congo: independence (1960), ethnic rivalries, domestic politics and foreign relations
    - iv. Gabon: independence (1960), subsequent domestic politics and foreign relations
    - v. Burundi and Rwanda: independence (1962), warfare between Tutsi and Hutu
  - b. Republic of Equatorial Guinea: the Spanish administration, independence (1968), repressive regime of Macías Nguema, military coup (1979)
- 8. Southern Africa since c. 1920
  - a. Southern Africa from c. 1920 to c. 1945
    - i. Political and economic developments in white-settler-controlled Union of South Africa: the Hertzog administration (1924-33) and the Hertzog-Smuts coalition (1933-39), political disunity and Allied participation in World War II
    - ii. White-settler control of Southern Rhodesia: relations with Northern Rhodesia and Nyasaland and economic, social, and political discrimination against black Africans; Portuguese rule in Angola and Mozambique
    - iii. Indian, Coloured, and black African responses to discrimination: growth of local political organizations, separatist church movements, and mass nationalist movements
  - b. Southern Africa since 1945: political developments in white-controlled colonies and nations, emergence of black nations
    - i. Republic of South Africa (formerly the Union of South Africa): Afrikaner National Party administrations from 1948, government-sanctioned apartheid, establishment of Bantu Homelands (1959), antiapartheid movements and growing international isolation, Soweto riots (1976), constitutional reforms (1983), increasing political dissent, legalization of African National Congress (1990), repeal of major apartheid laws, establishment of black majority rule with victory of African National Congress in first all-race elections (1994)

- ii. Namibia (formerly known as South West Africa): international and internal resistance to South African rule, revocation of UN mandate (1966) and international efforts toward independence, independence of Namibia (1990)
- iii. Botswana, Lesotho, and Swaziland: British administration of the High Commission Territories, independence (1966, 1968), subsequent relations with South Africa
- iv. British Central Africa: postwar economic development in Northern Rhodesia, Southern Rhodesia, and Nyasaland; the Federation of Rhodesia and Nyasaland (1953-63); rise of black nationalist movements; independence of Zambia and Malaŵi (1964), governments of Kaunda (1964-91) in Zambia and Banda (1964-94) in Malaŵi
- v. Zimbabwe (formerly Southern Rhodesia): Rhodesian Front governments of Ian Smith (1964-79), the Unilateral Declaration of Independence (UDI; 1965), civil war, establishment of Zimbabwe (1980), rule of Mugabe from 1980
- vi. Developments in the Portuguese colonies of Angola and Mozambique: economic advances, nationalist movements, and independence in the mid-1970s; civil war in Angola and intervention by South African and Cuban troops, prolonged guerrilla warfare in Angola and Mozambique in the 1980s; Mozambique-South Africa nonaggression pact (1984); short-lived peace agreements in Angola and withdrawal of Cuban troops (1991); multiparty Angolan elections (1992) and resumption of conflict; end of guerrilla war in Mozambique (1992)

MACROPAEDIA: Major articles and a biography dealing with Southwest Asia and Africa: the late colonial period and the emergence of new nations in the 20th century

Afghanistan	Cyprus	Jordan	Syria
Africa	Eastern Africa	Lebanon	Transcaucasia
Arabia	Egypt	North Africa	Turkey and
Asia	Iran	Palestine	Ancient Anatolia
Atatürk	Iraq	Southern Africa	Western Africa
Central Africa	Israel	Sudan, The	

MICROPAEDIA: Selected entries of reference information; see also Section 96/11

#### General subjects

central Africa:	Camp David	United Arab	Lausanne,
Belgian Congo	Accords	Republic	Treaty of
Moyen-Congo	Druze revolt	Wafd	Moscow,
Ruanda-Urundi	Fatah	Zionism	Treaty of
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Somaliland	Israel Labour Party	Rally	South Africa
Ethiopia and the	Jewish Agency	Destour	New Republic
Nilotic Sudan:	Likud	National Action	Party
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Italian East Africa	Brotherhood	Liberation Front	Azania
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Balfour	Stern Gang	Greco-Turkish	Party
Declaration	Suez Crisis	wars	
Ba'th Party			

South West western Africa: Africa People's Biafra Organization United Party **Biographies** central Africa: Boganda. Mustafā an-Barthélemv Bokassa, Eddine Abdel Ahmed Éboué, Félix Zaghlūl, Sa'd Kasavubu, Joseph Lumumba, Patrice and Zionism: M'ba, Léon Ahad Ha'am Mobutu Sese Seko Mutesa II Nyerere, Julius Obote, Milton Tshombe, Moise eastern Africa: Jabotinsky. Amin, Idi Vladimir Haile Selassie Meir, Golda Kenvatta, Jomo Mboya, Tom Mengistu Haile Mariam Sharon, Ariel Odinga, Oginga Iran: Khomeini, Arab: Ruhollah Mohammad Reza 'Arafāt, Yāsir Shah Pahlavi Mosaddeq. Mohammad Fahd Rafsanjani, Hashemi Faysal I Reza Shah Pahlavi Middle East—Egypt: Hawrani, Farouk I Akram al-Fu'ād I Lutfi as-Sayyid, Hussein Ahmad Māhir Pasha, 'Alī Ibn Sa'ūd Mubārak, Hosnī Khālid Nuri as-Said Naguib. Muhammad

British West Africa Nahhās Pasha, Nasser, Gamal Sādāt, Anwar el-Middle East—Israel Begin, Menachem Ben-Gurion, David Ben-Zvi. Itzhak Dayan, Moshe Herzl, Theodor Peres, Shimon Rabin, Yitzhak Shamir, Yitzhak Weizmann, Chaim Middle East—other Aflaq, Michel Assad, Hafiz al-Chamoun, Camille Chehab, Fuad Gemayel family Husavnī, Amīn al-Hussein, Saddam

French West Africa Mali Federation Togoland

Qāsim, 'Abd al-Karīm North Africa: Abbas. Ferhat Abd el-Krim Ben Bella, Ahmed Boumedienne, Houari Bourguiba, Habib Idris I Muhammad V Oaddafi. Muammar alsouthern Africa: Banda, Hastings Kamuzu Biko, Stephen Buthelezi, Mangosuthu G. de Klerk, F.W. Hertzog, J.B.M. Kaunda, Kenneth Lutuli, Albert Malan, Daniel F. Mandela, Nelson Mugabe, Robert Neto, Agostinho Nkomo, Joshua Nujoma, Sam Smith, Ian Smuts, Jan Sobhuza II Strijdom, Johannes Gerhardus Tutu, Desmond Verwoerd, Hendrik Frensch Vorster, John Welensky, Sir Roy Turkev: Atatürk, Kemal

other: EOKA

Bayar, Celâl Cakmak. Fevzi Demirel, Süleyman Ecevit, Bülent İnönü, İsmet Menderes, Adnan Özal, Turgut western Africa: Awolowo, Obafemi Azikiwe, Nnamdi Balewa, Sir Abubaker Tafawa Daddah, Moktar Ould Danquah, J.B. Doe, Samuel K. Gowon, Yakubu Guève, Lamine Houphouët-Boigny, Félix Jawara, Sir Dawda Kairaba Keita, Modibo Margai, Sir Milton Nkrumah, Kwame Ojukwu, Odumegwu Olympio, Sylvanus Rawlings, Jerry J. Senghor, Léopold Touré, Sékou Tubman, William V.S. Zinsou, Émile Derlin other: Amānollāh Khān Makarios III Zahir Shah. Mohammad

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## Introduction to Part Ten: Knowledge Become Self-conscious

by Mortimer J. Adler

The words *universe* and *encyclopaedia* have an obvious similarity of meaning. Both come from words—in the one case, Latin, in the other, Greek—that mean a totality or all-inclusive whole. Whether the universe is finite or infinite, and however it is constituted or organized, it embraces everything that is. Nothing lies outside it; everything that happens occurs within it. Can one say, with equal assurance, that the encyclopaedia is a similar totality or whole? Perhaps we cannot say that of any actual, historic encyclopaedia. But that is the ideal which all encyclopaedias attempt to embody.

It is not just the similarity of the universe and the encyclopaedia as totalities or wholes that interests us, but also how these two wholes are related to each other. One of them, the universe, embraces not only everything that is, but also everything that is knowable. The other, the encyclopaedia, sets for itself the goal of reporting everything that is and can be known about the universe. The one is mirrored or reflected in the other—the macrocosm in the microcosm.

The universe includes man—man a moving body, man a living organism, man a social animal, and man not only as a doer and seeker but also as a maker and knower of things. Among the things that man seeks to know and understand is his own knowledge—his abilities, efforts, and achievements in the sphere of knowing itself. Whether or not Aristotle was correct in saying that the highest form of intellectual activity is thinking about thinking itself, it is certainly true that "knowledge become self-conscious" is a distinctive characteristic of the human enterprise of knowing. We not only seek to know whatever can be known, but we also, reflexively, turn our knowing back upon itself when we pay attention to how we know what we know, the various ways in which we know, and the divisions or branches of our knowledge.

The organization of the encyclopaedia—the way in which the branches of knowledge have been distinguished from one another and related to one another—has changed remarkably from age to age. In antiquity, before there were any real encyclopaedias, learned men envisaged the whole of human knowledge as having a certain structure of related parts or subdivisions. The organization of knowledge in medieval encyclopaedias exhibited quite a different pattern. Later encyclopaedias introduced still other changes in the picture; and that picture has changed in important respects during the last century and is undergoing further changes today.

The new *Britannica* presents us with an outline of knowledge that is radically different in its fundamental framework and its organizational scheme from the outlines that might have been constructed for an ancient encyclopaedia—if there had been any such thing—or a medieval one. The Outline of Knowledge set forth in this *Propædia* volume is divided into ten parts, each of which is broken down into divisions and sections. Division by division, from Part One through Part Nine, the outline covers what we know about the universe with the help of such sciences as physics, chemistry, astronomy, geology, meteorology, biology, medicine, psychology, anthropology, sociology, political science, economics, and technology. It also covers what we know as a result of systematic study and scholarship in such fields as education, law, the arts, religion, and history.

The knowledge of the universe that we possess by means of the disciplines mentioned above is outlined in Parts One through Nine and expounded in the articles to which the outline refers. What about Part Ten—the part to which this essay is an introduction? Where and how does that fit into the picture?

To some extent the answer has already been given. Here in Part Ten we are concerned with "knowledge become self-conscious"—with knowledge about knowledge—with our knowing turned, reflexively, back upon itself. Here it is not the knowable universe we are considering. It is, instead, the world of knowledge itself: its diverse disciplines, modes of inquiry, fields of scholarship or systematic study—in short, as the title of Part Ten indicates, the branches of knowledge. Whereas the other nine parts of the Outline of Knowledge cover *what we know* about the knowable universe, the outline of Part Ten covers what we know about the sciences or other disciplines *whereby we know* that which we know.

The answer just given is not the whole answer to the question provoked by the special character of Part Ten. What we know about the various sciences and the diverse disciplines that comprise the world of knowledge almost always includes an account of the methods of inquiry, verification or demonstration, and argument employed by scientists or scholars in a particular field of knowledge. While interest in such matters does not exhaustively represent the concerns of logic, the science of logic does provide the underpinnings for our study of the methodology of the other learned disciplines, including history and philosophy as well as the various sciences. What we know about logic itself as a science-its history and, as it were, the philosophy of it-therefore properly belongs in the outline of Part Ten, together with an indication of the scope and content of the science itself.

For a somewhat different reason mathematics is also treated here in the same way as logic. The knowledge attained by the mathematician has extraordinarily wide and diverse applicability in other spheres of inquiry and branches of knowledge—in most, if not all, of the natural sciences and in many of the social sciences. Like logic, mathematics belongs here not only for its usefulness in other sciences, but also for its own sake as a science. We are concerned with its content as well as with its method, history, and philosophy.

In addition to logic and mathematics, two other disciplines occupy a special place in any consideration of the branches of knowledge. One is history; the other, philosophy.

History as a field of study includes more than the history of peoples, of nations, of cultures, and of social institutions. It includes the history of human learning itself, of all the branches of knowledge. It includes not only the history of the natural and social sciences, but also the history of logic, of mathematics, of philosophy, and of history itself as one of the learned disciplines. And, in addition to there being a history of the study of history (*i.e.*, historiography), there is also a logic of history (its methodology) and a philosophy of history.

Like history, philosophy is operative in the study of all the other disciplines as well as of itself. Philosophy become self-conscious is concerned with questions about the nature and scope of philosophy, about whether it has a method or methods and a subject matter or subject matters peculiarly its own. Philosophy is also concerned about its own historical development and, in that history, about its changing relationship to other disciplines, especially to religion and to the sciences. As there is a history and a philosophy of history, so there is a philosophy of philosophy and a history of philosophy—a statement which probably cannot be made about any other two disciplines in the entire range of the branches of knowledge.

In addition, as each of the other disciplines has a history, so there is a philosophy of each of the other disciplines. We have already noted that there is a philosophy of logic and of mathematics. So, too, there is a philosophy of science in general and of the different sciences in particular; and also a philosophy of education, of law, of art, and of religion.

All of this, however, does not exhaust the content of philosophy, any more than the history of all the branches of knowledge exhausts the content of history, or any more than the application of logic and mathematics to other disciplines exhausts their content as disciplines with knowledge to offer. But in the case of philosophy, as not in the case of logic and mathematics, it is sometimes questioned whether it can rightly claim to offer us knowledge of the universe as well as knowledge about knowledge itself and an understanding of the various branches of knowledge. That question, together with the question of how the knowledge that philosophers claim to have stands in relation to other forms of knowledge, constitutes what is, perhaps, the most fundamental problem dealt with by philosophers when they philosophize about philosophy itself. Whether or not the knowledge they claim to have is comparable in its validity to the knowledge achieved in other spheres of inquiry, philosophy, like science, covers a wide range of subject matters and involves a large number of distinct subdivisions, each with its own problems and controversies (e.g., metaphysics, philosophy of nature, epistemology, philosophy of mind, philosophy of man, ethics, political philosophy, and aesthetics).

Concerning the whole range of disciplines that are represented in an exhaustive inventory of the branches of knowledge, three questions stand out as the most challenging. Of these, the first two have been debated over and over again—in earlier epochs as well as in our own century, and in the context of organizations of knowledge quite different from that which prevails or is acceptable today.

One is the question about whether the various branches of knowledge can or should be arranged in a hierarchical order, in an ascending scale from lower to higher, or from less to more fundamental. In antiquity they were so arranged; as, for example, in Aristotle's ordering of the speculative sciences, beginning with physics and rising through mathematics to metaphysics as the science of first principles and ultimate causes; and in his characterization of politics as the architectonic or controlling discipline in the sphere of practical knowledge, directive of human action. So, too, in the Middle Ages, a hierarchical organization prevailed, in which theology was regarded as queen of the sciences, philosophy as its handmaiden, with all the other disciplines contributing their portions of knowledge for the greater glory of God and for the better understanding of man's destiny under Divine Providence. If, in accordance with the prevailing view today, a hierarchical order is rejected, is there any other order to replace it, and in terms of what criteria or principles can such an alternative be constructed? Is there, as the introductory essay in this volume suggests, a circle of learning instead of a hierarchy of the branches of knowledge—a circle in which no point is either a beginning or an end, and lines can be drawn from any point to any other?

The second question, to which different answers have been given at different times and to which conflicting answers are still being given today, asks about the coherence of the world of knowledge as a whole. Do all its constituent parts-its various component disciplines or branches of knowledge-adhere together harmoniously, each somehow complementing the other? Or, on the contrary, is the world of knowledge torn asunder by irremediable conflicts-by territorial disputes, by conflicting claims to sovereignty, by assertions and denials of legitimacy? Underlying whatever answers may be given to these questions, a deeper difference of opinion may exist concerning the unity of truth itself. If, for example, there is some truth in science and some truth in philosophy or in religion, must these diverse approximations of whatever truth man can possess be consistent with one another? Or, on the contrary, can there be some truth in science and some in philosophy or in religion, even though the truth of the one stands in sharp conflict to the truth of the other? Can there be, in short, a multiplicity of truths, each of which deserves that name, but each of which must be kept out of contact with the others, by being isolated in logic-tight compartments?

Unlike the two preceding questions, the third is one that has come to the forefront only recently. It concerns what many contemporary commentators regard as an unfortunate rift in the realm of knowledge—the chasm between the sciences, on the one hand, and the humanities, on the other. In the long history of the latter term, different disciplines have been grouped together on the side of the humanities and in contradistinction to the sciences. Today, the humanities group is generally thought to include language and literature, the fine arts, history, philosophy, and religion.

It is assumed that there are fundamental differences, in method or approach and in criteria of validity, between the humanistic disciplines, on the one hand, and the sciences, both natural and social, on the other. Of course there are, but they are not entirely clear. By reference to methodology or to criteria of validity, certain of the disciplines called humanistic closely resemble those called scientific. For example, mathematicians and logicians do their work by sitting still and thinking, not by undertaking experiments or by going out into the field to collect data or do research. Philosophy is like them in this respect; but mathematics and logic are usually regarded as sciences, whereas philosophy is grouped with the humanities. Furthermore, the criteria of validity thought to be applicable to philosophy do not operate as criteria for judging the excellence of literature or of other fine arts, yet all three are classified as humanities.

Supposing that some line can be clearly drawn to divide the humanities from the sciences, the problem that agitates those who contemplate the world of learning is whether it is one world or two—whether the rift or chasm that separates the sciences from the humanities involves an iron curtain that prevents communication between them. It is not within the purpose or the province of this essay to provide an answer to that question. Nevertheless, an answer would appear to be suggested by the conception of the encyclopaedia as a totality, as an organized whole. That conception would seem to favour the view that, in the circle of learning, there are no impenetrable barriers to communication or unbridgeable breaks in continuity. Underlying it is the faith that the whole world of knowledge is a single universe of discourse.
# Part Ten. The Branches of Knowledge

Several points should be noted about the relations of this part to the preceding parts. The results of investigations in the natural and social sciences, and in medicine and technology—their content or knowledge—are set forth in Parts One through Five, and in Part Seven. Accordingly, the outlines in the seven sections of Division III in this part are confined to questions about the history of these disciplines, and about their nature, scope, structure, methods, and principal problems or tasks. Direct historical accounts of the peoples and civilizations of the world are set forth in Part Nine, whereas Section 10/41 in Division IV of this part is confined to historical and analytical studies of the discipline of history itself, treating the history of historical writing, the methods of modern historical investigation and research, speculative philosophies of history, and philosophical analyses of the specific character of historical knowledge.

The case is different with Divisions I, II, and V—on logic, mathematics, and philosophy. The results of these disciplines have not been dealt with in previous parts. In the history of each of these disciplines, substantive developments have persistently involved, and issued from, positions taken not only *within them*, but also from positions taken *about them*. Accordingly, the outlines in the sections of Divisions I, II, and V treat the substantive results of logical, mathematical, and philosophical inquiry, on the one hand, and the historical and analytical studies of the nature, scope, branches, methods, and principal problems of logic, mathematics, and philosophy, on the other.

In Part Six on the arts and Part Eight on religion, the outlines include historical and analytic studies of knowledge and inquiry concerning the arts and religion. Such studies, then, are not included separately in Part Ten. They are, however, treated in Section 10/42 of this part, insofar as the study of the arts and of religion are, together with the study of language, history, and philosophy, component disciplines of the humanities—a group of disciplines traditionally distinguished from the natural and social sciences, and traditionally considered to have, taken together, a special educational and cultural role. Section 10/42 sets forth a historical review of the changing conceptions of the humanities and of humanistic scholarship, and treats issues about the definition and scope of the humanities, about their distinction from the sciences, and about their role in education and culture.

Division VI, which contains only one section, differs from other divisions in that it is not disciplinespecific; to the contrary, it deals with the institutions and techniques used to preserve knowledge, and certain objects of knowledge, in all fields.

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- II. Mathematics 483
- III. Science 492
- IV. History and the Humanities 509
- V. Philosophy 513
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# Division I. Logic

The outlines in the two sections of Division I deal with the history and philosophy of logic and with the content of the disciplines of formal logic, metalogic, and applied logic.

The outline in Section 10/11 first treats the history of logic in the West and the history of Indian and Chinese logic; and then treats differing conceptions of the field and scope of logic, problems in the philosophy of logic concerning meaning, truth, and ontology, and the place of logic among the sciences and disciplines.

Section 10/12 deals first with formal logic, treating the propositional calculus, the predicate calculus, the theory of the syllogism, modal logic, and set theory and natural-number arithmetic. It goes on to the nature and elements of metalogic, which studies the syntax and semantics of formal languages, formal systems, and logical calculi. Finally, it deals with the applications of logic in different domains of inquiry and discourse.

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10/12. Formal Logic, Metalogic, and Applied Logic 481

# Section 10/11. History and Philosophy of Logic

- A. History of logic
  - 1. Ancient logic
    - a. Precursors of ancient logic: contributions of the Sophists, Socrates, and Plato to theories of language and the axiomatic method
    - b. Aristotle and the logic of predicates: theories of the structure of language, theories of opposition and conversion, development of syllogistic and modal logic
    - c. Later developments in the logic of predicates: contributions of Theophrastus and Galen
    - d. Founding of the logic of propositions: contributions of Theophrastus and the Megarians, Stoic logic
  - 2. Medieval logic
    - a. Development of medieval logic: Arabic contributions, disputes between the "old logic" and the "new logic" after the translation of Aristotle's *Organon*, summations by William of Sherwood and Peter of Spain
    - b. Medieval theories of language and their relation to the development of logic: the theory of categorematic and syncategorematic terms, the theory of supposition
    - c. Medieval developments in formal logic: the logic of predicates, of propositions, and of modal expressions; logical fallacies and paradoxes
  - 3. Modern logic from the Renaissance to the 20th century
    - a. Logic in the Renaissance: the influence of Neoplatonism and of the rise of the natural sciences, the logics of Petrus Ramus and of Port-Royal
    - b. The rise of mathematical logic during the Enlightenment: contributions of Leibniz (*e.g.*, his general calculus of reasoning and general methodology), the search for clarity and the use of diagrams
    - c. Development of mathematical logic in the 19th century: expansions of syllogistic, Boole's algebra of logic, refinements of the calculus, the study by Frege and Cantor of the relation between logic and the foundations of mathematics
  - 4. Logic in the 20th century
    - a. The conflict of Logicism, the view that mathematics is a continuation of logic, with Intuitionism and Formalism: Russell's Logicism and the theory of types, Brouwer's Intuitionism, Hilbert's Formalism
    - b. Developments in the logic of propositions and in the logic of predicates
    - c. Metalogical studies: the study of the properties of axiomatized systems; syntax and semantics as metalogical disciplines
  - 5. Logic in the East
    - a. Indian logic: its origins in the commentaries on the scriptural texts called  $s\bar{u}tras$ , special problems in grammar and special types of inference, interest in the logical implications of the notion of negation
    - b. Chinese logic: its origins in reflections on the characteristics of controversies between the major philosophies of Confucianism, Taoism, and Moism; its neglect after the establishment of Neo-Confucianism in the 11th century AD
- B. Philosophy of logic
  - 1. The organization of logic as a discipline
    - a. The nature and varieties of logic: differing conceptions of its field and scope, varieties of logical symbolism
    - b. Features and problems of logic: concerns with logical semantics or model theory, questions of the limitations of logic, Gödel's incompleteness theorems, the question of logic and computability
  - 2. Issues and developments in the philosophy of logic
    - a. Problems in meaning and truth: logical semantics of modal concepts, logic and informativeness

North

- b. Problems of ontology: problems concerning individuation and existence
- c. Alternative logics: modal logics, intuitionistic logic
- 3. The place of logic among the sciences and disciplines

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the history and philosophy of logic

Leśniewski.

Stanislaw

Logic, The History and Kinds of Philosophies of the Branches of Knowledge

MICROPAEDIA: Selected entries of reference information

General subjects			
analogy	axiom	ekthesis	thought, laws of
analytic	De Morgan laws	fallacy	types, theory of
proposition	dialectic	induction	universal
Biographies			
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Russell, Bertrand

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# Section 10/12. Formal Logic, Metalogic, and Applied Logic

Carnap, Rudolf

Frege, Gottlob

Gödel, Kurt

- A. Formal logic
  - 1. The propositional calculus: the logic of unanalyzed sentences in combination
    - a. General features of the propositional calculus: symbols employed for propositional connectives or operators (i.e., "not," "and," "or," "if ... then," "is equivalent to"), propositional variables
    - b. Special systems of the propositional calculus
  - 2. The predicate calculus: the logic of quantified functions of terms
    - a. General features of the predicate calculus: individual variables and predicate variables, universal and existential quantifiers (i.e., "any" or "all," "some" or "one")
    - b. The lower predicate calculus: the logic of individual variables
    - c. Higher order predicate calculi: the logics of classes of variables
  - 3. Syllogistic: the theory of the syllogism
  - 4. Modal logic: the logic of necessity, possibility, and contingency; systems of and validity in modal logic
  - 5. Set theory and natural-number arithmetic
- B. Metalogic: the study of the syntax and the semantics of formal languages, formal systems, and logical calculi
  - 1. The nature and elements of metalogic
  - 2. The nature of a formal system and of a formal language
  - 3. Discoveries about formal mathematical systems: completeness and consistency, decidability and undecidability
  - 4. Discoveries about logical calculi
  - 5. Model theory: the study of the interpretations, or models, that satisfy the axioms of a given formal system

- C. Applied logic
  - 1. The critique of forms of reasoning
    - a. Theory of argumentation: the new rhetoric
    - b. Analysis of logical fallacies: material, verbal, and formal fallacies
  - 2. Epistemic logic: logic dealing with the concepts of belief, knowledge, assertion, doubt, and question
  - 3. Practical logic: logic dealing with the concepts of choosing, planning, commanding, and permitting
  - 4. Logics of physical application
  - 5. Hypothetical reasoning and counterfactual conditionals: logic involving consequents whose antecedents are known to be false

MACROPAEDIA: Major articles dealing with formal logic, metalogic, and applied logic

Logic, The History and Kinds of Rhetoric

MICROPAEDIA: Selected entries of reference information

#### General subjects

analytic proposition axiom axiomatic method categorical proposition condition connective conversion	deduction dichotomy dilemma enthymeme formal system logic metalogic modal logic modality	modus ponens and modus tollens mood predicate calculus predication propositional calculus recursive function reduction	rhetoric set theory syllogistic
Biographies			
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Isocrates	Peano, Giuseppe	Zeno of Elea	

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#### Division II. Mathematics

[For Part Ten headnote see page 479.]

The outlines in the three sections of Division II treat the history and foundations of mathematics, the branches of mathematics, and the applications of mathematics.

Section 10/21 deals first with the general history of mathematics, with the development of representative non-probabilistic areas of mathematics, and with the historical development of probabilistic areas. The treatment of the foundations of mathematics covers the axiomatic method, the genetic method, 20th-century rival formulations of the foundations of mathematics, and current investigations of the foundations of mathematics.

Section 10/22, the branches of mathematics, first treats set theory, arithmetic, elementary multivariate algebra, linear and multilinear algebra, and algebraic structures, including the subjects of homological algebra and universal algebra. It goes on to deal with Euclidean and non-Euclidean geometry, projective geometry, analytic and trigonometric geometry, differential geometry, and algebraic geometry. It then deals with the subdivisions of mathematical analysis: real analysis, complex analysis, differential equations, functional analysis, Fourier analysis, the theory of probability, and vector and tensor analysis. The outline next deals with combinatorics and combinatorial geometry, and with number theory. Finally, it treats topology: general topology, topological groups and differential topology, and algebraic topology.

Section 10/23, applications of mathematics, first treats mathematics as a calculatory science and then goes on to deal with statistics, numerical analysis, definitions and examples of automata and the development of automata theory, the mathematical theory of optimization, information theory, and the mathematical aspects of physical theories.

Section 10/21. History and Foundations of Mathematics 483

- 10/22. Branches of Mathematics 485
- 10/23. Applications of Mathematics 490

#### Section 10/21. History and Foundations of Mathematics

- A. History of mathematics
  - 1. The development of mathematics in general, through ancient, medieval, and modern times
    - a. Ancient and medieval periods
      - i. Ideas and methods originating or developing in Mesopotamia and Egypt
      - ii. Greek and Hellenistic mathematics
      - iii. The Middle Ages: Islāmic mathematics and its transmission to the West
    - b. The modern period
      - i. The 17th century: discovery of logarithms and analytic geometry, development of calculus by Newton and Leibniz
      - ii. The 18th century: advances in geometry, algebra, and analysis; contributions of the Bernoulli family, Euler, Lagrange, Laplace, and others
      - iii. The 19th and 20th centuries: development of non-Euclidean geometry by Bolyai, Lobachevsky, and others; contributions to the theories of groups, functions, and complex variables; development of algebraic geometry; influence of physical science on analysis; study of the foundations of mathematics
  - 2. Historical development of representative nonprobabilistic areas of mathematics
    - a. Numerals and numeral systems [see also 10/23.A.1.]
      - i. Simple grouping systems: ancient Egyptian, Babylonian, Greek, and Roman numerals
      - ii. Development of multiplicative, ciphered, and positional numeral systems
    - b. Introduction of symbolic notations to represent mathematical quantities, operations, and relationships
    - c. Calculatory science [see also 10/23.A.]
      - i. The history of mathematical tables, including tables of logarithms
      - ii. The evolution of analogue devices: origins of harmonic analyzers, differential analyzers, and the slide rule

- iii. The evolution of digital devices: development of computational aids from the abacus to the modern electronic digital computer [see 10/23.A.7.]
- d. Geometry
  - [see also 10/22.C.]
    - i. Egyptian, Babylonian, and Greek geometry
    - ii. The algebraic approach: development of analytic geometry
    - iii. Development of projective geometry
    - iv. Development of non-Euclidean geometry
    - v. Philosophical aspects of geometry
    - vi. Modern ideas and topics in geometry: the axiomatic method; geometrical transformations; the concept of space, differential geometry, and topology
- e. Algebra
  - [see also 10/22.B.]
    - i. Babylonian, Egyptian, and Greek contributions
    - ii. Contributions from the Orient, India, and the Islāmic world
    - iii. Medieval and modern European developments
    - iv. Evolution of the theory of algebraic equations of one variable: solutions prior to and after Galois
- 3. Historical development of probabilistic areas of mathematics [see also 10/22.D.6.]
  - a. Development of the mathematical theory of probability
    - i. The abstract calculus of probability: the common structure of theories of probability
    - ii. Alternative views of probability: the frequency theory of probability, the range theory of probability and the principle of indifference, the belief theory of probability, subjective and objective notions of probability
    - iii. Bernoulli's theorem, inverse probability, and asymptotic probabilities
  - b. Development of mathematical statistics: the history of the theory of stochastic processes, origins of control theory
- B. Foundations of mathematics
  - 1. The axiomatic method: mathematical analysis based upon a set of axioms, or unproved statements
    - a. Euclidean geometry [see also 10/22.C.1.]
    - b. Non-Euclidean geometry [see also 10/22.C.2.]
    - c. The formal axiomatic method
  - 2. The genetic method: mathematical analysis based upon the orderly construction or generation of objects with unknown properties from objects with known properties
    - a. Arithmetic and analysis [see also 10/22.B.1.]
    - b. The concept of cardinal number and the theory of sets [see also 10/22.A.2.]
  - 3. The crisis in the foundations of mathematics after 1900: reformulations in terms of the three alternative philosophical positions of Intuitionism, Logicism, and Formalism
    - a. The paradoxes
    - b. Intuitionism
    - c. Logicism, Formalism, and the metamathematical method
  - 4. Current directions in investigations of the foundations of mathematics
    - a. Intuitionistic studies of the foundations of mathematics: application of formalistic procedures to Intuitionism
    - b. Non-Intuitionistic studies of the foundations of mathematics: trends in recursion theory, proof theory, model theory, and set theory

MACROPAEDIA: Major articles and biographies dealing with the history and foundations of mathematics

Archimedes Gauss Mathematics, The Foundations of Mathematics, The History of Pascal Philosophies of the Branches of Knowledge

MICROPAEDIA: Selected entries of reference information

# General subjects

analysis Bessel function Boolean algebra derivative Dirichlet's theorem	exhaustion, method of fluxion hyperbolic geometry	logicism metatheory Riemannian geometry	Sturm-Liouville problem transitive law
Biographies			
Abel, Niels Henrik Abū al-Wafā' Apollonius of Perga Bell, Eric Temple Bernoulli, Daniel Bernoulli, Johann Birkhoff, George David Bolyai, János Boole, George Brouwer, L.E.J. Cantor, Georg Carathéodory, Constantin Cauchy, Augustin-Louis.	Cayley, Arthur Clifford, William Kingdon Dedekind, Richard Diophantus of Alexandria Euclid Eudoxos of Cnidus Euler, Leonhard Fermat, Pierre de Fourier, Joseph, Baron Frege, Gottlob Galois, Évariste Hamilton, Sir William Rowan Hero of Alexandria	Kolmogorov, A.N. Lagrange, Joseph-Louis Lebesgue, Henri-Léon Legendre, Adrien-Marie Leibniz, Gottfried Wilhelm Leonardo Pisano Liouville, Joseph Lobachevsky, Nikolay Ivanovich Maclaurin, Colin Moivre, Abraham de	Oresme, Nicholas Painlevé, Paul Picard, Émile Plücker, Julius Poincaré, Henri Poisson, Siméon-Denis Ramanujan, Srinivasa Regiomontanus Riemann, Bernhard Steiner, Jakob Sylvester, James Joseph Thales of Miletus Wallis, John
Baron	Hilbert, David	Noether, Emmy	Weierstrass, Karl

INDEX: See entries under all of the terms above

#### Section 10/22. Branches of Mathematics

- A. Set theory
  - 1. Origins of set theory and the definitions of a set and a set element, or member
  - 2. Introduction to set theory
    - a. Fundamental set concepts
    - b. Essential features of Cantorian set theory
  - 3. Axiomatic set theory: formal analyses of set theory based upon certain fundamental assumptions or undefined notions called axioms [see also 10/21.B.3.a.]
    - a. Postulates of axiomatic set theory: the Zermelo-Fraenkel axioms, the von Neumann-Bernays-Gödel axioms
    - b. Limitations of axiomatic set theory: failure of attempts to prove the consistency of axiomatic set theory, Gödel's theorem
    - c. The present status of axiomatic set theory: profound changes in axiomatic set theory as a result of recent discoveries

- B. Algebra
  - 1. Arithmetic
    - a. Fundamental definitions and laws: the concepts of natural number and integer; the binary operations of addition and multiplication; the commutative and associative laws of addition; the commutative, associative, and distributive laws of multiplication
    - b. Theory of divisors: extension of natural number concepts to non-integers, fractions resulting from the binary operation of division
    - c. Number systems and notation: use of the positional principle and the symbol zero to specify magnitude in sequences of digits; number systems having different bases—*e.g.*, binary, decimal, and sexagesimal systems [see also 10/23.A.1.]
    - Arithmetic calculation with decimals: binary operations with decimals; divisibility rules; calculation of square, cube, and higher roots
    - e. Logarithms: formal definition of logarithms, use of logarithms to reduce the operations of multiplication and division to the simpler operations of addition and subtraction [see also 10/23.A.4.b.]
  - 2. Elementary and multivariate algebra
    - a. Algebra as an extension and generalization of arithmetic
    - b. Basic algebraic properties of numbers
    - c. Polynomials and rational functions
    - d. Solution of equations: the principal problem of elementary algebra
  - 3. Linear and multilinear algebra
    - a. Linear algebra
      - i. Vector spaces
      - ii. Matrices
      - iii. Linear transformations and linear operators
      - iv. Linear functionals and their relation to linear transformations
      - v. Inner products and inner product spaces: self-conjugate, or Hermitian, matrices; unitary and orthogonal matrices
      - vi. Linear operators in an inner product space: self-adjoint, or Hermitian, operators; unitary and orthogonal operators; the spectral theorem for normal operators
    - b. Multilinear algebra
  - 4. Algebraic structures
    - a. Lattices
    - b. Groups
    - c. Fields
    - d. Rings
    - e. Categories
    - f. Homological algebra
    - g. Universal algebra
- C. Geometry
  - 1. Euclidean geometry
    - a. Geometry as an abstract doctrine: the axiomatization of the foundations of geometry; axioms of order, incidence, congruence, parallels, and continuity and results derived from them
    - b. The measure of polygons and polyhedra: the theories of equivalence and measure and their relation, Euclid's contribution and its modern extension and generalization
    - c. Transformation geometry: reflection, rotation, and translation of geometric figures; homotheties and similitudes
    - d. Geometric constructions: the equivalence between Euclidean constructions and existence theorems, gauge constructions, ruler and compass constructions, construction with compass only

- e. Geometry of more than three dimensions: the generalization of Euclidean geometry
- f. The concept of convexity and convex sets
- 2. Non-Euclidean geometry [see also 10/21.B.1.b.]
  - a. Distinction between Euclidean and non-Euclidean geometry: hyperbolic geometry and elliptic geometry
  - b. Geometric representations of the hyperbolic plane and hyperbolic space
  - c. Coordinates in spherical and elliptical space: interpretations of four-dimensional Euclidean space
  - d. Coordinates in the hyperbolic plane and hyperbolic trigonometry
  - e. Transformations: hyperbolic geometry as characterized by its group of reflections
- 3. Projective geometry
  - a. The procedure of projection as the foundation of projective geometry
  - b. Homogeneous coordinates: location of points in space
  - c. Complex geometry: introduction of complex numbers as homogeneous coordinates
  - d. Abstract geometries: extension and generalization of projective geometry to space of any number of dimensions
- 4. Analytic and trigonometric geometry
  - a. Plane analytic geometry: fundamental procedures and concepts
  - b. Trigonometry
  - c. Coordinates and transformation of coordinates
  - d. Projective and solid analytic geometry: extensions of analytic geometry to the projective plane and to three or more dimensions
  - e. Special curves: named curves that have been studied with regard to problems in mathematics or the physical sciences; *e.g.*, the folium of Descartes, the lemniscate of Bernoulli, the cardioid, the cycloid, the catenary, the brachistochrone
- 5. Combinatorial geometry [see E.1.c., below]
- 6. Differential geometry [see also F.2.e., below]
- 7. Algebraic geometry [see also F.3., below]

# D. Analysis

- 1. Real analysis
  - a. Origins and concepts of real analysis
  - b. Number systems and their properties
  - c. Functions and differential calculus
  - d. Measure and integral calculus
- 2. Complex analysis
  - a. Theory of analytic functions of one complex variable
  - b. Theory of analytic functions of several complex variables
  - c. Potential theory
- 3. Differential equations
  - a. Ordinary differential equations
  - b. Partial differential equations
  - c. Special functions that arise as solutions to differential equations; *e.g.*, the hypergeometric function, Legendre polynomials, spherical harmonics, Bessel functions
  - d. Dynamical systems on manifolds
- 4. Functional analysis

- a. General features of functional analysis
- b. Calculus of variations
- c. Generalized functions: the theory of distributions
- 5. Fourier analysis
  - a. The theory of series
  - b. Fourier series
  - c. Harmonic analysis and integral transforms
  - d. Representations of groups and algebras: Fourier analysis on non-Abelian groups
- 6. Theory of probability
  - a. Heuristic introduction to probability: the need for a mathematically precise definition of probability
  - b. Probability on finite dimensional spaces
  - c. Probability on infinite dimensional spaces
- 7. Vector and tensor analysis
  - a. Scalars, vectors, tensors, and the physical quantities that give rise to them
  - b. Vector algebra and analysis
  - c. Tensor algebra and analysis
- E. Combinatorics and number theory
  - 1. Combinatorics and combinatorial geometry
    - a. The nature and scope of combinatorics: the definition of combinatorics as the branch of mathematics concerned with arrangements, operations, and selections within a finite or a discrete system
    - b. Methods, results, and unsolved problems of combinatorial theory, exclusive of geometric considerations
    - c. Combinatorial geometry
  - 2. Number theory
    - a. Elementary number theory: properties of the whole numbers, or integers [see B.1.a., above]
    - b. Algebraic number theory: properties of algebraic numbers
    - c. Analytic number theory
    - d. Geometric number theory
    - e. Probabilistic number theory

#### F. Topology

- 1. General topology
  - a. Definition and basic concepts of topology; the subject matter and applications of topology as exemplified by certain simple topological problems and their solutions
  - b. Topological spaces: methods for constructing topological spaces; Euclidean *n*-dimensional space, Hilbert space, Cartesian-product space, and other examples of topological spaces
  - c. Topological properties
  - d. Topological problems of current interest; *e.g.*, the planar fixed-point problem, the polyhedral Schoenflies problem
- 2. Topological groups and differential topology
  - a. Interaction between analysis and topology
  - b. The theorems of Tikhonov and Ascoli: embedding of a topological space as a subspace of a compact space
  - c. Continuous groups
  - d. Analysis on manifolds: topological implications of problems in global analysis
  - e. Differential topology [see also C.6., above]

3. Algebraic topology

[see also C.7., above]

- a. The nature and scope of algebraic topology and its context within general topology, the basic concepts of topological spaces and maps
- b. Invariants: unchanging quantities that play a central role in the classification of spaces and maps
- c. Homotopy theory: homotopy classes and the concept of homotopy-equivalent spaces
- d. Homology and cohomology theory: definition of a simplex, axiomatic homology theory
- e. Homotopy groups: stability and suspension
- f. Definition and properties of fibres, fibre bundles, and fibrings
- g. Sheaf cohomology
- h. Spectral sequences: Serre, Rothenberg-Steenrod, and Eilenberg-Moore spectral sequences
- i. Further developments in homotopy theory: Eilenberg-MacLane spaces, the methods of killing homotopy groups, Serre's C-theory
- j. Generalized homology and cohomology theory: K-theory, the spectral sequence of G.W. Whitehead-Atiyah-Hirzebruch
- k. Recent advances in algebraic topology

#### Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles dealing with the branches of mathematics

Algebra	Geometry
Analysis (in Mathematics)	Number Theory
Arithmetic	Probability Theory
Combinatorics and Combinatorial	Set Theory
Geometry	Trigonometry

MICROPAEDIA: Selected entries of reference information

#### General subjects

algebra:	infinite series	geometry:	Zermelo-Fraenkel
algebra	integration	algebraic geometry	axiom
binomial theorem	Laplace's equation	analytical geometry	topology:
discriminant	Markov process	catastrophe theory	compactness
eigenvalue	mean-value	Desargues's	connectedness
exponential	theorem	theorem	Euler characteristic
function	parabolic equation	differential	four-colour map
Gauss elimination	perturbation	geometry	problem
harmonic function	probability theory	duality	homology
homotopy	real number	fractal	metric space
linear equation	singular solution	geometry	topological space
logarithm	stochastic process	hyperbolic	other:
matrix	combinatorics and	geometry	arithmetic function
quarter squares	number theory:	projection	graph
root	combinatorics	projective	graph theory
analysis:	Fermat prime	geometry	mathematics
analysis	NP-complete	Riemannian	normal
calculus	problem	geometry	distribution
differential	number theory	set theory:	number system
equation	permutations and	Boolean algebra	numeral system
differentiation	combinations	measure	pi
Dirichlet problem	Riemann zeta	set theory	trigonometry
elliptic equation	function	transfinite number	
exact equation			

# Biographies

See Section 10/21

# INDEX: See entries under all of the terms above

## Section 10/23. Applications of Mathematics

- A. Mathematics as a calculatory science
  - 1. Numerical notations
    - a. Aggregations, or units used to assist counting or grouping of objects
    - b. Ancient numerical notations
    - c. Decimal notation and modern notational developments
  - 2. Geometrical aids
    - a. Early applications of geometry
    - b. Instruments for observation and navigation
    - c. Mapping
    - d. Applications of geometry to celestial measurement
    - e. Optical instruments
    - f. Drawing instruments
  - 3. Mathematical models: physical constructions used to aid the visualization of mathematical ideas or relationships
  - 4. Calculatory aspects of algebra
    - a. Algebraic notation
    - b. Logarithms
    - c. Slide rules
  - 5. Calculation using tables and graphs
    - a. Mathematical tables
    - b. Graphs and graphical procedures
  - 6. Analogue computation [see also 735.D.]
    - a. Types of problems solvable by analogue computation
    - b. Analogue computers
  - 7. Digital computation [see also 735.D.]
    - a. Digital calculators
    - b. Punched cards
    - c. Programmed machines (digital computers)
- **B.** Statistics
  - 1. The basic principles of statistical inference: application of the concepts and techniques of probability theory to the analysis of data
    - a. The concept of a statistical experiment: mathematical description of experiments in terms of random variables
    - b. Distribution functions and their properties: the median, mean, variance, and standard deviation of a distribution; the Gaussian or normal distribution
  - 2. Estimation: techniques for approximating the parameters of families of distributions of random variables
  - 3. Hypothesis testing: techniques for determining the correctness of alternative hypotheses concerning given data and an assumed probability model
  - 4. Structure in data: use of regression analysis to discover systematic patterns
- C. Numerical analysis
  - 1. Introduction: definition, origins, and basic concepts of numerical analysis
  - 2. Errors: round-off and truncation
  - 3. Approximation of functions: linear and polynomial interpolation, least squares approximation

- 4. Solution of equations: linear, nonlinear, and differential equations
- 5. Applications and implementation of numerical analysis: optimization, large-scale scientific computation, mathematical software
- D. Automata theory [see also 712.A.6.]
  - 1. Introduction: definition and examples of automata, development of the basic concepts of automata theory, the analogy between automata and the nervous systems of living organisms
  - 2. Neural nets and automata
  - 3. Probabilistic questions: random effects in the operation of automata
  - 4. Classification of automata
- E. Mathematical theory of optimization
  - 1. The theory of games: analysis of the strategic features of conflict situations
  - 2. Linear and nonlinear programming (mathematical programming)
  - 3. Cybernetics
  - 4. Control theory
- F. Information theory [see also 735.A.]
  - 1. Origins and definitions of information theory
  - 2. Central problems of information theory
  - 3. Principles of information theory
  - 4. Applications of information theory to cryptography, linguistics, and other fields
- G. Computer science
  - 1. Synthesis and analysis of algorithms
  - 2. Theory of computation
  - 3. Computer architecture
  - 4. Programming languages and methodology
  - 5. Numeric computation
  - 6. Artificial intelligence
- H. Mathematical aspects of physical theories
  - 1. Mechanics of particles and systems [see also 126.A.]
  - 2. Fluid mechanics [see also 126.F.]
  - 3. Mechanics of solids [see also 126.D.]
  - 4. Statistical mechanics [see also 124.A.9.]
  - 5. Electromagnetic theory [see also 127.E.]
  - 6. Relativity theory: space and time as a four-dimensional continuum [see also 131.D.]
  - 7. Riemannian geometry
  - 8. Quantum mechanics [see also 111.A.4.c.]
  - 9. Dimensional analysis

MACROPAEDIA: Major articles dealing with applications of mathematics

Automata Theory Computer Science Computers Game Theory Information Processing and Information Systems Numerical Analysis Optimization, The Mathematical Theory of Statistics

# MICROPAEDIA: Selected entries of reference information

#### General subjects

computers and other	input/output	mathematical	other:
mathematical	device	programming	algorithm
devices:	integrator	optimization	artificial
abacus	microprocessor	queuing theory	intelligence
analog computer	planimeter	statistics:	automata theory
calculator	quipu	decision theory	dimensional
central processing	slide rule	distribution	analysis
unit	supercomputer	function	distortion
computer	time-sharing	freedom, degree of	eigenvalue
programming	numerical analysis:	inference	fractal
language	difference equation	mean	gamma function
computer	interpolation	normal	graph
programs	numerical analysis	distribution	graph theory
differential	optimization:	sampling	information theory
analyzer	control theory	standard deviation	mathematical
differentiator	cybernetics	statistics	model
digital computer	game theory	Student's t-test	
harmonic analyzer	linear	variance	
	programming		
Biographies			
Babbage, Charles	Pascal, Blaise	von Neumann,	Wiener, Norbert
Boole, George	Turing, Alan M.	John	,
Napier, John	<i>U</i> ,	Weyl, Herman	
-		-	

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# Division III. Science

[For Part Ten headnote see page 479.]

The results of investigations in the natural, social, and medical sciences and the achievements of technology are dealt with in Parts One through Five and in Part Seven. The outlines in the seven sections of Division III are concerned with inquiries that have viewed those sciences and technology as the objects of historical and analytical studies.

Section 10/31 deals with science taken generally. It first presents a synoptic history of Western and Eastern science. It then deals with the nature and scope of the philosophy of science, and with analyses of the empirical procedures and formal structures of science, of science's modes of discovery, and of validating concepts and theories.

Section 10/32 is on the physical sciences. The outline first deals with the historical evolution of astronomy and astrophysics, of physics, and of chemistry. Then, for each of them, it treats issues about the nature, scope, component disciplines, methods, and principal problems of the discipline.

Similarly, Section 10/33 first deals with the history of the several complementary Earth sciences, and then with studies of the nature, scope, methods, and principal problems of the geologic, hydrologic, and atmospheric sciences.

Section 10/34 is first concerned with the historical development of the biological sciences and with issues about the methodology, scope, and conceptual structure of biology as a whole. It then sets forth the work done at four levels of biological research: the molecular, cellular, organismic, and population levels. Finally, it treats issues in the philosophy of biology: issues about the nature of biological systems, issues concerning evolution and evolutionary theory, and biological issues with ethical implications.

Section 10/35 treats the history of medicine; the many specialized fields of medical practice and research; and such affiliated disciplines as dentistry, osteopathy, nursing, and pharmacy.

Section 10/36 is on the social sciences and psychology. It first deals with the general historical development of the social sciences. It then separately treats the development, nature, scope, and methods of the particular social sciences: anthropology, sociology, economics, and political science. Finally, it deals with the history, scope, and methods of psychology.

Section 10/37 treats the history of the technological sciences; the academic and professional aspects of engineering; the nature and scope of agricultural sciences; and the nature and scope of such recently developed interdisciplinary fields as bionics, systems engineering, and cybernetics.

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10/32. The Physical Sciences 495

10/33. The Earth Sciences 499

10/34. The Biological Sciences 501

10/35. Medicine and Affiliated Disciplines 503

10/36. The Social Sciences and Psychology and Linguistics 506

10/37. The Technological Sciences 508

#### Section 10/31. History and Philosophy of Science

- A. History of science
  - 1. Introduction: problems and difficulties of tracing the development of science
  - 2. Science in ancient and medieval Western civilization
    - a. Science in Greek civilization
      - i. Protoscience in Greece before the age of Pericles: empirical versus religious or mythological explanations of natural phenomena
      - ii. Development of scientific attitudes: the beginning of disciplined observation, inference, definition, and classification; the Platonic versus the Aristotelian view of nature
      - iii. Science during the Hellenistic Age: the emergence of Alexandria as the foremost centre of scientific research
    - b. Science in Rome: the contrast between Roman success in law and technology and Roman failure in science
    - c. Medieval science
  - 3. Science in other civilizations: Islāmic science; science in India, China, and Japan
  - 4. European science in the early modern period
    - a. The rebirth of science in the Renaissance
      - i. The state of science in Europe in the early 15th century
      - ii. The influence of advances in printing, mining, metallurgy, and other areas of technology: the demands placed upon science by increases in trade and exploration
      - iii. The coexistence of new scientific discoveries and old philosophical views
    - b. The revolution in natural philosophy
      - i. The radical reformulation of the objects, methods, and functions of natural knowledge: the work of Bacon, Descartes, and Galileo [see also 10/42.A.3.]
      - ii. Results of the new philosophy: establishment of scientific societies, progress in particular fields of science
    - c. Characteristics of European science
  - 5. Science in the age of modern revolutions
    - a. Science during the Industrial Revolution
    - b. Intellectual origins of revolution: the spirit of the Enlightenment
    - c. The institutional organization of science under the French Revolution
    - d. Romantic reaction and science: the proponents of Naturphilosophie
  - 6. Science in the 19th century: difference in styles of research; progress in physics, chemistry, and biology

- 7. Science in the early 20th century: the social organization and style of science, the common pattern of advance in scientific research
- 8. Contemporary problems and prospects: the moral, political, and environmental difficulties facing science
- B. Philosophy of science

[see also 10/52.B.2.]

- 1. The nature and scope of the philosophy of science and its relation to other disciplines: the diverse concerns of and methods of approach to the philosophy of science
- 2. Historical development of the philosophy of science
  - a. Classical and medieval periods: the alternative viewpoints of the Stoics and Epicureans and of the Platonists and Aristotelians
  - b. The 17th century: the debate about scientific methodology, Bacon's inductive approach and Descartes's deductive approach
  - c. The 18th century: Empiricist, Rationalist, and Kantian interpretations of Newtonian physics
  - d. From the beginning of the 19th century through World War I: the influence of Kant's belief in the unique rationality of the classical synthesis of Euclid and Newton
  - e. The 20th-century debate: responses to relativity, quantum mechanics, and other profound changes in the natural sciences; Logical Positivism versus Neo-Kantianism
- 3. Elements of the scientific enterprise
  - a. Empirical, conceptual, and formal elements and their theoretical interpretation: diverse views of the relative importance of observation, theory, and mathematical formulation
  - b. Empirical procedures of science: measurement, design of experiments, classification
  - c. The formal structures of science: the problem of constructing a purely formal analysis of scientific inference, the distinction between scientific laws and empirical generalizations
  - d. Conceptual change and the development of science: historical problems concerning the changing theoretical organization of science
- 4. Movements of scientific thought: the basic procedures of intellectual development in science
  - a. Scientific discovery: the extreme positions of formalism, which emphasizes the rational elements of scientific discovery, and of irrationalism, which emphasizes the role of intuition, guesswork, and chance
  - b. Validation and justification of new concepts and theories: the view that prediction is the crucial test of scientific validity; the view that coherence, consistency, and comprehensiveness are the essential requirements of a scientific theory
  - c. Unification of the theories and concepts of separate sciences: attempts to construct an axiomatic system for all of natural science, the reductionist problem of achieving a consistent conceptual basis for two or more sciences
- 5. The philosophical status of scientific theory
  - a. The status of scientific propositions and concepts of entities: diverse views of the epistemological status of scientific propositions and of the ontological status of scientific concepts
  - b. The relationship between philosophical analysis and scientific practice: the application of different philosophical doctrines and approaches to different sciences
- 6. The relevance of scientific knowledge to other spheres of human experience and concern: the social significance of science and of scientific attitudes, limitations on the scientific endeavour
- The relation between science and the humanities: questions of differences between scientific and humanistic methodologies [see 10/42.B.3.]

## Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles and biographies dealing with the history and philosophy of science

Franklin	Locke	Philosophies of	Science, The
Galileo	Newton	the Branches of	History of
Kelvin		Knowledge	

MICROPAEDIA: Selected entries of reference information

#### General subjects

Baconian method	
hypothetico-deductive method	
nature, law of	

# Biographies

Bacon, Roger Bruno, Giordano Buffon, Georges-Louis Leclerc, comte de scientific theory typology

Empedocles Oresme, Nicholas Poincaré, Henri

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# Section 10/32. The Physical Sciences

- A. History of the physical sciences: the evolution of astronomy, physics, and chemistry
  - 1. History of astronomy
    - a. Ancient astronomy
      - i. Time reckoning and astronomical prediction: development of lunar and solar calendars, prediction of eclipses and of first appearances of the New Moon
      - ii. Early cosmologies
      - iii. Ancient astronomical records, treatises, and star catalogs
    - b. Medieval astronomy: European and Islāmic contributions
    - c. Astronomy in the 16th and 17th centuries
      - i. The geocentric and heliocentric world systems
      - ii. The discovery of the laws of planetary motion
      - iii. The invention and use of the telescope
      - iv. The theory of universal gravitation [see 2.c., below]
    - d. Astronomy in the 18th century
      - i. Development of celestial mechanics: the calculation of orbits, the three-body problem, the dynamical stability of gravitational systems
      - ii. Improvements in telescope design and increased accuracy of measurements: the discovery of the aberration of light
      - iii. Speculations concerning the origin of the solar system, the nature of nebulae, and the structure of the universe
    - e. Astronomy in the 19th century
      - i. The discovery of Neptune and the asteroids, the search for a planet within the orbit of Mercury
      - ii. Improved determinations of stellar positions and magnitudes; the first measurements of stellar parallax; the compilation of catalogs of nebulae, stars, and star clusters
      - iii. Development of astronomical spectroscopy and the use of photography in observational work
    - f. Astronomy in the 20th century
      - i. Statistical studies of stars, nebulae, and galaxies
      - ii. Theories of stellar structure and of stellar formation and evolution
      - iii. Astronomical tests of general relativity: the gravitational red shift, the deflection of light, the precession of the perihelion of Mercury, the cosmological red shift
      - iv. Relativistic cosmologies: the big bang model; open and closed models of the universe
      - v. Major advances in solar-system astronomy: manned lunar missions; exploration of the planets and their satellites with unmanned space probes; analysis of micrometeoroids and meteorites

- vi. Development of radio, X-ray, infrared, ultraviolet, and gamma-ray astronomy
- vii. Identification of pulsars, quasars, cosmic background radiation, and possible black holes
- viii. Advances in instrumentation and methodology: e.g., use of Earth-orbiting observatories; development of electronic radiation detectors; refinement of very long baseline interferometry
- 2. History of physics
  - a. Greek physics: speculations concerning the nature of space, matter, and motion
  - b. Medieval physics: the influence of Aristotle
  - c. Physics in the 16th and 17th centuries: discoveries and theories in mechanics and optics
  - d. Physics in the 18th and 19th centuries
    - i. Development of theories of light: the wave theory versus the corpuscular theory, the search for the ether
    - ii. Development of the theories of electricity, magnetism, and electromagnetic waves
    - iii. Developments in thermodynamics: theories of heat, the laws of thermodynamics, the impossibility of perpetual motion, the kinetic theory of gases
    - iv. Development of the atomic theory of matter: the discovery of the electron, the discovery of radioactivity and X-rays, the discovery of spectral regularities
  - e. Physics in the 20th century
    - i. Development of the theory of relativity
    - ii. Development of the quantum theory, wave mechanics, statistical mechanics, and related theories
    - iii. Development of theories and laws concerning atomic structure, nuclear interactions, and elementary particles, including efforts to produce a unified field theory
    - iv. Development of condensed-matter physics and its contributions to electronics
    - v. Modern developments in physics: atomic beams, nuclear magnetic resonance, and electron spin resonance methods; development of nonlinear optics; the development of masers and lasers
- 3. History of chemistry
  - a. Chemistry before 1700
    - i. The rise of alchemy: the goal of the alchemists—to prolong life and to transmute base metals to gold
    - ii. The influence of the new mechanical philosophy on chemistry: the work of Boyle
  - b. Chemistry in the 18th century
    - i. Studies of combustion and respiration: the phlogiston theory, the work of Lavoisier and Cavendish
    - ii. Laboratory discoveries: isolation and identification of gases; discoveries of new elements, compounds, and chemical reactions
  - c. Chemistry in the 19th century
    - i. Development of the periodic table of the elements: the work of Mendeleyev and Meyer
    - ii. Discoveries of new elements, isotopes, and radioactive elements
    - iii. Development of more accurate methods of analysis
    - iv. Development of theories of molecular structure and chemical reaction
    - v. Development of organic chemistry: introduction of the concept of valence, the study of aromatic compounds, development of the structural theory
    - vi. Development of electrochemistry: the theory of chemical affinity in electrical terms
    - vii. Development of industrial chemistry: the application of chemical principles and reactions to industrial processes

- d. Chemistry in the 20th century
  - i. Development of instrumental methods of chemical analysis
  - ii. Explanation of chemical phenomena by principles of atomic and molecular structures
  - iii. Application of quantum mechanics to chemical bonding
- B. The nature and scope of astronomy and astrophysics: the major subject matters and principal problems

[see also Part One, Division III]

- 1. The nature of astronomy and methods of study
- 2. Component disciplines of astronomy and their relationship to other sciences: planetary and lunar sciences; meteoritics; the study of comets, minor planets, and the origin of the solar system
- 3. Investigaton of the scale of the universe and of the distribution of objects within it: the determination of positions, the measurement of distances
- 4. Orbit theory: its role in astronomy
- 5. Astrophysics: the study of stars, galaxies, and the universe; cosmology and cosmogony
- C. The nature and scope of physics: its major subject matters, methods, and problems [see also Part One, Divisions I and II]
  - 1. The nature of physics: its concern with matter and energy and their interactions
  - 2. Component disciplines of physics: mechanics, thermodynamics, heat, electricity, magnetism, sound, optics, quantum mechanics, states of matter, nuclear and atomic physics
  - 3. The experimental and theoretical methods of physics
  - 4. The relationship of physics to other disciplines
  - 5. Interdisciplinary fields of physics: astrophysics, biophysics, geophysics
  - 6. Philosophical problems in physics: at the formal level, quantum level, macrophysical level, and cosmological level
- D. The nature and scope of chemistry: its major subject matters and problems [see also 121, 122, and 123]
  - 1. The nature of chemistry: its concern with the composition, properties, and changes of matter
  - 2. The subdivisions of chemistry: analytical, inorganic, organic, physical, polymer, and industrial chemistry and biochemistry
  - 3. The methods of chemistry
  - 4. The study of chemical transformations
  - 5. Interdisciplinary fields of chemistry: geochemistry, chemical engineering, medicinal chemistry

# Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles and biographies dealing with the physical sciences

Bohr	Helmholtz	Philosophies of	Physical
Copernicus	Kepler	the Branches of	Sciences, The
Einstein	Lavoisier	Knowledge	Planck
Faraday	Maxwell	Physical Science,	Rutherford
Galileo	Newton	Principles of	
Heisenberg	Pascal		

MICROPAEDIA: Selected entries of reference information

# General subjects

major fields and	cosmology
component	fluid mechanics
disciplines:	infrared astronomy
aerodynamics	mechanics
astronomy	optics
biochemistry	physics
celestial mechanics	quantum
chemistry	mechanics

radio and radar astronomy statistical mechanics thermodynamics ultraviolet astronomy

methodology and instrumentation. astronomical observatory bubble chamber centrifuge chromatography cloud chamber

nuclear magnetic radio digital computer interferometer resonance laser radio telescope particle mass spectrometry satellite microscope accelerator photometry observatory molecular beam sounding rocket Biographies Pauling, Linus Berthollet. astronomers: Ambartsumian, Claude-Louis. Priestley, Joseph Ramsay, Sir Viktor Comte William Banneker. Berzelius, Jöns Sanger, Frederick Benjamin Jacob Scheele, Carl Bessel, Friedrich Boyle, Robert Wilhelm Wilhelm Bunsen, Robert Seaborg, Glenn T. Bradley, James Wilhelm Cannizzaro. Soddy, Frederick Brahe, Tycho Stahl, Georg Ernst Cannon, Annie Stanislao Woodward, R.B. Jump Cori. Carl: and Wöhler, Friedrich Cassini, Gian Cori, Gerty Domenico Crookes. Sir physicists: William Alvarez. Luis Eddington, Sir Arthur Stanley Curie. Marie Walter Eudoxus of Cnidus Curie, Pierre Arago, François Bardeen, John Gamow, George Dalton, John Becquerel, Henri Halley, Edmund Davy, Sir Bethe, Hans Herschel, Sir John Humphry Albrecht Herschel, Sir Debye, Peter Bragg. William Gav-Lussac. Joseph-Louis Sir Lawrence Hipparchus Bragg, Sir William Hubble, Edwin Haber, Fritz Bridgman, P.W. Powell Hahn, Otto Broglie, Kuiper, Gerard Hodgkin, Dorothy Louis-Victor, 7e Peter Marv Joliot-Curie. duc de Laplace. Cavendish, Henry Pierre-Simon, Frédéric and Dirac, P.A.M. marquis de Irène Kendrew. Sir John Fermi, Enrico Le Verrier. Feynman, Richard Urbain-Jean-Joseph Cowderv P(hillips) Langmuir, Irving Lovell, Sir Bernard Gell-Mann, Messier, Charles Lavoisier. Antoine-Laurent Murray Newcomb, Simon Gibbs, J. Willard Libby, Willard F. Ptolemy Henry, Joseph Russell, Henry Liebig, Justus, Hertz, Heinrich Freiherr von Norris Hooke, Robert Schwarzchild, Karl Mendelevev. **D**mitry Ivanovich Huygens, Struve, Otto Mulliken. Robert Christiaan chemists: Kapitsa, Pyotr Arrhenius, Svante Sanderson Leonidovich Berthelot. Pasteur, Louis Marcellin

spacecraft spectrochemical analysis star catalog supercomputer telescope

Kirchhoff, Gustav Robert Landau, Lev Davidovich Lee, Tsung-Dao Lorentz, Hendrik Antoon Mach, Ernst Mayer, Maria Goeppert Meitner, Lise Michelson, A.A. Mössbauer, Rudolf Ludwig Oppenheimer, J. Robert Pauli, Wolfgang Plücker, Julius Purcell, E.M. Raman, Sir Chandrasekhara Venkata Rayleigh, John William Strutt, 3rd Baron Rutherford, Ernest Schrödinger, Erwin Stokes, Sir George Gabriel Thompson, Sir Benjamin Thomson, Sir Joseph John Tomonaga Shin'ichirō Yang, Chen Ning Young, Thomas Yukawa Hideki

INDEX: See entries under all of the terms above

#### Section 10/33. The Earth Sciences

- A. The history of the Earth sciences
  - 1. The origins of the Earth sciences in prehistoric times
  - 2. The Earth sciences from antiquity to the 16th century
    - a. Geologic sciences
      - i. Speculations about earthquakes and volcanic eruptions
      - ii. Speculations about fossils
      - iii. Study of landforms and land-sea relations
    - b. Hydrologic and atmospheric sciences
      - i. Theories of groundwater circulation and precipitation
      - ii. The origin of the Nile and the cause of its floods
      - iii. Study of the tides
  - 3. The Earth sciences in the 16th, 17th, and 18th centuries
    - a. Geologic sciences
      - i. The beginnings of mineralogy: the study of ore deposits
      - ii. The development of paleontology and stratigraphy
      - iii. The controversy between the Neptunists and Plutonists: Earth history according to Werner and Hutton
    - b. Hydrologic sciences
      - i. Theories of spring discharge
      - ii. The earliest quantitative investigations of the global water balances
    - c. Atmospheric sciences
      - i. The study of water vapour in the atmosphere
      - ii. The study of atmospheric pressure, temperature, and circulation
  - 4. The Earth sciences in the 19th century
    - a. Geologic sciences
      - i. The development of crystallography and the classification of minerals and rocks
      - ii. The concept of faunal succession and organic evolution: the contributions of William Smith, Charles Darwin, and others
      - iii. The concept of uniformitarianism: contributions of Charles Lyell and others
      - iv. Evidence for an Ice Age: the work of Louis Agassiz
      - v. The concept of geologic time and estimates of the age of the Earth
      - vi. Concepts of landform evolution
      - vii. The study of gravity, isostasy, and the Earth's figure
    - b. Hydrologic sciences
      - i. The study of groundwater flow and surface water discharge: Darcy's law
      - ii. The beginnings of oceanography as a discipline
    - c. Atmospheric sciences
      - i. The study of the composition of the atmosphere
      - ii. The study of clouds, fog, dew, and storms
      - iii. The study of weather and climate: the origin of synoptic meteorology
  - 5. The Earth sciences in the 20th century
    - a. Geologic sciences
      - i. Development of radiometric dating
      - ii. The experimental study of rocks: experimental petrology

- iii. Advances in geophysics: the development of seismology and the study of the internal structure of the Earth
- iv. Astrogeologic research: the application of the Earth sciences to the investigation of the planets and their satellites
- v. Advances in paleontology: the development of paleoecology and micropaleontology; the study of Precambrian life
- vi. The theory of plate tectonics: a unification of the ideas of continental drift and seafloor spreading
- b. Hydrologic sciences
  - i. The study of water resources and seawater chemistry
  - ii. The exploitation of oceanic resources: desalinization, tidal power, and minerals from the sea
  - iii. The charting of the ocean floors: progress in bathymetry
  - iv. The study of ocean circulation, currents, and waves
  - v. The study of glacier motion and high-latitude ice sheets
- c. Atmospheric sciences
  - i. The application of modern technology to meteorology: ground-based remote-sensing instruments, orbiting satellites, computer models simulating atmospheric features
  - ii. Advances in weather forecasting and cloud physics
  - iii. The study of the properties and structure of the atmosphere
  - iv. The development of weather modification methods
  - v. The classification of climate
- B. The nature, scope, and methods of the individual Earth sciences [see also Part Two]
  - 1. Physical geography: the study of the distribution and spatial patterns of soils, water, climate, landforms, and other Earth features [see also 10/36.F.]
  - The geologic sciences: mineralogy, petrology, economic geology, and geochemistry; geodesy, geophysics, structural geology, and volcanology; geomorphology; glacial geology; engineering, environmental, and urban geology; geochronology, historical geology, paleontology, stratigraphy, and astrogeology [see also Part Two, Divisions I, III, and IV]
  - 3. The hydrologic sciences: hydrology, limnology, glaciology, oceanography [see also 222]
  - 4. The atmospheric sciences: meteorology, climatology, aeronomy; the study of the atmospheres of other planets [see also 221 and 223]

MACROPAEDIA: Major articles dealing with the Earth sciences

Earth Sciences, The Geography

MICROPAEDIA: Selected entries of reference information

#### General subjects

component	environmental	m
disciplines:	geology	m
astrogeology	geochemistry	m
bioclimatology	geochronology	00
chemical	geology	pa
hydrology	geomorphology	pa
climatology	geophysics	pa
dendrochronology	hydrology	pa
economic geology	hydrometeorology	pe
	marine geology	pe

marine geophysics meteorology mineralogy oceanography paleoclimatology paleogeography paleogeology palynology pedology petrology sedimentology seismology structural geology tectonics volcanology methodology and instrumentation: aerial photography barometer bathymetry

bathyscaphe bathythermograph dating geologic time	gravimeter magnetometer radiosonde	Richter scale seismograph weather map	other: International Geophysical Year
Biographies			
Agassiz, Louis Agricola, Georgius Bjerknes, Vilhelm F.K. Buch, Leopold, Freiherr von Cuvier, Georges, Baron Dana, James D. Ekman, V. Walfrid Gilbert, Grove Karl	Goldschmidt, Victor Moritz Humboldt, Alexander von Hutton, James Köppen, Wladimir Leonardi, Piero Lyell, Sir Charles Maury, Matthew Fontaine Mohorovičić, Andrija	Powell, John Wesley Press, Frank Romer, Alfred Sherwood Runcorn, Stanley Keith Simpson, George Gaylord Smith, William Suess, Eduard	Van Hise, Charles Richard Vernadsky, Vladimir Ivanovich Wegener, Alfred Lothar Werner, Abraham Gottlob

INDEX: See entries under all of the terms above

# Section 10/34. The Biological Sciences

- A. History of the biological sciences
  - 1. Origin and early development of biological ideas
    - a. Views of life and living things in ancient Eastern and Middle Eastern civilizations
    - b. Biology in the Greco-Roman world: theories about mankind and the origin of life; Aristotelian concepts of classification, reproduction, heredity, and descent; botanical investigations; initial anatomical discoveries
    - c. Biology in the Middle Ages: the influence of Arabian biologists, the development of botany and zoology as separate disciplines, further discoveries in anatomy
    - d. Biology in the Renaissance: the influence of the craft of printing and artists' illustrations on the dissemination of botanical knowledge, the beginning of the scientific study of anatomy through the use of dissection
  - 2. Developments in the biological sciences in the 17th, 18th, and 19th centuries
    - a. Biology in the 17th and 18th centuries
      - i. The discovery of the circulation of blood
      - ii. The establishment of scientific societies
      - iii. The development of the microscope: the classical microscopists
      - iv. The rise of modern taxonomy: the systematic classification of plants and animals
      - v. The emergence of comparative biological studies
      - vi. Experimental approaches to the origin of life: the theory of spontaneous generation
    - b. Biology in the 19th century
      - i. The effect of geographical explorations on the development of the biological sciences
      - ii. The development of cell theory: the establishment of cellular biology
      - iii. The theory of evolution: the impact of the concept of natural selection
      - iv. The rise of embryology: discoveries concerning reproduction and development of organisms
      - v. The emergence of genetics: the study of heredity and its mechanisms
  - 3. Biology in the 20th century
    - a. The establishment of molecular biology
      - i. The one-gene, one-enzyme theory and its effects
      - ii. The discovery of the genetic significance of DNA and RNA: deciphering the genetic code

- b. The emergence of intradisciplinary specialties; *e.g.*, cell physiology, cytochemistry, ecology, population biology
- c. The application to biology of the concepts and techniques of other sciences: the development of biochemistry and biophysics, the importance of biological discoveries to medicine and agriculture
- B. The nature, scope, and methodology of the biological sciences [see also Part Three]
  - 1. Molecular biology: biochemistry, biophysics, genetics
  - 2. Cell biology: cancer research, microbiology, radiation biology, tissue culture, transplantation biology
  - 3. Organismic biology: botany, ecology, embryology, ethology, eugenics, genetics, gnotobiology, morphology, paleontology, physiology, zoology
  - 4. Population biology: biogeography, comparative psychology, ecology, population genetics
  - 5. Taxonomy and methods of classification
- C. Philosophy of biology
  - 1. The range of topics in biophilosophy; e.g., old questions investigated anew in the light of biological advances and new standards of philosophical rigour
  - 2. Issues concerning the nature of biological systems
  - 3. Issues concerning evolution
  - 4. Issues with ethical implications

MACROPAEDIA: Major articles and biographies dealing with the biological sciences

Biological Sciences, The	Harvey
Darwin	Pasteur
Genetics and Heredity, The Principles of	Philosophies of the Branches of Knowledge

MICROPAEDIA: Selected entries of reference information

#### General subjects

component	cytology	microbiology	zoology
disciplines:	ecology	molecular biology	methodology and
agrostology	embryology	morphology	instrumentation:
anatomy	entomology	ornithology	centrifuge
bioethics	ethology	paleontology	chromatography
biogeography	eugenics	palynology	electrophoresis
biology	exobiology	parasitology	fluoroscope
biophysics	genetic engineering	physiology	microscope
biotechnology	genetics	protozoology	nuclear magnetic
botany	herpetology	synecology	resonance
comparative	ichthyology	taxonomy	testcross
anatomy	mammalogy	teratology	
Biographies			
anatomists:	Huxley, Sir Julian	Watson, James	Hoagland, Dennis
Müller, Johannes	Huxley, T.H.	Dewey	Robert
Peter	Lamarck,	botanists:	Hooker, Sir Joseph
Sabin, Florence	Jean-Bapiste	Bentham, George	Dalton
Rena	de Monet,	Brown, Robert	Hooker, Sir
Vesalius, Andreas	Chevalier de	Candolle,	William Jackson
biologists:	Loeb, Jacques	Augustin	Linnaeus, Carolus
Bateson, William	Lysenko, Trofim	Pyrame de	Nägeli, Karl
Carson, Rachel	Denisovich	Cohn, Ferdinand	Wilhelm von
Ehrenberg,	Malpighi, Marcello	Dodge, Bernard	Ray, John
Christian	biophysicists:	Ogilvie	Sprengel, Christian
Gottfried	Crick, Francis	Engler, Adolf	Konrad
Elton, Charles	Harry Compton	Gray, Asa	

Stakman, Elvin Charles embryologists: Baer, Karl Ernst, Ritter von Spemann, Hans geneticists: Beadle, George Wells Dobzhansky, Theodosius Mendel, Gregor Morgan, Thomas Hunt Weismann, August	Hérelle, Félix d' Merchnikoff, Élie Waksman, Selman Abraham physiologists: Bayliss, Sir William Maddock Bernard, Claude Einthoven, Willem Galen of Pergamum Haller, Albrecht von Macleod, J.J.R. Müller, Johannes Bater	Sherrington, Sir Charles Scott Spallanzani, Lazzaro Starling, Ernest Henry zoologists: Cuvier, Georges, Baron de Beer, Sir Gavin Haeckel, Ernst Lorenz, Konrad Rafinesque, Constantine Samuel other:	Geoffroy, Saint-Hilaire, Étienne Gesner, Conrad Leeuwenhoek, Antoine van Owen, Sir Richard Swammerdam, Jan Wallace, Alfred Russel
Weismann, August	Müller, Johannes	Samuel	
wright, Sewali	Peter	oiner:	
microbiologists:	Pavlov, Ivan	Galton, Sir Francis	
Dubos, René	Petrovich	Galvani, Luigi	

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# Section 10/35. Medicine and Affiliated Disciplines

- A. History of medicine and surgery
  - 1. Early medicine: Western medicine before 1800, Oriental medicine before c. 1900
    - a. The medicine of prehistoric peoples
    - b. The practice of medicine among the Babylonians, the ancient Egyptians, and the Hebrews
    - c. Medicine and surgery in the Orient: the beginning of systematized medicine
      - i. Medicine in India: the Vedic and Brahmanistic heritage, the influence of religious and magical beliefs, surgical practices
      - ii. Medicine in China: the influence of the cosmic theory of Yin and Yang; the use of herbals, drugs, and acupuncture
      - iii. Medicine in Japan: assimilation of Chinese and European practices
    - d. The beginning of systematic medicine in the Greco-Roman world
      - i. Early influences: mythological beliefs, the investigations and theories of early philosophers
      - ii. The work of Hippocrates: theories on the nature and treatment of disease, the charter of medical conduct
      - iii. The spread of Greek teachings to Rome: the acceptance of Galen as a medical authority
    - e. Medicine from the fall of Rome through the Middle Ages
      - i. Reservoirs of medical learning: the role of medieval monasteries in preserving the medical heritage of Greece and Rome, contributions of Arabian medicine
      - ii. Establishment of the first organized medical school at Salerno
    - f. Medicine in the Renaissance
      - i. Improvements in anatomical theory and surgery
      - ii. The control of medical practice in Britain
      - iii. The work of Paracelsus and Fracastoro
    - g. Medicine in the 17th century
      - i. Discoveries concerning the circulation of the blood: use of the experimental method, importance of the microscope to medical studies
      - ii. The iatrochemical and iatrophysical theories: the view of life as a series of chemical processes versus the view of life as a mechanism governed by physical laws
    - h. Medicine in the 18th century

- i. Genesis of the medical school and the hospital
- ii. The beginning of medical specialties: emergence of surgery, obstetrics, and pathology as separate disciplines
- iii. Improvement in techniques of vaccination and in the treatment of disease: the rise and decline of systems of animism and mesmerism
- 2. The rise of scientific medicine in the 19th century
  - a. New doctrines, laws, and concepts; *e.g.*, the cell and cellular pathology, natural selection, homeostasis, pathogenesis, the Mendelian laws
  - b. Further advances in physiology
  - c. Establishment of bacteriology: verification of the germ theory, the identification of disease-producing organisms, the introduction of antisepsis
  - d. The discovery and use of anesthesia
  - e. Other advances: the discovery of the transmission of disease by insects, initial measures to control typhoid, the discovery of X-rays, the development of the ophthalmoscope and the stethoscope
- 3. Medicine in the 20th century
  - a. Advances in chemotherapy: the discovery, development, and use of antibiotics and synthetic drugs in the treatment of bacterial diseases
  - b. Advances in immunology
    - i. Improvements in vaccines that control bacterial diseases; *e.g.*, typhoid, diphtheria, tetanus, tuberculosis
    - ii. The introduction and use of vaccines to control viral diseases; *e.g.*, yellow fever, influenza, poliomyelitis, measles
  - c. Developments in endocrinology: the discovery of insulin and the control of diabetes, the use of cortisone as an anti-inflammatory agent, the study and use of sex hormones
  - d. Advances in other fields
    - i. Nutrition: the treatment of deficiency diseases through the discovery and identification of vitamins
    - ii. Cancer research: the treatment of abnormal cell growths through the application of various therapies
    - iii. Tropical medicine: the treatment of yellow fever, malaria, and leprosy through the discovery and application of synthetic organic compounds derived from quinine and other sources; the application of insecticides to control malaria and yellow fever
    - iv. Medical technology and biomedical instrumentation: the use of electronic devices to monitor physiological processes, to conduct automatic laboratory analyses, and to perform other diagnostic and therapeutic procedures
- 4. Surgery in the 20th century
  - a. The state of surgery prior to 1900: the importance of antisepsis, asepsis, and anesthesia to the development of modern surgery
  - b. The emergence of surgical specialties: the development of new surgical and diagnostic techniques
  - c. Improvements in the treatment of wounds; *e.g.*, the development of plastic surgery, postsurgical rehabilitation
  - d. The use of blood transfusions and other intravenous techniques to reduce shock, treat fluid loss, and restore electrolyte balance
  - e. The introduction of inhalation anesthetic procedures; e.g., improvements in thoracic surgery
- B. Fields of specialized medical practice or research
  - 1. Hospital residency specialties
    - a. Radiology
    - b. Surgery [see also 423.C.2.a.]
    - c. Obstetrics and gynecology [see also 423.F.6.]

- d. Urology [see also 423.F.7.]
- e. Ophthalmology and otolaryngology [see also 423.F.9.f. and g.]
- f. Neurology [see also 423.F.9.]
- g. Psychiatry
- h. Other hospital specialties; e.g., anesthesiology, pathology
- 2. Other clinical specialties
  - a. Aerospace medicine
  - b. Medical jurisprudence
  - c. Occupational medicine
  - d. Public health
  - e. Endocrinology
  - f. Immunology
  - g. Toxicology
  - h. Tropical medicine
- 3. Nonclinical specialties and the basic medical sciences: medical physiology and pathological physiology, nutrition, pharmacology and experimental therapeutics, gerontology
- 4. Ancillary medical disciplines: cytotechnology, medical records, medical technology, X-ray technology
- C. Disciplines affiliated with medicine
  - 1. History and practice of dentistry
  - 2. History and practice of osteopathy
  - 3. History and practice of nursing
  - 4. History and practice of pharmacy

MACROPAEDIA: Major article dealing with medicine and affiliated disciplines

Ellis

Medicine

MICROPAEDIA: Selected entries of reference information

# General subjects

Vladimir

gerontology and geriatrics hematology holistic medicine homeopathy immunology internal medicine nephrology neurology nursing obstetrics and gynecology	ophthalmology oral surgery orthodontics orthopedics osteopathy otolaryngology pathology pediatrics pedodontics peridontics pharmacology pharmacy	plastic surgery podiatry prosthodontics psychiatry public health radiology sports medicine surgery toxicology tropical medicine urology
Blackwell, Elizabeth Blalock, Alfred Carrel, Alexis Cohn, Ferdinand De Bakey Michael	Ehrlich, Paul Fleming, Sir Alexander Fracastoro, Girolamo Freud, Sigmund	Gesell, Arnold Harvey, William Hippocrates Jenner, Edward Jung, Carl Koch, Robert
	gerontology and geriatrics hematology holistic medicine homeopathy immunology internal medicine nephrology neurology nursing obstetrics and gynecology Blackwell, Elizabeth Blalock, Alfred Carrel, Alexis Cohn, Ferdinand De Bakey, Michael	gerontology and geriatricsophthalmology oral surgery hematologyoral surgery orthodonticsholistic medicineorthopedicshomeopathyosteopathyimmunologyotolaryngology pathologyinternal medicinepathology pediatricsneurologypediatricsnursingperidonticsobstetrics and gynecologypharmacology pharmacyBlackwell,Ehrlich, Paul Fleming, SirBlalock, Alfred Carrel, AlexisAlexander Fracastoro, GirolamoDe Bakey, MichaelFreud, Sigmund

Galvani, Luigi

Lister, Joseph Malpighi, Marcello Mayo family Menninger family Meyer, Adolf Nightingale, Florence Osler, Sir William Paracelsus Reed, Walter Rush, Benjamin Salk, Jonas Edward Séguin, Edouard Semmelweis, Ignaz Philipp Vesalius, Andreas Virchow, Rudolf

INDEX: See entries under all of the terms above

# Section 10/36. The Social Sciences and Psychology and Linguistics

- A. History of the social sciences
  - 1. Origins of the social sciences
    - a. Precursors of the social sciences in the Middle Ages and the Renaissance
    - b. Heritage of the Enlightenment: social reforms and revolution
  - 2. 19th-century developments in the social sciences
    - a. The influence of new concepts in social, political, economic, and scientific theories
    - b. Development of the separate disciplines; *e.g.*, economics, political science, anthropology, sociology, social statistics, human geography
  - 3. 20th-century developments in the social sciences
    - a. The influence of social upheaval in the non-Western world: the revolution of rising expectations
    - b. The influence of Marxism
    - c. The influence of Freudian ideas
    - d. The changing character of the disciplines
      - i. Specialization and cross-disciplinary approaches
      - ii. The increasing professionalism of social scientists as consultants and decision makers in government and business
      - iii. The introduction of mathematical and other quantitative methods: the use of computers
      - iv. The influence of empiricism: the collection of data, the use of surveys and polls, the testing of theories
    - e. Major theoretical influences: developmentalism, the social-systems approach, structuralism and functionalism
- B. The nature of anthropology [see also Part Five, Division I]
  - 1. The background of anthropology
  - 2. The scope and methods of anthropology: the division between cultural and physical anthropology
- C. The nature of sociology [see also Part Five, Division II]
  - 1. The background of contemporary sociology
  - 2. The methodology of contemporary sociology
  - 3. The status of contemporary sociology
  - 4. Emergent trends in sociology
  - 5. Cognate disciplines: criminology, penology, social psychology, demography
- D. The nature of economics [see also Part Five, Division III]
  - 1. Development of theories of economics
  - 2. The scope and methods of the study of economics: microeconomics, macroeconomics
  - 3. Cognate disciplines: mathematical economics, econometrics, accounting

- E. The nature of political science [see also Part Five, Division IV]
  - 1. The history of political science
  - 2. The scope and methods of contemporary political science
  - 3. Cognate disciplines: the study of public opinion, public law, public administration, political systems, and international relations
- F. The nature of geography

[see also 10/33]

- 1. The history of geography
- 2. The scope and methods of geography: the division between physical, human, and regional geography
- G. History and methods of psychology [see also Part Four, Division III]
  - 1. The history of psychology
  - 2. The nature and scope of psychology
  - 3. Special branches and cognate disciplines of psychology: physiological psychology, social psychology
- H. The nature of linguistics [see also 514]
  - 1. The history of linguistics
  - 2. The nature and scope of linguistics
  - 3. Linguistics and other disciplines: psycholinguistics, sociolinguistics, linguistic anthropology, linguistic geography, computational linguistics, mathematical and statistical linguistics, stylistics, and semantics

# Suggested reading in the Encyclopædia Britannica:

MACROPAEDIA: Major articles and biographies dealing with the social sciences and psychology and linguistics

Freud	Marxism, Marx and
Geography	Smith, Adam
Linguistics	Social Sciences, The

MICROPAEDIA: Selected entries of reference information

# General subjects

anthropology and	institutional	semiotics	experimental
allied disciplines:	economics	sociolinguistics	psychology
anthropological	Keynesian	structural	functionalism
linguistics	economics	linguistics	Gestalt psychology
cultural	macroeconomics	synchronic	humanistic
anthropology	managerial	linguistics	psychology
ethnography	economics	political science:	individual
neoevolutionism	welfare economics	geopolitics	psychology
particularism	linguistics:	political science	industrial
physical	anthropological	psychology:	psychology
anthropology	linguistics	analytic	physiological
structuralism	comparative	psychology	psychology
economics:	linguistics	applied psychology	psychology
Austrian school of	computational	behaviourism	social psychology
economics	linguistics	clinical psychology	other:
classical economics	dialectology	comparative	criminology
econometrics	ethnolinguistics	psychology	demography
economics	historical	developmental	geography
historical school of	linguistics	psychology	penology
economics	linguistics	educational	sociology
	neurolinguistics	psychology	

Biographies anthropologists: Benedict. Ruth Boas, Franz Dart, Raymond A. Frazer, Sir James George Hale, Horatio Kroeber, A.L. Leakey, L.S.B. Leakey, Richard Lévi-Strauss, Claude Malinowski. Bronisław Mead, Margaret Morgan, Lewis Henry Radin, Paul Redfield. Robert Sapir. Edward Seligman, C.G. Thurnwald, Richard Tozzer, Alfred M. Tylor, Sir Edward Burnett economists: Bagehot, Walter Bentham, Jeremy Enfantin. Barthélemy-Prosper Keynes, John Maynard Lauderdale, James Maitland, 8th earl of

Malthus, Thomas Robert Marshall, Alfred Marx, Karl Myrdal, Gunnar Oresme, Nicholas Pareto, Vilfredo Ricardo, David Samuelson, Paul Simonde de Sismondi, J.C.L. Tawney, Richard Henry Veblen, Thorstein geographers: Davis, William Morris Hettner, Alfred Humboldt. Alexander von Idrīsī, ash-Sharīf al-Mackinder, Sir Halford John Marsh, George Perkins Mercator, Gerardus Ptolemy Ratzel, Friedrich Richthofen, Ferdinand Paul Wilhelm. Freiherr von Ritter, Carl Strabo

Varenius. Bernhardus Vidal de La Blache, Paul linguists: Chomsky, Noam Jakobson, Roman Jespersen, Otto Kimhi, David Lomonosov, Mikhail Vasilyevich Rask, Rasmus Saussure. Ferdinand de Schleicher, August psychologists: Bleuler, Eugen Bruner, Jerome S. Cattell, James McKeen Fechner, Gustav Theodor Fromm, Erich Hall, G. Stanley Hull, Clark L. James, William Köhler, Wolfgang Kraepelin, Emil Kretschmer, Ernst McDougall, William Menninger family Meyer, Adolf Piaget, Jean Rank, Otto

Skinner. B.F. Thorndike, Edward L. Thurstone, L.L. Watson, John B. Wertheimer, Max sociologists: Beccaria, Cesare Comte, Auguste Cooley, Charles Horton Durkheim, Émile Frazier. E. Franklin Geiger, Theodor Julius Lazarsfeld, Paul Felix MacIver, Robert Morrison Mauss, Marcel Merton, Robert K. Mumford, Lewis Parsons, Talcott Ratzenhofer, Gustav Riesman, David Spencer, Herbert Tönnies, Ferdinand Weber, Max

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# Section 10/37. The Technological Sciences

- A. History of the technological sciences [see also Part Seven]
- B. Nature and scope of engineering
  - 1. Engineering as a profession: education and training; functions of the engineer; professional associations
  - 2. Branches of engineering: civil engineering, aeronautical engineering, chemical engineering, electrical and electronics engineering, mechanical engineering, optical engineering, nuclear engineering
- C. The nature and scope of agricultural sciences
  - 1. History of the agricultural sciences [see also 731.A.]
  - 2. Subdivisions of the agricultural sciences: soil science, plant production, animal production, agricultural economics and management, agricultural engineering [see also 731.B., C., and D.]
- D. The nature and scope of recently developed interscience disciplines
  - 1. Bionics

- a. Mimicry of nature as the basis for bionics; *e.g.*, the human brain as the paradigm for computer memory devices, construction of vehicles with articulated legs
- b. The use of natural models to understand and solve engineering problems; *e.g.*, natural neural networks as models for electronic circuits, the human brain as a model for computers and information-processing devices
- 2. Systems engineering and operations research [see 712.B.]
- 3. Cybernetics, control theory, and information science [see 10/23.E. and F.]

MACROPAEDIA: Major articles dealing with the technological sciences

Engineering	Optics, Principles of
Materials Science	

#### MICROPAEDIA: Selected entries of reference information

#### General subjects

aerospace engineering bioengineering bionics chemical engineering	civil engineering electrical and electronics engineering	industrial engineering materials science mechanical engineering	nuclear engineering petroleum engineering
Biographies			
Beach, Alfred Ely Brunel, Isambard Kingdom Brunel, Sir Marc Isambard Burbank, Luther Carver, George Washington	Deming, W. Edwards Eads, James B. Eckert, J. Presper, Jr. Edison, Thomas Alva Hollerith, Herman McCormick, Cyrus Hall Mauchly, John W.	Pierce, George Washington Roebling, John Augustus Sikorsky, Igor Stakman, Elvin Charles	Steinmetz, Charles Proteus Taylor, Frederick W. Tsiolkovsky, Konstantin Eduardovich Whitney, Eli

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#### Division IV. History and the Humanities

[For Part Ten headnote see page 479.]

The outlines in the two sections of Division IV deal with historiography and the study of history, and with the humanities and humanistic scholarship.

Section 10/41 first treats the history of historical writing in the major cultures of both East and West, and the disciplines and methods involved in modern historical investigation and research. It then treats the speculative philosophies of history that have appeared in the West and the East, and philosophical analyses of the specific character of historical knowledge.

Section 10/42 first sets forth a historical review of changing conceptions of the humanities and of humanistic scholarship, covering all the major periods and mutations, from the Greek ideal of *paideia* to contemporary developments. It then treats issues about the nature and scope of the humanities; about the relation of the component disciplines to one another; and about their distinction from the sciences, their validity as ways of knowing, and their role in education.

Section 10/41. Historiography and the Study of History 509 10/42. The Humanities and Humanistic Scholarship 511

## Section 10/41. Historiography and the Study of History

- A. Historiography: the types of historical writing
  - 1. Development of historiography: the history of historical writing
    - a. In the ancient world
      - i. Near Eastern historiography

- ii. Classical historiography: Greek and Roman
- iii. Early Christian historiography
- b. In the Middle Ages
  - i. Western Christian historiography
  - ii. Byzantine historiography
- c. From the Renaissance to the present
  - i. Renaissance historiography
  - ii. Early modern historiography
  - iii. Enlightenment historiography: the 18th century
  - iv. 19th- and 20th-century historiography
- d. Non-Western historiographical traditions
  - i. Islāmic historiography
  - ii. East Asian historiography
- 2. Types of historical writing: diverse ways of distinguishing or classifying kinds of historical writing by method or function
- 3. Factors involved in the writing of history: the background of the author and his vantage point, method of work, and purpose
- B. Modern historical investigation and research: sources and methods
  - 1. Sources for historical writing: material remains, written materials, folklore, place-names
  - 2. Auxiliary disciplines for ascertaining and interpreting the sources
    - a. Anthropology
    - b. Archaeology
    - c. Bibliography
    - d. Chronology
    - e. Dendrochronology
    - f. Diplomatics
    - g. Epigraphy
    - h. Genealogy
    - i. Geography
    - j. Heraldry
    - k. Iconography and iconology
    - I. Linguistics
    - m. Paleography
    - n. Psychoanalysis
    - o. Radiometric dating
    - p. Sigillography
    - q. Textual criticism
- C. Philosophy of history: speculations about the historical process, philosophical analysis of the writing of histories
  - 1. Conceptions of the philosophy of history
  - 2. Speculative philosophy of history: diverse explanations of the pattern of historical events
  - 3. Critical or analytical philosophy of history: the analysis of history as a discipline

MACROPAEDIA: Major articles dealing with historiography and the study of history

History, The Study of Philosophies of the Branches of Knowledge MICROPAEDIA: Selected entries of reference information

#### General subjects

archaeology bibliography diplomatics epigraphy **Biographies** archaeologists: Bingham, Hiram Blegen, Carl Botta, Paul-Émile Boucher de Perthes, Jacques Carter, Howard Evans, Sir Arthur Garstang, John Layard, Sir Austen Henry Mariette, Auguste Petrie, Sir Flinders Pitt-Rivers. Augustus Henry Lane-Fox Rassam, Hormuzd Schliemann. Heinrich Squier, E.G. Stein, Sir Aurel Stephens, John Lloyd Thompson, Edward Herbert Thomsen, Christian Jürgensen Wheeler, Sir Mortimer Winckler, Hugo Woolley, Sir Leonard Worsaae, Jens Jacob Asmussen historians: Acton, John Emerich Edward Dalberg Acton, 1st Baron

genealogy historical geography historiography

Arai Hakuseki Aulard. François-Alphonse Bancroft, George Barros Arana, Diego Beard, Charles A. Becker, Carl Bede the Venerable, Saint Biondo, Flavio Bloch, Marc Léopold Benjamin Braudel, Fernand Burckhardt, Jacob Bury, J.B. Clarendon, Edward Hyde, 1st earl of Droysen, Johann Gustav Froude, James Anthony Fustel de Coulanges, Numa Denis Geoffrey of Monmouth Gevl. Pieter Gibbon. Edward Giovanni da Pian del Carpini Guicciardini. Francesco Halévy, Élie Haskins, Charles Homer Herodotus Huizinga, Johan lbn Khaldūn Jien

history iconography linguistics paleography

Klyuchevsky, Vasily Osipovich Lamprecht, Karl Gottfried Liutprand of Cremona Livy Ma Tuan-lin Macaulay, Thomas Babington Macaulay, Baron McMaster, John Bach Mas'ūdī, al-Meinecke. Friedrich Michelet, Jules Mommsen. Theodor Namier, Sir Lewis Bernstein Niebuhr, Barthold Georg Pan Ku Parkman, Francis Pasquier, Étienne Pirenne, Henri Polybius Prescott. William H. Procopius Ranke. Leopold von Robinson, James Harvey Rostovtzeff, Michael Ivanovich

papyrology sigillography textual criticism

Sallust Sarkar, Sir Jadunath Schlesinger. Arthur M. Solovyov, Sergey Mikhaylovich Ssu-ma Ch'ien Ssu-ma Kuang Tabarī, at-Tacitus Tawney, Richard Henry Thierry, Augustin Thou, Jacques-Auguste de Thucydides Tocqueville, Alexis de Toynbee, Arnold Trevelyan, G.M. Turner, Frederick Jackson Tyler, Moses Coit Woodson, Carter G. other: Champollion, Jean-Francois Rawlinson, Sir Henry Creswicke Savce, Archibald Ventris, Michael

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# Section 10/42. The Humanities and Humanistic Scholarship

- A. History of humanistic scholarship
  - 1. The beginnings of learning: the ideal of paideia
    - a. Homeric education: the ideal of the hero
    - b. The Sophists and Socrates: the turn to logos, the beginnings of rhetoric
    - c. Plato and the Academy: the relation of theology to mythology, mathematics in the service of philosophy

- d. Aristotle and the Lyceum: the invention of logic, the division and organization of the sciences
- e. Hellenistic scholarship: the development of literary and textual criticism, Alexandria and Pergamum as cultural centres
- f. The Roman ideal of *humanitas*: the training of the orator
- g. The conflict of cultural ideals: the battle among rhetoric, philosophy, and science
- 2. Christian learning in antiquity and the Middle Ages
  - a. The Christianization of pagan culture: the reconciliation of classical humanism with Christian revelation
  - b. The codification of the liberal arts: the trivium and the quadrivium
  - c. The founding of the universities
  - d. The Scholastic method: logic and the genres of theological exposition
  - e. Faith and reason: the distinction of philosophy from sacred theology
- 3. The development of humane letters from the Renaissance to the present
  - a. The idea of Renaissance: the ideal of the classical, the rise of the vernacular, the concept of the dignity of the free individual
  - b. Humanism and the new learning
  - c. The search for a universal method
  - d. The separation of science from philosophy: the rise and development of empirical science
  - e. The quarrel between ancients and moderns: the problem of progress in learning
  - f. The growth of modern humanistic scholarship: the transition from the ideal of belles lettres to the scientific investigation of antiquity through archaeology and philology
  - g. The rise and development of the liberal arts college and the graduate school [see 562.B.]
  - h. The growth and proliferation of special disciplines: the knowledge explosion
  - i. The organization of the contemporary university: questions of its social responsibility, the profession of learning [see 561.C.1.c.]
- 4. The Jewish tradition of humanistic scholarship: its nature, methods, and development: its relation to classical and Christian learning
- 5. The Islāmic tradition of humanistic scholarship: its nature, methods, and development; its relation to classical and Christian learning
- 6. The humanities and humanistic scholarship in the East: in India, in China, in Japan
- B. The humanities
  - 1. Diverse views of the definition and scope of the humanities
  - 2. The humanities as an educational program: the question of the humanities as a unified field of study
  - 3. Theories of the humanities as a fundamental division of knowledge: the question of the distinction of the humanities from the sciences
  - 4. Problems about the humanities

MACROPAEDIA: Major articles and biographies dealing with the humanities and humanistic scholarship

Bacon, Francis	Humanism
Erasmus	Scholarship, Classical

MICROPAEDIA: Selected entries of reference information

General subjects

humanism	
humanities	

paideia reason Renaissance Renaissance man scholasticism

# Biographies

Adams, HenryCasaubon, IsaacAlberti, LeonEstienne, Henri IIBattistaGeorge ofAlcuinTrebizondBentley, Richard

Lefèvre d'Étaples, Jacques Melanchthon, Philipp Scaliger, Julius Caesar Taine, Hippolyte Valla, Lorenzo Wang Wei

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#### Division V. Philosophy

[For Part Ten headnote see page 479.]

The outlines in the three sections of Division V treat the history of philosophy; the nature and the divisions of philosophy; and philosophical schools and doctrines.

The outline in Section 10/51 presents a synoptic history of philosophy. After treating the history of Western philosophy and of non-Western philosophies, the outline indicates other sections that treat philosophies associated with religions.

Section 10/52 first deals with theories about philosophy as a whole: theories about its nature, scope, methods, forms of exposition, and about the criteria of meaning and truth in philosophical thought. It then treats the traditional component disciplines of philosophy: metaphysics, the philosophy of nature, epistemology, the philosophy of mind, the philosophy of mankind, ethics, political philosophy, and aesthetics. In the case of each of these eight disciplines, the outline treats its historical development; its nature and scope; its relations to other branches of philosophy and other intellectual disciplines; and its principal problems. At the end, the outline of this section indicates other sections that treat disciplines involving philosophical studies of other subjects: language, logic, mathematics, art, science, religion, law, education, and history.

Section 10/53 begins by listing 25 major philosophical schools in the West. The section then deals with doctrinal differences between these schools on major philosophical issues, treating differences in theories about Being and existence; about thought, knowledge, and the faculties of the mind; and about human conduct.

Section 10/51. History of Philosophy 513

10/52. The Nature and the Divisions of Philosophy 517

10/53. Philosophical Schools and Doctrines 520

#### Section 10/51. History of Philosophy

- A. History of Western philosophy
  - 1. Ancient Greek and Roman philosophy
    - a. The beginnings of philosophy in Greece: the Pre-Socratic philosophers
      - i. Cosmology and the metaphysics of matter: theories of the origin and nature of the physical world, monistic and pluralistic cosmologies
      - ii. The rise of problems in the theory of knowledge: problems about the real and phenomenal worlds
      - iii. The metaphysics of number: Pythagorean speculations about number and the nature of reality, advances toward the foundation of quantitative science
      - iv. Anthropology and relativism: the Sophists' criticism of cosmological and metaphysical speculations, man as the measure of all things, the positions of the Sophists about the conventionality of law and justice
    - b. The maturity of Greek philosophy
      - i. The ethical concerns and positions of Socrates: the Socratic method of teaching, the influence of Socrates
      - ii. The philosophy of Plato: his dialogues on issues in politics, ethics, metaphysics, epistemology, and cosmology; his emphasis on the relations of mathematics to philosophy
      - iii. The philosophy of Aristotle: his criticisms of Platonic metaphysics and theory of knowledge; the corpus of his works on logic; his teleological positions in biology, ethics, and politics; his empirical researches in the natural sciences and on laws and political institutions

- c. Hellenistic and Roman philosophy: developments from the time of Alexander III the Great to the closing of the philosophical schools in Athens
  - i. The philosophy of the Stoics: the teaching of Zeno of Citium concerning the basis of human happiness, the further elaboration of Stoic thought by Cleanthes and Chrysippus, the role of Stoicism during the late Roman Republic and the empire
  - ii. The philosophy of the Epicureans: the teaching of Epicurus concerning the universe, the role of pleasure, and man's relationship to the gods
  - iii. The philosophy of the Skeptics: the teaching of Pyrrhon of Elis concerning man's lack of certainty in knowing, the role of the Skeptics in preserving the doctrines of ancient philosophers
  - iv. The philosophy of the Neo-Pythagoreans and of the Neoplatonists: the teaching of Plotinus concerning the various levels of being, Neo-Pythagorean schools in Asia Minor
  - v. Jewish and Christian philosophy during the Hellenistic Age: diverse attempts to relate the teachings of the Hellenistic and Roman schools to Jewish and Christian theology
- 2. Philosophy in the Middle Ages
  - a. Early medieval philosophy
    - i. The patristic period: Augustine's use of Neoplatonist thought in his theology and his doctrine of man, the role of Boethius' translations and commentaries, Anselm's proofs of the existence of God, the methodology of Abelard
    - ii. Philosophy and the liberal arts in the schools of the Christian West from the 9th to the 11th century
  - b. The contribution of Arabic and Jewish philosophy: the role of the Islāmic philosophers in increasing the influence of Aristotle in the West, the teaching of Solomon ibn Gabirol and Maimonides
  - c. The age of the Schoolmen: the attempt to reconcile philosophy and theology, the teaching of Bonaventure and Albertus Magnus, Thomas Aquinas' synthesis of Aristotelianism and Christian theology
  - d. Philosophy in the late Middle Ages: new styles of philosophy and theology that vied with Thomism, the criticism of Aristotelian thought by Duns Scotus and Ockham, the speculative mysticism of Eckehart, Nicholas of Cusa's doctrine of the "coincidence of opposites"
- 3. Modern philosophy
  - a. Philosophy in the Renaissance
    - i. Political theory: the views of Machiavelli, Bodin, Hobbes, Grotius, and others on the nature and moral status of political power
    - ii. Humanism: the influence of the writings of Plato on moral theory and literary endeavour; renewed interest in Atomistic Materialism, ancient Skepticism, and Stoicism
      - [for humanistic scholarship in the Renaissance, see also 10/42.A.3.]
    - iii. Philosophy of nature: the pluralistic, machinelike, and mathematically ordered character ascribed to the natural world; the influence of discoveries in anatomy, physics, and astronomy on philosophy
  - b. The early modern period: the rise of Empiricism and Rationalism
    - i. Developments in the Empiricist tradition: Bacon's attempt to formulate a new scientific method, Hobbes's theory of knowledge
    - ii. Developments in the Rationalist tradition: the antiempirical character of Descartes's metaphysics and the dualism of his doctrine of man and the world, the speculative systems of philosophy provided by the writings of Spinoza and Leibniz
  - c. Philosophy in the period of the Enlightenment, or the Age of Reason
    - i. Epistemological issues: the attempt of Locke and Berkeley to inquire into the origin and nature of reason, Hume's science of man, Kant's critical examination of reason
    - ii. Developments in the philosophy of science: Materialist views, the effect of scientific discoveries on philosophical thought
    - iii. Social and political philosophy: the concern of Locke and Rousseau with the freedom and equality of citizens, developments in religious philosophy
  - d. Philosophy in the 19th century
- i. The resurgence of the metaphysical spirit: the Idealism of Fichte, Schelling, and Hegel
- ii. Developments in the empirical and scientific tradition: Comte's Positivism and its subsequent influence on the philosophy of science, J.S. Mill's theory of knowledge and ethics, the dialectical Materialism of Marx and Engels
- iii. The reaction against Rationalism: Kierkegaard's preoccupation with the states of consciousness, Schopenhauer's doctrine of cosmic will, the writings of Nietzsche
- e. Philosophy in the 20th century
  - i. Independent speculative and social philosophies: Bergson's intuitionism, Whitehead's speculative philosophy, William James's and Dewey's Pragmatism
  - ii. Developments in Marxist thought: Lenin's metaphysical Materialism and his theory of knowledge, the continuing attempt to make theory serve practice
- B. Non-Western philosophy
  - 1. Indian philosophy
    - a. Early Indian philosophical thought: the role of Hindu and Buddhist sacred literature in presystematic philosophy, the concepts of Brahman and *ātman* in Hindu thought and of selflessness and Nirvāņa in early Buddhist writings
    - b. The beginning of system building in Indian philosophy: the role of the *sūtra*, metaphysical and epistemological concerns, ethical and political thought, the teaching of the Ājīvikas and Cārvākas
    - c. The further developments of systematic thought in India: Realism and Idealism in metaphysical and epistemological thought, the relation of pluralistic and monistic views to various linguistic philosophies
    - d. The schools of Vedānta: the contribution of Śańkara and Rāmānuja and their followers; the schools of Nimbārka, Vallabha, and Caitanya
    - e. The Vaiṣṇava and Śaiva schools: philosophical systems based on the literature of Vaiṣṇavism and Śaivism
    - f. Later Indian philosophical thought: the influence of Islāmic thought and European philosophy, recent trends
  - 2. Chinese philosophy
    - a. The classical Chinese philosophical schools; e.g., Confucianism, Taoism, Yin-Yang, Mohism, Dialecticians, the Legalist school
    - b. Neo-Taoist and Buddhist thought
    - c. Neo-Confucianism: the development of the concept of principle
    - d. 20th-century Chinese philosophy: the effects of Western thought and of Maoism
  - 3. Japanese philosophy
    - a. Early Japanese philosophical thought: the introduction of Buddhism and Confucianism, the Six Schools of Nara, Tendai and Shingon philosophy
    - b. Developments during the Kamakura and Muromachi periods: the origins and concerns of the Zen, Jodo (Pure Land), and Nichiren sects; tendencies in Shinto and Confucian thought
- C. Philosophies associated with religion
  - 1. Hindu philosophy [see 823.B.3.]
  - 2. Buddhist philosophy [see 824.B.3.]
  - 3. Confucian philosophy [see 825.B.3.]
  - 4. Taoist philosophy [see 825.C.3.]
  - 5. Jewish philosophy [see 826.B.6.]
  - 6. Islāmic philosophy [see 828.B.4.]
  - 7. Christian philosophy [see 827.E.7.]

MACROPAEDIA: Major articles and biographies dealing with the history of philosophy

Aristotelianism,	Hegelianism,	Mill, John Stuart	Smith, Adam
Aristotle and	Hegel and	Nietzsche	Socrates
Augustine	Hume	Philosophy,	Taoism
Cartesianism,	Indian Philosophy	The History of	Thomism,
Descartes and	Kantianism,	Western	Thomas
Christianity	Kant and	Platonism,	Aquinas ar
Confucianism,	Locke	Plato and	
Confucius and	Marxism,	Rousseau,	
	Marx and	Jean-Jacques	

and

MICROPAEDIA: Selected entries of reference information

#### General subjects

Zen Arabic philosophy: entelechy kundālinī medieval Western Ahl al-Kitāb epochē Mādhyamika philosophy: first cause māvā Bātinīvah fideism Mimamsa form Dahriyah intention hylomorphism nirguna favd Nyāva Ockham's razor ghaybah logos pāramitā Scholasticism microcosm ikhtilāf tabula rasa prajñapti kalām Not-Being. modern Western denial of prakriti kasb opposites, table of philosophy: pramāna Māturīdīyah paradoxes of Zeno prana a priori knowledge Murji'ah antinomy prānāyāma Mu'tazilah sensationalism Oadarīvah virtue. pratītya-samutpāda as if, philosophy of axiology teachability of pratvaksa Rāfidah categorical rahbānīvah Indian philosophy: pratyaya imperative purusha rajm Abhidharmakośa cogito, ergo sum abhiiñā śabda Sālimīyah Śaiva-siddhānta common sense, Advaita shirk samadhi philosophy of ahankara tahajjud concept ajīva Sāmkhva talbīyah samsāra constitution theory Ājīvika taqiya samvrti-satya deconstruction akriyāvāda tashbih skandha deontological tawhīd ālava-vijnāna ānanda smrtyupasthāna ethics ziyārah anumāna svādvāda deus otiosus Chinese philosophy: tat tvam asi dialectical Artha-śāstra ch'i materialism trisvabhāva Chinese asana upādhi eudaemonism philosophy asrāva Vaisheshika good-reason theory hsien āstika Vedānta humanism hsu ātman ideal language Višistādvaita bhedābheda jen yama identity theory brahma Legalism innate idea brahmavihāra Yoga Mohism interactionism Yogācāra cakra p'u Japanese philosophy: irrationalism Cārvāka T'ai Chi dravya Japanese I-Thou tao leap of faith Dvaita philosophy te mathematicism T'ien Ming Hatha Yoga Jōjitsu Kegon metaethics Indian philosophy tzu-jan Nichiren metalanguage wu-wei indriya methodic doubt Buddhism vin-yang jiva mind-body dualism Pure Land Greek philosophy: inana kammaţthāna Buddhism monad apathy natural law Ritsu cosmopolitanism karma Kashmir Śaivism normative ethics Shingon emanationism

noumenon occasionalism panpsychism	protocol sentence radical empiricism reductionism	social contract solipsism superman	theodicy transcendental idealism
phenomenalism phenomenon	revisionism secularism	synthesis teleological ethics	unified science
Biographies			
Anaximenes of Miletus Averroës Avicenna Buber, Martin Chuang-tzu	Inoue Tetsujirō Israeli, Isaac ben Solomon Justin Martyr, Saint Lao-tzu	Maimonides, Moses Nārājunga Nishida Kitarō Schopenhauer, Arthur	Spinoza, Benedict de
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#### Section 10/52. The Nature and the Divisions of Philosophy

- A. The nature, scope, and methods of philosophy [for the major philosophical schools in the West, see 10/53; for the development of non-Western philosophy, see 10/53.B.]
  - 1. Diverse conceptions of philosophy
  - 2. Diverse views of the methods of philosophy
  - 3. The forms of philosophical exposition; *e.g.*, dialogues, commentaries, histories, systematically ordered treatises
  - 4. Criteria of meaning and truth in philosophical thought
- B. The divisions of philosophy
  - 1. Metaphysics, or speculative philosophy in general
    - a. The history, nature, and scope of metaphysics [for schools of thought in metaphysics. see 10/53.B.1.]
    - b. The relation of metaphysics to other parts of philosophy; e.g., ethics, logic, natural theology
    - c. Problems in metaphysics
  - 2. The philosophy of nature: the philosophical problems concerning the phenomena, laws, and theories of the natural sciences [see also 10/31.B.]
    - a. The history, status, and scope of the philosophy of nature
    - b. The relation of the philosophy of nature to science, the philosophy of science, and metaphysics
    - c. The basic aspects of the natural order
    - d. The philosophy of physics
    - e. The philosophy of biology
  - 3. Epistemology, or theory of knowledge
    - a. The history, nature, and scope of epistemology [for schools of thought in epistemology, see 10/53.C.]
    - b. The relation of epistemology to metaphysics, philosophy of mind, logic, and other disciplines
    - c. Problems in epistemology
  - 4. The philosophy of mind, or philosophical psychology
    - a. The history, nature, and scope of the philosophy of mind
    - b. The relation of the philosophy of mind to the empirical and mathematical sciences and to other philosophical disciplines

- c. Problems in the philosophy of mind
- 5. The philosophy of man, or philosophical anthropology
  - a. The history, nature, and scope of philosophical anthropology [for schools of thought in philosophical anthropology, see 10/53.B.3.]
  - b. The relation of philosophical anthropology to physical and cultural anthropology and to other disciplines in philosophy and the social sciences
  - c. Problems in philosophical anthropology
- 6. Ethics, or moral philosophy
  - a. The history, nature, and types of ethics: the distinction between metaethics and normative ethics
  - b. The relation of ethics to other philosophical disciplines or to other branches of knowledge or experience
  - c. Problems in ethics
- 7. Political philosophy
  - a. The nature and scope of political philosophy: its relation to political science
  - b. The form of political statements and arguments
  - c. The history of political philosophy
- 8. Aesthetics
  - a. The nature and scope of aesthetics as a discipline
  - b. The development of aesthetics: approaches to the study of the aesthetic experience
  - c. Problems in aesthetics
  - d. The relation of aesthetics to other disciplines
- 9. The philosophy of language
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- 16. The philosophy of education [see 561.A.]
- 17. The philosophy of history [see 10/41.C.]

MACROPAEDIA: Major articles and biographies dealing with the nature and the divisions of philosophy

Aesthetics Epistemology Ethics Metaphysics Mind, The Philosophy of Philosophical Anthropology Philosophies of the Branches of Knowledge Political Philosophy, The History of Western Rousseau, Jean-Jacques Smith, Adam Time

#### MICROPAEDIA: Selected entries of reference information

#### General subjects

aesthetics: aesthetics epistemology: a priori knowledge belief dualism epistemology Idéalogie intuition psychologism reason ethics: altruism axiology categorical imperative choice comparative ethics conscience egoism ethical relativism ethics

#### Biographies

aesthetics: Baumgarten, Alexander Gottlieb Bosanquet, Bernard Croce, Benedetto Santavana, George epistemology: Cassirer, Ernst Dühring, Eugen Locke, John Mill, John Stuart othics Abelard, Peter Cudworth, Ralph Cumberland, Richard Hutcheson, Francis Moore, G.E. Scheler, Max Whewell, William metaphysics: Aurobindo, Šrī Berdvavev, Nikolay Aleksandrovich Berkeley, George Bradley, F.H. See also Sections 10/51 and 10/53

eudaemonism free will good-reasons theory metaethics moral theology normative ethics probabilism teleological ethics virtue metaphysics: creative evolution dualism form Great Chain of Being hylozosim irrationalism metaphysics microcosm naturalism ontology

> Campanella, Tommaso Clauberg, Johann Descartes, René Feuerbach, Ludwig Fichte, Johann Gottlieb Geulincx. Arnold Green, T.H. Hegel, Georg Wilhelm Friedrich Heidegger, Martin Husserl, Edmund Jacobi, Friedrich Heinrich Jaspers, Karl Kant, Immanuel Kierkegaard, Søren Leibniz, Gottfried Wilhelm Lewes, George Henry Malebranche. Nicolas Marcel, Gabriel Meinong, Alexius

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nomos political philosophy powers, separation of social contract other: emergence philosophical anthropology philosophy teleology time

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    - h. Epicureanism
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  - 2. Philosophical schools in the modern period
    - a. Cartesianism
    - Empiricism [for Empiricist tendencies in earlier philosophy, see A.1.b. and i., above; for contemporary Logical Empiricism, see A.2.i., below]
    - c. Rationalism [for Rationalist tendencies in ancient and medieval philosophy, see A.I.a., c., e., and j., above]
    - d. Materialism [for dialectical Materialism, see A.2.j., below]
    - e. Kantianism
    - f. Idealism
    - g. Hegelianism
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    - i. Positivism and Logical Empiricism [for metalogical studies, see 10/12.B.; for studies in the foundations of mathematics, see 10/21.B.]
    - j. Marxism
    - k. Realism
    - l. Pragmatism
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  - Different types of metaphysical theory: Platonism; Aristotelianism; Thomism; Cartesianism; Idealism; Materialism—dialectical Materialism, Atomism, and Naturalism; Pythagoreanism; Organismic dynamism
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  - 3. Different conceptions of man as knower, doer, and maker: Existentialism, humanism, Phenomenology, Pragmatism, rationalism, irrationalism
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  - 2. Deontological theories: rationalism, intuitionism, Existentialism
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MACROPAEDIA: Major articles dealing with Western philosophical schools and doctrines

Aristotelianism,	Marxism,	Religious and
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#### MICROPAEDIA: Selected entries of reference information

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	Academy	Eleaticism	nominalism	solipsism
	Alexandrist	Empiricism	personalism	Sophist
	Analytic	Epicureanism	phenomenology	Stoicism
	philosophy	Ethical Culture	positivism	theism
	atomism	Existentialism	pragmatism	transcendental
	Cambridge	idealism	process philosophy	idealism
	Platonists	Latin Averroism	Pythagoreanism	Utilitarianism
	Cynic	Logical Positivism	rationalism	Vienna Circle
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	determinism	naturalism	sensationalism	
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	Albertus Magnus,	Carnap, Rudolf	Hume, David	Pico della
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	Athenagoras	Cousin, Victor	Jaspers, Karl	di Concordia
	Berdyayev,	Dewey, John	Kierkegaard, Søren	Plotinus
	Nikolay	Dühring, Eugen	Lewes, George	Royce, Josiah
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	Chartres	Gottlieb	Marie-François-Pierre	Schlick, Moritz
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	Manlius	Meyronnes	Nicolas	Miguel de
	Severinus	Gentile, Giovanni	Marcel, Gabriel	William de la
	Bosanquet,	Godfrey of	Maritain, Jacques	Mare
	Bernard	Fontaines	More, Henry	
	Bradley, F.H.	Green, T.H.	Ockham,	
	Buridan, Jean	Heidegger, Martin	William of	

See also Sections 10/51 and 10/52

#### Division VI. Preservation of Knowledge

[For Part Ten headnote see page 479.]

Division VI, which contains only one section, deals with the various means, techniques, and institutions used to preserve knowledge and, by extension, the objects of knowledge. Because the preservation of knowledge is so closely bound up with technology, which has provided ever more efficient methods, there is much overlap between this section and various sections in Part Seven, and accordingly there are in the outline presented here many cross-references to that part.

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      - iii. Museums of science and technology
      - iv. Museums of history
      - v. Museums of art and art galleries [see also 612.G.1.]
      - vi. Museums concerned with particular vocations: e.g., farming, forestry, wine making
      - vii. Other museums
    - c. Other systems of museum classification
      - i. By geographical coverage
      - ii. By character of collection
      - iii. By character of provider
      - iv. By particular clientele
  - 2. Libraries
    - [see B.4., below]
  - 3. Historic places and landmarks
  - 4. Public and private collections of animals and plants [see also 355.C.6.]
    - a. Zoological gardens and aviaries
    - b. Aquariums
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  - 5. Parks and nature preserves [see also 355.D.]
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- 7. Magnetic and optical recordings [see 735.F. and H.1.a.]
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    - b. Television [see also 735.I.6]
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MACROPAEDIA: Major articles dealing with institutions and techniques for the collection, storage, dissemination, and preservation of knowledge

Broadcasting Encyclopaedias and Dictionaries Libraries Muscums Publishing

MICROPAEDIA: Selected entries of reference information

#### General subjects

academy	broadcasting	national forest	newspaper
aquarium	dictionary	national	syndicate
archives	encyclopaedia	monument	oceanarium
astronomical	gazette	national park	pamphlet
observatory	library	national seashore	pinacotheca
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MICHAEL FRANCIS OLIVER, M.D. Professor, National Heart and Lung Institute, London. Duke of Edinburgh Professor of Cardiology, University of Edinburgh, 1979–89.

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ILZA VEITH. Emeritus Professor of Psychiatry and the History of Health Sciences, University of California, San Francisco.

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MARK A. RONAN. Mason Professor of Pure Mathematics, University of Birmingham, England.

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University, West Bank. Professor of Political Science, Northwestern University, Evanston, Illinois, 1967–93.

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C.W. MINKEL. Associate Vice-Chancellor; Dean, Graduate School, University of Tennessee, Knoxville.

HANS E. PANOFSKY. Curator of Africana, Northwestern University Library, Evanston, Illinois, 1959–91.

G. ETZEL PEARCY (d. 1980). Professor of Geography, California State University, Los Angeles, 1969–73.

JOSEPH E. SCHWARTZBERG. Professor of Geography, University of Minnesota, Minneapolis.

IVOR G. WILKS. Melville J. Herskovits Professor of African Studies, Northwestern University, Evanston, Illinois.

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